

1 **Landscape-scale modelling of agroforestry ecosystems services: A methodological approach**

2 Sonja Kay¹, Josep Crous-Duran², Silvestre Garcia de Jalon³, Anil Graves³, João HN Palma², Jose V. Rocas-Diaz¹,
3 Erich Szerencsits¹, Robert Weibel⁴ and Felix Herzog¹

- 4 1. Agroscope, Department of Agroecology and Environment, Zurich, Switzerland
5 2. Forest Research Centre, School of Agriculture, University of Lisbon, Lisbon, Portugal
6 3. Cranfield University, Cranfield, Bedfordshire, MK43 0AL, United Kingdom
7 4. Geography Department, University Zurich, Zurich, Switzerland

8 *Abstract:* The study explored the ecosystem services supply of agroforestry systems from a landscape perspective
9 by developing a spatially explicit model. Focussed on provisioning and regulating ES, seven indicators (“biomass
10 production”, “water recharge”, “nutrient retention”, “soil preservation”, “carbon sequestration”, “pollination” and
11 “habitat diversity”) were assessed. The model was applied on a traditional agroforestry system (a cherry orchard)
12 in Switzerland, as a case study. Eight landscape test sites of 1km x 1km, four with agroforestry and four with
13 agriculture were mapped, and used as baseline for the model. We found that the provisioning ecosystem services,
14 namely the biomass production, was higher in landscape test sites with agriculture; while the regulating ecosystem
15 services were better represented in landscape test sites with agroforestry. The differences were found to be
16 statistically significant for biomass yield, water recharge rate, nitrate leaching, carbon sequestration and proportion
17 of semi-natural habitats.

18 *Keywords:* biodiversity, biomass production, carbon sequestration, erosion, groundwater recharge, nitrate
19 leaching, pollination

20 1 Introduction

21 Agroforestry systems are traditional man-made agricultural land use practices, combining woody perennials with
22 agricultural crops and / or animals to provide food, fodder and timber from the same field at the same time
23 (Somarriba 1992). Agroforestry systems are widespread in Europe and currently cover around 15.4 million
24 hectares, which is approximately 8.8 % of European agricultural land (den Herder et al. 2017). At the same time
25 as providing a range of products, the systems can also offer many environmental benefits and help improve
26 biodiversity (e.g. Nair 2012; Moreno et al. 2016).

27 In 2003 the Millennium Ecosystems Assessment (MEA) identified the extent and significance of the “benefits
28 people obtain from ecosystems” in form of ecosystem services (ES) (MEA 2003). Since then, research
29 investigations has aimed to define (e.g. Boyd & Banzhaf 2007; Braat & de Groot 2012), map (e.g. Burkhard et al.
30 2012; Clec’h et al. 2016) and assess these ES (e.g. Syswerda & Robertson 2014; Maes et al. 2016). Increasingly,
31 understanding the spatial allocation and quantification of ES (Burkhard et al. 2013) and the effect of spatial pattern
32 on bundles of ES (Raudsepp-Hearne et al. 2010) has become more significant.

33 Landscape patterns, especially in agriculture are formed by land cover, land management and spatial structures
34 (Verburg et al. 2013) and are accountable for the function and supply of ES (Englund et al. 2017). Biodiversity
35 rich areas can (but do not always) coincide with areas that are important for ES (Anderson et al. 2009). For this
36 reason, Tschardt et al. (2005) postulated that biodiversity assessments need to cover larger areas and require a
37 landscape perspective.

38 The role of agroforestry systems in providing ES in temperate Europe has been investigated in several studies and
39 these were summarized by Torralba et al. (2016). The key ES were (1) timber, food and biomass production, (2)
40 soil fertility and nutrient cycling, (3) erosion control and (4) biodiversity provision. However, these investigations
41 were mainly restricted to single services (Udawatta et al. 2008; Pumariño et al. 2015). A spatially explicit and
42 systematic assessment of how heterogeneous agroforestry systems affect ES at a landscape scale, across time and
43 space, is missing. However, computer simulation models can help to evaluate the long-term effects of different
44 land use systems (Jose and Pallardy 2004).

45 The aim of this study was to assess and comprehensively quantify a bundle of ES of agroforestry systems with a
46 semi-quantitative approach at the landscape scale through a combination of field investigations and modelling,
47 using a traditional cherry orchard in Switzerland as case study region. In undertaking this evaluation, we first selected
48 indicators that could be used to characterise the performance of agroforestry, agricultural, and forest systems.
49 Then, algorithms for quantifying these indicators were identified, tested, adapted, and applied. Finally, we used
50 the algorithms to compare ES provision from agroforestry (AF) and non-agroforestry (NAF) landscapes.

51 2 Data and Methods

52 2.1 Study area

53 The study was conducted in traditional high-stem cherry orchards in north-western Switzerland. The case study
54 region covers 50 km² with seven municipalities and is characterised by an annual average temperature of 7.7 °C,
55 and annual rainfalls of 800-1000 mm (Figure 1).

56 The evaluated system consists of around 80 cherry trees ha⁻¹ of different ages on grassland, providing cherries,
57 grass and timber.

58 <Figure 1>

59 2.2 Selection of Landscape Test Sites

60 Within our study, we subdivided the case study area into the broad land cover categories forestry, agroforestry and
61 agriculture (mainly arable). Within the agroforestry (AF) and agricultural (non-agroforestry (NAF)) areas
62 identified, we chose four landscape test sites (LTS) of 1 x 1 km, at random within each category, resulting in eight
63 LTS altogether. In each LTS habitats and trees were mapped in the field (see Annex 1 for the habitat mapping
64 protocol) during spring 2015 and 2016. For grassland, percentage cover of grass, clover and herbs was recorded.
65 For woody perennials, the location, tree species, height and structure were recorded during field surveys. Single
66 trees and AF trees were digitized from aerial photographs and classified by crown diameter as small (young),
67 medium (middle age) and large (old) (Figure 2b).

68 <Figure 2>

69 The location of arable and other land was also identified and mapped and all information was combined in a habitat
70 map and digitized using ArcGIS 10.4 (Figure 2a). It should be noted that it was not possible to find entire LTS
71 under AF and NAF and therefore each LTS often included a mix of arable, grass, forestry, agroforestry and other
72 (urban) land covers. However, agroforestry dominated (31 - 55 %) the land cover in the AF LTS and arable land
73 dominated (19 - 72 %) the land cover in the NAF LTS (Table 4).

74 2.3 Indicator and model selection

75 A range of indicators were selected to compare ES delivery in the AF and NAF LTS. The selected indicators
76 included those that (a) were listed as ES in the Common International Classification of Ecosystem Services
77 (CICES) with focus on provisioning and regulating services (Haines-Young and Potschin 2013); (b) addressed
78 differences of AF versus NAF (Alam et al. 2014; Torralba et al. 2016), and (c) could be evaluated by existing and
79 available models adapted to agroforestry (Table 1).

80 <Table 1 >

81 2.4 Conceptual background

82 A spatially explicit ES evaluation model, shown in Figure 3, was developed, which comprised the selected
83 indicators (Table 1) and also accounted for their interaction. In order to consider the spatial dependence location-
84 dependent variables (habitat map, soil map, digital elevation model, and climate) were used to calculate each
85 indicator. Model outcomes were ES maps (resolution 2 x 2 m) (Figure 4a), wherein each pixel contained the
86 information for all indicators and specified the relationship to that specific location.

87 <Figure 3>

88 The indicator values (separately for each indicator) were then aggregated at a landscape scale for each LTS and
89 quantified as mean per hectare values for the whole LTS area (including agricultural, forest and urban area).

90 2.4.1 Biomass production

91 Biomass production is the key indicator of use for assessing agricultural productivity and its economic value. It is
92 spatially affected by regional growing conditions such as soil and climate and in turn, shapes the agricultural
93 landscapes (Verburg et al. 2013; van der Zanden et al. 2016).

