### 1 IMPACT OF GLOBAL WARMING ON MYCOTOXINS

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14	Abstract
15	The large impacts of global warming projected on crops worldwide will subsequently
16	influence not only food security, by reducing yields and thus food availability, but food
17	and feed safety, mycotoxins being considered one of the most important food safety
18	hazards affected by climate change.
19	Future changes in temperature, precipitation, and atmospheric CO <sub>2</sub> concentration are
20	expected to carry along an increased risk of mycotoxin contamination of cereal crops in
21	the field and might have an impact on the geographical distribution of certain cereals,
22	mycotoxigenic fungi and their mycotoxins.
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24	1. Introduction
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26	Although political tensions are arising and trying to neglect clear evidence, global
27	warming is a widely acknowledged fact. According to the Fifth Assessment Report of
28	the Intergovernmental Panel on Climate Change [1], the Earth's climate has been
29	through a period of global warming particularly since the mid-20th century, as shown by
30	observed increases in global mean air and ocean temperatures, changes in the global
31	water cycle, reductions in snow and ice, and the rising of global mean sea level.
32	Anthropogenic greenhouse gas (GHG) emissions, which are now at their highest in
33	history, have led to large increases in the atmospheric concentrations of carbon dioxide
34	(CO <sub>2</sub> ), methane (CH <sub>4</sub> ) and nitrous oxide (N <sub>2</sub> O) that are considered the dominant cause

that lies behind the global warming. Continued GHG emissions will probably cause 35 36 further warming and drive long-lasting changes in the climate system, though the exact changes will vary regionally. More frequent heat waves and extreme temperature and 37 precipitation events are expected in a number of regions. Further, under the scenario of 38 highest emissions, annual mean precipitation will likely increase at high latitudes, many 39 mid-latitude wet regions, and the equatorial Pacific, and decrease in many mid-latitude 40 and subtropical dry regions, which will thus likely experience an increase in the 41 42 frequency of droughts. 43 44 Agriculture is highly dependent on climate variability and extremes. Changes in climate 45 are thus expected to have a strong impact on the productivity and quality of crop and livestock production systems [2], raising uncertainties about the provision of sufficient 46 47 and safe food for the increasing world population in the 21st century. Crop productivity and quality will be affected by the impact of changing climate conditions not only on 48 49 plants but also on pathogens causing pests and diseases, and on host-pathogen interactions [3]. With respect to food safety, one of the most important hazards likely 50 affected by climate change will be the contamination by mycotoxins [4], which are 51 secondary metabolites, harmful to both humans and animals, produced by filamentous 52 fungi. The most important mycotoxigenic species are from the genera Fusarium, 53 Aspergillus and Penicillium. Fusarium species colonise crops in the field, some of them 54 causing severe diseases and other living endophytically, while Aspergillus and 55 Penicillium species usually grow on cereals and other raw materials under inadequate 56 conditions of drying and storage. An exception is A. flavus, which can be a pathogen or 57 58 an endophyte in the field and a storage fungus. Almost all mycotoxins present in food 59 and feeds are produced in the field [5]. Temperature, relative humidity, and water 60 availability, together with insect attacks, are key factors that influence the infection of plants by mycotoxigenic fungi and the production of mycotoxins [6]. 61 62 Some of these fungi exhibit a tremendous physiological plasticity which has contributed 63 64 to their ability to adapt, and thus colonise, to a wide range of ecological niches 65 including many staple foods, as cereals [7,8]. In fact, cereals are the main source of 66 mycotoxin contamination in the human food chain, either directly through the 67 consumption of contaminated food or indirectly through the intake of milk and other 68 animal products obtained from livestock given contaminated feeds. Mycotoxins can be

