

TOC Figure

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1 Large-Area and Clean Graphene Transfer on Au  
2 Nanopyramid-Structured Substrates: Implications  
3 for SERS Detection

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18 Keywords: graphene transfer, PMMA/paraffin, Au nanopyramid, Raman spectroscopy,  
19 polarization-dependent, tensile strain

20 **ABSTRACT.** The transfer of large-area and clean graphene to arbitrary substrates, especially to  
21 those with raised nanostructures represents a great challenge. Polymer-based supporting layers  
22 generally lead to organic residues while graphene transfer using alternative supporting materials  
23 like paraffin suffers from breaking and thus limits the transfer area. We demonstrated an  
24 improved PMMA/paraffin double-layer enabling the large-area transfer of graphene with high  
25 cleanliness and high coverage (81%) onto Au nanopyramids (AuNPs) structured substrates. The  
26 impact of supporting layers including single PMMA or paraffin and mixed PMMA/paraffin was  
27 clarified. The properties of graphene on AuNPs were theoretically and experimentally examined

28 in detail. Raman spectra show a polarization-dependent D peak due to the folding of large-  
29 curvature graphene. The graphene on AuNPs shows a slightly tensile strain and provides an extra  
30 surface-enhanced Raman scattering (SERS) with an enhancement factor of ~20 times. These  
31 findings open a pathway to extend the applications of transferred graphene on raised  
32 nanostructures in many fields, such as SERS detection, catalysis, biosensors, light-emitting  
33 diodes, solar cells, and advanced transparent conductors.

## 34 **1. Introduction**

35 Since its discovery in 2004, graphene has attracted enormous interest because of its unique  
36 properties including high electron mobility, high thermal conductivity, optical transparency and  
37 flexibility.<sup>1</sup> This makes graphene promising for various applications, not only for semiconductor  
38 microchips, sensors and batteries, but also for medical and flexible wearable devices.<sup>2-3</sup> Large-  
39 size and high-quality graphene monolayers are indispensable for all applications. Therefore,  
40 significant efforts have been made for graphene growth. The most common and efficient way is  
41 to grow graphene using chemical vapor deposition (CVD) method at high temperatures on metal  
42 foils, such as Cu, Ni, Co, Ir, Ru, Pd, Pt, etc.<sup>4-5</sup> The carbon source (e.g., methane) generates active

43 carbon atoms at high temperatures, which dissolve in the metal surface and precipitate out during  
44 the subsequent cooling process, forming graphene. Furthermore, the metal substrate also serves  
45 as the catalyst to reduce the reaction temperature.<sup>6</sup> The low solubility of carbon in Cu helps make  
46 the growth process self-limiting on its surface,<sup>5</sup> which makes Cu an excellent substrate for  
47 growing single-layer, uniform-thickness (95%) and large-area graphene films. Furthermore,  
48 graphene growth on important dielectric substrates such as SiO<sub>2</sub>, SrTiO<sub>3</sub>, *etc.*, and  
49 semiconductors such as Si, Ge, *etc.*, by special CVD methods (including atmospheric pressure  
50 CVD, plasma-enhanced CVD, *etc.*) have also been reported.<sup>7</sup> In general, the growth of high-  
51 quality and large-area uniform graphene on Cu substrates is the most mature.<sup>5, 8-10</sup>

52 The transfer of graphene from Cu foils to the targeting substrate while maintaining its high  
53 quality is another significant issue that limits graphene application. Graphene monolayer is  
54 thermodynamically unstable and cannot exist in the free-standing state,<sup>11-12</sup> thus supporting  
55 layers are indispensable. Polymers like polymethyl methacrylate (PMMA) and  
56 polydimethylsiloxane (PDMS) are widely accepted as the supporting layers, which allow the  
57 large size and flat graphene transfer.<sup>13</sup> However, such transferred graphene suffers from organic  
58 residue contaminations due to the difficulty in completely removing the polymers, which

59 degrades the quality of the graphene. Recently paraffin was proposed as an alternative supporting  
60 material,<sup>14</sup> which leads to clean graphene transfer but its application for large-area graphene  
61 transfer is not yet demonstrated. On the other hand, the targeting substrate also strongly impacts  
62 the graphene quality. For example, Si wafer with silica layer is the most widely used targeting  
63 substrate.<sup>5, 14-16</sup> Experimental evidence and theoretical analysis both reveal that electrical charges  
64 at the SiO<sub>2</sub> surface originating from both the oxide fabrication process and protonation reactions  
65 lead to high interaction between graphene and SiO<sub>2</sub>.<sup>17-18</sup> Moreover, many applications like  
66 surface-enhanced Raman scattering (SERS),<sup>19</sup> biosensors,<sup>20</sup> catalysis,<sup>21</sup> as well as novel  
67 graphene-based optoelectronic devices such as light-emitting diodes,<sup>22</sup> solar cells,<sup>23</sup> or advanced  
68 transparent conductors require high-quality graphene on nanostructures.<sup>24-25</sup> For instance,  
69 graphene on Au nanopyramids (AuNPs) greatly enhances the SERS capability, as well as  
70 protects the metallic structure from oxidation.<sup>26</sup> The nanostructured substrates alter the graphene  
71 morphology and possibly lead to defects. The small contact area between graphene and  
72 nanostructures results in poor adhesion of graphene to the nanostructured substrate, further  
73 causing graphene wrinkles and breakages. The detailed study of graphene properties on  
74 nanostructured substrates is still lacking.

75 In this work, we transfer graphene using the wet process from Cu to nanostructured AuNP  
76 substrates using different supporting materials. The proposed PMMA/paraffin bilayers lead to  
77 large-size and clean graphene transfer, thanks to the combination of chemical stability of paraffin  
78 and large tensile strength and high Young's modulus of PMMA. The properties including defects,  
79 strain and doping of graphene on AuNP substrate were experimentally and theoretically studied  
80 in detail. The impact of the AuNP pattern on the graphene property was also examined. These  
81 results are certainly of great interest in further enabling the large-area and high-quality graphene  
82 transfer for various applications.

## 83 2. Experimental Section

84 **2.1. Au pyramidal substrate fabrication.** The substrates of raised AuNPs with different sizes were  
85 fabricated by a template printing and epoxy-enabled transfer process of the Au layer. The Au  
86 layer was firstly deposited by magnetron sputtering on a Si mold with periodic inverted nano-  
87 scale pyramidal pits, and then was peeled off and transferred to a new substrate, with the raised  
88 AuNPs outward. The Si mold with pyramidal holes was fabricated by colloidal lithography  
89 combined with modern semiconductor nanofabrication process. Firstly, a monolayer colloidal  
90 crystal of polystyrene (PS) microspheres with different diameters (500 nm, 350 nm, 200 nm)

91 were prepared on the SiO<sub>2</sub>/Si wafer using the Langmuir-Blodgett method.<sup>27</sup> Dry-etched PS  
92 microspheres with reduced diameters serve as the template, followed by the deposition of a  
93 chromium layer. After lifting off the PS layer, the Cr holes serve as the etching mask for dry-  
94 etching SiO<sub>2</sub> layers. Then periodic reversed Si pyramidal pits were created by anisotropic etching  
95 in KOH solutions since KOH preferentially etches Si along the (001) plane. More detailed  
96 fabrication processes were shown in SI (Figures S3 to S5).