94 The total stock value at any one time ($t DM ha^{-1}$), and the annual use ($t DM ha^{-1} yr^{-1}$) of the biomass was calculated
95 separately for agricultural, forestry and agroforestry systems (Table 2). No distinction was made regarding the
96 type and quality of biomass.

97 The biomass yield for arable farming was based on Swiss agricultural statistics (BAFU 2013), grassland yield was
98 from Baer (2009), and forest yields were from Swiss forest statistics (Brändli 2010, BAFU; BfS 2015a; BAFU;
99 BfS 2015b).

100 The EcoYield-SAFE model (Palma et al. 2017) a daily time-step model developed from the YieldSAFE model
101 (van der Werf et al. 2007), was calibrated to local monoculture yields of trees, crops, and grass, using the approach
102 described by Graves et al. (2010), and then used to calculate the agroforestry biomass yield.

103 The calibration data for EcoYield-SAFE were derived from field measurements, the literature described above,
104 local soils data, and weather data for a rotation of 60 years. The field data included tree and crown diameter,
105 flowering time and fruit yield data. Information for grass yield, 6.0 t ha⁻¹ for high-input grassland and 2.0 t ha⁻¹ for
106 low-input grassland, came from the literature and local farmers (Baer 2009). The fruit yields from the cherry trees
107 were assumed to be 50 kg (16 % DM) per tree for small trees, 100 kg per tree for medium sized trees, and 150 kg
108 per tree for large trees in years with good weather conditions (Windisch 1895). CliPick (Palma, 2017) provided
109 daily data (on e.g. precipitation, temperature and solar radiation). Soil parameters were taken from the description
110 of hydraulic properties of European soils (Wösten et al. 1999; Hiederer 2013a). For an agroforestry system of 80
111 cherry trees ha⁻¹, EcoYield-SAFE predicted 130 t of biomass in year 60, with a mean yield of 2.16 t ha⁻¹ yr⁻¹. The
112 mean fruit production was 16 t ha⁻¹ yr⁻¹ and grass production in between trees declined from approximately 6 t DM
113 ha⁻¹ in the first years to 2 t DM ha⁻¹ in as the trees got older.

114 The habitat classification and tree diameter were then used to link the model outcomes to the LTS habitat map.

115 < Table 2 >

116 2.4.2 Groundwater recharge

117 An intact water cycle is required to provide adequate water for biomass production and for human settlement.
118 Sufficient availability of groundwater, and sustainable groundwater recharge, is needed for domestic and industrial
119 purposes. These processes are directly linked to land cover, land management and landscape structure. The general
120 water equation was given a:

$$121 \quad P = E + R + \Delta S \quad \text{with} \quad \Delta S = (\Delta S_{\text{Soil}} + \Delta S_{\text{Groundwater recharge}}) \quad (\text{Equations 1, 2})$$

122 Where P was the precipitation, E was the evapotranspiration, R was the surface runoff and ΔS was the storage
123 change in soil (ΔS_{Soil}) and groundwater ($\Delta S_{\text{Groundwater recharge}}$). Water flows were modelled by using FAO's
124 CROPWAT 2.0 for crop performance indices (Allen et al. 1998) in combination with the spatial components of
125 MODIFFUS 3.0 method (Hürdler et al. 2015). Our focus was on the amount of groundwater recharge and leachate
126 as ES.

127 The water cycle was calculated in six steps: (I) P as mean annual precipitation in mm was derived from the CCM
128 River and Catchment Database compiled by the European Commission and Joint Research Centre for the years
129 1975 to 1999 (Vogt et al. 2007). The data were interpolated on a 1 km grid. (II) E was estimated by multiplying
130 effective rainfall and reference evapotranspiration coming from the FAO CROPWAT 2.0 model as monthly values
131 and leading to a discrete crop evapotranspiration (ETC) using the Penman-Monteith (FAO-56 PM) method (Allen
132 et al. 1998). (III) R was modelled using the MODIFFUS 3.0 (Hürdler et al. 2015), which incorporated slope as
133 derived from the digital elevation model SwissALTI3D (swisstopo 2012), land use characteristics and water
134 catchment areas (Vogt et al. 2007). (IV) ΔS was divided into ΔS_{Soil} and $\Delta S_{\text{Groundwater recharge}}$. ΔS_{Soil} was obtained
135 combining data on the total available water content (TAWC) for topsoil in the European Soil Database (ESDB)
136 (Panagos et al. 2012; Hiederer 2013a, b), storing and filtering capacity in Makó et al. (2017) and the available
137 water content (AWC) in Ballabio et al. (2016). (V) The soil water balance was calculated to provide the $\Delta S_{\text{Groundwater}}$

138 *recharge*. (VI) The groundwater recharge rate (*GWRR*) represented the proportion of precipitation percolating to the
139 groundwater (Equation 3) and was given as:

$$140 \quad GWRR = \frac{\Delta S_{\text{Groundwater recharge}}}{P} * 100 \quad (\text{Equation 3})$$

141 2.4.3 Nutrient retention

142 The focus of this ES is on nitrogen and phosphorus losses. Nitrogen inputs are often used as proxy for management
143 intensity in agriculture (e.g. Tieskens et al. 2017). Rising N application causes N losses to the environment (Sutton
144 et al. 2011) and leads to pollution of groundwater and eutrophication of natural ecosystems (Velthof et al. 2014).
145 Phosphorus losses affect surface waters through erosion and surface runoffs (Schoumans et al. 2014).

146 The nutrient loss assessment was based on MODIFFUS 3.0, an empirical model for nitrate and phosphorus losses
147 in Switzerland (Hürdler et al. 2015). In this, leaching values for each land cover class were weighted by factors
148 for soil characteristics, fertilizer application, grassland management, denitrification and drainage. N loss was then
149 multiplied by total leachate, and P loss was multiplied by runoff water, both of which were calculated in the water
150 cycle assessment.

151 2.4.4 Soil preservation

152 A major indicator of effective disturbance regulation is soil erosion. This indicator was assessed using the Revised
153 Universal Soil Loss Equation (RUSLE) (Renard et al. 1997) (Equation 4), defined as:

$$154 \quad A = R * K * L * S * C * P \quad (\text{Equation 4})$$

155 Where: A was the estimated mean annual soil loss ($\text{t ha}^{-1} \text{ yr}^{-1}$), R was a rainfall-runoff erosivity factor, K was a
156 soil erodibility factor, LS was a slope-length factor, C was a cover-management factor and P was a practice-
157 management factor.

158 The European digital map was used for retrieving the *R* (Panagos et al. 2016) and *K* factors (Panagos et al. 2014).
159 The slope-length factor was calculated using the System for Automated Geoscientific Analyses (SAGA) (Olaya
160 2004; Conrad et al. 2015) with digital elevation data from SwissALTI3D, which has a spatial resolution of 2 m.
161 The *C* factor was defined for each habitat according to Panagos et al. (2015) and Hürdler et al. (2015). The *P* factor
162 was set to 1, as no special supporting practice was used (Panagos et al. 2015).

163 2.4.5 Carbon stock and sequestration

164 Retention of carbon (C) stocks and sequestration of atmospheric carbon are increasingly important in climate
165 regulation (Lal 2004). According to the UNFCCC definition, carbon must be stored in long-lived pools to be
166 effectively sequestered (UNFCCC 2007) and come from atmospheric CO_2 to contribute to climate regulation
167 (Powlson et al. 2011). Our assessment of biomass carbon storage is based on the produced above and below ground
168 biomass estimated in EcoYield-SAFE.

