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4 **Effect of temperature and water activity on growth and ochratoxin A**
5 **production boundaries of two *Aspergillus carbonarius* isolates on a simulated**
6 **grape juice medium**

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28 **Running title:** Effect of temperature and a_w on growth and OTA boundaries of *A. carbonarius*
29

1 **Abstract**

2 **Aims:** To develop and validate a logistic regression model to predict the growth and ochratoxin
3 A (OTA) production boundaries of two *A. carbonarius* isolates on a synthetic grape juice
4 medium as a function of temperature and a_w .

5 **Methods and results:** A full factorial design was followed between the factors considered. The
6 a_w levels assayed were 0.85, 0.88, 0.90, 0.92, 0.94, 0.96, 0.98 and the incubation temperatures
7 were 10, 15, 20, 25, 30, 35, and 40°C. Growth and OTA production responses were evaluated for
8 a period of 25 days. For growth boundaries, the degree of agreement between predictions and
9 observations was > 99% concordant for both isolates. The erroneously predicted growth cases
10 were 3.4-4.1% false positive and 0.7-1.4% false negative. No growth was observed at 10°C and
11 40°C for all a_w levels assayed, with the exception of 0.98 a_w /40°C where weak growth was
12 observed. Similarly, OTA production was correctly predicted with a concordance rate > 0.98%
13 for the two isolates with 0.7-1.4% accounting for false positives and 2.0-2.7% false negatives.
14 No OTA production was detected at 10°C or 40°C regardless of a_w , and at 0.85 a_w at all
15 incubation temperatures. With respect to time, the OTA production boundary shifted to lower
16 temperatures (15-20°C) compared to the growth boundary which shifted to higher temperature
17 levels (25-30°C). Using two literature datasets for growth and OTA production of *A. carbonarius*
18 on the same growth medium, the logistic model gave 1 false positive and 3 false negative
19 predictions out of 68 growth cases and 13 false positive predictions out of 45 OTA production
20 cases.

21 **Conclusions:** The results of this study suggest that the logistic regression model can be
22 successfully used to predict growth and OTA production interfaces for *A. carbonarius* in
23 relation to temperature and a_w .

24 **Significance and Impact of the Study:** The proposed modelling approach helps the
25 understanding of fungal-food ecosystem relations and it could be employed in HACCP
26 implementation plans to predict the risk of contamination of grapes and grape products by *A.*
27 *carbonarius*.

28
29 **Keywords:** *Aspergillus carbonarius*, fungal growth, ochratoxin A, predictive mycology,
30 probabilistic models, wine grapes
31

1 Introduction

2 Mycotoxins are natural secondary metabolites produced by fungi on agricultural commodities in
3 the field and during storage over a wide range of climatic conditions. Ochratoxin A (OTA) is a
4 major mycotoxin produced by several fungal species belonging to the genera *Penicillium* section
5 *Circumdati* and *Aspergillus* section *Nigri* that has nephrotoxic, immunosuppressive, teratogenic
6 and carcinogenic effects on animals and humans (O'Brien and Dietrich 2005; Murphy *et al.*
7 2006; Richard 2007). *Aspergillus carbonarius* has a central role in OTA contamination of grapes
8 and wines (Abarca *et al.* 2001; Esteban *et al.* 2004; Battilani *et al.*, 2006; Bellí *et al.* 2007;
9 Pateraki *et al.* 2007; Visconti *et al.* 2008), as several strains of the fungus have been isolated and
10 identified in wine producing countries around the Mediterranean basin (Serra *et al.* 2003;
11 Battilani *et al.* 2004; Bellí *et al.* 2004a; Mitchell *et al.* 2004; Tjamos *et al.* 2004, 2006) and other
12 parts of the world (Chulze *et al.* 2006; Leong *et al.* 2006; Romero *et al.* 2007). The presence of
13 OTA in foods is a major concern in food safety and the European Union has established
14 maximum OTA levels at $2 \mu\text{g kg}^{-1}$ for wine, grape juice, grape nectar and grape must intended for
15 direct human consumption, and at $10 \mu\text{g kg}^{-1}$ for dried vine fruits (currants, raisins and sultanas)
16 (European Commission 2005).

17 Predictive microbiology aims at the determination of the responses of a given
18 microorganism combining mathematical models with experimental data under certain
19 environmental conditions. In general, predictive models can be divided into two main categories,
20 namely kinetic and probabilistic models. The former determine microbial responses in relation to
21 time and provide estimates for parameters such as lag phase duration and growth rate. The latter
22 determine the probability of microbial growth or toxin production, i.e. whether growth or toxin
23 production might occur or not, under a specific range of environmental factors (Whiting 1995).
24 So far, several modelling approaches have been developed for *A. carbonarius* to quantify the
25 effect of temperature and a_w on fungal growth and OTA production (Bellí *et al.* 2004b, 2005;
26 Magan and Aldred 2005; Pardo *et al.* 2005; Marín *et al.* 2006; Tassou *et al.* 2007; Romero *et al.*
27 2007). However, these studies have been focused almost exclusively on the development of
28 kinetic models providing information in the form of growth rate and lag phase duration or
29 produce response surface and contour plots, whereas there is little information available on the
30 development of a probabilistic approach to quantify fungal growth and OTA production
31 boundaries for a specific range of environmental conditions. Recently, Marín *et al.* (2008) has
32 developed a probabilistic model to determine the growth/no growth boundaries and OTA
33 production by *A. carbonarius* in pistachio nuts. To the best of our knowledge, no similar
34 probabilistic model has been developed so far for *A. carbonarius* on grapes and grape products.
35 The objectives of the present work are (i) to develop probabilistic models to predict the
36 growth/no growth and OTA production boundaries of two ochratoxigenic isolates of *A.*
37 *carbonarius* from Greek wine grapes on a synthetic grape juice medium, and (ii) to validate the
38 performance of the developed models with independent data from the literature.
39

40 Materials and methods

41 Fungal isolates and growth medium

42 This work was carried out on two ochratoxigenic isolates of *Aspergillus carbonarius* (ATHUM
43 5659 and 5660) isolated previously from wine grapes in the Peloponnesus region of southern
44 Greece (Tassou *et al.* 2007). Both isolates were found to produce OTA when tested in Czapek
45 yeast extract agar (CYA) in amounts exceeding $8 \mu\text{g g}^{-1}$ of substrate, determined by the method of
46 Bragulat *et al.* (2001) after incubation at 25°C for 7 days. Studies were carried out *in vitro* using a

1 synthetic nutrient medium (SNM) with composition similar to grapes between véraison and
2 ripeness. The medium had the following composition: D(+) glucose, 70 g; D(-) fructose, 30 g; L(-
3) tartaric acid, 7 g; L(-) malic acid, 10 g; (NH₄)₂HPO₄, 0.67 g; KH₂PO₄, 0.67 g; MgSO₄·7H₂O,
4 1.5 g; NaCl, 0.15 g; CuCl₂, 0.0015 g; FeSO₄·7H₂O, 0.021 g; ZnSO₄·7H₂O, 0.0075 g; (+) Catechin
5 hydrate, 0.05 g; agar, 25 g; distilled water, *ca* 1000 ml. The pH of the medium was adjusted to
6 3.5 with KOH (2 M). The *a_w* of this basal medium was 0.98, measured by a Novasina
7 Thermoconstander RTD 33 (Novasina AG, Zürich, Switzerland) water activity meter at 20°C.
8 SNM was modified to 0.85, 0.88, 0.90, 0.92, 0.94, and 0.96 *a_w* by adding different amounts of
9 glycerol (Mitchell *et al.* 2004).

11 **Inoculation and incubation conditions**

12 Both fungal isolates were grown on SNM medium for a period of 10 days at 25°C to obtain
13 sporulating cultures. Spore suspension was obtained adding 15 ml of sterile phosphate buffer
14 solution (pH 7.0) containing 0.1% of a wetting agent (Tween 80; Merck, Darmstadt, Germany)
15 and softly scraping the surface of the medium with a sterile glass rod to suspend the spores in the
16 liquid phase. The resulting suspension was filtered through sterile medical tissue to remove any
17 mycelial fragments. The final concentration of spores was assessed by a Neubauer counting
18 chamber (Brand, Wertheim, Germany) and adjusted to 10⁶ spores ml⁻¹ with the same buffer
19 medium. SNM agar plates containing *ca* 20 ml of solidified growth medium were needle
20 inoculated centrally. Plates with the same *a_w* level were sealed with parafilm and wrapped in
21 polyethylene to minimise desiccation and finally incubated at the required temperatures (10, 15,
22 20, 25, 30, 35, and 40°C). The effect of temperature and *a_w* on fungal growth and OTA
23 production was investigated by means of a full factorial design. Four replicated plates for each
24 treatment were used and the whole experiment was repeated twice (*n* = 8).

