

1 **Combining frequency domain reflectometry and visible and near infrared**
2 **spectroscopy for assessment of soil bulk density**

3
4 Raed A. Al-Asadi, Abdul M. Mouazen*

5 ^aNatural Soil Resources Institute, Environmental Science and Technology Department,
6 Cranfield University, Bedfordshire, MK43 0AL, United Kingdom

7 E-mail of corresponding author: a.mouazen@cranfield.ac.uk

8
9 **Abstract**

10 This paper introduces a new approach for the assessment of soil bulk density (BD), which
11 relies on an existed model to predict BD as a function of a visible and near infrared
12 spectroscopy (vis-NIRS) measured gravimetric moisture content (ω) and a frequency domain
13 reflectometry (FDR) measured volumetric moisture content (θ_v). A total of 1013 soil samples
14 collected from England and Wales, from 32 arable and grassland fields with different soil
15 types were measured with a vis-NIR spectrophotometer (LabSpec®Pro Near Infrared
16 Analyzer, Analytical Spectral Devices, Inc, USA) after *in situ* measurement with a
17 ThetaProbe FDR (Delta-T Device Ltd). Two calibration methods of the vis-NIRS were
18 tested, namely, partial least squares regression (PLSR) and artificial neural network (ANN).
19 ThetaProbe calibration was performed with traditional methods and ANN. ANN analyses
20 were based on a single input or multiple input variables (data fusion). During ANN - data
21 fusion analysis, vis-NIRS spectra and ThetaProbe output voltage (V) were fused in one
22 matrix with or without laboratory measured texture fractions and organic matter content
23 (OM). For the vis-NIRS and ThetaProbe traditional calibration, samples were divided into
24 calibration (75 %) and prediction (25 %) sets, whereas for the ANN analysis these were
25 divided into calibration (65%), test (10%) and independent validation (25%) sets. Results

26 proved that high measurement accuracy can be obtained for ω and θ_v with PLSR and the best
27 performing traditional calibration method of the ThetaProbe with R^2 values of 0.91 and 0.97,
28 and root mean square error of prediction (RMSEp) of 0.027 g g^{-1} and $0.019 \text{ cm}^3 \text{ cm}^{-3}$,
29 respectively. However, the ANN – data fusion resulted in improved accuracy ($R^2 = 0.98$ and
30 $\text{RMSEp} = 0.014 \text{ g g}^{-1}$ and $0.015 \text{ cm}^3 \text{ cm}^{-3}$, respectively). This data fusion approach led to the
31 best accuracy for BD assessment when vis-NIRS spectra and ThetaProbe V only were used as
32 input data ($R^2 = 0.81$ and $\text{RMSEp} = 0.095 \text{ g cm}^{-3}$). It can be concluded that BD can be
33 measured by combining the vis-NIRS and FDR techniques based on ANN-data fusion
34 approach.

35

36 Keywords: Bulk density, multi-sensor, data fusion, vis-NIR spectroscopy, FDR.

37

38 **1. Introduction**

39 Forest, arable and grasslands are important natural resources, which have been subjected to
40 artificial and natural compression stresses through the ages. Heavy agriculture machinery,
41 intensive use of the arable lands and livestock impact on grasslands during the wet soil
42 conditions, are among the major factors causing compression stresses, which lead to soil
43 compaction (Vrindts et al., 2005). Soil compaction is normally associated with damage of the
44 soil structure, deterioration of physical and hydraulic properties and creation of unfavourable
45 conditions for plant root system. Compacted soils demand large amount of fertilisers, in order
46 to substitute the small volume available for plant roots, which might cause contamination
47 hazardous of the ground water by the deep percolation or the run off to the surface water
48 (Soane and van Ouwerkerk, 1995). Highly compacted soils can be considerably of low
49 productivity and require more mechanical power for soil preparations. Among other
50 parameters used to assess soil compaction, bulk density (BD) that is the closer packing of

51 solid particles or the reduction in porosity is a widely used parameter (Grossman, 1981;
52 Bardy, 1984; Singh et al., 1992; Wuest et al., 2009). However, BD might be considered as a
53 sing of soil compaction, as it does not necessarily reflect soil functioning (e.g. air and water
54 movement) (Quraishi and Mouazen, 2013a). Other parameters e.g. saturated hydraulic
55 conductivity and infiltration rate are more closely related to soil compaction (Fleige and
56 Horn, 2000), as compared to BD. However, in comparison with the latter parameters,
57 assessment of BD with a portable system is possible (Quraishi and Mouazen, 2013a) and
58 enables faster, easier and more cost effective data acquisition, which is particularly useful for
59 precision agriculture applications.

60 The most common traditional method for BD measurement is the core sampling method (e.g.
61 Kopecki ring), which is laborious, time consuming, expensive and difficult to conduct under
62 dry soil conditions (Quraishi and Mouazen, 2013b). This is the reason why penetrometers to
63 measure soil penetration resistance, known as cone index is widely used to map the variation
64 in soil compaction with depth (Sun et al., 2011). However, Mouazen and Ramon (2006)
65 explained that penetration resistance is simultaneously affected by moisture content, texture,
66 BD and organic matter content (OM). Therefore, a new method to measure BD is required
67 that should be fast, easy, cost effective and do not need an expert operator.

68 For years, visible and near infrared spectroscopy (vis-NIRS) has provided a proven and
69 versatile analytical method for soil analyses (Viscarra Rossel & McBratney, 1998; Shepherd
70 & Walsh, 2002; Clark et al, 2005; Mouazen et al, 2010; Stenberg et al., 2010). It is fast
71 measurement technique, non-destructive and cost effective (Mouazen et al., 2005). It was
72 successfully used to measure gravimetric moisture content (ω) under laboratory non-mobile
73 measurement conditions (Dalal and Henry, 1986; Slaughter et al., 2001; Lobell and Anser,
74 2002; Mouazen et al., 2006a) and on-line mobile conditions (Mouazen et al., 2005). These

75 successful applications were attributed to the strong influence of O-H bond on vis-NIR
76 spectra of soils (Kuang et al., 2012; Stenberg et al., 2010).