169 The estimation of root biomass and soil organic carbon (SOC) in agroforestry systems is challenging (Lorenz and
170 Lal 2014). Many models, such as C-TOOL (Taghizadeh-Toosi et al. 2014) and RothC (Coleman and D.S.
171 Jenkinson 1996) are limited to agricultural systems and could not be applied here. We used Yasso07 (Liski et al.
172 2005) which has been developed for evaluating tree and forest system, and assessed for afforestation, agroforestry,
173 grassland, and coppice systems (Masera et al. 2003; Prada et al. 2016). The Yasso07 model was able to address
174 the decomposition of biomass fractions, and their effects on soil carbon, and simulated the stock, annual change,
175 and releases of carbon to the atmosphere based on site specific climate and stand information. The carbon content
176 of trees was assumed to be 50% of tree biomass (Aalde et al. 2006) and carbon storage was divided into an annual

177 sequestration rate and a permanent carbon stock. Carbon storage was divided into annual and permanent;
 178 respectively known as carbon sequestration rate ($\text{t C ha}^{-1} \text{ yr}^{-1}$) and carbon stock (t C ha^{-1}). According to Keel et al.
 179 (2016) the carbon inputs in agricultural land range from 1.6 to 2.6 $\text{t C ha}^{-1} \text{ yr}^{-1}$. Analysis results of Yasso07 for
 180 permanent grassland ranged from 10 to 20 t C ha^{-1} carbon stock and a sequestration rate of around 1 $\text{t C ha}^{-1} \text{ yr}^{-1}$.
 181 An agroforestry system (80 trees, 60 years) produced 63.8 t C in biomass and 5.76 t C in soil, which corresponds
 182 to 1.3 $\text{t C ha}^{-1} \text{ yr}^{-1}$.

183 2.4.6 Biodiversity

184 Pollination is essential in the regulation of the biotic environment. Pollinators require nesting and foraging
 185 resources in adequate quantity, quality and within reachability (Schulp et al. 2014). Flowering grasslands, insect-
 186 pollinated crops, and blossoming trees, provide nectar and pollen for pollinators (Schindler and Peters 2011;
 187 Pasquet et al. 2014). Here, the pollination indicator was developed using the Lonsdorf model (Lonsdorf et al. 2009;
 188 2011), which estimates the habitat nesting suitability, the habitat flowering suitability and the reachability between
 189 these two.

190 For wild bees the nesting capacity was evaluated for both ground and cavity nesting. Ground nesting facilities
 191 were mapped in the field. Cavity nesting potential was assumed to be present in all habitats with woody elements
 192 such as hedgerows, agroforestry, and forest. The flowering potential was mapped, using the quantity of clover and
 193 herbs in grasslands, crops pollinated by insects (mainly rapeseed and horticultural crops) and blossoming trees.

194 The reachability between nesting and flowering sites depended on the pollinator species, their moving and foraging
 195 radius, and the ranges available between a distance of 100 m (e.g. *Megachile rotundata*) and 1500 m (e.g. *Apis*
 196 *mellifera*). Nearby floral resources were preferred to distant foraging resources (Zurbuchen et al. 2010). To model
 197 the polinator index for range of pollinators, three moving corridors (100, 350, 500 m) were computed for the two
 198 nesting types.

199 The structural diversity of agroforestry systems contributes to the proportion and variety of semi-natural habitats
 200 for flora and fauna (Pointereau et al. 2007; Torralba et al. 2016) and several investigations have shown a
 201 relationship between landscape pattern and agricultural biodiversity (Bailey et al. 2007; Billeter et al. 2008).

202 Bailey et al. (2007) tested different metrics and proposed the Simpson Diversity Index (*SIDI*) (Equation 5) for fine
 203 scale and heterogeneously defined biological groups, which was defined as:

$$204 \quad SIDI = 1 - \left(\frac{\sum n(n-1)}{N(N-1)} \right) \quad (\text{Equation 5})$$

205 Where: n was the number of habitat patches and N was the total number of habitat types.

206 Billeter et al. (2008) reinforced this point and found that the share of semi-natural habitat (SoSNH) and habitat
 207 diversity (HD) correlated strongly with the species richness of several taxa. The share of semi-natural habitat was
 208 given by Equation 6:

$$209 \quad SoSNH = \frac{SNH * 100}{A} \quad (\text{Equation 6})$$

210 Where: SNH was the area in m^2 of semi-natural habitat types of the study site and A was the size of the study
 211 site in m^2 .

212 Habitat diversity (HD) (Equation 7) was the sum of the semi-natural habitat types (ToSNH) in the study site.

$$213 \quad HD = \sum ToSNH \quad (\text{Equation 7})$$

214 These indicators were computed using the habitat maps. They indicated relative levels of habitat and – potentially
 215 – species diversity in the case study region.

216 2.5 Spatial and statistical analysis

217 The statistical analyses were performed as an ANOVA with R (R Development Core Team 2013). The spatial
218 analysis of the ES indicators was developed in QGIS 2.14.8 (QGIS Development Team 2015), SAGA System for
219 Automated Geoscientific Analyses (Conrad et al. 2015) and ESRI ArcGIS10.4 (Environmental Systems Resource
220 Institute 2016) using the datasets in Table 3. The ES indicator values were then compared in R (R Development
221 Core Team 2013) using ANOVA to determine whether significant differences in ES delivery existed between the
222 AF and NAF land covers.

223 <Table 3>

224 3 Results

225 3.1 LTS inventory

226 Altogether 23 different habitat types and 8,189 trees were recorded across the eight LTS. Figure 4a shows the
227 results of the habitat mapping presenting all eight LTS and Table 4 summarizes the percentage of area occupied
228 by forestry, agroforestry, agriculture and other land use types in each LTS. A detailed analysis of these data is
229 presented below.

230 < Table 4 >, < Figure 4 >

231 3.2 Biomass production

232 The modelled biomass yields are shown in Figure 4b for the eight LTS. Across the LTS, mean annual biomass
233 yields were found to be greater in NAF (6.5 t ha⁻¹) landscapes than in AF landscapes (4.6 t ha⁻¹). This effect was
234 statistically significant (p < 0.01). However, in contrast, the biomass stock tended to be greater in AF LTS due to
235 the tree biomass.

236 3.3 Groundwater recharge

237 The mean annual precipitation in the study area was 990 mm. In the AF LTS, 46.5 % of this was used by
238 evapotranspiration, 2.7 % was removed from the area as surface runoff, and 49.5 % was used for groundwater
239 recharge and soil storage. In NAF LTS the overall fate of precipitation was comparable, with an evapotranspiration
240 taking 44 %, surface runoff taking 3.2 %, and groundwater recharge and soil storage taking 53 %. The groundwater
241 recharge rate was 44.5 % in AF and 49 % in NAF result that was significantly different (p<0.027).

242 3.4 Nutrient retention

243 The assessment of nitrate leaching (Figure 4c) showed relatively high losses of nitrates associated with LTS with
244 larger arable areas, such as NAF2 and NAF3 (>25 kg N ha⁻¹ yr⁻¹). The overall nitrate loss was 13.8 kg N ha⁻¹ yr⁻¹
245 in NAF LTS, and significantly higher (p<0.008) than in AF LTS (7.6 kg N ha⁻¹ yr⁻¹). The phosphorus loss in both
246 AF and NAF LTS was below 1 kg P ha⁻¹ yr⁻¹ and is no longer accounted for.

247 3.5 Soil preservation

248 The average soil loss is 1.88 t ha⁻¹ yr⁻¹ in AF and 1.46 t soil ha⁻¹ yr⁻¹ in NAF LTS. These results were not found to
249 be statistically significant between the two land covers.

250 3.6 Carbon stock and sequestration

251 The mean carbon sequestration rate was 0.49 t C ha⁻¹ yr⁻¹ in NAF and 0.75 t C ha⁻¹ yr⁻¹ in AF LTS, which was
252 significantly higher ($p < 0.01$). The maps in Figure 4d show that this effect was largely due to the high areas of
253 arable land in NAF landscapes, such as found in NAF1, 2 and 3, whereas AF landscapes, such as AF1, 2 and 3,
254 showed relatively high carbon sequestration rates associated with the agroforestry habitat. The mean carbon stock
255 was also relatively high in AF LTS at 59.6 t C ha⁻¹ (53.3 – 67.6 t C ha⁻¹) compared with 51 t C ha⁻¹ (43.6 – 64.1 t
256 C ha⁻¹) in NAF LTS but the differences were not found to be statistically significant.