26 **Fungal growth assessment and OTA determination**

27 Fungal growth was observed on a daily basis for an overall period of 25 days and the first day
28 where visible growth was evident in each *a_w*/temperature treatment was recorded. OTA
29 production was determined after 5, 10, 15, 20, and 25 days incubation following a previously
30 described HPLC screening method (Bragulat *et al.* 2001). An agar plug of 3 mm radius was taken
31 from the central area of each colony, weighed, vortexed with 0.5 ml of methanol and kept in the
32 solvent for 1 hr. The extracts were filtered (Millex® Syringe Driven Filter Unit, Millipore Co.
33 Bedford, MA, USA) and stored at 4°C until HPLC analysis. Analysis was performed with a
34 HPLC system (Hewlett Packard Series 1100), equipped with an Agilent 1100 fluorescence
35 detector (330 nm excitation wavelength; 460 nm emission wavelength). Chromatographic
36 separations were performed with a C18 Waters Spherisorb ODS2 column (5 µm, 250×4.6 mm).
37 The flow rate of the mobile phase used (acetonitrile: water: acetic acid; 51:47:2) was 1 ml min⁻¹.
38 The detection limit of the method was 0.01 µg OTA g⁻¹ of SNM.

40 **Modelling of the growth/no growth interface**

41 For each replicate response of the two fungal isolates, visible growth or no growth were scored as
42 values of 1 or 0, respectively. Data were fitted to a logistic regression model based on the
43 approach of Ratkowsky and Ross (1995) in order to determine the growth/no growth boundaries
44 of the fungi under the assayed environmental factors. The model employed was a second-order
45 logistic regression model in the form shown in the following equation:

$$\log it(P) = \ln \left[\frac{P}{1-P} \right] = a_0 + a_1 t + a_2 T + a_3 a_w + a_4 t^2 + a_5 T^2 + a_6 a_w^2 + a_7 t T + a_8 t a_w + a_9 T a_w \quad (1)$$

where, P is the probability of growth (in the range of 0-1), a_i are coefficients to be estimated, a_w is the water activity of the medium, t (days) is incubation time, and T ($^{\circ}\text{C}$) is temperature. The equation was fitted using Minitab[®] version 14.1 (Minitab Inc., State College, PA, USA) logistic regression procedure. The automatic variable selection option with a stepwise selection method was used to choose the significant effects ($P < 0.05$). The predicted growth/no growth interfaces for $P=0.1, 0.5$, and 0.9 were calculated using Microsoft Excel Solver. The following statistical indices were calculated to measure the goodness-of-fit of the developed models: the Hosmer-Lemeshow goodness-of-fit statistic, the maximum rescaled R^2 and the concordance rate (McKellar and Lu 2001; Koutsoumanis and Sofos 2005; Skandamis *et al.* 2007).

Modelling of OTA production

For the same set of a_w /temperature conditions a separate logistic regression model was developed to quantify the effect of both factors on OTA production. For this reason, OTA analysis results were assigned values of either 1 when OTA concentration was above the limit of detection ($> \text{l.d.}$), or 0 when OTA concentration was below the limit of detection ($< \text{l.d.}$). Logistic regression was used to calculate the probability of OTA production given a certain combination of storage conditions (a_w and temperature) and incubation time. A similar second order logistic regression model was developed as follows:

$$\log it(P) = \ln \left[\frac{P}{1-P} \right] = b_0 + b_1 t + b_2 T + b_3 a_w + b_4 t^2 + b_5 T^2 + b_6 a_w^2 + b_7 t T + b_8 t a_w + b_9 T a_w \quad (2)$$

where, P is the probability of OTA production (in the range of 0-1), and b_i are coefficients to be estimated. The equation was fitted using Minitab[®] version 14.1 (Minitab Inc., State College, PA, USA) logistic regression procedure. The automatic variable selection option with a stepwise selection method was used to choose the significant effects ($P < 0.05$). The predicted OTA interfaces for $P=0.1, 0.5$, and 0.9 were calculated using Microsoft Excel Solver. The goodness-of-fit of the developed model was assessed by the same statistical indices as mentioned above.

Comparison of the developed models with independent data

The predictions at 50% probability level of models for the two isolates of *A. carbonarius* were compared with two literature data sets in which conditions for growth were similar to those used for the development of the logistic models. Specifically, the first validation data set was that of Bellí *et al.* (2005) in which the growth and OTA production of eight isolates of *A. carbonarius* were monitored on the same synthetic grape juice medium in relation to temperature ($15\text{-}37^{\circ}\text{C}$) and water activity ($0.90\text{-}0.99 a_w$). The second data set was again of Bellí *et al.* (2004b) who studied the effect of water activity ($0.90\text{-}0.995 a_w$) and temperature ($10\text{-}37^{\circ}\text{C}$) on the growth rate of ten isolates of *Aspergillus* section *Nigri* from which four were *A. carbonarius*, on the same synthetic grape juice medium. In both data sets, the value of $0.99 a_w$ was not included in the validation approach as it was outside the initial a_w range used in our work for the development of the probabilistic model. It has to be noted that in both publications the reported growth data are provided in the form of kinetic parameters (growth rates, mm day^{-1}) and not as incidence (probability) of growth/no growth. For the purpose of validation, values of 0 or 1 have been assigned by the authors of the present work to validation data for a selected time period of 20 days, where, according to our experience, growth or no growth should have been occurred.

1 Results

2 The parameter estimates and statistics with the significant effects ($P < 0.05$) of the logistic
3 regression model for the growth of the two fungal isolates are shown in Tables 1 and 2. The
4 degree of agreement between predictions and observations was 99.4% concordant and 0.6%
5 discordant for *A. carbonarius* ATHUM 5659 (Table 1) indicating successful data fitting. Overall,
6 5.5% of observed data fell on the “wrong” side of the predicted boundary at a probability level of
7 0.5, from which 4.1% were false positives (i.e. growth predicted when no growth was observed)
8 and 1.5% false negatives (i.e. no growth predicted but growth observed). The goodness-of-fit was
9 also evaluated by the Hosmer-Lemeshow statistic ($\chi^2 = 11.98$, $df = 8$, $P = 0.152$) and the
10 maximum rescaled R^2 (0.845) which proved the good adjustment of the model to the observations.
11 A similar pattern was observed for the other isolate of *A. carbonarius* ATHUM 5660 (Table 2).
12 In this case, the concordance of the model was 99.5%, whereas 3.4% and 0.7% of the predictions
13 were false positive and false negatives, respectively. The Hosmer-Lemeshow statistic and the
14 maximum rescaled R^2 showed high agreement of predicted with observed probability of growth
15 and hence adequate fit of the data.

16 Plots of probability of growth for a_w and temperature at 5, 15 and 25 days of incubation
17 are presented in Figures 1 and 2. It is characteristic that the probability plot shifted to lower
18 temperatures for the same a_w level, especially between 5 and 15 days for both fungal isolates. In
19 addition, the probability of growth for *A. carbonarius* ATHUM 5660 was higher at the lowest a_w
20 assayed (0.85) at 15 and 25 days (Fig. 2) indicating that this fungal isolate could be more
21 xerophilic compared with *A. carbonarius* ATHUM 5659. The predicted growth interfaces with
22 respect to time at probabilities of 0.1, 0.5 and 0.9, together with the observed growth/ no growth
23 data from which the predictions were derived are depicted in Figures 3 and 4 for each isolate.
24 These graphs are also representative of the low percentage of model disagreement with the
25 experimental data. No growth was observed at 10°C and 40°C, regardless of a_w level. As time
26 increased, the predicted growth interface shifted to lower water activity values for both isolates.
27 The advancement of the interface was clearer between 5 and 15 days, but from this time onwards
28 little change was evident, with the exception of 40°C and 0.98 a_w where slow fungal growth was
29 observed at 25 days.

