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1 **Improvements within the peanut production chain to minimize aflatoxins**
2 **contamination: an Ethiopian case study.**

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30 **ABSTRACT**

31 Peanuts (*Arachis hypogaea* L.) are a worldwide crop appreciated by consumers and known to
32 have several health benefits (e.g. decreasing risk of cardiovascular diseases, lowering LDL
33 cholesterol). Nonetheless, during the production chain, peanuts can be contaminated by
34 mycotoxigenic fungi, especially *Aspergillus flavus* and *A. parasiticus*, responsible for
35 contamination of this commodity with aflatoxins (AFs). Chronic exposure to these mycotoxins
36 is known to have carcinogenic, teratogenic and immunosuppressive effects, while acute
37 exposure can be fatal.

38 Peanut-based products are considered the “new-gold” in Lower Middle-Income Countries
39 (LMICs) as they are used to produce therapeutic food to fight malnutrition in children in the
40 form of high energy bars (e.g. Plumpy’Nut®). The present study has been focused on an
41 examination of the existing Ethiopian peanut supply chain to identify components that require
42 improvements during pre-harvest, harvesting and post-harvest where practical and affordable
43 intervention practices can be implemented. The lack of Good Agricultural Practices (GAPs),
44 the use of traditional and rudimentary methods for drying and shelling peanuts, as well as
45 inadequate storage locations are some of the main factors associated with the high
46 contamination of Ethiopian peanuts with AFs. While control of AFs is complex, in-depth
47 examination of existing practices has facilitated an overview of the potential for efficient and
48 low-cost strategies to reduce and minimize these toxin risks in the Ethiopian peanut production
49 chain. This includes the use of Drycard™ as a tool to check moisture content, the creation of
50 homemade solar dryer and wooden nutcrackers, and the use of either the Purdue Improved
51 Crop Storage (PICS) bags or novel bags with slow-release food-grade preservatives. The
52 implementation of some of these approaches should ensure a safer home-grown peanut supply
53 chain that would contribute to the growth of the economy of the country by reducing the high

54 costs of importing such raw materials and supporting the use of local smallholder farmers
55 peanuts. This would have significant economic benefits in the local peanut production chain.

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57 **KEYWORDS:** groundnuts, mycotoxins, toxigenic fungi, pre/post-harvest management,
58 developing countries.

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87 1. Introduction

88 Peanut (*Arachis hypogaea* L.), also known as groundnut, is the worlds' fourth most
89 important source of edible oil and the third most valuable source of vegetable protein
90 (Govindaraj et al., 2009; Upadhyaya et al., 2006).

91 Apart from being an important cash crop, peanuts are also known to exhibit great beneficial
92 effects by reducing low-density lipoprotein (LDL) levels and decreasing the risk of
93 cardiovascular diseases (Suchoszek-Lukaniuk et al., 2011). They are often used for therapeutic
94 purposes and are considered the “new gold” in many Low- and Middle-Income Countries
95 (LMICs) (Manary, 2006; Santini et al., 2012). Indeed, because of these properties, peanut-
96 based products are used for the production of ready-to-use therapeutic foods (RUTF) for the
97 treatment of nutritionally affected children in developing countries, such as Ethiopia (Mupunga
98 et al., 2017).

99 In 2019, 157 ktonnes of peanuts were produced in Ethiopia with a 50% increase in
100 production in the last 5 years (FAOSTAT, 2021). Peanut production is concentrated in
101 Oromiya and Benishangul-Gumuz regions, mainly in Eastern Hararghe and Metekel zones,
102 respectively (Mohammed et al., 2014). These areas are characterized by being mostly
103 lowlands (< 1500 m above mean sea level) with sub-humid to humid climate, with an average
104 temperature of approximately 25°C and average annual rainfall > 500 mm, matching the
105 optimum climatic conditions for peanut production (Nega et al., 2015).

106 However, episodes of drought stress or intermittent rainfall can predispose the ripening
107 groundnuts to infection by toxigenic xerophilic fungi from the *Aspergillus* section *Flavi* group
108 and result in contamination of the local peanuts with aflatoxins (AFs). Chemically, AFs are a
109 group of structurally related difuranocoumarin derivatives. There are four major ones, aflatoxin
110 B₁, B₂, G₁ and G₂ (Klich, 2007). The most abundant aflatoxin-producing species associated
111 with peanuts are *Aspergillus flavus* and *A. parasiticus*. Generally, *A. flavus* produces mainly

112 aflatoxin B₁ and B₂ (AFB₁, AFB₂) and other toxic compounds, including cyclopiazonic acid
113 (CPA), whereas *A. parasiticus* forms all four aflatoxins, AFB₁, AFB₂, AFG₁, and AFG₂, but no
114 CPA (Frisvad et al., 2019). AFB₁ is the most potent naturally formed carcinogen (Squire, 1981;
115 IARC, 2002).