257 3.7 Biodiversity

258 The AF LTS provided greater resources for pollinators. A mean area of 66.3 ha in the AF LTS was mapped as
259 potential habitats for ground nesting pollinators, 44.8 ha for cavity nesting pollinators, and 21.8 ha provided
260 flowering potential. In NAF LTS these figures were reduced, with 46.2 ha having ground nesting potential, 31.6
261 ha having cavity nesting potential, and 14.3 ha providing flowering potential.

262 Figure 5 shows the pollination service at different distances from nesting resources. Within a radius of 100 m of a
263 nesting facility, results showed that a larger area of land could be reached by pollinators in AF LTS (97.5 % for
264 cavity species, 98.8 % for ground nesting species) than in NAF LTS (84 % and 93 %, respectively). For cavity
265 nesting species, these differences were significant ($p < 0.1$), but no correlation was found for ground nesting species.
266 At flying distances of 350 m and more, the total area could be accessed by both cavity and ground nesting species.

267 <Figure 5 >

268 The assessment of habitat and species richness was based on the landscape metrics SIDI, SoSNH and HD. In
269 general, the AF LTS showed the biggest range of values for these indicators. The habitat diversity indicator SIDI
270 ranged from 0.82 to 0.88 in AF and 0.85 to 0.89 in NAF and was similar across all the LTS. The share of semi-
271 natural habitats, SoSNH, was much greater in AF LTS (27 - 49 %) than in NAF LTS (5 - 11 %). This difference
272 was highly significant ($p > 0.001$). The number of semi-natural habitat types HD was between 35 to 84 in AF LTS
273 and between 16 to 35 in NAF LTS, and was also found to be significantly different ($p < 0.1$).

274 3.8 Summary of indicator values

275 Figure 6 provides a summary of the results using normalized indicators between -1 (for losses) and 1 (for gains).
276 Statistically significant differences between the AF and NAF LTS, and p values are shown for each of the
277 indicators.

278 <Figure 6>

279 4 Discussion

280 4.1 Modelling approach

281 The assessment of ES has taken place at various scales in previous research, ranging from broader assessments at
282 national or international scales (e.g. Kienast et al. 2009; Mouchet et al. 2017), focusing on multiple ES and linking
283 them to land-use types, or detailing field scale assessments modelling systems and processes (e.g. Tsonkova et al.
284 (2014).

285 Our study fits in between these two perspectives. On the one hand, a reasonable level of detail is needed to address
286 spatial effects of tree and crop interaction in agroforestry, while on the other hand we want to investigate effects
287 of this particular land use system at the landscape scale. Therefore, we tried to balance the methodology between
288 model complexity, data requirements and total error (see e.g. Schröter et al. 2014) with a spatial resolution of 1 x

289 1 km for a landscape test site (LTS). At this scale both aims, agroforestry assessment itself and their impact on
290 landscape, could be evaluated and visualised.

291 4.2 Biomass production

292 Due to the broad product portfolio of agroforestry systems with timber, food and fodder production, we
293 summarized biomass production into total values (see also Tsonkova et al. (2014); Fader et al. (2015) to make our
294 assessment

295 The annual biomass yield was lower in LTS with AF than in NAF LTS, but the biomass stock in AF, mainly
296 timber, was higher than in NAF. This was due to the difference rotation length between the annual crops and trees.
297 When the AF and NAF LTS were compared over the rotation length of trees (60 to 80 years), greater total
298 productivity was achieved for AF LTS compared with NAF LTS. Such results have been reported in previous
299 research (e.g. Sereke et al. 2015), where growing trees and crops together can be more productive.

300 4.3 Groundwater recharge

301 Fine scale spatial variability in soil, climate, elevation and land use impede modelling approaches, in particular
302 for groundwater recharge (Herrmann et al. 2009; Tetzlaff et al. 2015). Consequently, models contain a high degree
303 of uncertainty. Therefore, we used the general water equation and subdivided it into tangible parts. Alexandris,
304 Stricevic, & Petkovic (2008) found that the procedure outlined by the FAO (Allen et al. 1998) provides
305 scientifically the most valid results. Soil maps with a spatial resolution of 25 to 1.000 m were used (Hiederer
306 2013a, b). This level of spatial resolution limits small scale analysis, yet it allows to generate trends for habitat
307 types and to aggregate results on LTS level.

308 4.4 Nutrient retention

309 In the AF LTS, modelled nitrate losses were nearly half of those in NAF LTS pointing to a clear ES benefit in
310 terms of reduced nitrate emissions to the environment. This reflects similar findings from e.g Nair et al. (2007)
311 and Jose (2009), who showed that agroforestry systems can help reduce nutrient losses by 40 and 70%. López-
312 Díaz et al. (2011) showed in greenhouse experiments that trees have a higher root density and a deeper root horizon,
313 which led to a higher uptake of nitrate and a reduction of nitrate leaching of 38 to 85 %.

314 4.5 Soil preservation

315 Agroforestry systems are closely linked to soil conservation and management practices (Young 1997) and are
316 often seen as a means of reducing soil erosion (Wezel et al. 2014). The RUSLE equation is based on empirical
317 data, and has been tested in different regions at several scales (e.g. Panagos et al. 2015, Prasuhn et al. 2013). The
318 soil loss calculated for our eight LTS was close to the mean value ($2.46 \text{ t ha}^{-1} \text{ yr}^{-1}$) calculated for Europe and long-
319 term monitoring values ($0.9 - 1.24 \text{ t ha}^{-1} \text{ yr}^{-1}$) measured on agricultural land in the lowlands of Switzerland. No
320 significant difference in soil erosion between AF and NAF LTS was found. This is different to former studies,
321 where agroforestry systems have been shown to reduce soil erosion (Rodríguez-Ortega et al. 2014; Sánchez &
322 McCollin 2015, Palma 2007). However, it is worth noting that in our LTS, topographical differences could mask
323 the soil reduction benefits associated with agroforestry systems since the cherry systems occurred on steeper land
324 than arable uses (20 % slope for AF LTS as compared to 9 % for NAF LTS).

325 4.6 Carbon stock and sequestration

326 Our results were similar to results reported by Cardinael et al. (2015) in agroforestry plots in France, who detected
327 a below ground carbon sequestration of 0.09 to 0.46 t C ha⁻¹ yr⁻¹ and an above ground carbon sequestration of
328 0.004 to 1.85 t C ha⁻¹ yr⁻¹ in the tree biomass. Higher carbon sequestration rates have been reported for young
329 plantations. Nabuurs and Schelhaas (2002) modelled the sequestration rate of 16 forest types and reported a mean
330 sequestration rate of 2.98 t C ha⁻¹ yr⁻¹, ranging from 1.15 to 4.1 t C ha⁻¹ yr⁻¹ for afforestation. For long established
331 forest sites the sequestration rate reached a steady state at 0.8 t C ha⁻¹ yr⁻¹ with a range 0.3 to 1.4 t C ha⁻¹ yr⁻¹. The
332 carbon stock reported in these forests was between 52 and 196 t C ha⁻¹. In the eight LTS, carbon stocks were at
333 the lower end of this range and similar for both AF and NAF LTS. The greatest carbon stocks in the LTS were
334 found in the forests, which provided 175 t C ha⁻¹, well above that found in agroforestry (88 t C ha⁻¹) and agriculture
335 (3 t C ha⁻¹) land uses. Whilst the differences between our AF and NAF landscapes were relatively small due to
336 the composition of the LTS, both of which included substantial areas of forest, the results obtained from modelling
337 the per hectare values suggest that the greatest sequestration benefit would be provided from forests, although use
338 of agroforestry systems would provide some carbon sequestration benefit whilst allowing food production to
339 continue.