also found in grapes, coffee, cocoa, groundnuts, tree nuts, some fruits and other food 69 70 commodities, and in animal feeds, as spoiled stored fodder (like silage), cereal byproducts used in feed processing, etc. 71 72 We present an overview of the recent evidences of the impact of global warming, and 73 74 other changes in climate, on mycotoxins, related to impacts on crops and mycotoxigenic 75 fungi. 76 77 2. Worldwide climatic changes and their effects on agriculture 78 79 Numerous studies have investigated the impact of changes in temperature, precipitation and atmospheric CO<sub>2</sub> concentration on crop yields trying to anticipate future food 80 81 availability [2,9]. The focus has been mainly on cereals, which are grown on about 42% 82 of global cropland, and particularly on wheat, rice and maize, as they provide directly or 83 indirectly about half the world's food energy intake [10]. The impacts of climate on crop production at low latitudes, populated by developing countries, will be likely 84 consistent and negative, whereas they may be positive or negative in northern latitudes. 85 In the case of wheat, rice, and maize crops, without CO<sub>2</sub> fertilization, effective 86 adaptation, and genetic improvement, increased temperatures from climate change will 87 negatively affect production at the global scale, although varying for crops and regions 88 89 [1,11].90 Extreme weather events may be the principle immediate threat to global crop production 91 92 and food security [1,12]. In this respect, Powell and Reinhard [13] recently reported that 93 the number of extreme high temperature and extreme precipitation events is increasing 94 in The Netherlands, resulting in a significantly decrease yields of winter wheat. 95 96 Global warming and changes in rainfall amount and distribution will probably bring about shifts in the onset and length of growing seasons [14] and in the geographical 97 98 range of certain crops. In Europe, advancements of sowing date, flowering and maturity 99 of cereals by 1-3 weeks have been projected in response to global warming for 2031-100 2050, the changes being largest in northern Europe [15. Poleward areas in Asia, Europe 101 and North America are projected to exhibit an increase climatic suitability for maize

production, while a decrease suitability in South America, Africa and Oceania [16].

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Within Europe, some crops prevalent in southern regions, due to their temperature 103 104 requirements, could become viable and productive further north and at higher altitude 105 [17]. 106 107 3. Global warming and expected effects on mycotoxigenic fungi and on mycotoxin 108 contamination in food and feed 109 110 Most countries have regulations that limit the presence of the mycotoxins most 111 frequently detected in products intended for human consumption, namely aflatoxins 112 (AFB<sub>1</sub>, B<sub>2</sub>, G<sub>1</sub>, G<sub>2</sub>, M<sub>1</sub>), ochratoxin A (OTA), trichothecenes (deoxynivalenol (DON), 113 diacetoxyscirpenol, HT-2 and T-2 toxins,), zearalenone (ZEN), and fumonisins (FB<sub>1</sub> to 114 B<sub>3</sub>) [18]. Aflatoxins and OTA are produced by Aspergillus and Penicillium species, and 115 trichothecenes, ZEN and fumonisins by Fusarium. The highest acute and chronic toxicity in humans and animals is produced by aflatoxins, especially the most 116 117 predominant AFB<sub>1</sub>, which is a human carcinogen. 118 119 Quantitative estimations of the effects of global warming on mycotoxin contamination 120 has been done on DON risk on wheat in northwestern Europe [19], and on AFB<sub>1</sub> risk in maize and wheat cultivated in Europe [5], revealing that increased contamination of 121 122 wheat grains with DON and maize kernels with AFB<sub>1</sub> is expected as a result of future 123 climate. 124 125 In recent years, the so-called emerging toxins, including enniatins, beauvericin, fusaproliferin and moniliformin, all mainly produced on cereal crops by Fusarium 126 species, are receiving increasing attention as some of them are present in high 127 128 concentrations in food and feed and their toxic effect is starting to be known [20]. Another important issue, that is gaining high attention by the scientific community and 129 130 by governments and regulators recently, is the relationship between quantifiable "free mycotoxins" (the basic mycotoxin structures formed by fungi), and the "modified 131 132 mycotoxins", which are conjugated forms of Fusarium toxins formed in the plant or 133 fungus, and the "matrix-associated mycotoxins", which can be covalently or non-134 covalently attached to certain molecules which in turn make them invisible under 135 current routine and conventional analytical methods [21,22,23]. From our knowledge, 136 there are no research efforts to examine how global warming, and all climatic changes