97 **2.2. PMMA-supported graphene transfer.** PMMA solution (6% wt) was spin-coated onto the  
98 commercial CVD graphene (Wuxi Huicheng Graphene Tech&Appl Co., Ltd., CVD growth on  
99 copper foil) at a speed of 500 rounds per minute (rpm) for 10 seconds (s) and followed by a spin  
100 at a speed of 1500 rpm for 40 s, then being dried at room temperature for 10 minutes (min). The  
101 thickness of the fully dried PMMA layer was about 300 nm. After that, the graphene layer grown  
102 on the back-side of the Cu foil was removed by treating for 5 min in the UV-ozone. Then the Cu  
103 foil was etched by floating the PMMA/graphene/Cu stack on the surface of FeCl<sub>3</sub> solution (1M)  
104 for 30 min. The PMMA-supported graphene film was then rinsed with deionized (DI) water  
105 several times. Subsequently, the destination substrate was contacted with the PMMA-supported  
106 graphene layer and the sample was initially dried in the air, followed by an oven baking at 80 °C



107 for 5 hours (h). The sample was soaked in acetone at 60 °C for 1 h to remove the PMMA layer.  
108 The sample was then rinsed with isopropanol (IPA) and dried in the air, leaving the graphene  
109 monolayer on the targeting substrate.

110 **2.3. Paraffin-supported graphene transfer.** The paraffin (Sigma-Aldrich) with a melting point at  
111 around 50~60 °C was melted in an oven at 80 °C before being used. Keeping the molten state by  
112 a heating gun, paraffin was spin-coated onto the graphene/Cu foil at a speed of 700 rpm for 90 s,  
113 followed by removal of the backside graphene and the etching of the Cu foil. After multiple  
114 times rinsed in DI water, the paraffin/graphene stack was then transferred onto 40 °C deionized  
115 water and kept at the same temperature for 2 h, where the paraffin layer remained at a solid-state.  
116 Subsequently, the paraffin-supported graphene was scooped up to the targeting substrate and was  
117 dried in the air, followed by an oven baking at 40 °C for 5 h. Finally, the sample was soaked in  
118 hexane at 60 °C for 1 h to remove paraffin, followed by being rinsed in clean hexane for 10 min.  
119 The sample was dried in the air.

120 **2.4. PMMA/Paraffin double-layer supported graphene transfer.** PMMA/paraffin-supported  
121 graphene was used to protect the paraffin/graphene stack from breaking during the transferring  
122 process. After the paraffin layer was solidified, PMMA was spin-coated on the paraffin layer

123 with the same parameters as those used in the PMMA-supported transfer method. The following  
124 procedures were mostly the same as the paraffin-supported transfer method except removing the  
125 PMMA layer in acetone for 10 min before soaking the sample in hexane at 60 °C.

126 **2.5. Characterization method.** The surface morphology characterization was carried out using an  
127 optical microscope (OM, OLYMPUS BX51-P), scanning electron microscopes (SEM, FEI  
128 Quanta FEG 250 and ZEISS GeminiSEM 500), and an atomic force microscope (AFM) system  
129 (Dimension Icon, Bruker). The acceleration voltage of SEM is 10 kV. X-ray photoelectron  
130 spectroscopy (XPS) test was performed using a Kratos Axis Ultra DLD system (Al target). The  
131 coverage of transferred graphene was estimated using software Image J.

132 The Raman spectra of graphene were obtained using a Horiba LabRAM HR Evolution Raman  
133 spectrometer under ambient conditions. The excitation laser wavelength was 633 nm with the  
134 power being kept at 1.75 mW for a single spectrum and at 0.7 mW for areal mapping spectra.

135 The grating was 600 lines/mm and the spectroscopy was equipped with a high speed encoded  
136 stage that could move in x, y, and z directions and had a 100 nm step spatial resolution. The  
137 polarized Raman spectrum of graphene was obtained using a Renishaw inVia Qontor. The  
138 Raman spectra in the paper are the average spectra of multi-acquisitions and baseline corrected.

139 **2.6. Finite element simulation of the deformation.** Finite element simulation was performed  
140 using the software Ansys to calculate the temperature field and deformations of the different  
141 types of supporting layer and graphene stacks. The constructed models are composed of 3  
142 nanopillars with different supporting layer/graphene stacks. The thickness of graphene is  
143 0.335 nm.<sup>28</sup> The minimum mesh size of the support layer and graphene layer is 5 nm. The heat  
144 treatment during the transfer process was simulated by applying a thermal load at the bottom of  
145 the models. Then the obtained temperature field as well as a simulated gravity load were applied  
146 to calculate the structural deformation. The element type for thermal calculation is plane 55, and  
147 for structural calculation is plane 182.