340 4.7 Biodiversity

341 Assessing biodiversity is challenging because of its inherent complexity that cannot be represented completely by
342 only a single or even multiple indicators (e.g. Herzog et al. 2013). Still, biodiversity plays a key role on all levels
343 of ES assessment (Mace et al. 2012). Therefore, we opted for two types of indicators: “Pollination” stands for the
344 multiple functions (or services) that wild species provide for agricultural production and the three indicators
345 representing “habitat richness” stand for the conservation of species and habitat diversity.

346 Previous studies assessing pollination services (e.g. Kennedy et al. 2013, Schulp et al. 2014) highlighted the
347 importance of woody elements in landscapes. Suitable nesting habitats and flowering resources were more present
348 in AF LTS than in NAF LTS. However, the size of the LTS (1 x 1 km) limited any effect this had on the pollination
349 service, because the moving corridors of the pollinators were larger than the LTS themselves. Three sizes of
350 moving corridors were assessed, but differences between AF and NAF LTS only became significant at the 100 m
351 level. This suggested that the living conditions for pollinators would be satisfied in both landscapes, but that fitness,
352 resilience and resistance of each individual might be greater in the AF LTS.

353 The indicator group for “habitat richness” was derived from landscape metrics computed from the habitat maps.
354 The SIDI was found to be statistically similar in both AF and NAF LTS, because the index is largely driven by the
355 number of habitat types. In fact, it was slightly greater in NAF LTS, because different crop types were counted as
356 different habitats. The SoSNH indicators was slightly higher in AF LTS because the traditional fruit orchards were
357 assumed to qualify as semi-natural habitats in accordance with literature (Herzog et al. 2017) and with national
358 regulations, where orchards are classed as ecological focus areas. The HD indicator was similar across all LTS,
359 although a wider range of habitats (6 - 40) occurred in the AF LTS. Statistically significant differences between
360 the AF and NAF LTS were found two of the four indicators for biodiversity, suggesting that biodiversity might be
361 better supported in AF LTS than in NAF LTS. Studies by Birrer et al. (2007), and Bailey et al. (2010) support this
362 conclusion since they have shown that fruit orchard landscapes in temperate Europe have relatively high species
363 richness as well as specialised species such as orchard birds.

364 5 Conclusion

365 Our study explored the ecosystem services supply from agroforestry systems from a landscape perspective by
366 developing a spatially explicit model. Seven indicators (biomass production, water recharge, nutrient retention,
367 soil preservation, carbon sequestration, pollination and habitat diversity) were chosen to represent these ES. The
368 model was applied to traditional agroforestry system, cherry orchards, in Switzerland, as case study.

369 We found that the provisioning ES was higher in LTS without agroforestry while the regulating ES were higher
370 in LTS with agroforestry. The differences were significant for annual biomass production, water recharge rate,
371 nitrate leaching, carbon sequestration and the share of semi-natural habitats. These findings have implications for
372 decision making, particularly given the many benefits that stakeholders increasingly seek from land.

373 To our knowledge, this is the first attempt to comprehensively quantify ecosystem services with a semi-quantitative
374 approach at the **landscape scale** through a combination of field investigations and modelling. It thus goes beyond
375 expert evaluations and modelling results. The resulting indicator values were plausible and within the range of
376 values published in former studies. The approach is limited by the availability of spatial data (notably high-
377 resolution soil maps) and by the state of the art of modelling, which reflects our current understanding of the
378 relevant processes. However, this approach provides an example for spatially explicit quantification of
379 provisioning and regulating ES and is suitable for comparing different land use scenario at a landscape scale.

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382 Framework Program).

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588 Figures captions

589 *Figure 1: Profile of the cherry orchard case study region, Switzerland (LU: livestock unit)*

590 *Figure 2: Habitat map (a) and AF trees (b) in the LTS plots [Tree types: small circles – young trees, medium*
591 *circles – middle aged trees; large circles – old trees; Background Image: Swissimage © swisstopo (2015)]*

592 *Figure 3: Conceptual background of the model*

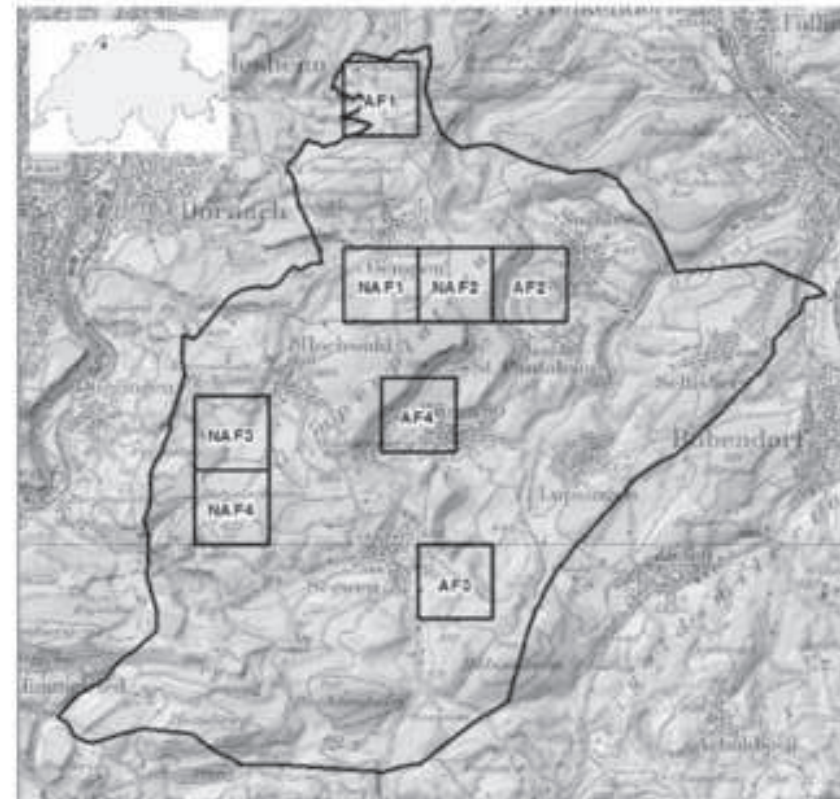
593 *Figure 4: Habitat maps (a), modelled biomass yield [t ha-1 yr-1] (b), nitrate leaching [kg N ha-1 yr-1] (c) and*
594 *carbon sequestration [t C ha-1 yr-1] (d) of landscape test sites [LTS] grouped by land cover categories into*
595 *agroforestry (AF) and non-agroforestry (NAF) sites*