related, will influence the production of these compounds. Since their discovery more 137 138 and more information is available about their relative potency in comparison with the 139 original free toxins [24]. A special case that needs mentioning would be that of  $\alpha$ zearalenol,  $\alpha$ - zearalenolGlcs and  $\alpha$ - zearalenolSulfs that, in a recent EFSA opinion, 140 141 have been identified as having a relative potency factor (RPF) of 60 when compared 142 with free ZEN (e.g. 1 mole of  $\alpha$ - zearalenol is equivalent in oestrogenicity to 60 moles 143 of ZEN) [25]. Research is thus needed to study whether these changes will lead to variations on the relative amount of free, modified and matrix-associated mycotoxins in 144 145 food and feed raw materials. This, in turn, may lead to the need of requiring more 146 detailed analyses to evaluate exposure in the near future. 147 Global warming, and associated changes in climate, will likely lead to crops being 148 149 subjected to an increased number of biotic and abiotic stress combinations. The most evident abiotic stress combination under a scenario of global warming is the concurrent 150 151 occurrence of drought and heat stress. Combined they exacerbate one another and can 152 have variable effects on the interactions between crops and fungal pathogens and 153 endophytes depending on: the severity and duration of the stress, the crop developmental stage, the plant response to stress by altering plant physiology and 154 155 defense responses, or the impact of environmental stress on the survival, spread, 156 pathogenicity and growth of the fungal species involved [17,26,27]. A very good example for these effects was observed for forage maize in northern Italy during the 157 2003, 2004 and subsequently in the 2012 summer seasons. Prolonged drought 158 159 conditions and extreme elevated temperatures (> 35 °C) resulted in stressed maize 160 plants that were more prone to fungal infections. The conditions were conducive for a 161 switch from F. verticillioides and contamination with fumonisins to significant contamination of maize grain with A. flavus and aflatoxins, with later entry of aflatoxin 162  $M_1$  into milk via the animal feed chain. This had extremely pernicious economic effects 163 on the cheese-making industry that was forced to import maize to feed the cattle [28]. 164 165 166 The global increase in temperatures is slowly but steadily shaping the relationship between plant growth and the associated fungal diseases, and also modifying and 167 shaping current and future pest populations. The presence of certain crop pests has been 168 directly correlated with the higher incidence of damage and hence higher contamination 169

with mycotoxins. Bebber et al. [29] suggested that, on a global scale, pests and diseases 170 171 are moving towards the poles at the rate of 3-5 km/year. Although in this modeling 172 approach no mycotoxigenic fungal species were included, it can be inferred that 173 increases in pest reproduction rates would increase damage to ripening crops (during 174 anthesis in wheat, and silking in maize) and facilitate more infection by mycotoxigenic 175 fungi and contamination with mycotoxins [30,31]. Thus, global warming could even further indirectly contribute to the increase in mycotoxins contamination. 176 177 178 Mycotoxigenic fungi have their own requirements of temperature and humidity for crop 179 infection, mycotoxin production and survival, which reflects their geographical 180 distribution and determines a gradient of mycotoxin contamination worldwide [19]. 181 Some species might shift their geographical distribution in response to global warming, 182 leading to changes in the pattern of mycotoxin occurrence. Some recent results support 183 this hypothesis. **Battilani et al.** [5] predicted that, within the next 100 years, aflatoxin 184 B<sub>1</sub> will become a food safety issue in maize in Eastern Europe, Balkan Peninsula and the Mediterranean regions, especially under a +2 °C scenario. This would be related to 185 186 the geographical shift of A. flavus, which grows well under warm and dry weather and 187 would move from southern Europe, where those environmental conditions can now sometimes be met, to more northern and eastern regions below the 45° North latitude. 188 189 190 4. Recent findings with regard to global warming and GHG on mycotoxin 191 production 192 193 To date only few studies have tried to identify the effects that global warming and GHG 194 will have on mycotoxin accumulation and examined effects on the plant, fungal 195 infection. Vaughan et al. [32] investigated the impact of elevated CO<sub>2</sub> on the interactions between maize and F. verticillioides, which produces fumonisins. 196 197 Increments in GHG emissions like CO<sub>2</sub> to approx. 800 ppm CO<sub>2</sub> (approx. 2 x current CO<sub>2</sub>) increased maize susceptibility to F. verticillioides colonisation. Interestingly, 198 199 fumonisin B<sub>1</sub> production was unaffected by these interactions. Similarly, increased 200 disease levels were also reported for Fusarium head blight caused by F. graminearum in 201 wheat when CO<sub>2</sub> was doubled [33]. More recently, Bencze et al. [34] studied the effect of elevated CO<sub>2</sub> (750 ppm CO<sub>2</sub>) on the resistance of wheat to F. culmorum and on the 202