77 The measurement of dielectric constant (K) based on frequency domain reflectometry (FDR)
78 is a popular technique for the measurement of soil volumetric moisture content (θ_v) (Topp et
79 al., 1980; Miller and Gaskin, 1997; Robinson et al., 1999). This is due to the fact that K of the
80 water (~ 80) is significantly greater than that of the dry soil matrix materials (~ 4) and of the
81 air (~ 1). ThetaProbe (Delta-T Devices Ltd., 1999) was reported to be capable to measure soil
82 θ_v with $\pm 0.01 \text{ m}^3 \text{ m}^{-3}$ accuracy after a single two-point gravimetric calibration, although,
83 $\pm 0.05 \text{ m}^3 \text{ m}^{-3}$ accuracy can be achieved when generalised calibration by the manufacturer is
84 applied (Foley and Harris, 2007; Kaleita et al., 2005; Jones et al., 2002; Walker et al., 2004).

85 Multiple sensor and data fusion is being introduced as a new concept in proximal soil sensing
86 (Kuang et al., 2012). Data fusion is an important tool that may improve the performance of a
87 detecting system while various integrated sensors are available (Mahmood et al., 2009).

88 Despite the fact that this is a new concept, several studies were reported for non-mobile
89 (Hummel et al., 2004; Quraishi and Mouazen, 2013b) and mobile (Glancey et al., 1989;
90 Mouazen et al., 2003; Adamchuk et al., 2004; Mouazen et al., 2005; Mouazen and Ramon,
91 2006; Naderi-Boldaji et al., 2012; Quraishi and Mouazen, 2013c) measurement conditions.

92 Quraishi and Mouazen (2013b) reported a data fusion approach of BD assessment, based on
93 the fusion of data on ω , OM and clay content (C), measured with a vis-NIR
94 spectrophotometer and penetration resistance measured with a penetrometer. However, a
95 large number of variables e.g. ω , OM, C and penetration resistance is needed as input for the
96 artificial neural network (ANN) to predict BD is required. The accumulated error of vis-NIR
97 measurement of ω , OM and C would sum up to a considerable error of BD assessment.

98 Therefore, a simpler approach is needed that is based on a fusion of fewer input variables
99 (e.g. ω and θ_v), where error in BD assessment is small.

100 The aim of the paper is to introduce a new approach for BD assessment to be adopted for
101 precision agriculture applications, which is based on a multi-sensor and data fusion approach.
102 It relies on combining the vis-NIRS measurement of ω and ThetaProbe measurement of θ_v ,
103 which are substituted into an existed model to predict BD.

104

105 **2. Materials and methods**

106 *2.1. Experimental sites and soil samples*

107 A total of 1013 undisturbed soil samples were collected, at the same time of the field
108 measurement, from 32 fields in seven locations in England e.g. Silsoe and Wilstead in
109 Bedfordshire, Haversham and Gayhurst in Buckinghamshire, Flawborough in
110 Nottinghamshire, Nafferton and Morpeth in Northumberland and from one location in Wales
111 e.g. Brecon. They have been collected from the top layer of 10-20 cm between May, 2011
112 and December, 2012. Detailed information about these fields is shown in Table 1. These
113 fields were of a wide range of soil texture (Fig. 1), moisture content, OM and BD and were
114 under different land use (Tables 1 and 2). This wide variability is advantage to allow testing
115 the applicability of the new measurement system of BD under different field conditions. Soil
116 cores were collected by a rigid ploy vinyl chloride (PVC) cylinder of 60 mm height and 50
117 mm in diameter, and were transferred to the laboratory for further analysis. All soil cores
118 were kept in the PVC cylinders sealed in plastic pages to prevent moisture losing. They
119 stored at 4 °C from the time of sampling until the time of analysis.

120

121 *2.2. Laboratory analysis*

122 Soil BD, θ_v , ω for all 1013 samples were measured by oven drying of samples at 105°C for
123 24 h (British Standards, 2007). Particle size distribution (PSD) and OM were measured for
124 average field samples. The PSD was measured by sieving and sedimentation method (British

125 Standards, 1998). Soil OM was measured with a TrusSpecCNS spectrometer (LECO
126 Corporation, St. Joseph, MI, USA), using the Dumas combustion method (British Standards,
127 2000). Results of laboratory analyses are shown in Tables 1 and 2.

128

129 ***2.3. Soil bulk density estimation***

130 The following relationship exists between BD, ω and θ_v (Wijaya et al., 2004):

131

$$132 \quad BD = \theta_v / \omega \quad (1)$$

133 Where: BD is the soil bulk density in g cm^{-3} , θ_v is the volumetric moisture content in $\text{cm}^3 \text{ cm}^{-3}$
134 and ω is the gravimetric moisture content in g g^{-1} .

135 The hypothesis of this study is that by substituting θ_v measured with a ThetaProbe and ω
136 measured with a vis-NIR spectrophotometer into Eqn (1), BD can be derived with acceptable
137 accuracy as compared to the oven drying method of soil samples at 105 °C for 24 h. This
138 hypothesis will be tested in this study.

139

140 ***2.4. ThetaProbe and visible and near infrared spectroscopy***

141 A ThetaProbe and a vis-NIR spectrophotometer were used to measure θ_v and ω , respectively.

142 A detailed description of the measurement is provided in the following subsections.

143

144 **2.4.1. ThetaProbe description**

145 ThetaProbe (Delta-T Devices Ltd., 1999) is the commercial name of dielectric probe to
146 measure θ_v . It has been developed jointly by the Macaulay Land Use Research Institute,
147 Scotland and Delta-T Devices Ltd, Cambridge. ThetaProbe consists of waterproof housing,
148 which contains electronic circuit attached to it at one end, and four parallel stainless steel rods
149 of 60 mm long and 3 mm in diameter, to be inserted into the soil and at the other end

150 input/output cable (Fig. 2). The electronic circuit generates and emits electromagnetic signal
151 of sinusoidal shape, which is applied to an internal transmission line to the array of four rods.
152 The impedance of this array varies according to the impedance of the soil, which has two
153 components, namely, the apparent dielectric constant (K) and the ionic conductivity. A 100
154 MHz of frequency was chosen in order to minimise the effect of ionic conductivity, so that
155 changes in the transmission line impedance dependent almost solely on the soil's apparent K .
156 Water content determines K of the soil, as K of the water (~81) is much higher than K of the
157 soil (3 to 5) and that of the air (1). The traveling electromagnetic wave through the soil mass
158 will cause a voltage standing wave to be set up from the interference of the emitted signal and
159 its reflected component. By measuring this voltage amplitude, K of the soil can be obtained
160 and thus θ_v . More details can be found in Gaskin in Miler (1996) and Miller and Gaskin
161 (1997). Kaleita et al. (2005) studied the effect of soil temperature on laboratory calibration of
162 ThetaProbe, and found insignificantly differences in the accuracy for a temperature range of
163 10 to 40 °C. Insignificant effect of soil salinity in the range of 250 - 2000 mS m⁻¹ was
164 confirmed by the ThetaProbe manufacturer (Delta-T Devices Ltd., 1999).