30 A probabilistic approach was also employed for OTA production using a full second
31 order logistic regression model for each fungal isolate. The developed models showed high
32 agreement of prediction with observed probability for OTA production, as was evident from the
33 high concordance rate (98.9-99.1) and the R^2 statistic values (0.832-0.856) (Tables 3 and 4).
34 Increasing probabilities for OTA were predicted at 15 days, compared to those after 5 days,
35 particularly at the lower a_w levels assayed (0.88-0.94) (Figs. 5 and 6). The lowest probability for
36 OTA production ($P = 0.03$) was observed at a_w 0.85 and 19-20°C even after 25 days of storage.
37 Probability profiles at 15 and 25 days presented similar patterns with the exception of a_w 0.88
38 where increased values were estimated for both fungal isolates with respect to time. The
39 predicted OTA interface at probabilities of 0.1, 0.5 and 0.9 is shown in Figures 7 and 8. No OTA
40 was detected either at 10°C or at 40°C regardless of a_w . Similarly, no OTA was detected at a_w
41 0.85 at the different temperature levels. At 5 days, predictions with $P = 0.5$ enclosed all OTA
42 production cases for *A. carbonarius* ATHUM 5960 (Fig. 8). The same was not observed for the
43 other isolate as three a_w /temperature conditions were left below the interface line (Fig. 7). With
44 regard to time, the interface shifted to lower a_w values and at 25 days the $P = 0.5$ interface line
45 enclosed all the OTA production cases for both isolates.

1 Validation was carried out with literature data from two independent data sets. In the first
2 literature study (Bellí *et al.* 2005) the logistic model predicted growth in all cases (100%), while
3 growth was not actually observed at 0.90 a_w and 15°C for the eight strains of *A. carbonarius*
4 assayed (Table 5). A similar situation was observed with the second literature study (Bellí *et al.*
5 2004) where the model gave three false negative (i.e. no growth predicted when growth was
6 observed) predictions at 10°C and 0.95, 0.98 a_w (Table 6) for four strains of the fungus examined.
7 Predicted OTA responses from the first literature study are shown in Table 7. There was an
8 overall discordance for 13 a_w /temperature conditions for which the logistic model predicted OTA
9 production above the detection limit, while the observed OTA concentration was below the
10 detection limit. However, all the erroneously predicted cases were on the safe side (fail positive).
11

12 Discussion

13 The present study describes the applicability of a probabilistic modelling approach for the
14 influence of a_w and temperature on growth and OTA production of two ochratoxigenic isolates of
15 *A. carbonarius* from Greek wine grapes. Models to predict the likelihood of growth of
16 microorganisms as a function of intrinsic and extrinsic factors were first explored in the 1970s
17 (Genigeorgis 1981; Gibson *et al.* 1987), known as “probability” models. Later on it became
18 necessary to manage the risk to consumers from foodborne pathogens and ensure
19 presence/absence of a certain microorganism in a food commodity, thus leading to the
20 development of “growth/no growth boundary” or “interface” modelling (Ratkowsky and Ross
21 1995). In recent years the need for modelling microbial growth limits has been increasingly
22 recognised (McMeekin *et al.* 2002). Such models can be useful in the development of processes
23 that allow production of safer food products and could also be important for deciding food safety
24 regulations (Schaffnet and Labuza 1997). So far predictive mycology has not received the same
25 level of attention compared to food-borne pathogenic bacteria and only recently the concepts for
26 modelling fungal development have been reviewed (Dantigny *et al.* 2005). Probability models,
27 although not extensively used in predictive mycology, can provide useful information and define
28 the response of the fungus in boundary conditions of growth and toxin production.

29 The present logistic model was fitted successfully to the experimental data as the agreement
30 between observed and predicted probabilities was > 99% concordant for fungal growth (Tables 1-
31 2) and > 98% for OTA production (Tables 3-4) for both isolates. It proved difficult to find
32 appropriate literature data to compare our logistic model as no similar approach has been
33 employed so far for *A. carbonarius* in grapes. However, these values are comparable with those
34 reported by Marín *et al.* (2008) for *A. carbonarius* growth and OTA production in pistachio nuts,
35 where the relevant concordant rates were 95.6% (for fungal growth) and 94.6% (for OTA
36 production). The maximum rescaled R^2 of 0.845/0.869 (for growth) and 0.832/0.856 (for OTA)
37 obtained in the present study was higher than that reported in the above mentioned work, i.e.
38 0.786 and 0.715 for growth and OTA production, respectively. The higher values of R^2 reported
39 in this work could be explained by the fact that our experiment was carried out on a synthetic and
40 well-defined laboratory medium, whereas in the other work the fungus was inoculated directly on
41 pistachio nuts.

42 With respect to time, the growth boundary shifted to higher temperature levels (25-30°C)
43 (Figs. 3-4) whereas the OTA production boundary shifted to lower temperatures (15-25°C) (Figs.
44 7-8) indicating that OTA production does not occur at its best under the same conditions for
45 growth. The extension of the growth boundary with time was similar for the two isolates, with the
46 lowest a_w for growth at 0.85-0.88 depending on incubation temperature. Growth at these a_w

1 values, although not consistent with literature data (Mitchell *et al.* 2004; Bellí *et al.* 2005; Leong
2 *et al.* 2006), could be attributed to adaptation to regional climatic conditions, thus making these
3 isolates more tolerant to xerophilic conditions. As observed in the probability plots (Figs. 1-2),
4 probabilities of growth over 0.8 were predicted in synthetic grape medium with 0.90-0.98 a_w
5 incubated at 15-35°C in 5 days time. As the a_w in grapes during ripening is 0.95-0.98 and the
6 prevailing temperatures at harvest many vary between 30 and 35°C, or lower depending on
7 regional conditions, there is increased probability of fungal growth and subsequent OTA
8 contamination. The same probability level ($P > 0.8$) for OTA production for the same
9 temperature range and time was attained in growth media with 0.94-0.98 a_w for *A. carbonarius*
10 ATHUM 5659 (Fig. 7) and 0.96-0.98 a_w for *A. carbonarius* ATHUM 5660 (Fig. 8), indicating
11 that the range of a_w for OTA production is narrower than that for growth. However, fungal
12 growth and OTA production is much more complicated under realistic conditions as reported by
13 Marín *et al.* (2006). Environmental fluxes, especially day temperatures may not be appropriate
14 for OTA production but may support hyphal extension increasing the potential for OTA
15 production under lower temperatures at night.

16 Validation with independent literature data showed that the developed logistic model
17 could adequately predict the growth/no growth cases of other *A. carbonarius* strains at a
18 probability level of 0.5. Some disagreement was only observed with 1 false positive (Table 5)
19 and 3 false negative (Table 6) predictions out of 68 total growth cases. The false negative cases
20 were not predicted successfully as they were located at the boundaries of the domain of the model.
21 Finally, the model predicted OTA responses reasonably well as the agreement with literature data
22 for OTA absence was 27 out of 40 (67.5%) cases and 5 out of 5 (100%) cases for OTA presence.
23 The misclassified cases of the model could be attributed to the great variability of different *A.*
24 *carbonarius* stains in OTA production between countries and also within the same country even
25 under the same environmental conditions (Mitchell *et al.* 2004).

26 In conclusion, the results of this study indicate that logistic regression models can be
27 successfully employed to predict the boundaries for growth and OTA production of *A.*
28 *carbonarius* on a synthetic grape juice medium and also for other mycotoxin producing species.
29 However, due to the variability of *A. carbonarius* strains in growth potential and OTA production,
30 further research is needed to develop and validate more extensively such models with additional
31 regional experimental data from grapes and grape products.