mycotoxin contamination of the grains. Their results indicate that changes in

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temperature and atmospheric CO<sub>2</sub> may enhance the risk of conditions favoring *Fusarium* infection and higher mycotoxin contamination under favorable conditions.

206 Medina et al. [35,36] studied the impact of climate change scenarios, including 207 increases in global temperature, drought stress and CO<sub>2</sub> concentration, on growth and AFB<sub>1</sub> production by A. flavus (NRRL type strain) on maize-based media and 208 209 subsequently on stored maize grain. While fungal growth was practically unaffected, the environmental interacting conditions had an intense stimulatory effect on AFB<sub>1</sub> 210 production (70 x the control in *in vitro* studies), especially under drought stress at 37°C 211 and 650 and 1000 ppm CO<sub>2</sub> exposure. With the aim to have a deep understanding on 212 how these environmental fluctuations are regulating the synthesis of mycotoxins, 213 214 Medina et al. [37] used a transcriptomic approach and examined the impact of water 215 activity (a<sub>w</sub>) and temperature interactions on the overall up and down regulation of genes. Important regulatory shifts were detected for some of the identified secondary 216 217 metabolite gene clusters (aflatoxin, cyclopiazonic acid), universal regulators, sugar transporters, and other stress-related pathways. These are indicative of changes in 218 219 relation to the three-way interaction between climate change factors. It is important to identify whether any switches in biosynthetic pathways may occur resulting in other 220 secondary metabolites being produced rather than aflatoxins or cyclopiazonic acid by A. 221 flavus under such environmental stresses. A very recent work from the same team has 222 223 examined the impact that interactions between the three factors: global warming 224 (increased temperature), drought episodes (water activity) and GHG emissions 225 (increased CO<sub>2</sub>; 2x and 3x current) have on mycotoxin accumulation in maize kernels (Gilbert et al., unpublished). The results point out that increased CO<sub>2</sub> mediates the 226 response to temperature and a<sub>w</sub> allowing for an increase in the toxin accumulated under 227 stress conditions. 228

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#### 230 5. Conclusions

Mycotoxins are unavoidable naturally occurring compounds in the field because the fungi that produce them are common components of the epiphytic and endophytic microflora in staple crops. As a result of global warming and other changes in climate, some crops, such as maize, and mycotoxigenic fungi, as *A. flavus*, might change their geographic distribution, which would determine a greater presence of the mycotoxins