165

166 **2.4.2. ThetaProbe calibration and validation**

167 Three ThetaProbe readings were recorded *in situ* from the same spot (e.g. 50 cm in diameter),
168 where the soil core was collected. Additional reading was also recorded from the soil core
169 itself. These four readings were averaged in one final reading. In this study, five methods for
170 the calibration of ThetaProbe were tested, namely, manufacturer (M), specific soil calibration
171 (SSC), general formula (GF) (Topp et al. 1980), and ThetaProbe output voltage (OV) and
172 ANN. The input for all calibration was the readout of the ThetaProbe only. In the following
173 subsections, the first four methods are explained, whereas, for simplicity, ANN is explained
174 in a later section.

175

176 **2.4.2.1. Manufacturer calibration method (M)**

177 The general calibration by the manufacturer of the device is a pre-set programme at
178 ThetaProbe digital moisture meter type (HH2), which provides instant readout of θ_v and also
179 V . It comprises two calibration options for mineral and organic soils (Delta-T Devices Ltd.,
180 1999). It is based on the following third order relationship between K and V :

181

$$\sqrt{K} = 1.07 + 6.4V - 6.4V^2 + 4.7V^3 \quad (2)$$

182

183 Where \sqrt{K} is the square root of the dielectric constant and V is the output voltage reading of
184 the ThetaProbe.

185 By substituting \sqrt{K} into the following equations, θ_v can be calculated for mineral and organic
186 soils, respectively:

187

$$\theta_v = \frac{\sqrt{k}-1.6}{8.4} \quad (3)$$

$$\theta_v = \frac{\sqrt{k}-1.3}{7.7} \quad (4)$$

188

189 **2.4.2.2. Specific soil calibration method (SSC)**

190 This method relies on Eqn. (1), but is used for specific soil types. To calculate θ_v for a
191 specific soil, the following linear relationship between \sqrt{K} and θ_v was established (Delta-T
192 Devices Ltd., 1999):

193

$$\theta_v = \frac{\sqrt{K}-a_0}{a_1} \quad (5)$$

194

195 Where a_1 and a_0 are coefficients for wet and dry soil sample, respectively. a_0 is considered
196 equal to $\sqrt{K_0}$ (Gaskin and Miller, 1996). However, a_1 is calculated from the following
197 equation:

$$a_1 = \frac{\sqrt{K_1} - \sqrt{K_0}}{\theta_{vt}} \quad (6)$$

198
199
200 $\sqrt{K_1}$ is the square root of the dielectric constant of the wet undisturbed soil sample, $\sqrt{K_0}$ is
201 the square root of the dielectric constant of the dried undisturbed soil sample, both $\sqrt{K_1}$
202 and $\sqrt{K_0}$ were measured using Eqn. (2), θ_{vt} is measured volumetric moisture content by oven
203 drying of samples for 105 °C for 24 h.

204

205 **2.4.2.3. General formula calibration method (GF)**

206 This method relies on the concept that K can be measured from the standing voltage of the
207 soil matrix and thus indicates θ_v . Topp et al., (1980) established the universal equation to
208 express the relation between θ_v and K of many soil types, collected from all over the world,
209 which is written as follows:

210

$$\theta_v = -0.053 + 0.0292K - 0.00055K^2 + 0.0000043K^3 \quad (7)$$

211

212 The K value is derived based on average measured V , which is substituted into Eqn. 7. to
213 calculate θ_v .

214

215 **2.4.2.4. Output voltage calibration method (OV)**

216 In this method a direct relationship between V and θ_v was established based on *in situ*
217 measurement of ThetaProbe of soils collected in the current study. The average spot

218 ThetaProbe output readings of 1013 samples were divided into two sets, namely, calibration
219 (75%) and independent validation set (25%). The former was used to generate the
220 relationship between θ_v and V , whereas the latter was used to validate the calibration
221 equation developed.

222

223 **2.5. Visible and near infrared spectrophotometer calibration and validation**

224 The fresh, remoulded soil samples were scanned in the laboratory in three replicates, by a
225 LabSpec vis-NIR portable spectrophotometer (LabSpec Pro Near Infrared Analyzer,
226 Analytical Spectral Devices, Inc, USA) (ASDi). The diffuse reflected light from the top 2-3
227 mm layer of 117.75 cm³ cylindrical samples was collected. The spectrophotometer has one Si
228 array (350 – 1000 nm) and two Peltier cooled InGaAs detectors (1000 –1800 nm and 1800 –
229 2500 nm). Spectra sampling interval of the instrument was 1 nm across the entire spectra
230 range. However, the spectral resolution was 3 nm at 700 nm and 10 nm at 1400 and 2100 nm.
231 A high intensity probe with a light source built in of a quartz-halogen bulb of 3000 K° light
232 was used. The detection fibres were gathered in the high intensity probe enclosing 35° angle.
233 Before scanning, only large plant residues, debris and stones were removed from the fresh
234 samples (Mouazen et al., 2005). Different amounts of non-sieved soil according to different
235 textures were packed in Petri dishes of a 1.0 cm height by 3.6 cm in diameter. Soil in a Petri
236 dish was mixed properly and a gentle pressure was applied on the surface with a spatula to
237 generate levelled and smooth surface to ensure a maximum diffuse reflection and thus a good
238 signal-to-noise ratio (Mouazen et al., 2007). Before the soil samples were scanned and at
239 intervals of 30 min, a white reference Spectralon disc was scanned. Three readings were
240 collected from each soil sample and these were averaged in one spectrum to be used for
241 spectra pre-treatment and model establishment.