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1 **Figure 1** The effect of temperature and water activity on the predicted probability of *Aspergillus*
2 *carbonarius* ATHUM 5659 growth on a synthetic grape juice medium for 5, 15 and 25 days.
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4 **Figure 2** The effect of temperature and water activity on the predicted probability of *Aspergillus*
5 *carbonarius* ATHUM 5660 growth on a synthetic grape juice medium for 5, 15 and 25 days.
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7 **Figure 3** Growth/no growth boundaries of *Aspergillus carbonarius* ATHUM 5659 after 5, 15 and
8 25 days incubation on a synthetic grape juice medium. Solid symbol: growth, open symbol: no
9 growth; solid line $P = 0.9$; dotted line $P = 0.5$; dashed line $P = 0.1$.
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11 **Figure 4** Growth/no growth boundaries of *Aspergillus carbonarius* ATHUM 5660 after 5, 15 and
12 25 days incubation on a synthetic grape juice medium. Solid symbol: growth, open symbol: no
13 growth; solid line $P = 0.9$; dotted line $P = 0.5$; dashed line $P = 0.1$.
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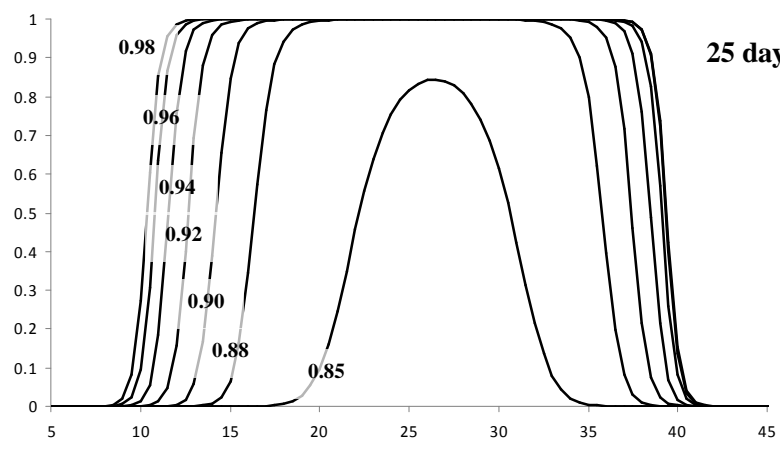
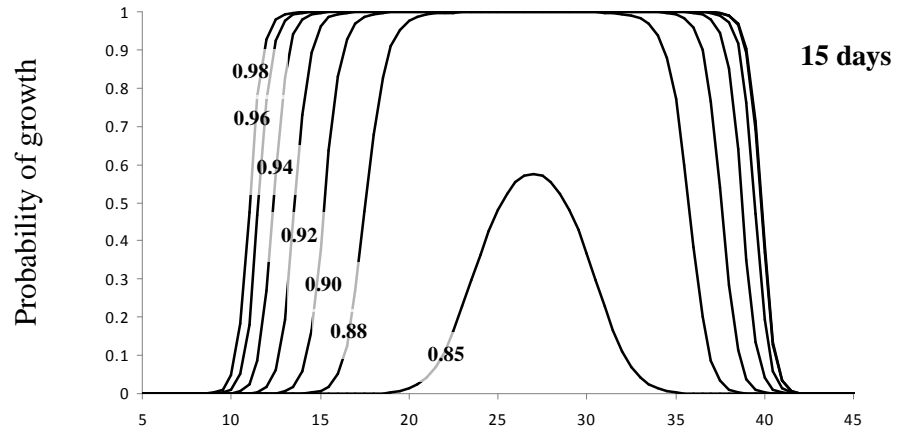
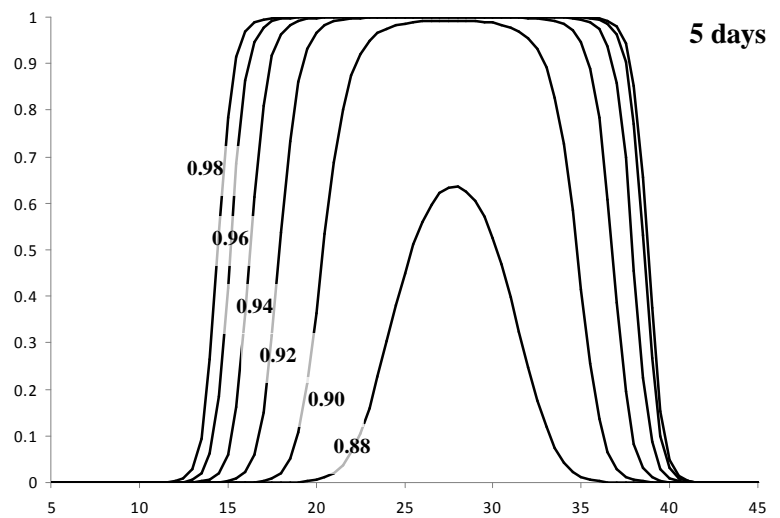
15 **Figure 5** The effect of temperature and water activity on the predicted probability of *Aspergillus*
16 *carbonarius* ATHUM 5659 OTA presence on a synthetic grape juice medium for 5, 15 and 25
17 days.
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19 **Figure 6** The effect of temperature and water activity on the predicted probability of *Aspergillus*
20 *carbonarius* ATHUM 5660 OTA presence on a synthetic grape juice medium for 5, 15 and 25
21 days.
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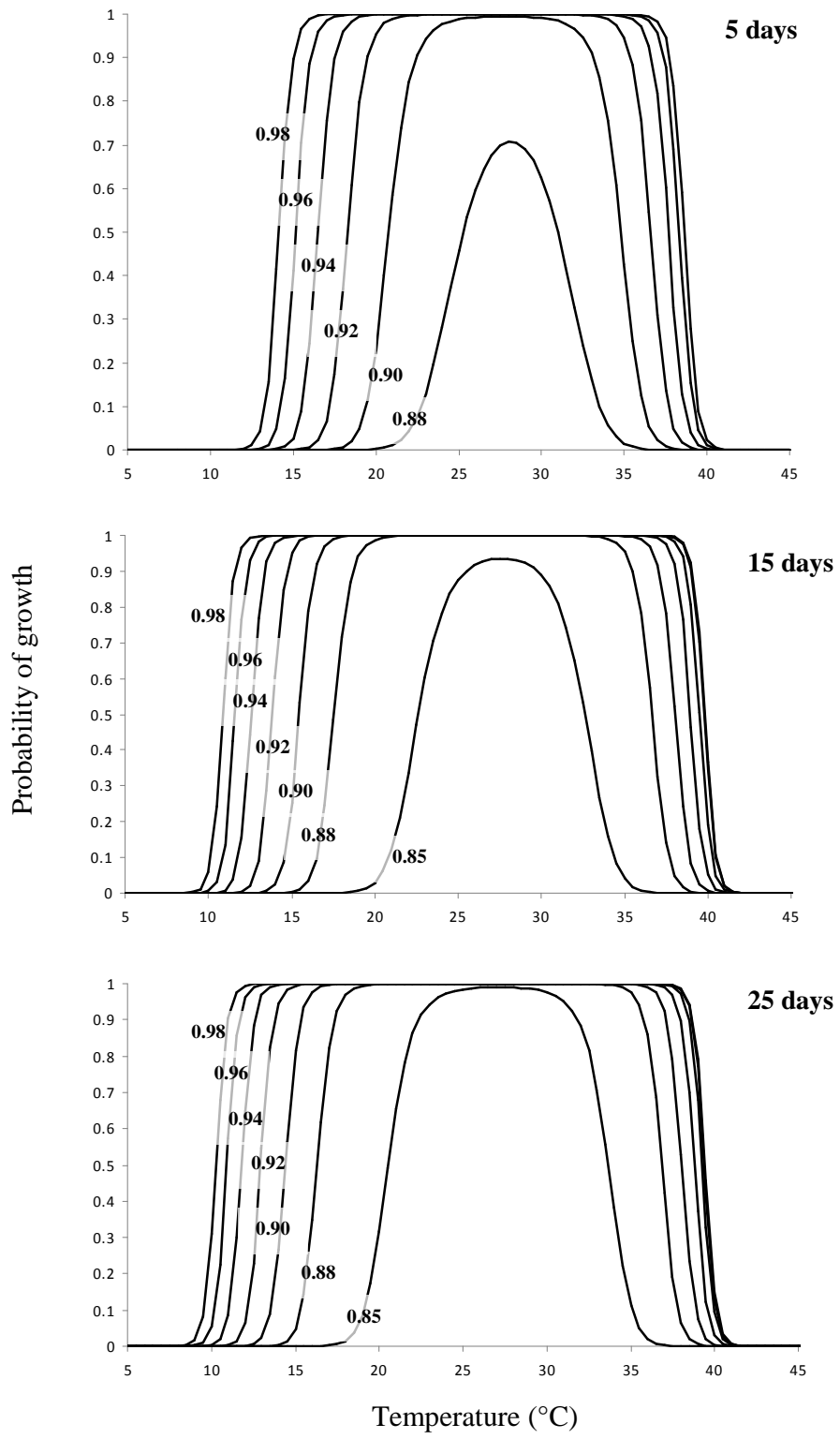
23 **Figure 7** OTA production boundaries of *Aspergillus carbonarius* ATHUM 5659 after 5, 15 and
24 25 days incubation on a synthetic grape juice medium. Solid symbol: OTA presence ($>1.d.$), open
25 symbol: OTA absence ($<1.d.$); solid line $P = 0.9$; dotted line $P = 0.5$; dashed line $P = 0.1$.
26

27 **Figure 8** OTA production boundaries of *Aspergillus carbonarius* ATHUM 5660 after 5, 15 and
28 25 days incubation on a synthetic grape juice medium. Solid symbol: OTA presence ($>1.d.$), open
29 symbol: OTA absence ($<1.d.$); solid line $P = 0.9$; dotted line $P = 0.5$; dashed line $P = 0.1$.