116 In Ethiopia, the occurrence of *Aspergillus* section *Flavi* species and AFs contamination in
117 different food products, including peanuts, has been investigated over the last decade. For
118 example, Mohammed et al., 2016 reported AFs levels as high as 3135 µg/kg in peanut seed and
119 158 µg/kg in peanut cake, locally known as “*Halawa*”. Overall, very high contamination levels
120 of total AFs were found in Ethiopian peanuts, e.g., 11900 µg/kg (Chala et al., 2013),
121 significantly above the maximum tolerable level of 15 µg/kg established by FAO/WHO (CAC,
122 1995) and 4-15 µg/kg according to the EU regulation (EC, 1881/2006). Based on the Ethiopian
123 Standard Authority (ESA) and a recent review by Ayelign & De Saeger, 2020, only a very few
124 commodities are currently regulated for mycotoxins in Ethiopia including peanut kernels and
125 peanut butter where maximum allowable limits have been set at 5 and 4 µg/kg for AFB₁ and
126 10 µg/kg, for total AFs. Furthermore, regulations are particularly aimed at commodities
127 destined for export and have less relevance for consumption of such products by local
128 consumers via unregulated market stalls, especially in rural villages.

129 Such scenarios characterised by inadequate mycotoxins legislation and very high levels of
130 AFs contamination in a range of different staple commodities, undermines the food safety in
131 Ethiopia, with negative repercussions on food quality and security.

132 For peanuts, improvements of the local production and supply chain would significantly
133 help industries to be less dependent on importing raw materials and reduce the associated costs.
134 In addition, this would also support and benefit smallholder farmers’ welfare. A focus on
135 increasing the quality and safety of Ethiopian peanuts would also contribute significantly to
136 reduce malnutrition in the country and to reduce exposure of rural and urban consumers to AFs

137 and their associated effects, especially on immunocompromised groups and infants and
138 children (Wild et al., 2015; IARC, 2002).

139 Thus, this review aims to present recent and efficient strategies to prevent and/or control
140 aflatoxins contamination in the key phases (pre-harvest, harvest and drying, post-harvest) of
141 the Ethiopian peanut production chain.

142 **2. Mitigation strategies of aflatoxins contamination in Ethiopian peanuts**

143 To deeply understand how the application of mitigation strategies can help to contain the
144 aflatoxigenic risk along the Ethiopian peanut production chain, we revised the flow diagram
145 proposed by Pitt et al., 2013 and adapted it to an Ethiopian case study.

146 A comprehensive version of the graph comprising mitigation strategies vs increased AFs-
147 risk along the entire Ethiopian peanut production chain is reported in the supplementary
148 materials (Figure S1).

149 The theoretical basis of our graph relies upon the achievement of the Food Safety Objective
150 (FSO) which in the case of mycotoxins is described by the following formula

$$151 \quad H_0 + \Sigma_I - \Sigma_R = FSO$$

152 where H_0 is the initial level of contamination, Σ_I is the set of stages where mycotoxins
153 concentration is likely to increase, Σ_R is the set of stages where key actions in reducing the
154 contamination can be applied. For the sake of simplicity, in our study we considered H_0 at the
155 time of pre-harvest, Σ_I is the cumulative effect of critical factors during pre-harvest, harvest
156 and drying, and post-harvest leading to increased AFs concentration if no mitigation strategies
157 are applied, Σ_R is the cumulative effect that visual sorting has in reducing aflatoxins level when
158 applied after harvest and before storage.

159 The approach taken here is entirely qualitative, no weight has been given to the slopes of
160 the lines in the figure. In practice, increases or decreases in aflatoxin levels reported in the
161 graph, are strongly dependent on the different tools or practices applied.

162 *2.1.Pre-harvest interventions for improvements*

163 AFs contamination of peanuts often occurs in field soil before harvest. Any episodes of
164 drought stress can allow cracking of developing pods and infection by *A. flavus* and/or *A.*
165 *parasiticus* and associated AFs contamination. As they are heat stable and difficult to eliminate,
166 prevention of their formation would be the best option although the weather conditions play a
167 major role in determining this (Raters & Matissek, 2008).

168 Peanut cultivation in Ethiopia relies almost completely on the availability of manual labour
169 and the high levels of rainfall occurring in the production regions. The crop planting and
170 agronomy is not mechanised, thus requiring significant manual labour inputs and the plants are
171 usually rainfed to try and avoid drought stress. Drought stress acts by causing a decrease of
172 plants' natural defences including cracking of the pods and pest damage which allows ingress
173 of drought-tolerant xerophilic fungi such as *A. flavus* and *A. parasiticus* (Pitt & Hocking, 2009).
174 Under conditions of adequate rainfall or irrigation, these fungi are less competitive resulting in
175 much lower levels of AFs contamination (Torres et al., 2014). However, globally, peanut crops
176 are often produced in climatic regions where sub-optimal weather conditions, especially
177 drought stress can occur, facilitating colonisation by these *Aspergillus* species and associated
178 AFs (Pitt et al., 2013). Thus, weather conditions during the groundnut-filling period play a key
179 role in determining the relative risks of AFs contamination in the pre-harvest phase and impacts
180 on crop yield and quality.

181 Pre-harvest aflatoxin management comprises a wide range of strategies in the context of
182 the implementation of GAPs. These include the selection of well-drained sandy loam soil being
183 chosen for peanut cultivation to allow easy seed germination, sprouting, peg penetration and
184 pod formation and avoid drought stress episodes. Different soil types may have significantly
185 different levels of fungal infection because of their relative water retention properties (Magan,
186 2007). Chala et al., 2014 reported that the major soil type in different Oromiya districts is sandy

187 loam. This favours the rapid proliferation of the fungi under dryer conditions, while heavier
188 soil types with a higher water-holding capacity are less likely to trigger drought stress episodes,
189 which can stimulate *Aspergillus* section *Flavi* species to infect the ripening peanuts.