242

243 **2.5.1. Spectra pre-treatment and establishment of calibration model**

244 Spectra pre-treatment aimed to reduce spurious peaks that do not contain physical or
245 chemical information and to correct physical scatter effects. Soil spectra range was first
246 reduced to 500– 2200 nm, to eliminate noise at both edges and to enhance calibration
247 accuracy for ω measurement (Mouazen et al., 2005). After noise cut, spectra were reduced by
248 averaging 10 successive wavelengths. Maximum normalisation was followed, which is
249 typically used to get all data to approximately the same scale, or to get a more even
250 distribution of the variances and the average values. The maximum normalisation is a
251 normalisation that “polarizes” the spectra. The peaks of all spectra with positive values were
252 scaled to + 1, while spectra with negative values were scaled to – 1. Since soil spectra have
253 maximum positive values, the peaks of these spectra were scaled to + 1 (Mouazen et al.,
254 2005). The maximum normalisation led to better results for ω measurement, compared to
255 other pre-treatment options tested. Spectra were then subjected to Savitzky–Golay first
256 derivation transformation (Martens and Naes, 1989). This method enables to compute the
257 first or higher order derivatives, including a smoothing factor, which determines how many
258 adjacent variables will be used to estimate the polynomial approximation used for
259 derivatives. A second order polynomial approximation was selected. A 2:2 smoothing was
260 carried out after the first derivative to decrease noise from the measured spectra. All pre-
261 treatment steps were carried out using Unscrambler 7.8 software (Camo Inc.; Oslo, Norway).
262 The entire 1013 soil spectra were divided into calibration (75%) and independent validation
263 (25%) sets. Partial least squares regression (PLSR) was implemented using the calibration set
264 to relate the variation in one response variable (e.g. ω) to the variation in multi-spectra
265 wavelengths, using Unscrambler 7.8 software (Camo Inc.; Oslo, Norway). It is a bilinear
266 modelling method where information in the original x data is projected onto a small number
267 of underlying (“latent”) variables called PLS components. The y data are actively used in

268 estimating the “latent” variables to ensure that the first components are those that are most
269 relevant for predicting the y variables. Interpretation of the relationship between x data and y
270 data is then simplified as this relationship is concentrated on the smallest possible number of
271 components. More detailed information about the PLSR can be found in Martens and Naes
272 (1989).

273 To evaluate model accuracy for the measurement of ω , the root mean square error of
274 prediction ($RMSEP$) of the independent validation set was considered. The coefficient of
275 determination (R^2) and residual prediction deviation (RPD), which is the ratio of standard
276 deviation (SD) values of the laboratory measured ω divided by $RMSEP$ of the independent
277 validation set were also considered to evaluate the performance of calibration models
278 (Mouazen et al., 2010). Mouazen et al. (2006b) proposed the following classes of the RPD
279 values: an RPD value below 1.5 indicates poor model predictions and that such a value could
280 not be useful; an RPD value between 1.5 and 2.0 indicates a possibility of distinguishing
281 between large and small values, while a value between 2.0 and 2.5 makes approximate
282 quantitative predictions possible. For RPD values between 2.5 and 3.0 and above 3.0, the
283 prediction is classified as good and excellent, respectively. This classification system of RPD
284 was adopted in this study. Generally, a good model performance would have high R^2 and
285 RPD values, and a small value of $RMSEP$.

286

287 **2.6. Data fusion and modelling**

288 Methods adopted in sections 2.2. and 2.3. for the measurement of θ_v and ω , respectively,
289 were based solely on output signal of ThetaProbe or vis-NIRS, respectively. In this section,
290 the measurement of θ_v and ω is based on fusion of output data from both sensing techniques
291 (V and spectra) with or without data obtained from laboratory analysis on sand (S in %), silt
292 (SL in %), C in % and OM in %. However, in order to compare with other data fusion

293 models, input data of V or soil spectra were used for the measurement of θ_v and ω ,
294 respectively.

295 One of the tools available for data fusion is the ANN. Neural networks are simplified models
296 of the biological structure of human brains (Günaydin, 2009). There are three main layers in
297 the ANN structure, namely, a set of input nodes, one or more layers of hidden nodes and a set
298 of output nodes. In this study, different number of nodes was used in each layer depending on
299 the input data used (Fig. 3). For instance, the number of nodes of the hidden layer for θ_v
300 based on V only was two layers (Table 3). Seven ANN analyses were performed to develop
301 different models, according to the different input variables used (Table 3). The output layers
302 for different combinations were θ_v or ω , or both. All the modelling cases were developed
303 with Statistica software (StatSoft, USA, 2011). The powerful second order Broyden–
304 Fletcher–Goldfarb–Shanno (BFGS) training algorithms, with different transfer functions used
305 for hidden and output layers were used, as detailed in Table 3. The transfer functions
306 included hyperbolic tangent (Tanh), logarithmic (Log) and exponential (Exp). The number of
307 neurons in the hidden layer is established by training several networks with different number
308 of hidden neurons, and comparing the predicted with measured values. In this study, a hidden
309 layer with five neurons showed the best results. Data for the entire 1013 soil samples were
310 divided into training set (65%), test set (10%) and independent validation set (25%). All the
311 texture classes were included in the three sets so that the resulted models are valid for all
312 textures.

313 The measured values of ω and θ_v obtained, respectively, based either on the traditional
314 calibration of the vis-NIRS and ThetaProbe or on the ANN analyses were used to predict BD
315 using Eqn. (1). The performance of the developed models was evaluated by means of R^2 and
316 RMSEp.