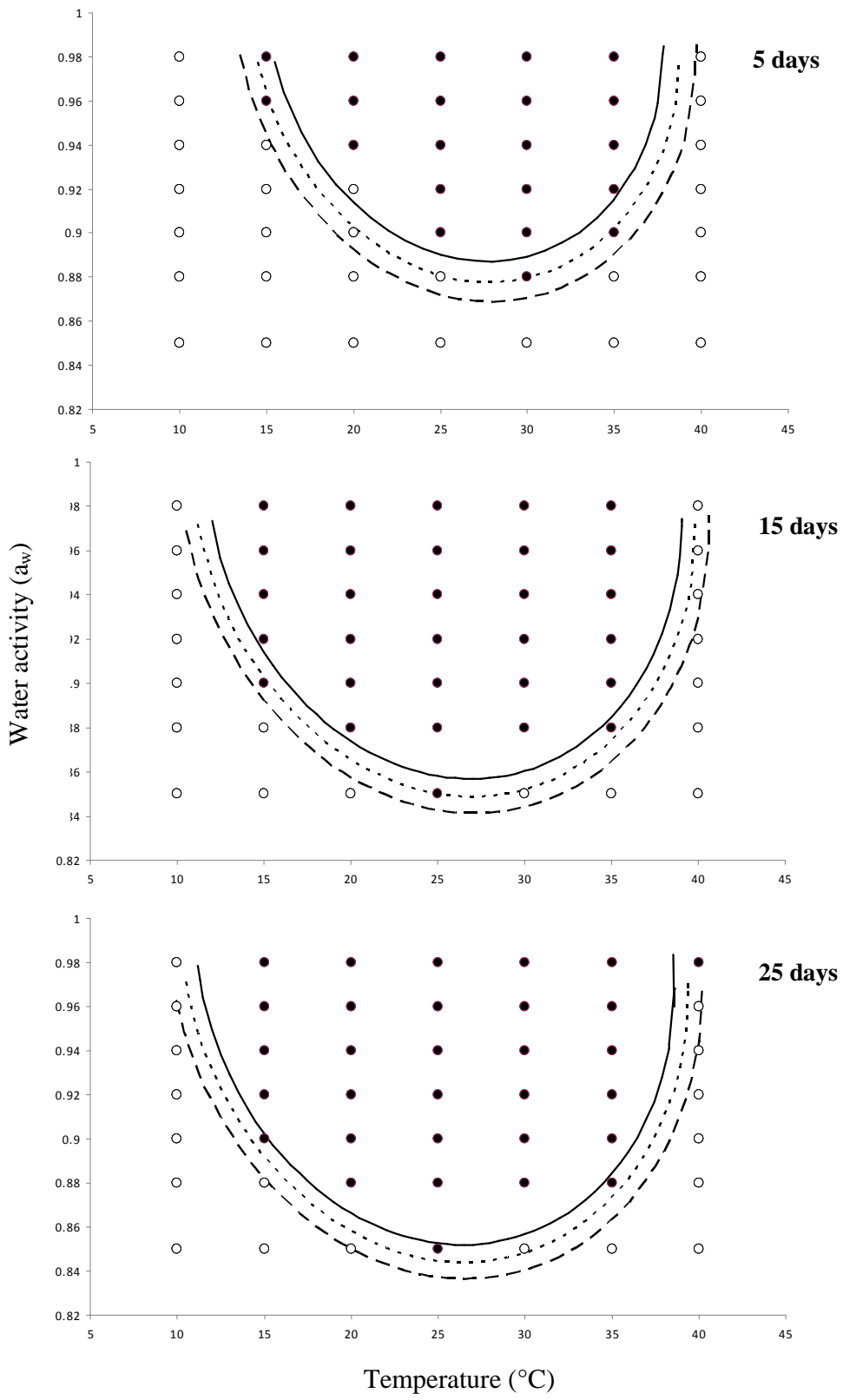
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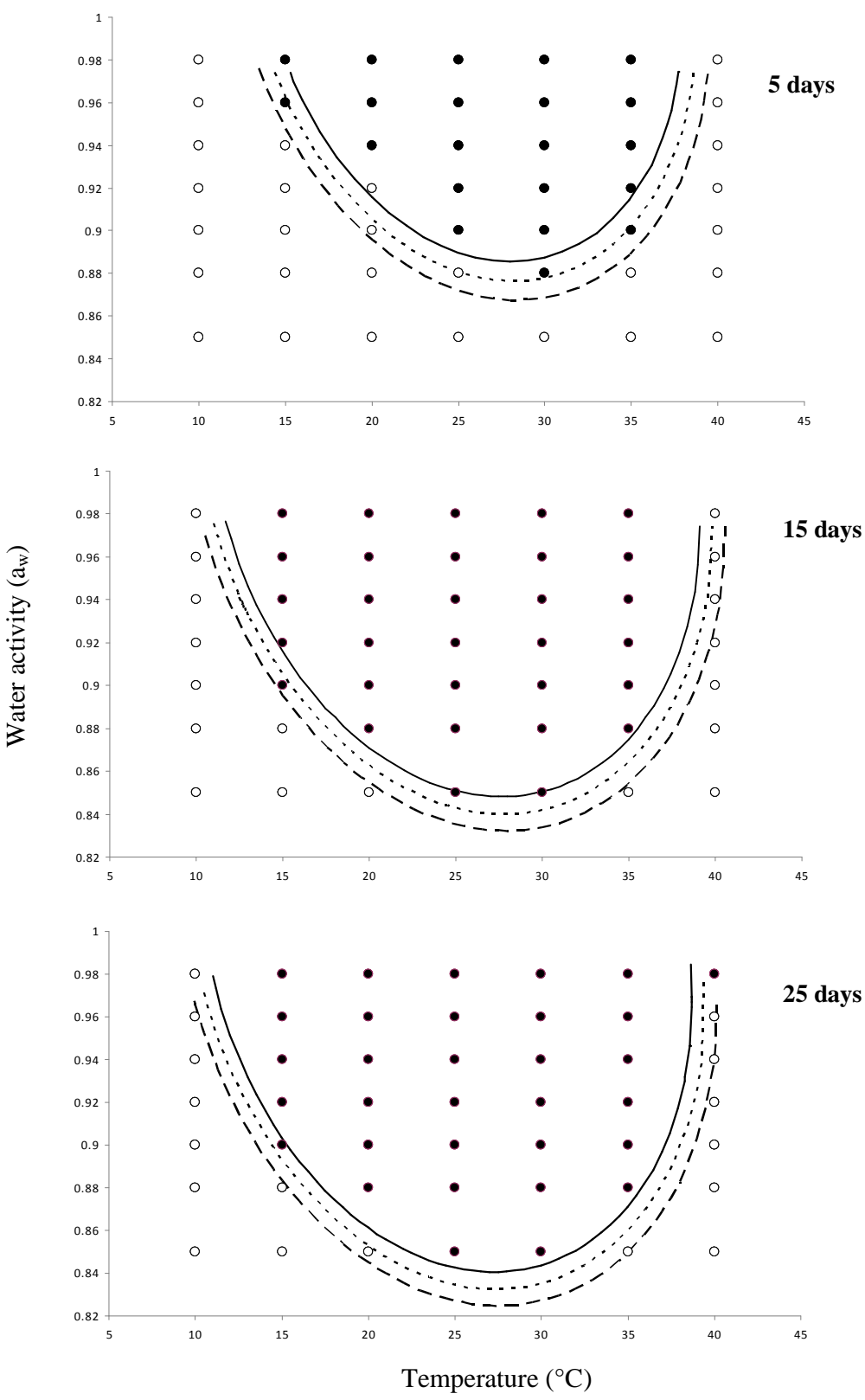
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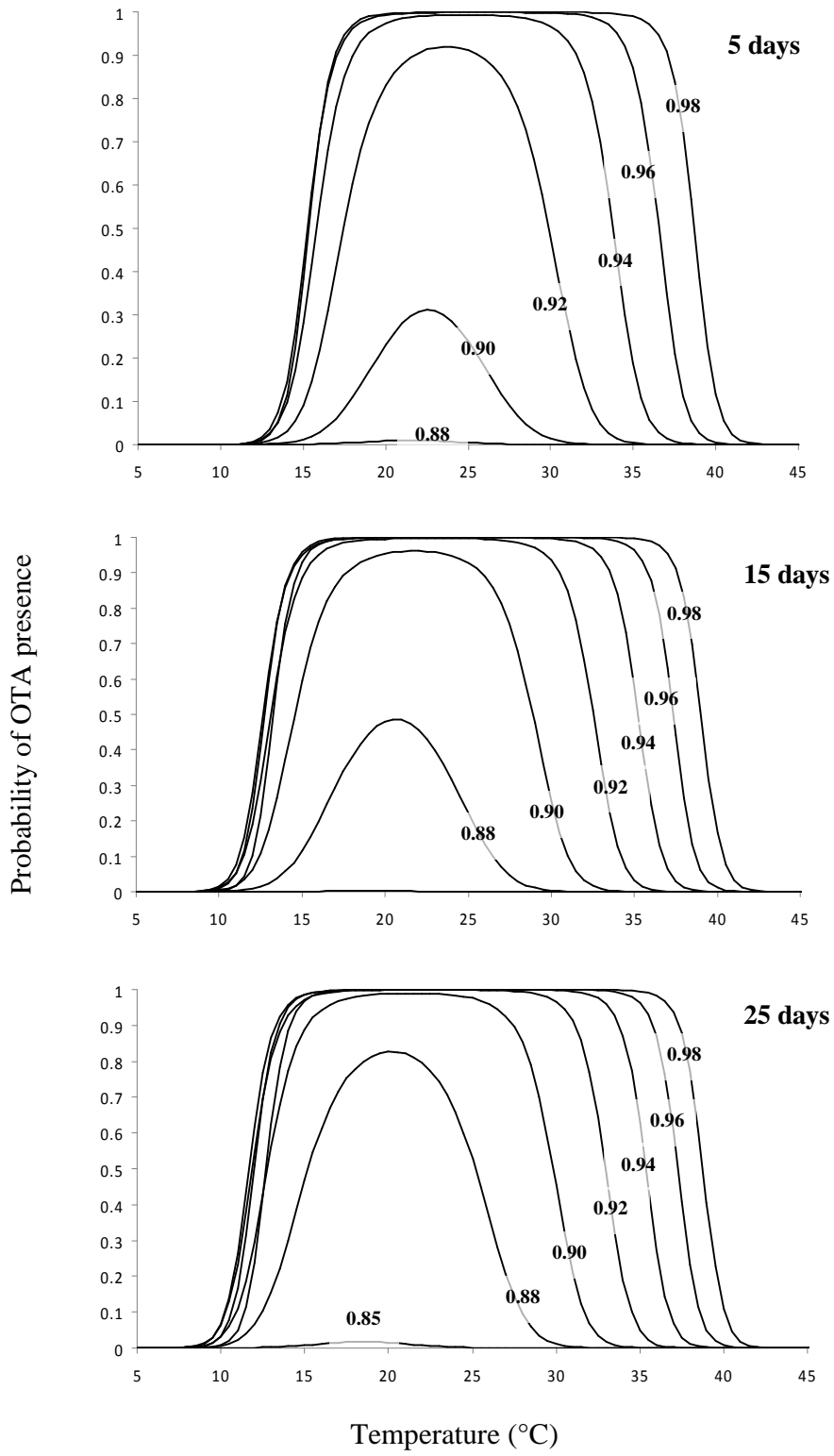