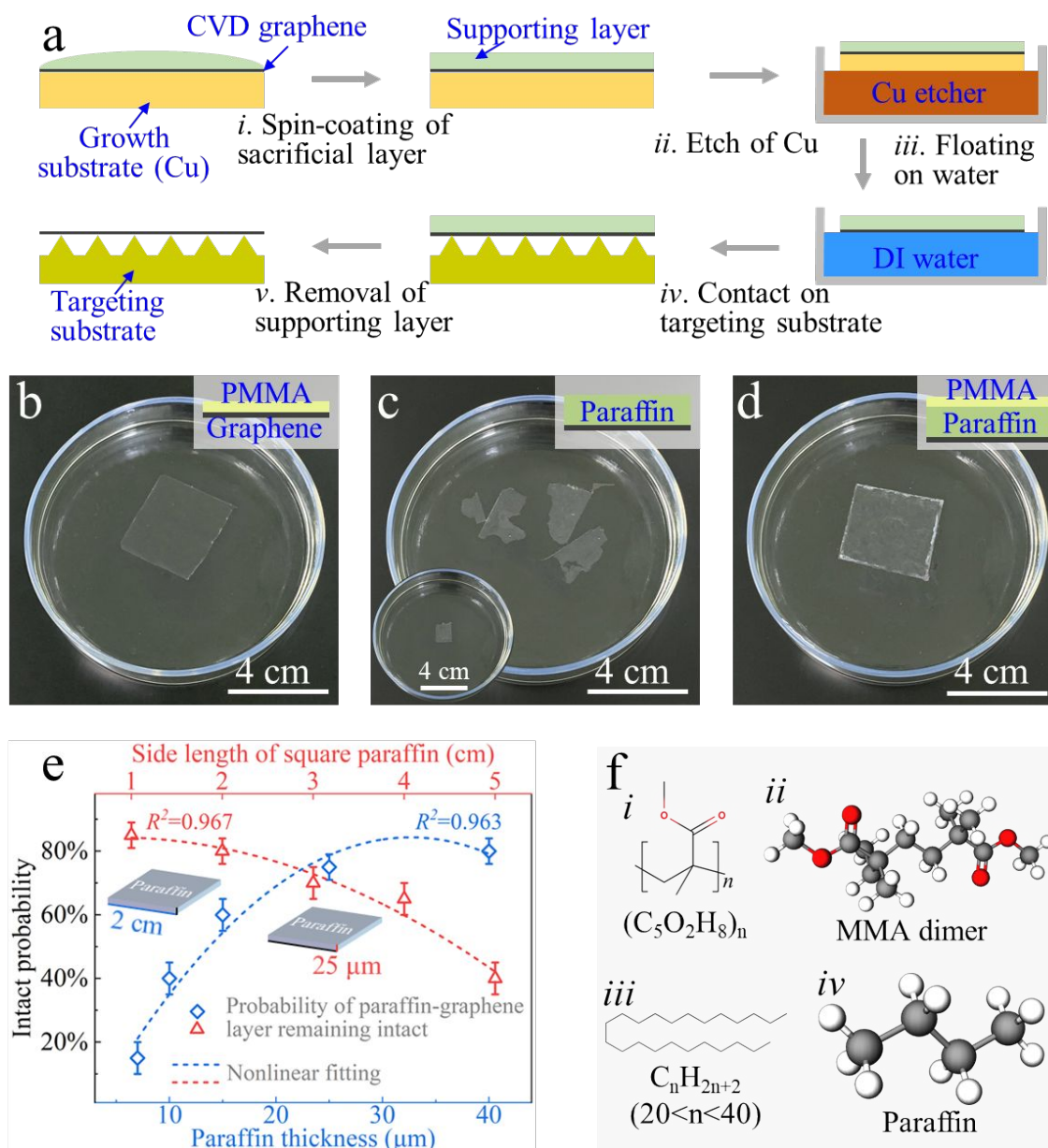
### 148 **3. Results and Discussion**

#### 149 **3.1. The impact of supporting layers for graphene transfer.**

150 Graphene monolayers on Cu foils were transferred on AuNP nanostructured substrates.  
151 PMMA, paraffin and PMMA/paraffin layers were employed as the supporting layers in the wet  
152 transfer process. It is noted there that compared to the dry transfer process, the wet transfer is  
153 better to protect the nanostructures because it is free from high pressure or temperature

154 treatment.<sup>29</sup> **Figure 1a** shows the wet transfer process. The supporting layer was spin-coated on  
155 the CVD-grown graphene on the Cu foil. The Cu substrate was then etched by the  $\text{FeCl}_3$   
156 solution, and the sample was subsequently scooping-transferred into deionized (DI) water 3  
157 times to remove the Cu etcher. After the supporting layer/graphene stack was transferred to the  
158 pyramidal substrate and dried, the supporting layer was removed by chemical solvent. Figures 1b  
159 ~ 1d show the photos of different supporting layers/graphene stacks floating on the DI water. It  
160 can be observed that graphene supported by different layers shows different morphology.  
161 Different from PMMA (Figure 1b), which leads to large-size and complete graphene transfer,  
162 paraffin supporting layer (Figure 1c) results in a high possibility of breaking for large size  
163 samples, although it permits the complete transfer of smaller size graphene (Figure 1c inset).  
164 Using the combined bi-layer PMMA/paraffin supporting layer (Figure 1d), one can obtain large-  
165 size and complete graphene transfer. The paraffin layer shows a distinct size effect when being  
166 used as a graphene-transferred supporting layer. As shown in Figure 1e, both the sample size and  
167 the paraffin thickness impact the intact possibility of the paraffin/graphene stack. Thinner  
168 paraffin wax thickness or larger size of the transferred graphene both easily cause the  
169 paraffin/graphene stack breaking during the transfer process, which greatly limits the efficiency

170 of graphene transfer using paraffin as a supporting layer. The thicknesses of the paraffin layer at  
171 different centrifugal speeds are shown in Figure S7. Spin-coating a layer of PMMA on the  
172 surface of paraffin significantly prevents the paraffin/graphene stack from breaking and realizes  
173 graphene transfer at a large scale, which benefits from higher tensile strength and young's  
174 modulus of PMMA of 70 MPa and 1800 MPa, respectively, those of which for paraffin are 0.885  
175 MPa and 192 MPa, respectively. More details can be found in Table 1. Figure 1f shows the  
176 chemical structures, formulae, and ball-and-stick models of an MMA (monomer of PMMA)  
177 dimer and a paraffin molecule. It can be seen that PMMA has the chemically active group of the  
178 carbonyl group (C=O) whereas paraffin molecule is chemically stable and unreactive as a  
179 saturated alkane structure. The stable chemical properties of paraffin lead to clean graphene  
180 transfer, while the PMMA/paraffin double-layer structure can facilitate large-area graphene  
181 transfer.



182

183 **Figure 1.** (a) Schematic illustration of the wet transfer process of graphene. Photos of different

184 supporting layers with graphene floating on water, (b) PMMA/graphene, (c) paraffin/graphene,

185 (d) PMMA/paraffin/graphene. (e) Size-effect of pure paraffin layer as a supporting layer. When

186 studying the impact of the other parameter, the thickness and side length of the square paraffin

187 stacks were fixed to 25  $\mu\text{m}$  and 2 cm, respectively. (f) Chemical structures, formulae, and ball-  
188 and-stick models of a PMMA dimer and a paraffin molecule. Chemical structures and formulae  
189 of (i) PMMA and (iii) paraffin, and the ball-and-stick models for (ii) an MMA dimer and (iv) a  
190 paraffin molecule. Carbon atoms are in gray, hydrogen atoms are in white, oxygen atoms are in  
191 red.

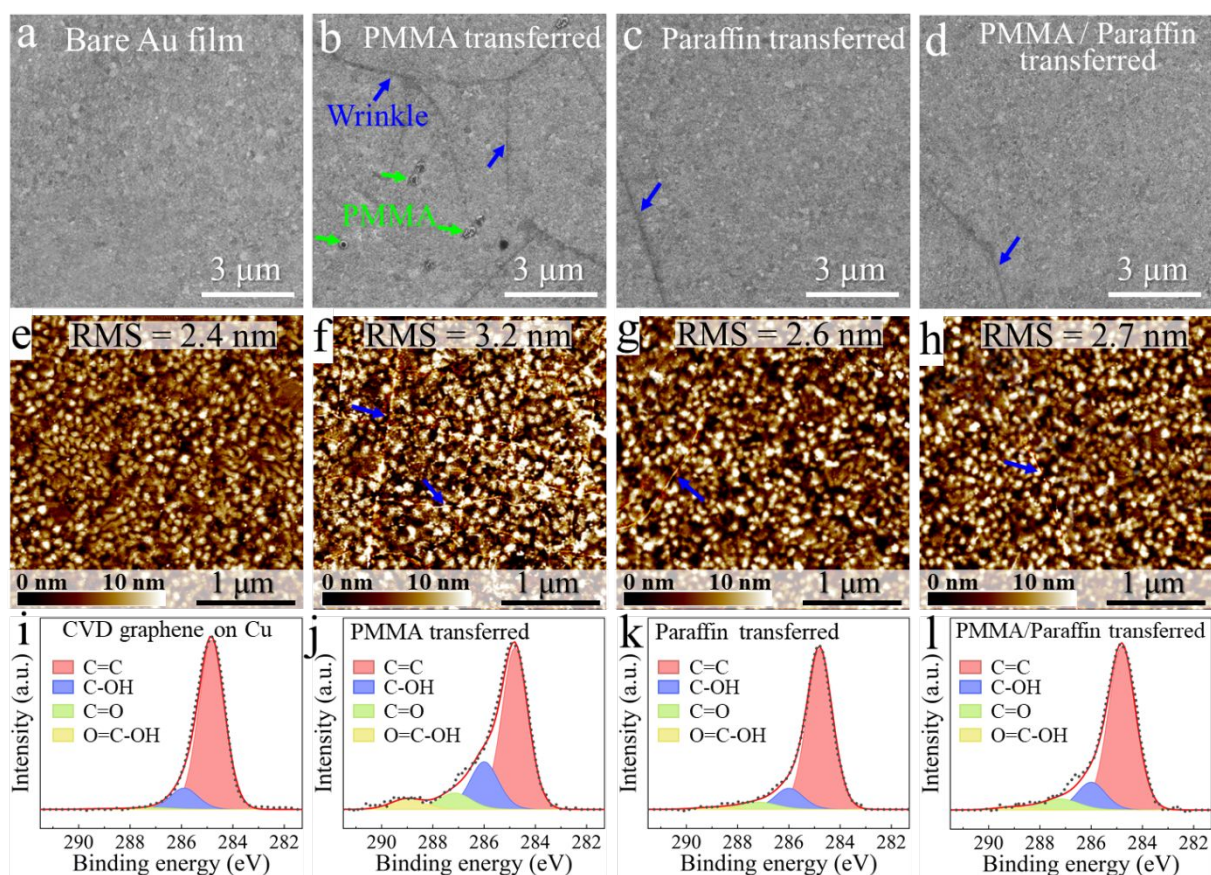
### 192 3.2. Cleanliness of graphene transferred by different supporting layers.