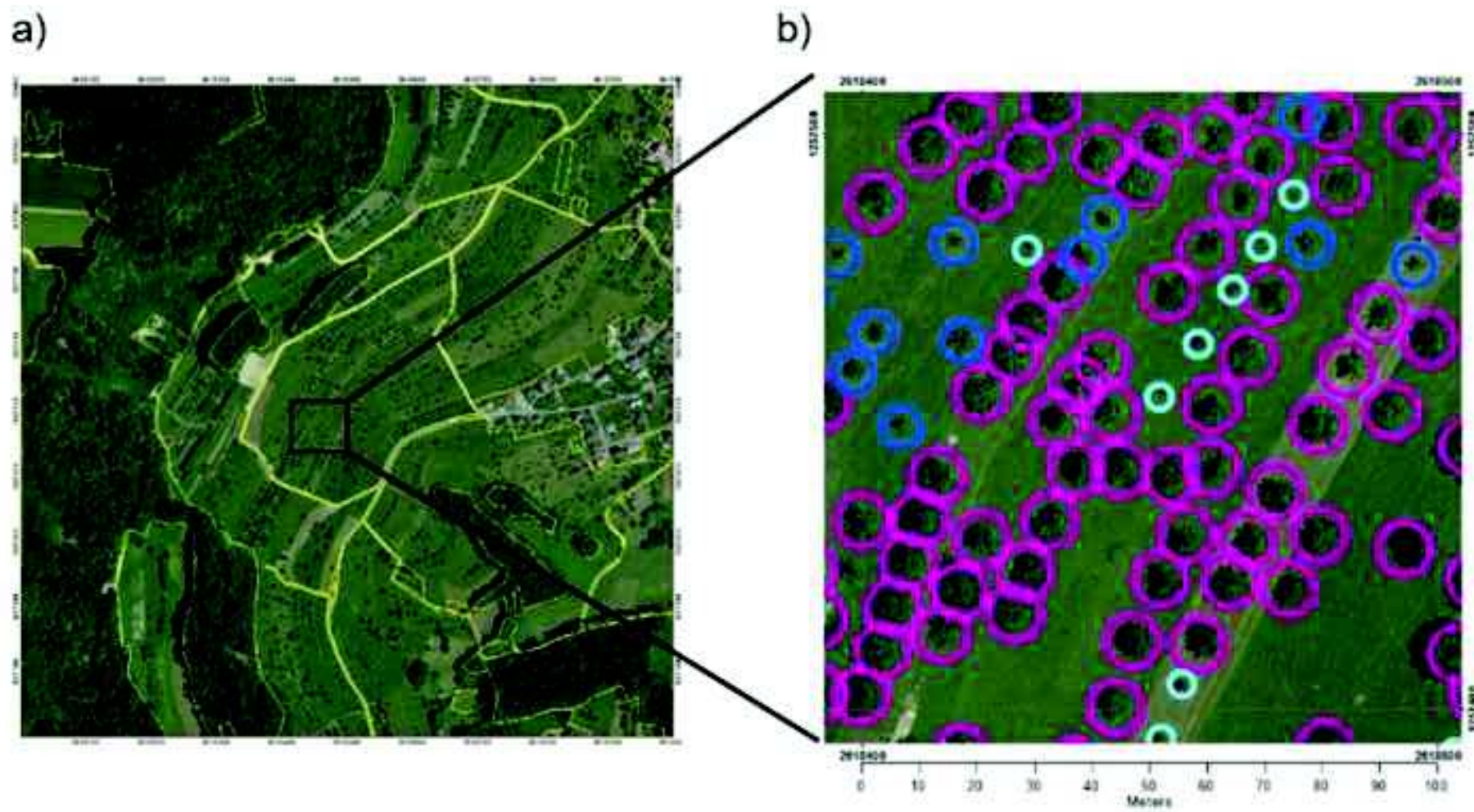
596 *Figure 5: Pollination services in 100, 350 and 500 m range around nesting facilities in agroforestry (AF) and*
597 *non-agroforestry (NAF) landscape test sites.*

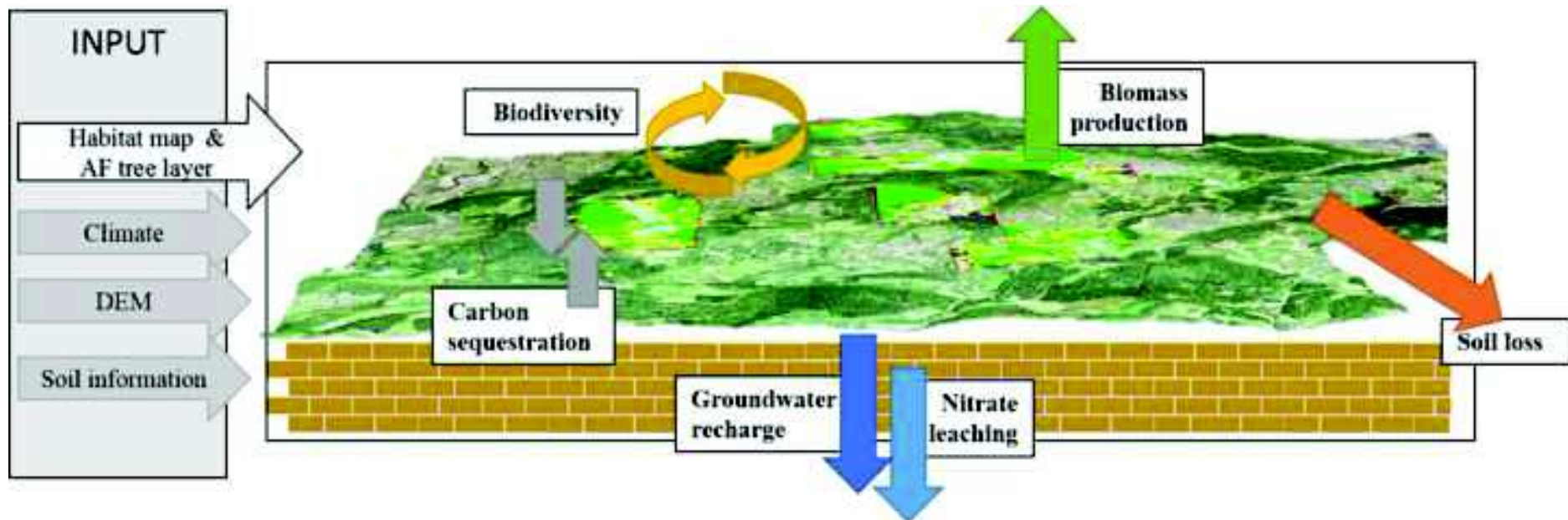
598 *Figure 6: Summary of the normalized indicators [-1,1] grouped into agroforestry (AF) and non-agroforestry*
599 *(NAF) landscape test sites normalized to 1 for gains, and -1 for losses (N Loss and Soil Loss) [SIDI: Simpson's*
600 *diversity index, SoSNH: share of semi-natural habitat, HD: Habitat Diversity; ***: $p < 0.0005$ **: $p < 0.001$, *: $p <$*
601 *0.01]*