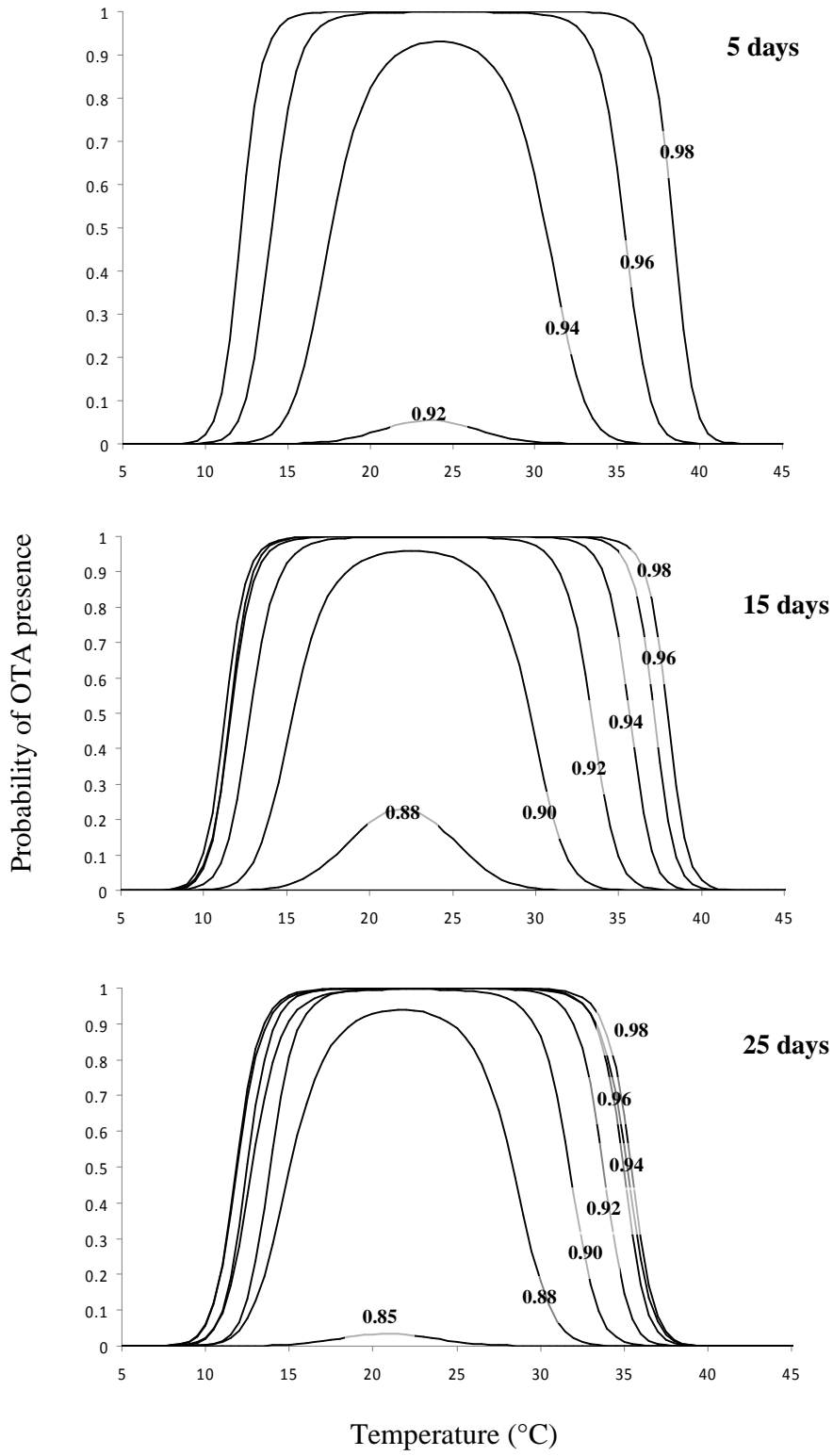
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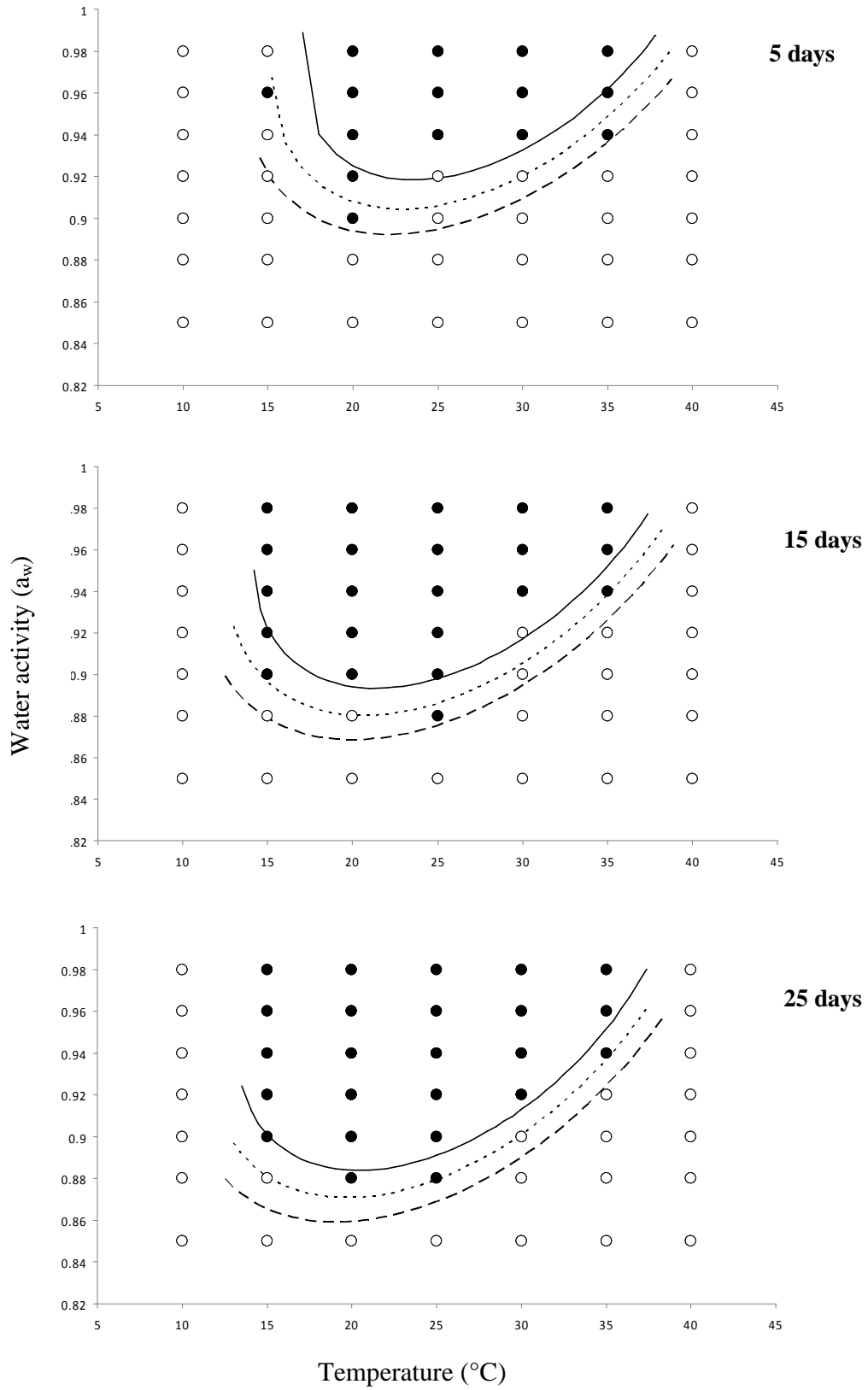