193 The residual polymer contamination during the transfer would severely impact the quality  
194 of graphene and the supporting layer plays an important role in this process. To examine the  
195 cleanliness of graphene transferred by different supporting layers, PMMA, paraffin and  
196 PMMA/paraffin layers were used. Flat Au/Si substrates were used here for the convenience of  
197 the morphology and chemistry studies and the results can be transplanted to graphene transferred  
198 on other substrates including nanostructured substrates. **Figures 2** show the scanning electron  
199 microscopy (SEM) (Figures 2b ~ 2d) and atomic force microscopy (AFM) (Figures 2f ~ 2h)  
200 characterizations as well as X-ray photoelectron spectroscopy (XPS) analyses (Figures 2j ~ 2l) of  
201 transferred graphene on Au films using different supporting layers. Figure 2a shows the SEM  
202 image of bare Au film before graphene is transferred. It can be seen from Figure 2b that PMMA

203 residues (white spots marked by green arrows) exist on PMMA-transferred graphene.<sup>15</sup> Some  
204 wrinkles of graphene can also be observed, which were marked by blue arrows. Graphene  
205 monolayers transferred by paraffin (Figure 2c) and PMMA/paraffin double layer (Figure 2d) are  
206 relatively clean, with no observable organic residues and fewer wrinkles (blue arrows). The  
207 AFM results of the transferred graphene confirm the SEM observation. The root mean square  
208 (RMS) roughness of paraffin (Figure 2g) and PMMA/paraffin (Figure 2h) transferred graphene  
209 are 2.6 nm and 2.7 nm, respectively, which are very close to that (2.4 nm) of primitive Au film  
210 (Figure 2e) and are both smaller than that (3.2 nm) of PMMA transferred graphene (Figure 2f).  
211 XPS characteristics of C1s peak reveal more details. It can be seen that the C 1s peak of  
212 graphene on Cu before the transfer (Figure 2i) can be fitted by two components at 284.8 eV and  
213 285.9 eV, corresponding to C=C (red) and C–OH bonds (blue), respectively. For PMMA  
214 transferred graphene (Figure 2j), in addition to the graphene bonds, two additional components  
215 appear at 287.2 eV and 289.0 eV, corresponding to C=O (green) and O=C–OH (yellow) bonds  
216 arising from the residual PMMA during the Cu etching step.<sup>30</sup> The paraffin and PMMA/paraffin-  
217 transferred graphene also show these two components but with much lower intensities, as shown  
218 in Figure 2k and Figure 2l, respectively. More OM images were shown in Figure S1. The cleaner



219 surface with fewer wrinkles of graphene transferred by paraffin and PMMA/paraffin benefit  
 220 from the stable chemical properties and high thermal expansion coefficient of paraffin.<sup>14</sup> It is  
 221 worth noting here that graphene transferred to flat Au films using the three types of supporting  
 222 layers all show high transfer rates of over 90%.



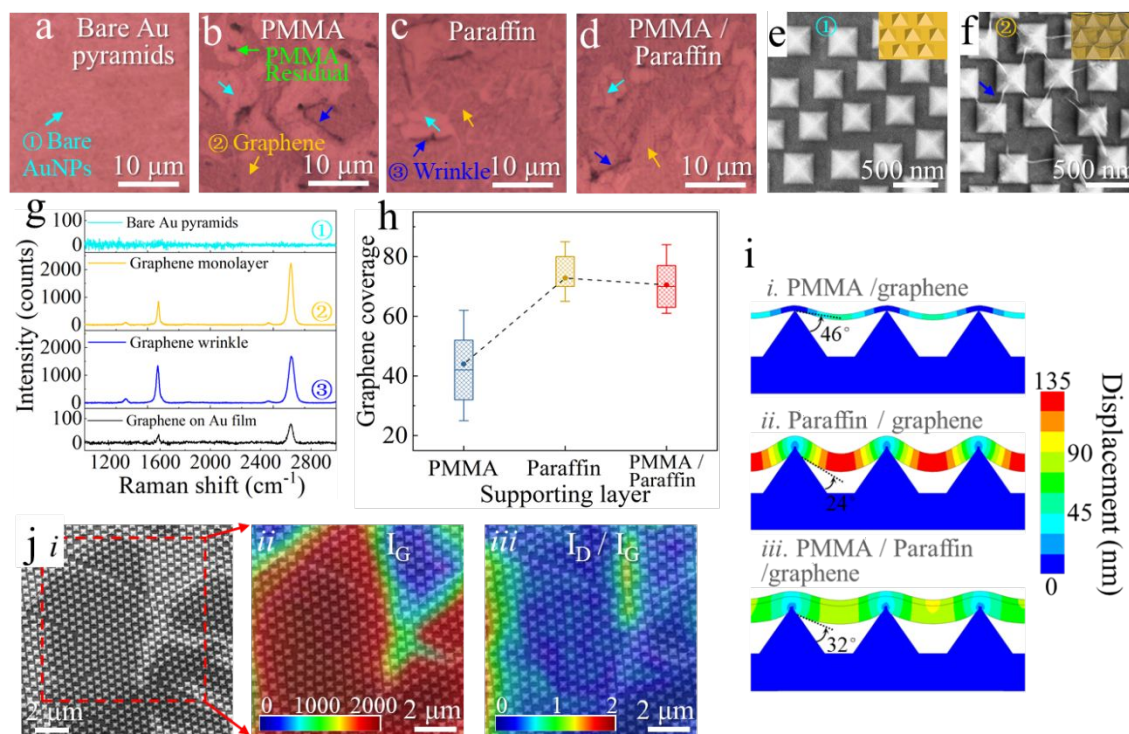
223  
 224 **Figure 2.** (a) and (e) Surface morphologies (SEM and AFM) characterization of the bare Au film.  
 225 (i) XPS analysis of pristine CVD graphene on Cu foil. Surface morphologies (SEM and AFM)  
 226 characterization and XPS analyzes of graphene on the Au films transferred by different

227 supporting layers, (b), (f) and (j) PMMA, (c), (g) and (k) paraffin, (d), (h) and (l)  
228 PMMA/paraffin double layer.