317

318 3. Results and discussion

319

320 3.1. Accuracy of ThetaProbe measurement for volumetric moisture content

321 Table 4 shows the results of the measurement accuracy of θ_v with the ThetaProbe against the
322 oven drying method using M, SSC, GF, OV and ANN calibration models with one input
323 parameter (e.g. output voltage V). Results suggest that the ThetaProbe is capable to measure
324 θ_v with high accuracy even with the M calibration method, without the need for additional
325 calibration. However, slight differences can be observed between these methods. With the M
326 method, the measured values of θ_v overestimate the oven drying measured values. Working
327 with M method, Robinson et al. (1999) and Kaleita et al. (2005) observed similar
328 overestimation for a group of soil samples across a full range of moisture content. Both
329 research groups indicated that the accuracy of the ThetaProbe declined with moisture content,
330 which is a similar trend observed in the current study. The scatter plot of the ThetaProbe-M
331 predicted versus oven drying measured θ_v illustrates intercept with x axis with a value of
332 $1.12 \text{ cm}^3 \text{ cm}^{-3}$, indicating overestimation of the M model (Fig. 4). The SSC calibration
333 method performs as equal as that of the M method. However, the GF calibration method
334 provide an improved measurement accuracy ($R^2 = 0.96$ and $\text{RMSEp} = 0.020 \text{ cm}^3 \text{ cm}^{-3}$) (Table
335 4). The RMSEp obtained with these three methods (e.g. M, SSC and GF) is still larger than
336 $0.01 \text{ m}^3 \text{ m}^{-3}$, which contradicts the instruction provided by the ThetaProbe's manufacturer.
337 The OV calibration method leads to further improvement ($R^2 = 0.97$ and $\text{RMSEp} = 0.019 \text{ cm}^3$
338 cm^{-3}), as compared to the M, SSC and GF methods. ANN analysis with one input (e.g. V)
339 does not perform as good as ($R^2 = 0.96$ and $\text{RMSEp} = 0.021 \text{ cm}^3 \text{ cm}^{-3}$) the OV method.
340 However, the ANN performance is the second best after the OV method. The worst
341 performing methods are the SSC and M with the largest RMSEp values of 0.026 and 0.025
342 $\text{cm}^3 \text{ cm}^{-3}$, respectively. Cosh et al. (2005) compared the performance of the M and SSC

343 methods using 180 samples collected from arable and grassland sites with a wide range of
344 soil texture. They reported a smaller RMSEp value with SSC ($0.040 \text{ cm}^3 \text{ cm}^{-3}$), as compared
345 to M ($0.053 \text{ cm}^3 \text{ cm}^{-3}$). This RMSEp range is overall larger than that obtained in the current
346 study, although we accounted for different textures, OM and land use (Table 4).

347 The ANN calibration method based on data fusion generally provides better measurement of
348 θ_v , with best results obtained when both V and vis-NIR spectra were used as input data
349 (Table 3) for training ($R^2 = 0.98$ and $\text{RMSEp} = 0.015 \text{ cm}^3 \text{ cm}^{-3}$), in comparison with the M,
350 GF, SSC, OV and ANN-V models. Furthermore, this ANN-data fusion analysis with V and
351 spectra only performs the best among other ANN data-fusion analyses, where texture
352 fractions and OM were used as input together with V and spectra (Table 4). In addition to the
353 fact that the ANN – data fusion model results in the best measurement accuracy of θ_v , a
354 shorter time was needed to conduct ANN calibration-prediction, as compared to the single
355 input modelling methods. This technique requires only V and soil spectra to be used as input
356 data, which are anyway measured by ThetaProbe and vis-NIRS, respectively.

357 After the ANN – data fusion model, the OV calibration model with one input variable (e.g. V)
358 can be ranked as the second best predicting of θ_v (Table 4), when validated with the
359 independent validation set. By using 75% (759 samples) of the total 1013 soil samples, the
360 following 2nd order polynomial equation is established with OV method (Fig. 5):

361

$$362 \quad \theta_v = 0.52V^2 - 0.161V + 0.141 \quad (8)$$

363

364

365 Equation (8) is based on wide variations in soil type, moisture content, OM and land use
366 (Table 1) of UK soils. Therefore, it is an improved regression equation as compared, for
367 example with that reported by Kaleita et al. (2005), who attempted to relate θ_v with K , using

368 a smaller number of 100 samples only. Their regression models resulted in R^2 values of 0.85
369 and 0.77 for the laboratory and *in situ* experiments, respectively. The GF regression equation
370 of Topp et al. (1980) based on soil samples collected from all over the world, provided an
371 adequate estimation of θ_v in the range $<0.5 \text{ cm}^3 \text{ cm}^{-3}$, which covers the entire range of
372 interest in most mineral soils, with a RMSEp of $0.013 \text{ cm}^3 \text{ cm}^{-3}$. Jones et al. (2002) reported a
373 shortcoming of GF method for θ_v exceeding $0.5 \text{ cm}^3 \text{ cm}^{-3}$ in organic or mineral soils with
374 high OM or C content. The GF regression equation results in a slightly smaller accuracy
375 (RMSEp = $0.020 \text{ cm}^3 \text{ cm}^{-3}$), as compared to that (RMSEp = $0.020 \text{ cm}^3 \text{ cm}^{-3}$) obtained with
376 Eqn. (8), as shown in Table 4.

377

378 ***3.2. Accuracy of visible and near infrared spectroscopy for gravimetric moisture content*** 379 ***measurement***

380 When the vis-NIR spectra only used as input data, a smaller measurement accuracy of ω was
381 obtained with the PLSR model ($R^2 = 0.91$ and RMSEp = 0.027 g g^{-1}), as compared to the
382 ANN model ($R^2 = 0.95$ and RMSEp = 0.020 g g^{-1}) (Table 4). This is expected results, as
383 ANN has been proved to over-perform PLSR for the measurement of soil properties with vis-
384 NIRS (Khalilmoghadam et al., 2009; Mouazen et al., 2010; Viscarra Rossel and Behrens,
385 2010; Quraishi and Mouazen, 2013b). However, this is a clear contradict to the measurement
386 of θ_v . ANN – data fusion based analysis results in much improved measurement performance
387 of ω , as compared to PLSR technique. Furthermore, ANN – data fusion modelling based on
388 V and spectra, over-performs ($R^2 = 0.98$ and RMSEp = 0.014 g g^{-1}) all other ANN – data
389 fusion analyses based not only on V and spectra only, but laboratory measured texture
390 fractions and OM (Table 4). After ANN – data fusion model based on V and spectra, the best
391 second performing techniques are those based either on the fusion of V , spectra and OM or V ,
392 spectra and C ($R^2 = 0.96$ and RMSEp = 0.018 g g^{-1}).