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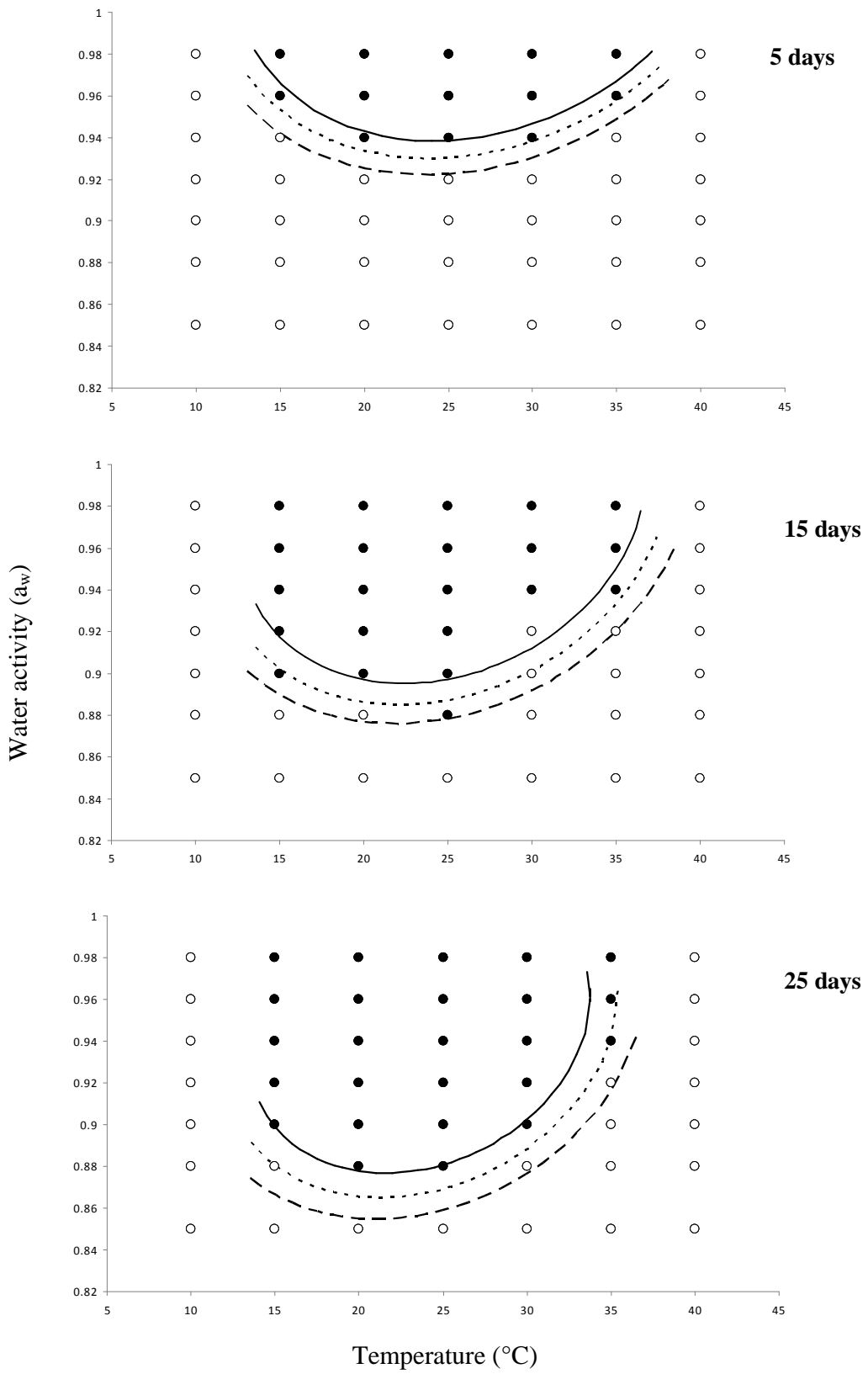








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1 **Table 1** Estimated parameters and statistical indices of the logistic regression model for the
 2 growth/no growth interface of *Aspergillus carbonarius* ATHUM 5659
 3

Parameter	Estimated value	Standard Error	<i>P</i>
Intercept	-1163.41	243.25	0.000
<i>t</i>	3.76	1.22	0.002
<i>T</i>	7.45	1.40	0.000
<i>a_w</i>	2144.02	475.82	0.000
<i>t</i> ²	-0.035	0.013	0.008
<i>T</i> ²	-0.095	0.017	0.000
<i>a_w</i> ²	-1040.71	242.24	0.000
<i>t</i> · <i>T</i>	-0.022	0.006	0.001
<i>t</i> · <i>a_w</i>	-1.95	1.14	n.s.
<i>T</i> · <i>a_w</i>	-2.30	0.78	0.003
Hosmer-Lemeshow	11.98 (<i>df</i> = 8, <i>P</i> = 0.152)		
Maximum rescaled <i>R</i> ²	0.845		
Concordant rate (%)	99.4		
Discordant rate (%)	0.6		
False positive ^a	4.1%		
False negative ^b	1.4%		

4
 5 ^a Growth was not observed when the model predicted growth at probability *P* > 0.5
 6 ^b Growth was observed when the model predicted no growth at probability *P* < 0.5
 7 n.s.: Not significant (*P* > 0.05)

1 **Table 2** Estimated parameters and statistical indices of the logistic regression model for the
 2 growth/no growth interface of *Aspergillus carbonarius* ATHUM 5660
 3