### 229 3.3. Graphene transferred to AuNPs structured substrate using different supporting layers

230 When transferring graphene on nanostructured substrates, particularly with uneven  
231 structures like AuNPs, one faces more challenges maintaining the intactness and high quality of  
232 the graphene layer. Here we examine the graphene properties transferred on highly uniform  
233 wafer-scale Si substrate with raised periodical AuNPs, which is widely used for biosensors or  
234 optoelectronics applications.<sup>19</sup> Figures 3 show OM images of the AuNPs substrate without  
235 graphene (Figure 3a) and with graphene transferred by PMMA (Figure 3b), paraffin (Figure 3c)  
236 and PMMA/paraffin double layer (Figure 3d). The bare AuNPs substrate shows a homogeneous  
237 pink surface. For graphene-covered substrates, regions covered by graphene monolayer exhibit  
238 gray color, while regions with obvious wrinkles show a darker gray color. SEM images of bare  
239 AuNPs (Figure 3e) and graphene-covered AuNPs (Figure 3f) show more details of the  
240 morphology. The nanopyramids have bottom side lengths of  $\sim 330$  nm and gap distances between  
241 two pyramids of  $\sim 170$  nm. Substrates with these sizes are marked as substrate #1 in the  
242 following, distinguishing them from other substrates with different AuNP patterns. The angle

243 between the inclined surface and the bottom of the pyramid is  $54.74^\circ$ , which is determined by the  
244 anisotropic etching of the Si (001) plane. The bottom between nanopylramids is connected by the  
245 Au film. The blue arrow in Figure 3f marks a graphene wrinkle between two nanopylramids.  
246 Raman spectra were studied to explore the graphene properties, as shown in Figure 3g. It can be  
247 seen that graphene on AuNPs (blue and yellow curves) shows much stronger G (at  $1586\text{ cm}^{-1}$ )  
248 and 2D peaks (at  $2640\text{ cm}^{-1}$ ) compared to those of graphene on the flat Au film (black curve),  
249 due to the SERS effect (will be discussed in detail in Section 3.6).<sup>31</sup> Comparing the spectrum  
250 collected at graphene flat regions (orange) with that collected on a wrinkle (blue), it can be seen  
251 that the ratio of G/2D grows as a result of the presence of double or multilayer graphene in  
252 wrinkles, as observed in the literature.<sup>5</sup> The thickness of transferred graphene monolayer is 1.1  
253 nm (Figure S2).



254

255 **Figure 3.** Graphene transfer to the AuNPs substrate. OM characterization of AuNPs substrates

256 (a) without graphene and with graphene transferred by (b) PMMA, (c) paraffin, (d)

257 PMMA/paraffin. SEM morphologies of the AuNPs (e) before and (f) after graphene transferred

258 on the surfaces. PMMA residual is in green arrow, graphene monolayer is in orange arrow,

259 graphene wrinkle is in blue arrow, and bare AuNPs are in cyan arrow. (g) Raman spectra of

260 graphene at different regions corresponded to Figures 3a ~ 3d. (h) Coverage of transferred

261 graphene on substrate #1 by different supporting layers. (i) Finite element simulation results of

262 graphene transfer to nanopyramidal substrates using different types of supporting layers: (j)

263 PMMA, (ii) paraffin, (iii) PMMA/paraffin. (j) SEM image of the AuNP substrate and Raman  
264 mappings of (ii) G peak intensity and (iii)  $I_D/I_G$  of graphene superimposed on the SEM image.

265       Based on the contrast difference in OM images shown in Figure 3b-d, the graphene  
266 coverage was estimated using software Image J (Figure S8), and the results were shown in  
267 Figure 3h. It is noted here that the estimated coverage value is an average value from multiple  
268 experiments including more than 30 times transfer process and the total transferred graphene  
269 area of each method is more than 120 cm<sup>2</sup>. PMMA-transfer method (blue) shows a lowest  
270 average coverage of ~44%, while paraffin (yellow) and PMMA/paraffin (red) transfer method  
271 show similar higher average coverages of ~70%. Smaller boxes for paraffin and PMMA/paraffin  
272 transfer methods indicate their transfer coverages are more stable. Finite element simulation was  
273 performed to further theoretically understand such differences and the results were shown in  
274 Figure 3i. The displacements of PMMA/graphene, paraffin/graphene and  
275 PMMA/paraffin/graphene after a heating treatment were shown in Figures 3i-i, 3i-ii and 3i-iii.  
276 Owing to the significant differences between mechanical properties of PMMA and paraffin (the  
277 tensile strength and Young's modulus, are 70MPa and 1800 MPa respectively for PMMA  
278 whereas being 0.885 MPa and 192 MPa for paraffin), the contact area of the hybrid graphene

279 stack with the nanopyrramids shows a maximum ( $46^\circ$ ) and minimum ( $24^\circ$ ) value of the contact  
280 angle (the angle between graphene and the nanopyramid slope) when using pure PMMA and  
281 paraffin, corresponding to the minimum and maximum coverage, respectively. Graphene  
282 supported by PMMA/paraffin double layer has a medium value ( $32^\circ$ ) of the contact angle. The  
283 contact area between graphene transferred by paraffin as well as PMMA/paraffin and  
284 nanopyrramids are much larger than the area of PMMA-transferred graphene, leading to higher  
285 transfer coverages. The material properties used for finite element simulation are shown in Table  
286 1.

287 The graphene property, particularly the defected regions was further studied by Raman spatial  
288 mapping, as shown in Figure 3j, superimposed on the SEM images. Figure 3j-*i* shows the SEM  
289 morphology of a graphene fragment on the pyramidal substrate. Raman mapping was performed  
290 on the area within the red dashed box. Figures 3j-*ii* and 3j-*iii* show the Raman mapping of G  
291 peak intensity and the ratio of D peak intensity and G peak intensity ( $I_D/I_G$ ). The G peak intensity  
292 mapping well demonstrated the graphene location on the nanopyramidal substrate, which agrees  
293 well with the SEM image. Graphene shows a slightly defected structure in many regions (blue  
294 part), revealed by the low value of D peak intensity. At the two edges of the graphene fragment,  
295 the D peak intensity is significantly higher, because the graphene edges act as defects.<sup>32</sup>

296 **Table 1.** The material properties used for finite element simulation

Physical property	Unit	PMMA <sup>33-</sup> 34	Paraffin <sup>14,</sup> 35-36	Graphene <sup>37-</sup> 39	Au <sup>40</sup>
Young's modulus	MPa	1800	192	1E6	7.8E4
Tensile strength	MPa	70	0.885	1.3E5	80
Linear expansion coefficient	K <sup>-1</sup>	7E-4	1.6E-3	-5E-5	1.4E-5
Density	kg/m <sup>3</sup>	1100	900	2267	1.9E4
Specific heat capacity	J/kg K	1466	2140	700	130
Thermal conductivity	w/m K	0.19	0.24	600	300
Poisson's ratio	1	0.35	0.37	0.2	0.42