190 Moreover, deep ploughing of the land is important to clear weeds and ensure a well-
191 prepared seedbed for proper water penetration and retention reducing the risk of aflatoxins
192 occurrence and contributing to better yields (CAC, 2004). In Ethiopia, fields for peanut
193 cultivation are prepared from one year to the next by ploughing them once or twice before the
194 next peanut sowing season.

195 Pre-planting tillage is usually done using either oxen or tractors depending on the facilities
196 farmers can access. Weed control is carried out manually using a hoe during the entire planting
197 season.

198 The sowing of peanut seeds usually occurs from mid-April to the end of May when Ethiopia
199 is about to enter its long rainy season, the so-called “*Kiremt*” (Ethiomet.gov.et, 2020).

200 The seed cultivars (cvs) for cultivation should be purchased from a certified seller, with the
201 emphasis on choosing at least partially *A. flavus* resistant cultivars. Different cvs of groundnut
202 seeds with improved characteristics have been focused on days of maturity, disease resistance,
203 yield and final oil content in Ethiopia (Nega et al., 2015). However, breeding peanuts with
204 resistance to fungal spoilage and AFs contamination is still challenging due to the lack of
205 identified resistance genes and high G x E interaction. Recently, Haramaya University released
206 a new groundnut cv, namely ICGV-95469, which has foliar resistance to diseases, a shorter
207 period to maturity and high yields, which are thus suitable for rainy areas, such as the Oromiya
208 region. Such improvements play a key role in indirectly increasing resistance to *A. flavus* and/or
209 *A. parasiticus* spoilage and thus, AFs accumulation.

210 Most of the Ethiopian farmers often exchange seeds amongst themselves, and seldom trust
211 recommended improved varieties. There are no private sector or governmental peanut seed

212 enterprises providing certified peanut seeds (Abady et al., 2019). Therefore, the seed used and
213 their susceptibility to *Aspergillus* section *Flavi* species and associated mycotoxins can rarely
214 be guaranteed.

215 To favour adequate crop development and limit the build-up of aflatoxin-producing fungi
216 and associated mycotoxin occurrence, it is also recommended to maintain ad row and intra-
217 plant spacing to avoid overcrowding of plants and prevent conducive microclimate from
218 developing during peanut growth as well as practising appropriate crop rotation from one year
219 to the next (CAC, 2004).

220 It is very common in Ethiopia to intercrop peanuts with sorghum and/or maize. Multiple
221 cropping systems, in which two or more crops are planted in the same field, are major
222 components of African agriculture resulting in crop intensification in time and space (Kebede
223 et al., 2020). However, intercropping with *Aspergillus* section *Flavi* susceptible crops such as
224 maize and/or sorghum favours the distribution and build-up of inoculum of these toxigenic
225 species, especially via crop debris, either on the soil surface or incorporated into the soil from
226 one crop to another, and from year to year. The reason for the widespread use of intercropping
227 is to avoid the potential for complete crop failure in a mono-cropping system, and an attempt
228 to reduce disease and pest pressures, as well as the shortage of arable land (Berhanu, 2017).

229 No chemical fertilizers are applied directly to peanut plants, although some livestock
230 manure is often used as an alternative to more natural fertilizer. Fertilizers such as urea,
231 diammonium phosphate (DAP), Nitrogen-Phosphorus-Sulfur (N-P-S) are applied to maize
232 and/or sorghum, and peanut crops are thus able to benefit from these nutrients by extraction
233 from the soil, especially microelements such as phosphorous (P) and zinc (Zn). Interestingly,
234 in these inter-cropping systems, groundnuts showed better yield to fertilizer residues left from
235 the other crops than to fertilizers applied directly to the peanut crop (GRDC, 2017).

236 No evidence is currently available in the literature on the effect of fertilizer on aflatoxins
237 contamination in Ethiopian peanuts. However, several authors already reported how their
238 application influenced AFs accumulation levels in peanuts, suggesting that the use of calcium-
239 based fertilizer (e.g. gypsum) might be effective in reducing the risk of AFs (Waliyar et al.,
240 2008; Eche et al., 2017) while farmyard manure application has been often linked with
241 increased AFs concentrations in peanuts (Nakhro and Dkhar, 2010; Kumar et al., 2010).

242 Biocontrol has been considered amongst the most efficient technologies for the reduction
243 of AFs contamination pre-harvest (Torres et al., 2014; Bandyopadhyay et al., 2016). It broadly
244 refers to the use of natural non-toxicogenic fungi applied to reduce the incidence of pests,
245 diseases, or toxins (Pitt and Hocking, 2006). A promising strategy for biocontrol of AFs in
246 Ethiopian peanuts is represented by the application of atoxigenic strains of *A. flavus* to compete
247 with the toxigenic ones by displacing them and reduce the levels of AFs contamination.