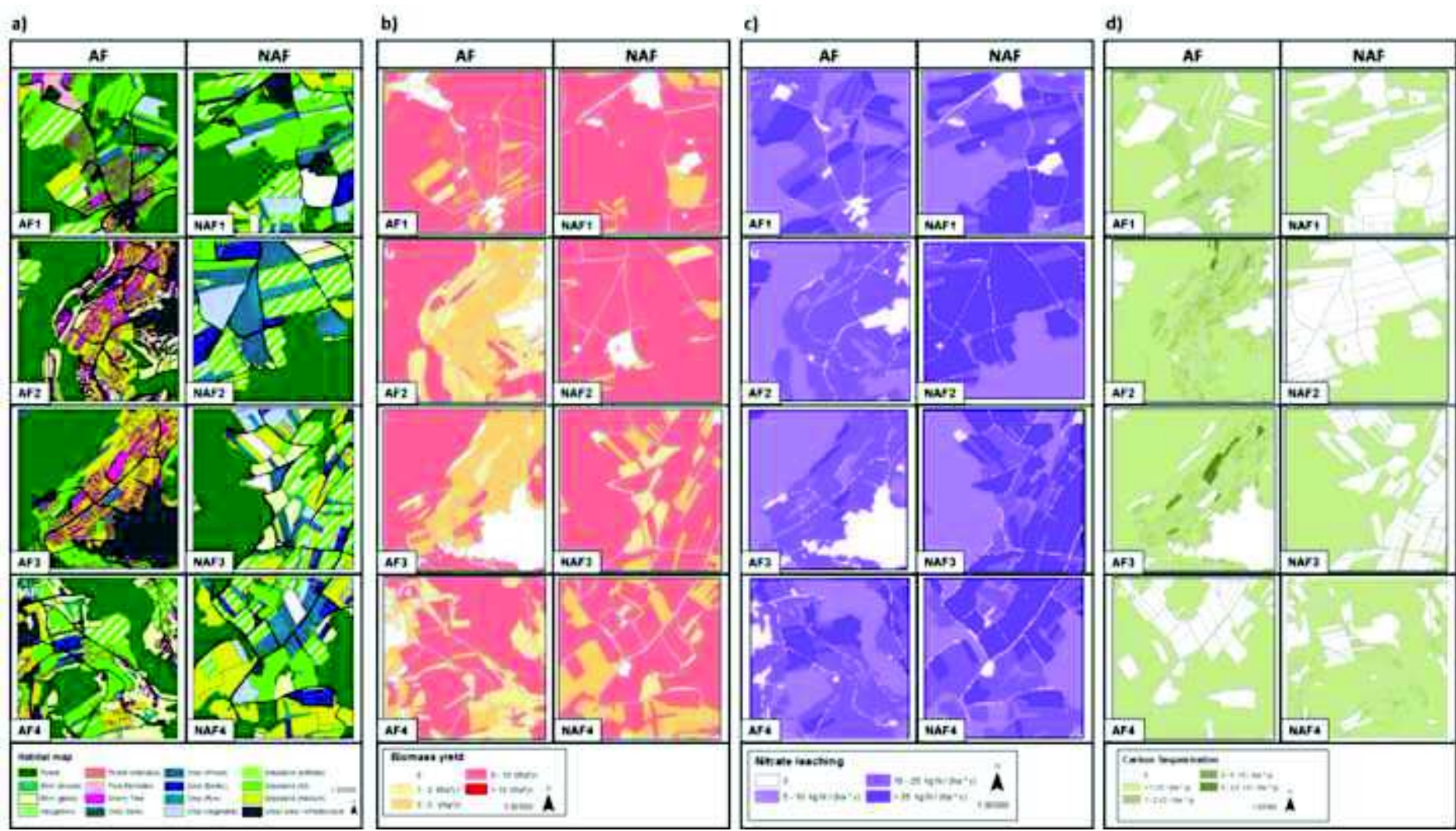
Cherry Orchard, Switzerland

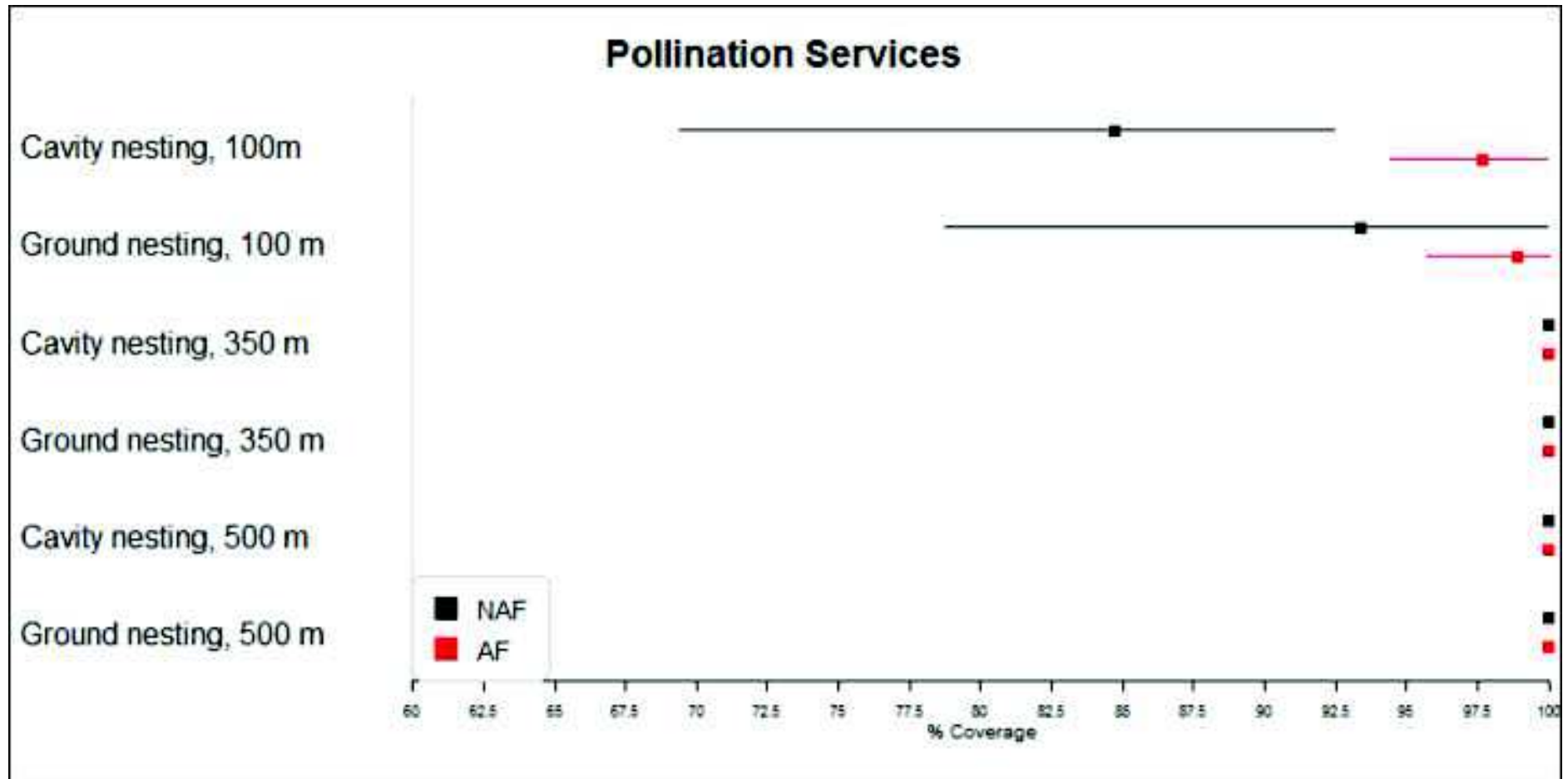
| | |
|--------------------------------|---|
| Municipalities | Büren, Gempen, Hochwald, Lupsingen, Nuglar-St. Pantaleon, Seewen, Seltisberg |
| Area | 49.89 km ² |
| Temperature Avg °C | 7.7 °C |
| Precipitation | 800 - 1000 mm |
| Elevation | 430 - 670 m |
| Soil | fine |
| Land use | 43 % Non- Agroforestry, 44 % Forestry, 5% Agroforestry, 8% others |
| Agriculture | 1,972 ha farmland 83 farmers 1,522 LU (mostly cattle) |
| Livestock intensity | 0.77 LU ha ⁻¹ farmland |
| Agroforestry system | 80 cherry trees ha ⁻¹ + grassland |
| Products | Cherries for liquor, tinned food or direct consumption Grass as fodder for cattle (hay, silage or pasture) Timber |