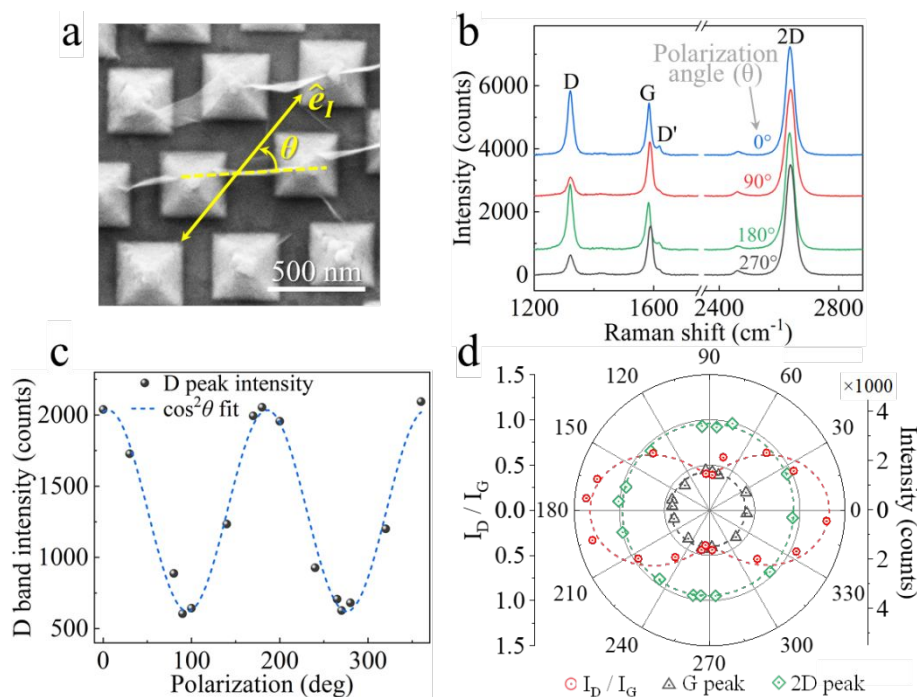
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298 **3.4. Electronic properties of graphene: D peak discussion**

299 To understand more about the electronic structure of the graphene monolayer on AuNPs,  
300 the polarization dependence of the Raman D peak of graphene was studied. It is widely accepted  
301 that the D peak originating from the defects of graphene is mainly located on the edges and  
302 cracks and it was theoretically predicted that large curvature folds in graphene will lead to  
303 polarization-dependent D peaks.<sup>41</sup> The graphene fold between two nanopillars is an ideal  
304 large-curvature graphene fold for study, as shown in **Figure 4a** by an SEM photo. Figure 4b  
305 shows that the intensities of D peak (at 1322 cm<sup>-1</sup>) and D' peak (at 1619 cm<sup>-1</sup>) change obviously  
306 as the polarization angle varies from 0° (blue) to 90° (red) then to 180° (green) and finally to 270°

307 (black), while the intensities of G peak (at  $1585\text{ cm}^{-1}$ ) and 2D peak (at  $2637\text{ cm}^{-1}$ ) do not change  
308 at all. Such dependence of the D peak on the polarization angle was illustrated more clearly in  
309 Figure 4c, by the  $\cos^2\theta$  fitting of the intensity variation of the D peak as a function of the  
310 polarization angle.<sup>42</sup> Moreover, the dependence of  $I_D/I_G$ , G peak and 2D peak was examined and  
311 shown in Figure 4d under polar coordinates. In contrast to the polarization irrelevance of the  
312 intensities of G peak (black triangles) and 2D peak (green rhombus), the intensity of  $I_D/I_G$   
313 exhibits an obvious polarization correlation with 2-fold symmetry. The graphene fold provides  
314 ideal specular scattering in two dimensions, forming the double-resonant D peak.<sup>41</sup> Similar  
315 phenomena were also observed in the literature.<sup>43</sup> As a comparison, the polarization Raman test  
316 was also performed at the area without large-curvature graphene fold, and the D peak of  
317 graphene does not show polarization dependence, as shown in Figure S9.





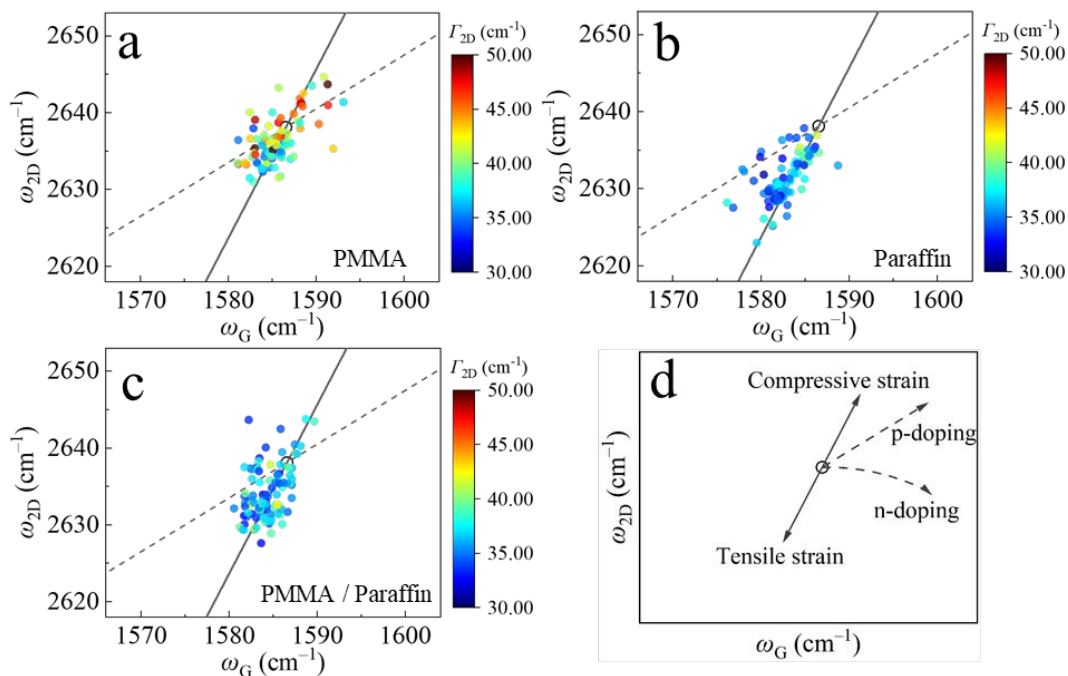
318

319 **Figure 4.** The polarization dependence of D peak generated by graphene folds on the direction of  
 320 incident light. (a) SEM characterization of parallel graphene wrinkles. The yellow line shows the  
 321 polarization direction of the incident light  $\hat{e}_1$ , and the dotted line shows the direction of graphene  
 322 fold, the angle between which is  $\theta$ . (b) The Raman spectrum of graphene fold under different  
 323 polarization angles. (c) The  $\cos^2\theta$  fitting of the intensity of D peak with the polarization angle.  
 324 The black dots are the experimental measurements, and the blue line is the fitted curve. (d) The  
 325 polar coordinate fitting of Raman intensities of G peak, 2D peak and  $I_D/I_G$  of graphene fold as  
 326 functions of the polarization angle. The corresponding experimental measurements are

327 represented by black triangles, green diamonds and red circles. The fitted curves are represented  
328 by black, green and red dashed lines, respectively.