248 The release of the first biocontrol products, AF36 and Afla-guard[®], used in cotton and
249 maize and maize/groundnuts, respectively, in the USA, has encouraged the International
250 Institute of Tropical Agriculture (IITA) and USDA-ARS to develop a similar bio-pesticide
251 Aflasafe[®], which is adapted and improved for use in African agroecosystems (Bandyopadhyay
252 et al., 2016). This biocontrol product is based on a mixture of four atoxigenic fungal strains
253 belonging to distinct Vegetative Compatibility Groups (VCGs) of *A. flavus*, native to the target
254 country where control is required. Such a multi-genotype strategy is meant to provide a better
255 adaptation to different crops, environments, and agricultural practices (Bandyopadhyay et al.,
256 2016; Probst et al., 2011). In a study conducted in Ghana, it was shown that the application of
257 Aflasafe[®] 35-40 days after planting, resulted in a significant reduction of AFs content (< 99%)
258 in 800 peanut and maize farmers' fields (Agbetiameh et al., 2019). In Africa, there are 14
259 atoxigenic biocontrol products registered under the tradename Aflasafe[®] for use in maize; 13
260 of those products are also registered for use in peanuts and two of those are registered for use

261 in sorghum (Bandyopadhyay et al., 2016; Schreurs et al., 2019). Numerous African countries
262 have registration for the use of Aflasafe® products while in Ethiopia, biocontrol products are in
263 development within the NutriNuts project currently ongoing at Cranfield University (UKRI,
264 2019). The estimated cost for 10 kg of Aflasafe® product to treat one hectare of production,
265 ranges from US\$12 (526.30 Birr) to US\$ 18.75 (822.35 Birr) which makes the application of
266 biocontrol agents a feasible solution for Ethiopian farmers that often have areas of only 0.125
267 to 2 ha of land for peanut cultivation (Chala et al., 2014; Bandyopadhyay et al., 2016).

268 Overall, the implementation of both GAPs, including field and crop management practices,
269 and the use of BCAs would synergistically limit the risk of AFs occurrence during the pre-
270 harvest phase in the Ethiopian peanut production chain. This is provided that drought stress
271 episodes are avoided where possible.

272 The effect of proposed mitigation strategies on aflatoxins formation in Ethiopian peanuts
273 during pre-harvest is reported in Figure 1.

274 *2.2. Harvest and drying interventions for improvements*

275 Peanuts' harvest involves different practices that, when applied, may help farmers deliver
276 safe peanuts to the consumers. In the Eastern areas of Ethiopia, peanuts are harvested in
277 October or later when the dry season “*Bega*” starts (Ethiomet.gov.et, 2020). Adherence to
278 GAPs would certainly contribute to mitigating the risk of AFs in Ethiopian peanuts at the
279 harvesting stage.

280 Timely harvesting of peanuts is necessary as excessive numbers of overmature or very
281 immature pods at harvest can be reflected in high levels of AFs in the final product (CAC,
282 2004). The harvesting time of Ethiopian peanuts is based on the physiological maturity of the
283 crop. This is usually when the colour of the leave changes from green to yellow and start to
284 become detached; the ripening internal pods also change colour during this period to dark grey.

285 It is important to avoid mechanical damage during the harvesting process and subsequent
286 threshing as this can lead to damage to the protective shell allowing the rapid invasion of the
287 pods by *A. flavus* and *A. parasiticus*. Peanuts should be handled as gently as possible, with
288 every effort being made to minimize physical damage during this phase (CAC, 2004). If
289 peanuts cannot be immediately transported they should be stored for the shortest time possible
290 in a way that will keep them dry and protected from rain, insects, rodents, birds and drainage
291 of water (CAC, 2004) by the use of appropriate protective covers or appropriate locally
292 available storage systems.

293 In Ethiopia, peanuts plants are manually pulled from the soil with the help of a hoe or
294 shovel, arranged in piles and left in the field for 1-2 days to allow some moisture evaporation
295 to avoid seed shrivelling. It is important that the surfaces where this is done must be cleared of
296 any crop debris or decaying plant material to minimise colonisation from such inoculum
297 sources where *A. flavus* and/or *A. parasiticus* might reside (CAC, 2004). Once collected,
298 peanuts should be sorted to remove damaged or rotten nuts and any foreign materials and
299 transported as soon as possible to the processing facility. The lack of effective sorting
300 procedures to separate damaged seeds from intact ones also contributes to the spread of
301 aflatoxin producing fungi in the Ethiopian production chain.

302 After harvest, this drying phase should be done evenly to avoid pockets of high moisture
303 pods and dried to reach a moisture content (m.c.) < 7.5-8 % than those required to favour
304 mould growth during storage. Generally, >10% m.c. (=0.82 water activity) after harvesting
305 predisposes peanuts to colonisation by aflatoxigenic species and AFs contamination (Garcia-
306 Cela et al., 2020). Several studies have shown a positive correlation between high m.c. (>10-
307 15%), the rate of infection and AFs production in lipid-rich commodities (Mora and Lacey,
308 1997; Houssou et al., 2009; Hell et al., 2000), including peanuts (Kaaya et al., 2006).

309 In Ethiopia, the drying process used for shelled nuts is a passive one lasting for about one
310 week and is entirely done by placing the harvested peanuts in piles in the field on the ground
311 under natural sunlight and manually turning these from time to time to facilitate relatively even
312 drying. This practise is discouraged because the direct contact with the soil exposes the peanuts
313 to being damaged and eaten by rodents, birds and insect pests allowing further ingress and
314 colonization by aflatoxigenic fungi. In addition, the piles of groundnuts are not covered
315 allowing rewetting to occur during rainfall episodes resulting in colonised nuts being further
316 contaminated with AFs as the conditions are conducive for growth and toxin synthesis on the
317 lipid-rich nuts (Alonge, 2015; Zuza Jnr et al., 2018).