237	they produce in other latitudes. Global warming and drought conditions could also
238	favour the infection of crops by A. flavus in certain regions, and increase the risk of
239	aflatoxin formation in the field. Elevated CO <sub>2</sub> levels are likely to further contribute to
240	increased mycotoxin production in crops infected by Aspergillus and Fusarium species,
241	by enhancing fungal colonisation.
242	
243	Recent quantitative estimations have shown that increased DON and aflatoxin $B_1$
244	contamination are expected in cereals in certain regions of Europe as a result of global
245	warming. Similar research is needed for other regulated, and also not regulated,
246	mycotoxins, and in other crops and countries, in order to have a complete view of the
247	impact of global warming on the mycotoxin risk of food and feeds worldwide.
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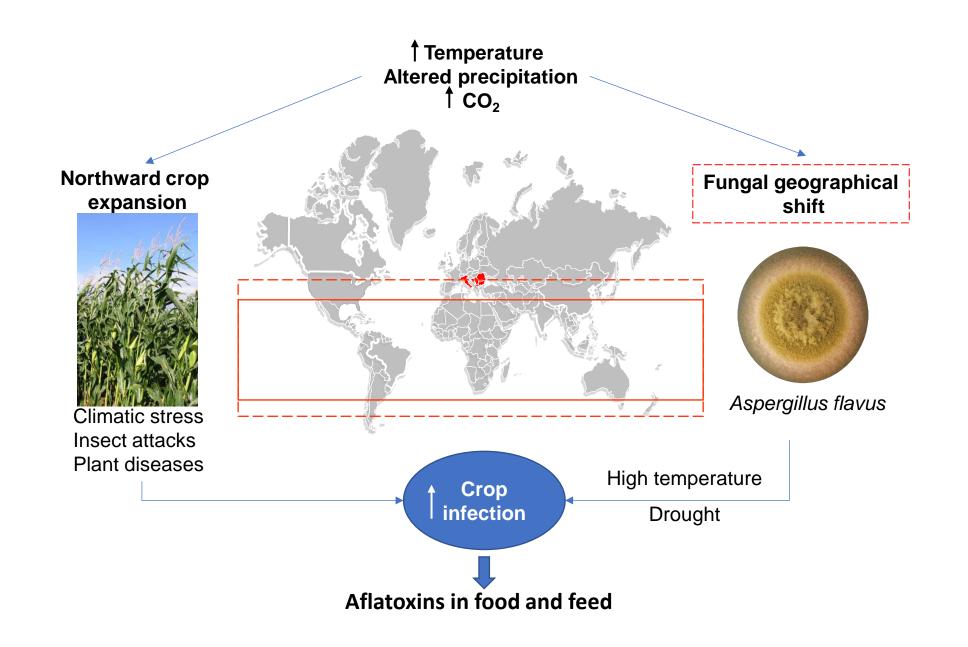
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Figure legend
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Impacts of global warming, altered precipitation and increase in atmospheric  $CO_2$  concentration on geographical expansion of some crops (e.g. maize) and mycotoxigenic fungi, as *Aspergillus flavus*, as well as on plant-pathogen interactions and mycotoxin production. In red, recent outbreaks of *A. flavus* in unexpected regions: in northern Italy in 2003 and in 2008, and in Balkan regions in 2013 [19]. Red rectangle: world area with perennial contamination risk. Red dotted lines delimit expected expansion of aflatoxin contamination risk due to global warning.



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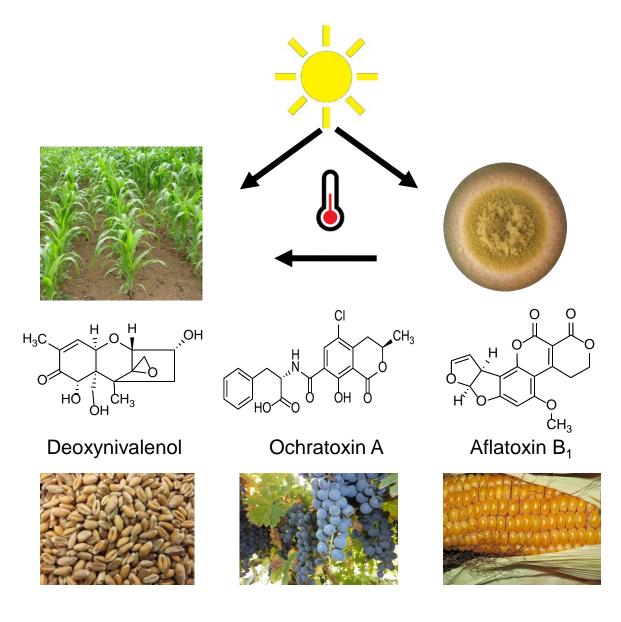
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## Highlights

- Global warming may affect the geographical distribution of mycotoxigenic fungi.
- Crop colonisation by mycotoxigenic fungi can be enhanced by elevated CO<sub>2</sub> levels.
- Higher temperature and drought will make *A. flavus* move northwards in Europe.
- Aflatoxin risk in maize will be likely higher as a result of global warming.



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