### 329 3.5. Strain, doping and nanometer-scale strain variations analysis.

330 To further understand the strain and doping status of the graphene on AuNPs substrates, the  
331 Raman spectra were analyzed in more detail. **Figure 5** summarizes the extracted information  
332 about strain, doping and nanometer-scale strain variations by showing the scatter plots of  $\omega_{2D}$   
333 and  $\omega_G$  obtained from a series of Raman spectra (in total 100 positions) measured from an area  
334 of  $50 \times 50 \mu\text{m}^2$ . The full-width-at-half-maximum (FWHM) of the 2D peak,  $\Gamma_{2D}$ , is related to the  
335 graphene homogeneity as well as the amount of nanometer-scale strain variations in the graphene  
336 lattice.<sup>44</sup>  $\Gamma_{2D}$  information was color-coded in the data points in Figures 6a ~ 6c. It is observed that  
337 graphene transferred by PMMA (Figure 6a) shows larger values of  $\Gamma_{2D}$  whereas graphene  
338 transferred by paraffin (Figure 6b) and PMMA/paraffin (Figure 6c) mainly show low nanometer-  
339 scale strain variations and non-homogeneity as seen by the smaller values of  $\Gamma_{2D}$  (cyan and blue  
340 color of data points). This can be attributed to the impurity of PMMA residues on graphene  
341 transferred by PMMA.



342

343 **Figure 5.** Scatter plots of  $\omega_{2D}$  versus  $\omega_G$  extracted from the spatially resolved Raman spectra

344 recorded of substrate #1 with graphene transferred by (a) PMMA, (b) paraffin, and (c)

345 PMMA/paraffin. (d) Schematic representation of the influence of strain, p- and n-doping on  $\omega_{2D}$

346 and  $\omega_G$ . The black dashed lines correspond to the doping axes and the solid black lines

347 correspond to the strain axes. The black circles represent the point of pristine unstrained

348 graphene.

349 To further compare the strain and doping in graphene transferred to nanopyramidal

350 substrates and flat Au film, we employ a 'vector' decomposition model on the position of the G

351 peak and the 2D peak.<sup>45</sup> Figure 5d shows a schematic illustration of the influence of strain,

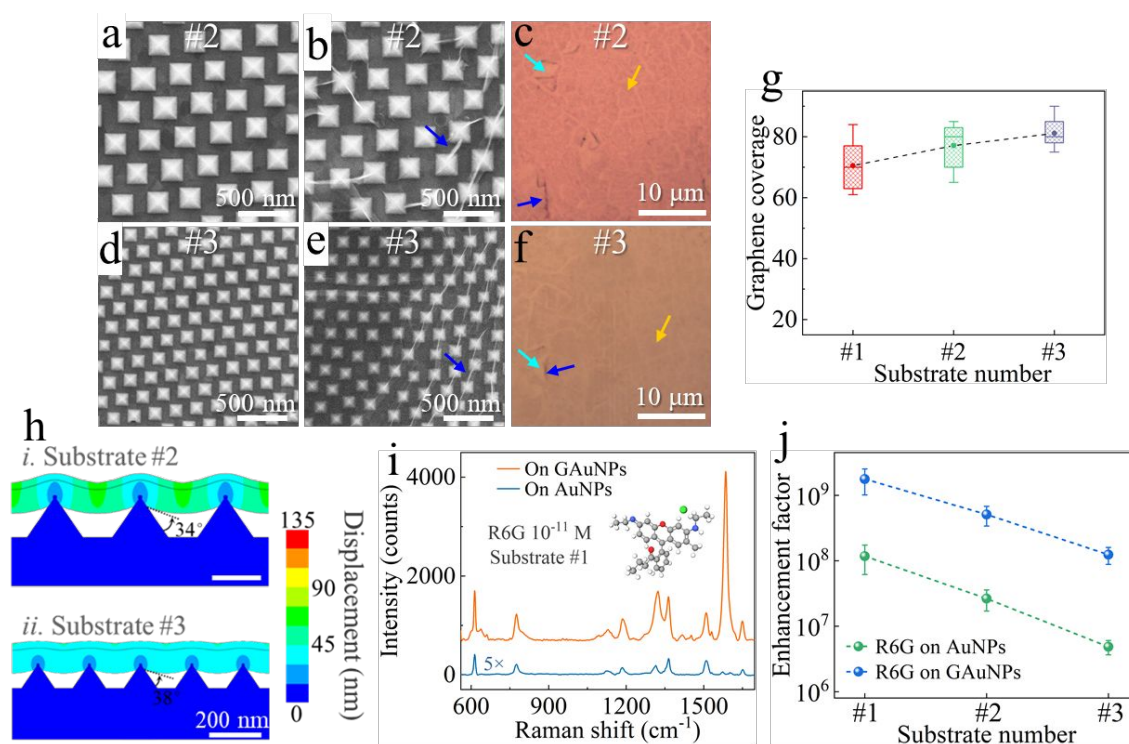
352 doping of the graphene on its Raman spectrum. Strain and doping of the graphene have been  
353 extracted into vectors with different relative slopes of 2.2 for pure strain effect (solid black lines  
354 in Figure 5), 0.7 for hole doping effect (straight black dashed lines), and a nonlinear decrease of  
355 n-doping (curve black dashed lines).<sup>46</sup> Strain and doping of graphene transferred to flat Au film  
356 by paraffin is taken as zero strain and zero doping point, marked by the black circle. Former  
357 research has proved that strain and doping of paraffin-transferred graphene approach that of  
358 intrinsic graphene well.<sup>14</sup> According to the above analysis, graphene transferred to nanopyramids  
359 overall show tensile strain, which shows a maximum value in the paraffin-transferred graphene  
360 (Figure 5b). PMMA/paraffin double layer (Figure 5c) and PMMA (Figure 5a) transferred  
361 graphene show decreasing tensile strain, which is especially nearly zero for PMMA transferred  
362 graphene. Transferred CVD graphene usually exhibits a compressive strain, which originates  
363 from the growth technics and negative thermal expansivity.<sup>47</sup> While for AuNP substrates with  
364 raised nanostructures, the compressive strain is released at the valley area among the raised  
365 structures after the supporting layer is removed. The tensile stress is generated when the  
366 supporting layer/graphene stack conformally adheres to the AuNPs surface, and the magnitude of  
367 the tensile stress is positively related to the degree of conformal physisorption.<sup>48</sup> The tensile

368 stress is then enlarged by the formation of graphene wrinkles after the supporting layer is  
369 removed. The paraffin transferred and PMMA transferred graphene has the smallest and the  
370 largest contact angles with the pyramid slope, respectively (see Figure 3i). Therefore, they show  
371 the largest and the smallest tensile stress, respectively.