318 In Ethiopia no direct moisture content measurements are made periodically to check the rate
319 of drying and the timing of reaching a safe level. The final moisture content is evaluated in a
320 rudimentary way by a rough verification based on visual inspection and pressing the nuts with
321 fingers to gauge whether an appropriate moisture content has been reached.

322 Thus, the use of an appropriate low-cost and re-usable moisture content indicator for this
323 important phase of the peanut chain might be an effective solution. For example, the relatively
324 simple and inexpensive DryCard™ developed by the US Agency for International
325 Development (USAID) and the University of California Davis (UC Davis) would be very
326 beneficial for monitoring the kinetics of naturally dried peanuts in Ethiopia. It is a simple device
327 to determine relative humidity around dried stored products (Thompson et al., 2017) that does
328 not require any skills for its use. It is colour indicative as the strip of cobalt chloride (CoCl_2) is
329 light blue and would turn to purple and pink when the equilibrium relative humidity (ERH) is
330 high (Zambrano et al., 2019). For its use, the DryCard™ and the commodity sample are placed
331 together in an airtight container. Within 20-30 minutes, an initial indicative value will be
332 obtained, with a very accurate and reliable result obtained in 2 hours (UC Davis, 2017).

333 When the ERH value is $<65\%$ ($=0.65 a_w$), the sample is considered to be dry enough to
334 prevent any fungal growth and risks of mycotoxin production during this phase (Thompson et
335 al., 2017).

336 The DryCardTM can be reused indefinitely for a period of up to 3 years and it is suitable for
337 any type of dried product (UC Davis, 2019). The cost for its manufacturing is low (for 100
338 cards, US\$4 for cobalt chloride strips and US\$2.4 for plastic lamination) (UC Davis, 2017).

339 In addition, drying the peanuts on tarpaulins rather than directly on soil was found to be an
340 improved practice that resulted in lower AFs contamination. This may also be due to reducing
341 the inoculum potential from spores from the soil being transferred to the drying pods, especially
342 during turning. In addition, a tarpaulin can be used to completely cover the drying peanuts
343 during rain episodes (Jordan et al., 2020).

344 Alternatively, instead of using natural sun drying, active drying approaches could also be
345 implemented. For example, the Collapsible Dryer CaseTM from GrainPro Inc can be adapted to
346 be an affordable drying solution. It consists of a lightweight woven coated polyethylene case
347 that is portable and easy to relocate with simple installation through air inflation (GrainPro,
348 2020). It can be easily reclosed on itself creating a rainproof cover (Villers, 2014). This allows
349 farmers to act rapidly in case of sudden changes in the prevailing weather conditions. The
350 package comes with a foot pump that does not require electricity and a repair kit. Moreover,
351 GrainPro Inc ultimately has been requesting organizations in Ethiopia to be their distributors
352 (GrainPro, 2020), which would ease the delivery of both information and their products, hence
353 to better provide alternative active drying solutions for farmers.

354 The build-up of a passive solar dryer would represent another possible efficient strategy to
355 improve the drying of peanuts in Ethiopia, as they use natural air circulation without the need
356 for energy supply and it is simple and cheaper to construct (Ekechukwu & Norton, 1999). A
357 typical solar dryer consists of mainly two parts, the heat collector covered by glass and the

358 drying chamber. As sunlight reflects on the glass, the glass becomes hot and the heat is
359 transferred to the drying chamber through the absorber, which is made of high thermal efficient
360 material such as galvanized iron sheet (Hegde et al., 2015). Air flows in via the lower inlet, it
361 gets heated, goes towards the drying chamber passing through the trays of placement, and
362 eventually flows out loaded with moisture evaporated from the drying products.

363 Today there are opportunities to also exploit both passive and active solar drying
364 approaches. A passive solar dryer can be constructed with locally available cheap materials or
365 even refuse/waste. For instance, aluminium tins can be used as heat absorbers. For example,
366 Kumar et al., 2015 compared the performance of three different absorber plates, which showed
367 that plates made of aluminium fins had the highest thermal efficiency, allowing the drying
368 chamber to reach an average temperature of 73.2°C when the ambient temperature was around
369 39.5°C. Tins painted in black can further increase the absorption of heat.

370 Another option for peanuts drying in Ethiopia is the use of desiccants that can adsorb water
371 and bind it strongly (Bradford et al., 2018). The use of forced-air driers based on silica gel has
372 been successfully demonstrated in different countries (Bradford et al., 2016; Kunusoth et al.,
373 2012). This system is cost-effective on quantities up to approximately 100 L volume at a time,
374 making it suitable for smallholders' farmers (Timsina et al., 2018).

375 An integrated approach consisting of combining adherence to GAPs and the use of improved
376 drying tools would certainly help Ethiopian farmers to tackle the current poor AFs management
377 practices and also provide benefits of increased crop yield and quality.

378 The effect of suggested mitigation strategies on AFs accumulation in Ethiopian peanuts
379 during harvest and drying is shown in figure 2.

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381

382 *2.3. Post-harvest interventions for improvements*

383 After drying, peanuts are collected in polyethylene bags and transported home within the
384 same day as most of the fields are close to the farmers' houses. These bags are reusable but
385 rarely cleaned, representing a critical factor for post-harvest contamination with spoilage and
386 mycotoxigenic fungi. Transportation is mostly done by loading the bags on women' heads or
387 by stacking the bags on top of each other on conventional trucks simply covered with tarpaulin
388 sheets for protection from rainfall episodes.