393 High correlation between ANN – data fusion (e.g. V and soil spectra) measured and oven
394 drying measured ω can be observed in Fig. (6B). This correlation is much improved as
395 compared to that after PLSR (Fig. 6A), which exhibits non-linear behaviour. Since ANN was
396 reported to solve problems with non-linear behaviours such as that shown in Fig. (6A)
397 (Mouazen et al., 2010; Viscarra Rossel and Behrins, 2010), this non-linear behaviour
398 disappeared in Fig. (6B) after ANN – data fusion modelling. The ANN – data fusion results
399 in a RPD value of 4.45 for the independent validation set, which can be classified as excellent
400 measurement performance according to Mouazen et al. (2006b), although the PLSR also
401 results in an excellent but smaller RPD value of 3.57. Mouazen et al., (2006a) stated that the
402 performance of vis-NIRS-PLSR to predict ω is influenced by the scale of modelling. They
403 reported a lower validation accuracy for a sample set collected from multiple fields in
404 Belgium and northern France ($R^2 = 0.91$ and RPD = 3.22), as compared to that of a single-
405 field sample set ($R^2 = 0.97$ and RPD = 5.26). The accuracy of measurement obtained in the
406 current study with both PLSR and ANN – data fusion for a sample set collected from 32
407 fields in the UK is higher than that reported by Mouazen et al. (2006a), which is encouraging
408 result to suggest using the current ω models for BD assessment. Likewise for θ_v
409 measurement, ANN – data fusion technique provides the best ω measurement performance,
410 and requires the same input of V and soil spectra only (Table 4).

411

412 **3.3. Bulk density assessment**

413 Having ω and θ_v measured accurately, respectively with the vis-NIRS and ThetaProbe, they
414 are substituted in Eqn. (1) to derive BD. The accuracy of BD assessment with a single input
415 variable (e.g. V or soil spectra) or with multiple input variables (e.g. V , soil spectra, C, S, SL
416 and OM) (Table 3) is discussed in the following sections.

417

418 **3.3.1 Accuracy of bulk density assessment with a single input variable**

419 Under this BD modelling category, ω is measured based on vis-NIR spectra - PLSR, whereas
420 θ_v is measured based on V only and by means of the five calibration techniques of
421 ThetaProbe discussed above. Generally, the BD assessment in this category is not
422 encouraging ($R^2 = 0.23 - 0.53$ and $RMSEp = 0.160 - 0.190 \text{ g cm}^{-3}$). The best assessment is
423 obtained with ANN - moisture content model ($R^2 = 0.69$ and $RMSEp = 0.122 \text{ g cm}^{-3}$),
424 however, this is still with a relatively high $RMSEp$ (Table 4). Figure 7A illustrates the scatter
425 plots of estimated BD with ANN – single input variable moisture content models versus oven
426 drying measured BD. This is still valuable results, as the analysis is capable to predict BD of
427 soils with a wide range of BD variation between 1.0 and 2.0 g cm^{-3} . The intercept of the
428 linear regression equation reveals that the new system over-estimates BD, which might be
429 attributed to the relatively low accuracy of the vis-NIRS for the measurement of ω , as
430 compared to the ThetaProbe for the measurement of θ_v .

431

432 **3.3.2 Accuracy of bulk density assessment with multiple input variables (data fusion)**

433 Under this modelling category, both ω and θ_v are predicted with ANN based on different
434 combinations of input variables of vis-NIR spectra, V , S , SL , C and OM (Table 3). Generally,
435 as for the measurement performance of ω and θ_v , the assessment of BD (using Eqn. 1) with
436 ANN – data fusion techniques ($R^2 = 0.65 - 0.81$ and $RMSEp = 0.127 - 0.095 \text{ g cm}^{-3}$) over-
437 performs the corresponding assessments obtained with the single input variable methods (R^2
438 $= 0.23 - 0.53$ and $RMSEp = 0.160 - 0.187 \text{ g cm}^{-3}$) (Table 4). These results are in agreement
439 with those reported by Quraishi and Mouazen (2013b). Although high assessment accuracy
440 of BD is obtained with different ω and θ_v models of ANN – data fusion with different
441 combination of input variables, the accuracy increases with the decrease in the number of
442 input variable used for ω and θ_v analyses. This trend is clearly illustrated by the increase in

443 RMSEp values with the number of input variables used during ANN analyses (Fig. 8C) of the
444 independent validation set. However, R^2 values decrease with the increase in the number of
445 input variable (Fig. 9). This trend can be attributed to a similar trend observed for θ_v (Figs.
446 8A and 9) and ω (Figs. 8B and 9). One exception is for the ANN model based on V , spectra,
447 C and OM input variables, for which smaller accuracy can be observed, as compared to those
448 obtained with a larger number of input variables (Figs. 8 & 9). Furthermore, ANN – data
449 fusion model with V , Spec and C is less performing, as compared to that with V , Spectra and
450 OM (Figs. 8 & 9). Among all models, the ANN - data fusion with V and soil spectra only
451 used as input variables for the measurement of ω and θ_v performs the best for the assessment
452 of BD using Eqn. (1) ($R^2 = 0.81$ and $RMSEp = 0.095 \text{ g cm}^{-3}$). This is mainly attributed to a
453 much larger improvement in ω measurement, as compared to θ_v (Table 4), when ANN is
454 used. This model provides useful information about field BD with small RMSEp, to
455 recommend practical application of the new proposed system of combining vis-NIRS and
456 FDR for the assessment of BD.

457

458 **4. Conclusions**

459 The visible and near infrared spectroscopy (vis-NIRS) for the measurement of the gravimetric
460 moisture content (ω) was combined with the ThetaProbe for the measurement of the
461 volumetric moisture content (θ_v) for *in situ* assessment of soil bulk density (BD). From the
462 results obtained for 32 fields in the UK with different texture, organic matter, moisture
463 contents, and land use, the following conclusions were drawn:

- 464 1- Soil BD can be measured with the proposed new approach by substituting the vis-NIR
465 measured ω and the ThetaProbe predicted θ_v into an existed BD model with a high accuracy.
- 466 2- The accuracy of BD assessment depends on the measurement accuracy of ω and θ_v . The
467 highest accuracy ($R^2 = 0.81$ and $RMSEp = 0.095 \text{ g cm}^{-3}$) was based on ω and θ_v values

468 predicted with artificial neural network (ANN) – data fusion models with ThetaProbe output
469 voltage (V) and vis-NIRS spectra used as input variables.

470 2- The performance of the BD model based on ANN – data fusion approach deteriorated with
471 the number of input variables used to predict ω and θ_v .

472 A further research is being undertaken to improve the calibration of the ANN models, by
473 understanding and quantifying the effects of moisture, texture and land use on the
474 measurement accuracy. Investigation is being undertaken to develop a portable system by
475 implementing the results achieved in the current work.