Parameter	Estimated value	Standard Error	<i>P</i>
Intercept	-1083.51	260.13	0.000
<i>t</i>	4.85	1.42	0.001
<i>T</i>	9.39	1.74	0.000
<i>a_w</i>	1894.01	504.45	0.000
<i>t</i> ²	-0.039	0.014	0.006
<i>T</i> ²	-0.106	0.018	0.000
<i>a_w</i> ²	-874.51	254.41	0.001
<i>t</i> · <i>T</i>	-0.022	0.006	0.001
<i>t</i> · <i>a_w</i>	-2.91	1.33	0.030
<i>T</i> · <i>a_w</i>	-3.72	0.98	0.000
Hosmer-Lemeshow	0.82 (<i>df</i> = 8, <i>P</i> = 0.999)		
Maximum rescaled <i>R</i> ²	0.869		
Concordant rate (%)	99.5		
Discordant rate (%)	0.5		
False positive ^a	3.4%		
False negative ^b	0.7%		

4
 5 ^a Growth was not observed when the model predicted growth at probability *P* > 0.5

6 ^b Growth was observed when the model predicted no growth at probability *P* < 0.5
 7

1 **Table 3** Estimated parameters and statistical indices of the logistic regression model for OTA
 2 presence of *Aspergillus carbonarius* ATHUM 5659

3

Parameter	Estimated value	Standard Error	<i>P</i>
Intercept	-1619.59	247.17	0.001
<i>t</i>	2.73	1.46	0.042
<i>T</i>	-3.36	1.10	0.002
<i>a_w</i>	1709.83	528.52	0.001
<i>t</i> ²	-0.009	0.011	n.s.
<i>T</i> ²	-0.062	0.008	0.000
<i>a_w</i> ²	-933.25	284.14	0.001
<i>t</i> · <i>T</i>	-0.011	0.004	0.033
<i>t</i> · <i>a_w</i>	-2.12	1.50	n.s.
<i>T</i> · <i>a_w</i>	6.94	1.42	0.000
Hosmer-Lemeshow	4.05 (<i>df</i> = 8, <i>P</i> = 0.852)		
Maximum rescaled <i>R</i> ²	0.856		
Concordant rate (%)	98.9		
Discordant rate (%)	1.1		
False positive ^a	1.4%		
False negative ^b	2.7%		

4
 5 ^a OTA absence was observed when the model predicted presence at probability *P* > 0.5
 6 ^b OTA presence was observed when the model predicted absence at probability *P* < 0.5
 7 n.s.: Not significant (*P* > 0.05)

1 **Table 4** Estimated parameters and statistical indices of the logistic regression model for OTA
 2 presence of *Aspergillus carbonarius* ATHUM 5660

3

Parameter	Estimated value	Standard Error	<i>P</i>
Intercept	-1395.49	285.59	0.000
<i>t</i>	16.07	3.11	0.000
<i>T</i>	-0.247	0.098	0.008
<i>a_w</i>	2640.74	580.71	0.000
<i>t</i> ²	-0.051	0.011	0.001
<i>T</i> ²	-0.061	0.008	0.000
<i>a_w</i> ²	-1276.59	300.49	0.000
<i>t</i> · <i>T</i>	-0.006	0.005	n.s.
<i>t</i> · <i>a_w</i>	-15.18	3.01	0.000
<i>T</i> · <i>a_w</i>	3.47	1.09	0.002
Hosmer-Lemeshow	12.14 (<i>df</i> = 8, <i>P</i> = 0.145)		
Maximum rescaled <i>R</i> ²	0.832		
Concordant rate (%)	99.1		
Discordant rate (%)	0.9		
False positive ^a	0.7%		
False negative ^b	2.0%		

4
 5 ^a OTA absence was observed when the model predicted presence at probability *P* > 0.5

6 ^b OTA presence was observed when the model predicted absence at probability *P* < 0.5

7 n.s.: Not significant (*P* > 0.05)

8

1 **Table 5** Validation of growth/no growth logistic model using independent data of Bellí *et al.*
 2 2005^a

a _w	Temperature (°C)	Observed ^b growth	Logistic model ^c
0.90	15	0	1
0.93	15	1	1
0.95	15	1	1
0.90	20	1	1
0.93	20	1	1
0.95	20	1	1
0.90	30	1	1
0.93	30	1	1
0.95	30	1	1
0.90	35	1	1
0.93	35	1	1
0.95	35	1	1
0.90	37	1	1
0.93	37	1	1
0.95	37	1	1

3
 4 ^a Data of eight strains of *A. carbonarius* (W9, W37, W38, W89, W104, W120, W128, W198) for
 5 which there was no observed growth at 15°C and 0.90 a_w

6 ^b After 20 days of incubation, growth = 1; no growth = 0

7 ^c Growth prediction by logistic model using $P > 0.5$ denotes growth = 1; no growth = 0

8

1 **Table 6** Validation of growth/no growth logistic model using data of Bellí *et al.* 2004

2

Strain	a_w	Temperature (°C)	Observed growth ^a	Logistic model ^b
	0.90	10	0	0
	0.93	10	0	0
	0.95	10	1	0
	0.98	10	1	0
	0.90	15	1	1
	0.93	15	1	1
	0.95	15	1	1
	0.98	15	1	1
	0.90	20	1	1
	0.93	20	1	1
<i>A. carbonarius</i> (36br4)	0.95	20	1	1
<i>A. carbonarius</i> (A0933)	0.98	20	1	1
<i>A. carbonarius</i> (Mu644)	0.90	25	1	1
	0.93	25	1	1
	0.95	25	1	1
	0.98	25	1	1
	0.90	30	1	1
	0.93	30	1	1
	0.95	30	1	1
	0.98	30	1	1
	0.90	37	1	1
	0.93	37	1	1
	0.95	37	1	1
	0.98	37	1	1
	0.90	10	0	0
	0.93	10	0	0
	0.95	10	0	0
	0.98	10	1	0
	0.90	15	1	1
	0.93	15	1	1
	0.95	15	1	1
	0.98	15	1	1
	0.90	20	1	1
	0.93	20	1	1
	0.95	20	1	1
<i>A. carbonarius</i> (01UAs294)	0.98	20	1	1
	0.90	25	1	1
	0.93	25	1	1
	0.95	25	1	1
	0.98	25	1	1
	0.90	30	1	1
	0.93	30	1	1
	0.95	30	1	1
	0.98	30	1	1
	0.90	37	1	1
	0.93	37	1	1
	0.95	37	1	1
	0.98	37	1	1

3

4 ^a After 20 days of incubation, growth = 1; no growth = 0

5 ^b Growth prediction by logistic model using $P > 0.5$ denotes growth = 1; no growth = 0

1 **Table 7** Validation of logistic model for OTA presence/absence using data of Bellí *et al.* 2005

2

Strain	a _w	Temperature (°C)	Observed ^a	Logistic model ^b
<i>A. carbonarius</i> (W9) <i>A. carbonarius</i> (W38)	0.90	15	0	0
	0.93	15	0	0
	0.95	15	0	1
	0.90	20	0	0
	0.93	20	0	1
	0.95	20	1	1
	0.90	30	0	0
	0.93	30	0	1
	0.95	30	1	1
	0.90	35	0	0
	0.93	35	0	0
	0.95	55	0	1
	0.90	37	0	0
	0.93	37	0	0
	0.95	37	0	0
<i>A. carbonarius</i> (W89) <i>A. carbonarius</i> (W128) <i>A. carbonarius</i> (W198)	0.90	15	0	0
	0.93	15	0	0
	0.95	15	0	1
	0.90	20	0	0
	0.93	20	0	1
	0.95	20	1	1
	0.90	30	0	0
	0.93	30	0	1
	0.95	30	0	1
	0.90	35	0	0
	0.93	35	0	0
	0.95	35	0	1
	0.90	37	0	0
	0.93	37	0	0
	0.95	37	0	0
<i>A. carbonarius</i> (W37)	0.90	15	0	0
	0.93	15	0	0
	0.95	15	0	1
	0.90	20	0	0
	0.93	20	1	1
	0.95	20	1	1
	0.90	30	0	0
	0.93	30	0	1
	0.95	30	0	1
	0.90	35	0	0
	0.93	35	0	0
	0.95	35	0	1
	0.90	37	0	0
	0.93	37	0	0
	0.95	37	0	0

3

4 ^a After 7 days of incubation, presence (>l.d.) = 1; absence (<l.d.) = 0

5 ^b Predicted OTA by logistic model using $P > 0.5$ denotes presence = 1; absence = 0