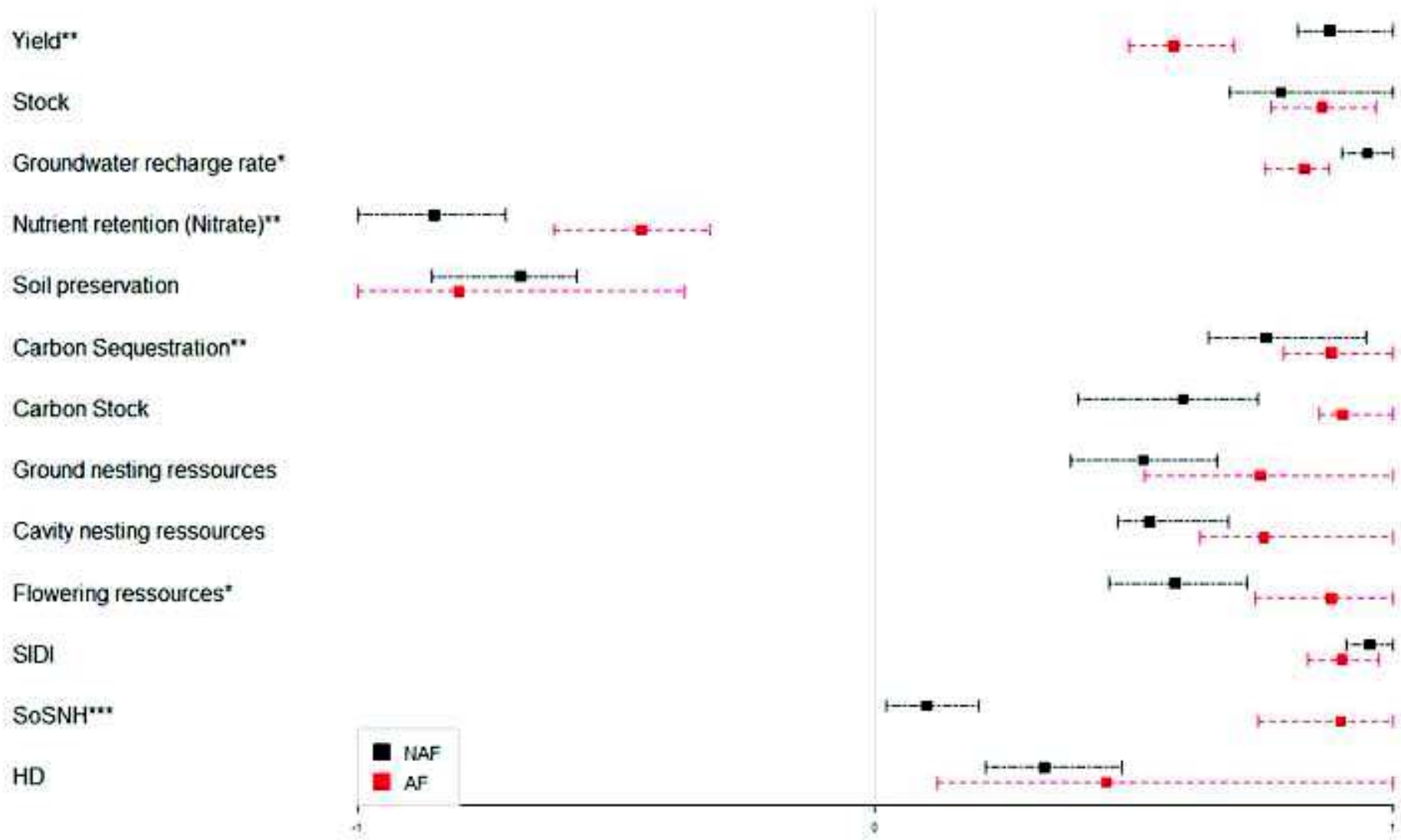


Table 1: List of provisioning and regulating ecosystem services (ES) according to CICES Classification (Haines-Young and Potschin 2013) linked to indicators addressed by agroforestry literature and methodologies to assess these indicators [SIDI: Simpson's diversity index, SoSNH: share of semi-natural habitat, HD: Habitat Diversity]

| CICES Section - Division | ES indicators | Methods and models | References | |
|----------------------------|---|--|--|--|
| Provisioning | Nutrition (Food / Feed) | EcoYield-SAFE | van der Werf et al. 2007; Palma et al. 2017 | |
| | Material (Raw material, Genetic resources, Medicinal resources, Ornamental resources) | | | |
| | Energy | | | |
| Water supply | Groundwater recharge | Water balance equation using CropWat2.0 & MODIFFUS 3.0 | Allen et al. 1998; Hürdler et al. 2015 | |
| Regulating and Maintenance | Regulation of biophysical environment (Air purification, Waste treatment) | Nutrient retention | MODIFFUS 3.0 | Hürdler et al. 2015 |
| | Flow regulation (Disturbance prevention, regulation of water flows, erosion prevention) | Soil preservation (Erosion) | RUSLE | Renard et al. 1997; Panagos et al. 2015 |
| | Regulation of physicochemical environment (Climate regulation, Maintaining soil fertility) | Carbon Sequestration | EcoYield-SAFE Yasso07 | Liski et al. 2005; Palma et al. 2017 |
| | Regulation of biotic environment (Gene pool protection, Lifecycle maintenance, Pollination, Biological control) | Biodiversity: Pollination, Habitat Richness | Pollination: Lonsdorf Habitat Richness: SIDI, SoSNH, HD | Bailey et al. 2007; Billeter et al. 2008; Lonsdorf et al. 2009 |

Table 2: Average biomass production and biophysical yield in tons dry matter per land cover class [Conversion: 40 t Potatoes ha⁻¹ (19 % DM) (BAFU 2013); 80 t sugar beet ha⁻¹(15 % DM)(BAFU 2013), 2 m³ / t atro wood (Riegger 2008)]

| Category | Crop | Stock (t DM ha ⁻¹) | Use (t DM ha ⁻¹ yr ⁻¹) | Source |
|--------------|------------|--------------------------------|---|--|
| Annual crops | Cereals | | 10 | BAFU 2013 |
| | Maize | | 17 | |
| | Rape | | 3 | |
| | Potatoes | | 7.6 | |
| | Sugar beet | | 12 | |
| Grassland | Grassland | 2 | 5 | Baer 2009 |
| Forest | Forest | 175 | 3.25 | Brändli 2010, BAFU; BfS 2015a; BAFU; BfS 2015b |
| Agroforestry | Trees | | | Results EcoYield-SAFE |
| | - young | 5 | 0 / 0.64 | |
| | -medium | 20 | 1 / 1.28 | |
| | -old | 30 | 2 / 2.56 | |
| | Grassland | 2 | 3 | |

Table 3: List of used datasets with title, provider and sources [ESDC: European Soil Data Centre, JRC: Joint research centre]

| Dataset | Title | Provider | References | Resolution |
|-------------------|--|-----------------|--|-------------------|
| Topography | Digital elevation model - SwissALTI3D | Swisstopo | (swisstopo 2012) | 2 m |
| Climate | Gempen(CH) 1960-2000 | CliPick | (Palma 2017) | |
| Soil | Total available water content (TAWC) for topsoil | ESDC, JRC | (Panagos et al. 2012; Hiederer 2013a, b) | 1000 m |
| | Storing and filtering capacity | | (Makó et al. 2017) | 100 m |
| | Available water capacity | | (Ballabio et al. 2016). | 100 m |
| | Rainfall erosivity (R factor) | | (Panagos et al. 2016) | 1000 m |
| | Erodibility (K factor) | | (Panagos et al. 2014) | 500 m |
| | Groundcover (C factor) | | (Panagos et al., 2015) | 100 m |
| Water | CCM River and Catchment Database | ESDC, JRC | (Vogt et al. 2007) | 100 m |

Table 4: Landscape test sites – key figures (NH: Number of Habitats, F: forest, AF: agroforestry, A: agriculture, O: others)

| LTS | Name | Municipality | Class | NH | F | AF | A | O |
|------------|---------------|------------------------|--------------|-----------|----------|-----------|----------|----------|
| | | | | | % | | | |
| AF1 | Schön matt | Gempen | AF | 125 | 35 | 37 | 27 | 2 |
| NAF1 | Ischlag | Gempen | NAF | 119 | 28 | 0 | 64 | 7 |
| AF2 | Wacht | Gempen | AF | 78 | 27 | 0 | 72 | 2 |
| NAF2 | Nuglar | Nuglar - St. Pantaleon | NAF | 151 | 37 | 55 | 2 | 6 |
| AF3 | Blauenstein | Seewen | AF | 165 | 28 | 39 | 28 | 6 |
| NAF3 | Rotenrain | Hochwald | NAF | 142 | 39 | 0 | 58 | 3 |
| AF4 | Güggelhof | Seewen | AF | 105 | 32 | 31 | 19 | 19 |
| NAF4 | Ziegelschüren | Hochwald | NAF | 141 | 26 | 0 | 71 | 3 |

Landscape-scale modelling of agroforestry ecosystems services in Swiss orchards: a methodological approach

Kay, Sonja

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