476

477 **5. Acknowledgments**

478 Authors acknowledge the financial support of the Engineering and Physical Science Research
479 Council and The Douglas Bomford trust.

480

481 **6. References**

482

483 Adamchuk, V.I., Morgan, M.T., Sumali, H., 2001. Application of a strain gauge array to
484 estimate soil mechanical impedance on-the-go. Transactions of the ASAE, 44(6), 1377–
485 1383.

486 Bardy, N.C., 1984. The Nature and Properties of Soils, 9th ed. MacMillan Publishing Co.,
487 New York, USA.

488 British Standards, 1998. Soil quality: BS 7755: Section 5.4: 1998. Part 5: Physical methods.
489 Section 5.4: Determination of particle size distribution in mineral soil material - method
490 by sieving and sedimentation. British Standards Institution, UK.

491 British Standards, 2000. Soil improvers and growing media: BS EN 13039:2000.
492 Determination of organic matter content and ash. British Standards Institution, UK.

493 British Standards, 2007. Soil improvers and growing media: BS EN 13040:2007. Sample
494 preparation for chemical and physical tests, determination of dry matter content, moisture
495 content and laboratory compacted bulk density. British Standards Institution, UK.

496 Clark M.L., Roberts D.A, Clark D.B., 2005. Hyperspectral discrimination of tropical rain
497 forest tree species at leaf to crown scales. *Remote Sensing of Environment*, 96, 375 – 398.

498 Cosh, M.H., Jackson, T.J., Bindlish R., Famiglietti, J.S., Ryu, D., 2005. Calibration of an
499 impedance probe for estimation of surface soil water content over large regions. *Journal of*
500 *Hydrology*, 311, 49–58.

501 Dalal, R.C., Henry, R.J., 1986. Simultaneous determination of moisture, organic carbon, and
502 total nitrogen by near infrared reflectance spectrophotometry. *Soil Science Society of*
503 *America Journal*, 50, 120-123.

504 Delta-T Devices Ltd., 1999. Theta Probe Soil Moisture Sensor Type ML2x User Manual.
505 Delta-T Devices, Inc., Cambridge, England.

506 Fleige, H., Horn, R., 2000. Field experiments of the effect of soil compaction on soil
507 properties, runoff, interflow and erosion. In: Horn, R., et al. (Eds.), *Subsoil Compaction*
508 *Distribution, Processes and Consequences*. *Advance in GeoEcology*, vol. 32. CATENA
509 VERLAG, Reiskirchen, Germany, pp. 258–268.

510 Foley, J.L., Harris, E. 2007. Field calibration of ThetaProbe (ML2x) and ECHO probe (EC-
511 20) soil water sensors in a Black Vertosol. *Soil Research*, 45(3) 233–236.

512 Gaskin, G.J., J.D. Miller., 1996. Measurement of soil water content using a simplified
513 impedance measuring technique. *Journal of Agriculture Engineering Research*, 63(2): 153-
514 160.

515 Günaydin, O. 2009. Estimation of soil compaction parameters by using statistical analyses
516 and artificial neural networks, *Environmental Geology*, 57, 203-215.

517 Glancey, J.L., Upadhyaya, S.K., Chancellor, W.J., Rumsey, J.W., 1989. An instrumented
518 chisel for the study of soil-tillage dynamics. *Soil & Tillage Research*, 14, 1–24.

519 Grossman, R.B., 1981. *Bulk Density: Application, Estimation, and Field Management*
520 (second approximation). Nat. Soil Survey Lab., Soil Cons. Survey, Lincoln, NE.

521 Hummel, J.W., Ahmad, I.S., Newman, S.C., Sudduth, K.A., Drummond, S.T., 2004.
522 Simultaneous soil moisture and cone index measurement. *Transaction of the ASAE*, 47,
523 607-618.

524 Jones, S.B., Wraith, J.M., Or, D., 2002. Time domain reflectometry measurement principles
525 and applications. *Hydrol. Process.* 16, 141–153.

526 Kaleita, A.L., Heitman, J.L., Logsdon, S.D., 2005. Field calibration of the theta probe for Des
527 Moines loess soils. *Applied Engineering in Agriculture*, 21(5), 865-870.

528 Khalilmoghadam, B., Afyuni, M., Abbaspour, K.C., Jalalian, A., Dehghani, A.A., Schulin,
529 R., 2009. Estimation of surface shear strength in Zagros region of Iran – a comparison of
530 artificial neural networks and multiple-linear regression models. *Geoderma*, 153, 29–36.

531 Kuang, B., Mahmood, H.S., Quraishi, Z., Hoogmoed, W.B., Mouazen, A.M., van Henten,
532 E.J., 2012. Sensing soil properties in the laboratory, in situ, and on-line: a review. In
533 Donald Sparks, editors: *Advances in Agronomy*, 114, AGRON, UK: Academic Press,
534 155-224.

535 Lobell, D.B., Asner, G.P., 2002. Moisture Effects on Soil Reflectance. *Soil Science Society*
536 *of America Journal*, 66, 722-727.

537 Mahmood, H.S., Hoogmoed, W.B., Van Henten, E.J., 2009. Combined sensor system for
538 mapping soil properties. *Precision agriculture*, 423–430.

539 Martens, H., Naes, T., 1989. *Multivariate Calibration*, 2nd edition. John Wiley & Sons, Ltd.,
540 Chichester, United Kingdom.

541 Miller J.D., Gaskin G.J., 1997. The development and application of the ThetaProbe soil water
542 sensor. MLURI Technical note.

543 Mouazen, A.M., Dumont, K., Maertens, K., Ramon, H., 2003. Two-dimensional prediction of
544 spatial variation in topsoil compaction of a sandy loam field-based on measured horizontal
545 force of compaction sensor, cutting depth and moisture content. *Soil & Tillage Research*,
546 74(1), 91-102.

547 Mouazen, A.M., De Baerdemaeker, J., Ramon, H., 2005. Towards development of on-line
548 soil moisture content sensor using a fibre-type NI R spectrophotometer. *Soil & Tillage*
549 *Research* 80(1–2), 171– 183.

550 Mouazen A.M., Karoui, R., De Baerdemaeker, J., Ramon, H. 2006a. Characterization of soil
551 water content using measured visible and near infrared spectra. *Soil Science Society of*
552 *America Journal* 70, 1295-1302.