389 According to the Codex recommendations, prevention of an increase in AFs during
390 transportation and storage depends on adherence to GAPs which includes maintaining a safe
391 m.c. ($a_w \leq 0.70$; $ERH \leq 70\%$), low environmental temperature (0-10°C) and appropriate hygienic
392 conditions (CAC, 2006).

393 The Purdue Improved Crop Storage (PICS, 2015) bags, a hermetic storage technology that
394 has been used in Africa, could be a solution for short and medium-term use. These bags consist
395 of two inner layers of 80- μ m high-density polyethylene protected by an outer layer of woven
396 polypropylene bag. Due to the low permeability, the inner layers act as oxygen barriers once
397 packed. These are sealed allowing the increase in CO₂ from the respiration of the commodity
398 and the associated mycobiota and the decrease in O₂ level inside the bag. This prevents any
399 insect proliferation and has been shown to reduce AFs contamination (Murdock & Baoua,
400 2014). Such hermetic storage conditions provided by PICS bags can inhibit or minimise AFs
401 contamination during storage (USAID, 2015).

402 Studies have demonstrated that no weight loss and increase in pest population was observed
403 on both shelled and unshelled peanuts stored in PICS bags for up to 6-months (Baributsa et al.,
404 2017). Sudini et al., 2015 found that peanuts stored for 4 months in PICS bags had retained
405 seed vitality and oil content. Comparison of cloth bags with the PICS bags with peanuts
406 inoculated with *A. flavus* showed AFs contamination levels of 63.7 μ g/kg and 33.5 μ g/kg,

407 respectively. Thus, PICS bags could be a cost-effective solution for the home storage of
408 peanuts. The price per unit is between US\$2.00 and \$3.00 (100kg capacity), with a durability
409 of up to 3 years (Murdock & Baoua, 2014).

410 Albeit Agricultural Input Supply NGOs like CRS (Catholic Relief Services), ICRS
411 (International Committee of the Red Cross) supply PICS bags for free to the farmers. However,
412 the lack of awareness of the advantages in reducing AFs contamination have limited their use
413 by Ethiopian rural farmers communities.

414 Ethiopian peanuts are usually home-shelled after laying them on bags, the same ones used
415 for transport or storage, which have often been in direct contact with the ground. The process
416 usually takes place when farmers need to sell, plant or eat peanuts and it consists of beating the
417 nuts with a wood stick or using teeth, after soaking the peanuts with water to soften the pods.
418 Hand shelling is time-consuming and labour-intensive, leading to sore thumb syndrome or
419 painful wounds on fingers, and is done predominantly by women and children, who account
420 for around 70% of this activity (Abady et al., 2019). Moreover, breaking pods using teeth
421 involves the risk of aflatoxin contamination and ingestion, if individual peanuts are heavily
422 infected. After shelling, the pods will be used for cooking or as firewood. A medium-term
423 exposure of the peanuts to ground dust, air and insect damage before being sold represents
424 another critical point where AFs contamination in the Ethiopian peanut production chain can
425 occur. As peanuts are lipid-rich, they can adsorb water very quickly in damp humid
426 environments which allows AFs to be synthesised during this phase.

427 To address these problems at a farmer level, a simple shelling tool that is easy to construct
428 with locally readily available materials could be helpful. A simple nutcracker can be made by
429 tying two sticks together using a rubber band and keeping them separated using a piece of
430 folded cardboard.

431 For larger-scale operations, different motorized shelling machinery has been developed with
432 good overall performance (Adedeji and Danladi, 2016; Muhammad and Isiaka, 2019; Nagesh
433 et al., 2018). However, considering the possible energy constraint in Ethiopia, the hand-
434 operated type is recommended to minimise energy requirements, as manpower is the only
435 necessary power source.

436 The first hand-operated sheller was the Universal nut sheller which was invented in Mali
437 and is now developed by a non-profit international organization called the Fully Belly Project
438 for developing countries (TheFullBellyProject, 2006). It can shell the peanuts by spinning the
439 hand crank that unites the rotor and rotates it continuously. The rotor's movement allows
440 peanuts to fall into the clearance between the rotor and the outer stator, and to be cracked by
441 friction. This device requires on-site assembly, as the main body is made of concrete and it
442 takes around 6 days to dry. Then the metal components can be installed. Other than peanuts, it
443 is also capable of shelling a variety of nuts such as shea nuts and dried coffee
444 (TheFullBellyProject, 2006).

445 Another hand-operated decorticator is built of a handle mounted in a semi-circular metal
446 hopper with a perforated bottom. When rocking the handle, peanuts will be pressed and broken
447 thanks to the cast iron pegs assembled on the oscillating sector. The kernels and the shell will
448 then fall through the bottom. The clearance between the concave form bottom and the
449 oscillating sector is adjustable, the bottom is also replaceable to suit different sizes of peanuts
450 (Ansari et al., 2015; Kulbhushan et al., 2017).