### 372 3.6. Nanopyramid density impact and SERS effect.

373 To study the impact of the nanopyramid density on the graphene transfer coverage,  
374 substrates with denser nanopyramids (compared to substrate #1) were fabricated, as shown in  
375 **Figures 6a** (substrate #2) and **6d** (substrate #3). **Figures 6b and 6c, 6e and 6f** show the SEM and  
376 OM images of the corresponding substrate after transferring graphene by PMMA/paraffin. The  
377 molds of samples #2 and #3 were fabricated using PS microspheres with diameters of 350 nm  
378 and 200 nm, respectively. The prepared nanopyramids with different densities (4, 8 and 25  
379 nanopyramids per  $\mu\text{m}^2$  for substrate #1, #2 and #3, respectively) have the same ratio of 1.9 of  
380 bottom side length to distance between two pyramids, as shown in Figure S6. Figure 6g shows  
381 the graphene coverage of substrates with different nanopyramid densities. Graphene coverage  
382 increases as the density of the nanopyramids augment, and the average coverages rise to 77%  
383 and 81% for AuNP substrate #2 and #3, respectively. The finite element simulation (Figure 6h)

384 shows that the contact area between a single nanopyramid and transferred graphene decreases as  
 385 the density of nanopyramid increases. The contact angles between the graphene and the  
 386 nanopyramid change from  $32^\circ$  for substrate #1 (Figure 3i-iii) to  $34^\circ$  for substrate #2 and to  $38^\circ$   
 387 for substrate #3. The increase of graphene coverage could attribute to a larger total contact area  
 388 between graphene and AuNPs.



389  
 390 **Figure 6.** Morphology characterization of substrate #2, including SEM images (a) before and (b)  
 391 after graphene transferred and (c) OM image with transferred graphene. Morphology  
 392 characterization of substrate #3, including SEM images (d) before and (e) after graphene

393 transferred and (f) OM image with transferred graphene. Graphene monolayer is in orange arrow,  
394 graphene wrinkle is in blue arrow, and bare AuNPs is in cyan arrow. (g) PMMA/paraffin-  
395 transferred graphene coverage on the three types of substrates. (h) Finite element simulation  
396 results of graphene transfer to the substrate (i) #2 and (ii) #3 using PMMA/paraffin. (i) The  
397 surface-enhancement Raman spectroscopy of R6G on AuNPs and graphene-AuNPs (GAuNPs)  
398 of substrate #1. (j) The analytical SERS enhancement factor of R6G on substrates with (on  
399 GAuNPs) and without graphene (on AuNPs).

400 It is known that graphene-covered AuNPs could serve as a SERS platform for chemical and  
401 biology sensing. Therefore, the SERS spectra of Rhodamine 6G (R6G) at a concentration of  $10^{-11}$   
402 M (molar per liter; *ii*) on substrate #1 with and without graphene were collected and shown in  
403 Figure 6i. It can be seen that R6G shows a series of Raman peaks in the range of  $600-1600\text{ cm}^{-1}$ ,  
404 the details of which were explained in SI Table S3. The AuNPs serve as a highly uniform and  
405 sensitive SERS platform (orange curve), which is mainly based on the surface electromagnetic  
406 field resonance enhancement mechanism caused by scattered light.<sup>31</sup> Besides the electromagnetic  
407 mechanism, the GAuNP platform (blue curve) shows a higher SERS capability produced by the  
408 chemical mechanism (CM) of graphene.<sup>49</sup> The average analytical SERS enhancement factors

409 (EFs) that come from graphene are  $\sim 20$  times based on the CM enhancement, as shown in Figure  
410 6j. The highest analytical EF of  $\sim 2 \times 10^9$  is obtained in GAuNP substrate #1. The detailed  
411 calculation of EFs is shown in SI S6 and S7 parts.

#### 412 4. Conclusion

413 In conclusion, we report an effective large-area, clean, and high-coverage graphene transfer  
414 method using PMMA/paraffin double layer to substrates with raised nanostructures, which can  
415 also be applied to arbitrary substrates. The impact of supporting layers including PMMA,  
416 paraffin and PMMA/paraffin on the transfer was studied in detail. High tensile strength and  
417 young's modulus of PMMA facilitate the large-scale graphene transfer. The mechanical  
418 properties and stable chemical property of paraffin lead to high coverage and clean graphene  
419 transfer. PMMA/paraffin double-layer combines the advantages of the PMMA and paraffin  
420 supporting layers, and achieves a large-area, high-coverage and high-quality graphene transfer to  
421 AuNPs substrates. The electronic structure of the transferred graphene on AuNPs was examined  
422 by analyzing the D peak in Raman spectra. The large curvature of graphene on AuNPs leads to  
423 the polarization dependence of the D peak. Using a vector decomposition model to analyze the  
424 Raman data, it was demonstrated that graphene on AuNPs shows tensile strain, which is caused



425 by the conformal fit of the graphene to the nanopyramids. It was also found that the higher  
426 nanopyramid density results in higher graphene coverage, which reaches 81% on the substrate  
427 with an AuNP density of  $25 \mu\text{m}^{-2}$  (substrate #3). Graphene monolayer significantly enhanced the  
428 SERS effect of the AuNPs substrates, by increasing the analytical EF about ~20 times for R6G,  
429 and substrate #1 shows the highest analytical EF reaching  $\sim 2 \times 10^9$ . Our results are of great  
430 interest for the transfer of large-area, clean and low-defect graphene onto arbitrary substrates,  
431 especially to those with raised micro-nano-structures, and thus of great potential for applications  
432 in the field of optoelectronics and SERS-based biosensing.

433

434 ASSOCIATED CONTENT

435 **Supporting Information.**

436 The following files are available free of charge.

437 Schematic illustration of the AuNPs substrate fabrication process; SEM characterizations of  
438 crucial steps of three types of substrates; The optimization of the  $\text{O}_2$  plasma etching time; The  
439 shape characterizations of the nanopyramids; The paraffin wax thickness as a function of spin-

440 coating speed; The process of coverage statistics by Image J; The polarization independence of D  
441 peak generated by defects of graphene; FDTD simulation results of the AuNP substrates; The  
442 surface-enhancement Raman spectroscopy of graphene and R6G. (PDF)

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#### 476 **Author Contributions**

477 HPW, GN, and WR designed the work. HPW performed the experiments including the  
478 fabrication of different substrates, graphene transfer, characterizations, and data analysis. LYD  
479 and LYJ performed the AFM and SEM characterizations. HPW and GN wrote the manuscript  
480 and all the co-authors discussed the results and revised the manuscript.

#### 481 **Funding Sources**

482 Key R&D Program of Shaanxi Province of China (2020GY-271).

483 The Fundamental Research Funds for the Central Universities(xjj2018016, xzd012020059).

484 The “111 Project” of China (B14040).

#### 485 Notes

486 The authors declare no competing financial interest.

#### 487 ACKNOWLEDGMENT

488 We acknowledge Mr. Zijun Ren, Mrs. Yu Wang and Mrs. Dan He at Instrument Analysis Center

489 of Xi’an Jiaotong University for the assistance with SEM and Raman analysis.

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# Large-area and clean graphene transfer on gold-nanopyramid-structured substrates: implications for surface-enhanced Raman scattering detection

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2022-03-16

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Wu H, Niu G, Ren W, et al., (2022) Large-area and clean graphene transfer on gold-nanopyramid-structured substrates: implications for surface-enhanced Raman scattering detection, ACS Applied Nano Materials, Volume 5, Issue 3, March 2022, pp. 3878-3888

<https://doi.org/10.1021/acsanm.1c04491>

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