553 Mouazen, A.M., De Baerdemaeker, J., Ramon, H. 2006b. Effect of wavelength range on the
554 measurement accuracy of some selected soil properties using visual-near infrared
555 spectroscopy. *Journal of Near Infrared Spectroscopy*, 14(3), 189-199.

556 Mouazen, A.M., Ramon, H., 2006. Development of on-line measurement system of bulk
557 density based on on-line measured draught, depth and soil moisture content. *Soil & Tillage*
558 *Research*, 86(2), 218-229.

559 Mouazen, A.M., Maleki, M.R., De Baerdemaeker, J., Ramon, H., 2007. On-line measurement
560 of some selected soil properties using a VIS-NIR sensor. *Soil & Tillage Research*, 93(1),
561 13-27.

562 Mouazen, A.M., Kuang, B., De Baerdemaeker, J., Ramon, H., 2010. Comparison among
563 principal component, partial least squares and back propagation neural network analyses
564 for accuracy of measurement of selected soil properties with visible and near infrared
565 spectroscopy. *Geoderma*, 158, 23–31.

566 Naderi-Boldaji, M., Alimardani, R., Hemmat, A., Sharifi, A., Keyhani, A., Dolatsha, N.,
567 Keller, T., 2012. Improvement and field testing of a combined horizontal penetrometer for
568 on-the-go measurement of soil water content and mechanical resistance. *Soil and Tillage*
569 *Research*, 123, 1–10.

570 Quraishi, M.Z., Mouazen, A.M., 2013a. A prototype sensor for assessment of soil bulk
571 density. *Soil & Tillage Research*. 10.1016/j.still.2013.07.011.

572 Quraishi, M.Z., Mouazen, A.M. 2013b. Development of a methodology for in situ assessment
573 of topsoil dry bulk density. *Soil & Tillage Research* 126, 229–237.

574 Quraishi, M.Z., Mouazen, A.M. 2013c. Calibration of an on-line sensor for measurement of
575 topsoil bulk density in all soil textures. *Soil & Tillage Research* 126, 219–228.

576 Robinson, D.A., Gardner, C.M.K., Cooper, J.D., 1999. Measurement of relative permittivity
577 in sandy soils using TDR, capacitance and theta probes: comparison, including the effects
578 of bulk soil electrical conductivity. *Journal of Hydrology*, 223, 198-211.

579 Shepherd, K.D., Walsh, M.G., 2002. Development of reflectance spectral libraries for
580 characterization of soil properties. *Soil Science Society of America Journal*, 66, 988-998.

581 Singh, K.K., Colvin, T.S., Erbach, D.C., Mughal, A.Q., 1992. Tilth index: an approach to
582 quantifying soil tilth. *Transactions of ASAE*, 35 (6), 1777–1785.

583 Slaughter, D.C., Pelletier, M.G., Upadhyaya, S.K., 2001. Sensing soil moisture using NIR
584 spectroscopy. *Applied Engineering in Agriculture*, 17, 241–247.

585 Soane B.D., van Ouwerkerk C., 1995. Implications of soil compaction in crop production of
586 the quality of the environment. *Soil & Tillage Research*, 35, 5-22.

587 StatSoft, I., 2011. *STATISTICA* (data analysis software system), 10.

588 Stenberg, B., Viscarra Rossel, R.A., Mouazen, A.M., Wetterlind, J., 2010. Visible and near
589 infrared spectroscopy in soil science. In Donald Sparks, editors: *Advances in Agronomy*,
590 107, AGRON, UK: Academic Press, 163-215.

591 Sun Y., Cheng Q., Lin J., Schulze P., Lammers, Berg A., Meng F., Zeng Q., Li L. 2011.
592 Energy-based comparison between a dynamic cone penetrometer and a motor-operated
593 static cone penetrometer. *Soil & Tillage Research*, 115–116, 105–109.

594 Topp, G.C., Davis, J.L., Annan, A.P., 1980. Electromagnetic determination of soil water
595 content: measuring in coaxial lines. *Water Resources Research*, 16, 574-582.

596 Vrindts, E., Mouazen, A.M., Reyniers, M., Maertens, K., Maleki, M.R., Ramon, H., De
597 Baerdemaeker, J., 2005. Management zones based on correlation between soil
598 compaction, yield and crop data. *Biosystems Engineering*, 92(4), 419–428.

599 Viscarra Rossel, R.A., McBratney, A.B., 1998. Laboratory evaluation of a proximal sensing
600 technique for simultaneous measurement of soil clay and water content. *Geoderma*, 85,
601 19-39.

602 Viscarra Rossel, R.A., Behrens, T., 2010. Using data mining to model and interpret soil
603 diffuse reflectance spectra. *Geoderma*, 158(1–2), 46-54.

604 Walker, J.P., Houser, P.R., G.R. Willgoose., 2004. Active microwave remote sensing for soil
605 moisture measurement: A field evaluation using ERS-2. *Hydrology Processes*, 18(11),
606 1975-1997.

607 Wijaya, K., Nishimura, Y., Kato, M., Nakagawa, M., 2004. Field estimation of soil dry bulk
608 density using amplitude domain reflectometry data. *Journal of Japanese Society of Soil
609 Physics*, 97, 3-12.

610 Wuest, S.B., 2009. Correction of bulk density and sampling method biases using soil mass
611 per unit area, *Soil Science Society of America Journal*, 73(1), 312-316.

Combining frequency domain reflectometry and visible and near infrared spectroscopy for assessment of soil bulk density

Al-Asadi, Raed A.

2014-01-01T00:00:00Z

“NOTICE: this is the author’s version of a work that was accepted for publication in Soil and Tillage Research. Changes resulting from the publishing process, such as peer review, editing, corrections, structural formatting, and other quality control mechanisms may not be reflected in this document. Changes may have been made to this work since it was submitted for publication. A definitive version was subsequently published in Soil and Tillage Research, VOL 135, (2014) DOI:10.1016/j.still.2013.09.002”

Raed A. Al-Asadi and Abdul M. Mouazen, Combining frequency domain reflectometry and visible and near infrared spectroscopy for assessment of soil bulk density, Soil and Tillage Research, Volume 135, January 2014, Pages 60–70.

<http://dx.doi.org/10.1016/j.still.2013.09.002>

Downloaded from CERES Research Repository, Cranfield University