451 Before collecting all the shelled peanuts together for storage, physical separation through
452 discarding the defective and shrivelled ones can be an important but simple step to help
453 improve the safety and the quality of the harvest. On the one hand, clean and whole kernels
454 would add value to the peanuts for selling to the wholesale traders; on the other hand, the
455 removal of altered kernels also helps to reduce the risk of AFs, as a fungal infection is more

456 likely to occur in damaged and shrivelled kernels (Lavkor and Var, 2017), and contaminated
457 peanut kernels are often discoloured (Dorner, 2008).

458 Studies have also shown that hand sorting as an intervention can reduce up to 42.9% of AFs
459 in peanuts (Xu et al., 2017). Standards on the hand sorting procedure can be taught to farmers
460 through extensive training. By providing training materials, showing both samples of good-
461 featured peanuts and altered kernels, organising practical sorting sessions, and explaining the
462 reason behind these, farmers could better understand the beneficial consequences of sorting
463 and also improve the skill sets.

464 Ethiopian peanuts are usually stored in re-used polyethylene bags, piled directly on the
465 ground and in house, where space is often shared with other crops and livestock. This is a
466 critical factor increasing the risk of contamination of peanuts by *Aspergillus* spp. and AFs. The
467 use of PICS bags or novel bags with slow release of food-grade preservatives would represent
468 valuable solutions for the long-term storage of peanuts in Ethiopia. The use of antimicrobial
469 packages is a promising path to control bacterial and fungal growth leading to safe food
470 products (Irkin & Esmer, 2015; Motelica et al., 2020). However, this kind of novel bag is
471 currently commercialized only in the USA and Japan (Motelica et al., 2020). Thus, one of the
472 main challenges would be for industries to promote their use, especially in developing countries
473 by considering cost-effectiveness and raising awareness among farmers.

474 Another critical factor for AFs formation at this stage is represented by inadequate storage
475 facilities in Ethiopia. Sometimes, storages houses are covered using black plastic sheets, which
476 may absorb high temperatures and create a conducive environment for storage moulds
477 development and insect pests. Bankole & Adebajo, 2003 reported that traditional storage
478 structures used for crops in Nigeria included containers made of plant materials (woods and
479 bamboo). Adequate storage facilities with proper ventilation, good roof and concrete floor are

480 required to prevent rewetting of peanuts and limit their susceptibility to post-harvest AFs
481 contamination (CAC, 2006).

482 A comprehensive approach, including the adoption of GAPs, the use of improved
483 tools/devices and the delivery of training sessions and informative campaigns addressed to
484 Ethiopian farmers would help improve the management of AFs risks in peanuts during post-
485 harvest. Figure 3 shows the effect of proposed controlling strategies on AFs accumulation in
486 Ethiopian peanuts during post-harvest (transport-shelling-storage).

487 **3. Conclusions**

488 This review presented an overview of the possible improvements within the Ethiopian
489 peanuts production chain to mitigate the risk of AFs contamination.

490 A holistic approach including adherence to GAPs and the use of specific tools in the
491 different phases would help Ethiopian farmers address AFs occurrence in locally grown
492 peanuts. The improved mitigation strategies proposed are simple and relatively easy to apply.
493 They do not require many professional skills. In addition, the equipment involved is easy to
494 operate, do not require extra energy supplies and utilise readily available local materials.
495 However, every solution comes with some costs and it might be challenging to educate farmers
496 about why these investments would be important in the long term, considering farmers low-
497 income levels (< US\$ 3.40/day).

498 Therefore, inclusive informative sessions to educate farmers on the impacts of AFs on this
499 economically important commodity, the benefits of reducing exposure on human health at a
500 local level are critical. This would provide benefits in improved management of the home-
501 grown peanut chain. This would provide benefits due to the increased use of Ethiopian peanuts
502 to produce therapeutic foods and reduce the reliance on imported peanuts.

503

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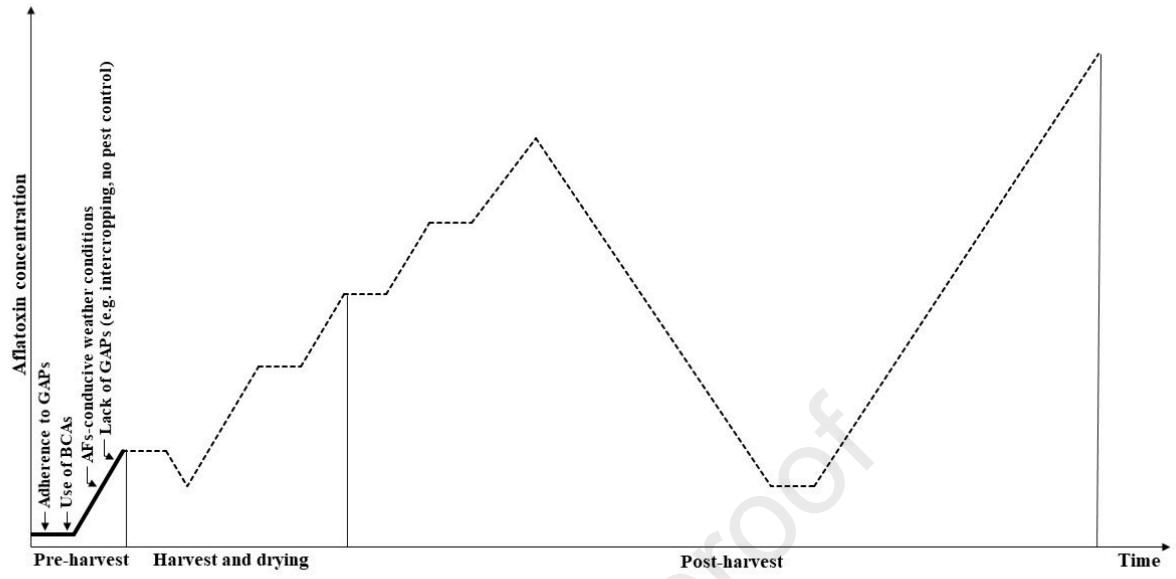
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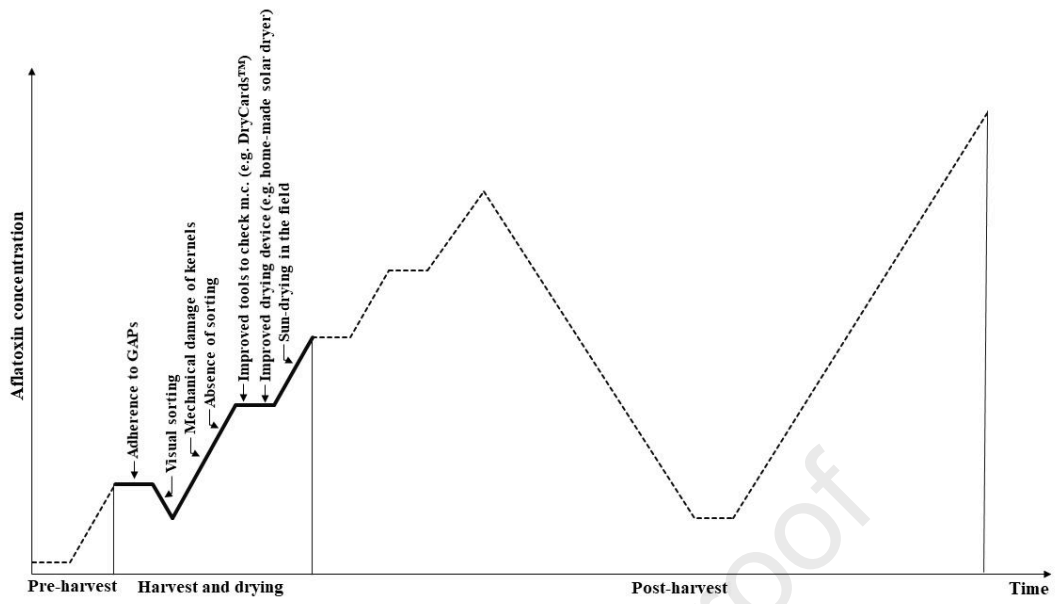
Figure 1: Time course of aflatoxin formation in relation to proposed mitigation strategies in Ethiopian peanuts during pre-harvest.

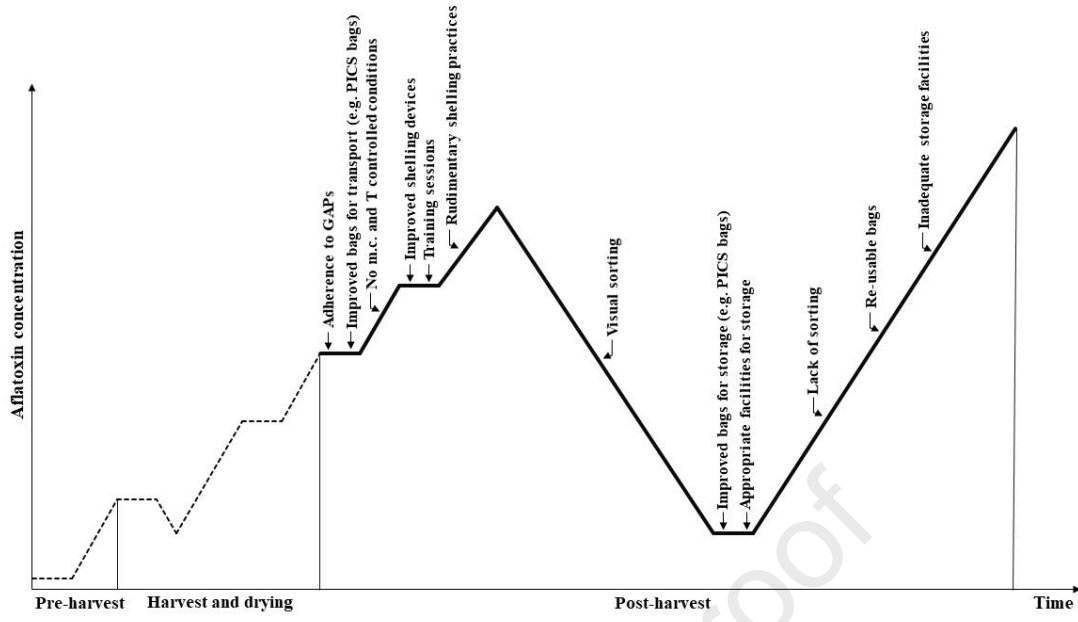
Figure 2: Time course of aflatoxin formation in relation to proposed mitigation strategies in Ethiopian peanuts during harvest and drying.

Figure 3: Time course of aflatoxin formation in relation to proposed mitigation strategies in Ethiopian peanuts during post-harvest (transport-shelling-storage).

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Declaration of competing interest

The authors declare no conflict of interest.

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