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# Rotating biological contactors for wastewater treatment – a review

Abstract

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- Rotating biological contactors (RBCs) for wastewater treatment began in the 1970's. Removal of 3 4 organic matter has been targeted within organic loading rates of up to 120 g.m<sup>-2</sup>d<sup>-1</sup> with an optimum at around 15 g.m<sup>-2</sup>d<sup>-1</sup> for combined BOD and ammonia removal. Full nitrification is achievable 5 under appropriate process conditions with oxidation rates of up to 6 g.m<sup>-2</sup>d<sup>-1</sup> reported for municipal 6 7 wastewater. The RBC process has been adapted for denitrification with reported removal rates of up to 14 g.m<sup>-2</sup>d<sup>-1</sup> with nitrogen rich wastewaters. Different media types can be used to improve 9 organic/nitrogen loading rates through selecting for different bacterial groups. The RBC has been 10 applied with only limited success for enhanced biological phosphorus removal and attained up to 11 70% total phosphorus removal. Compared to other biofilm processes, RBCs had 35% lower energy 12 costs than trickling filters but higher demand than wetland systems. However, the land footprint for 13 the same treatment is lower than these alternatives. The RBC process has been used for removal of 14 priority pollutants such as pharmaceuticals and personal care products. The RBC system has been 15 shown to eliminate 99% of faecal coliforms and the majority of other wastewater pathogens. Novel 16 RBC reactors include systems for energy generation such as algae, methane production and 17 microbial fuel cells for direct current generation. Issues such as scale up remain challenging for the 18 future application of RBC technology and topics such as phosphorus removal and denitrification still 19 require further research. High volumetric removal rate, solids retention, low footprint, hydraulic 20 residence times are characteristics of RBCs. The RBC is therefore an ideal candidate for hybrid 21 processes for upgrading works maximising efficiency of existing infrastructure and minimising 22 energy consumption for nutrient removal. This review will provide a link between disciplines and 23 discuss recent developments in RBC research and comparison of recent process designs are 24 provided (section 2). The microbial features of the RBC biofilm are highlighted (section 3) and 25 topics such as biological nitrogen removal and priority pollutant remediation are discussed (section 26 4 & 5). Developments in kinetics and modelling are highlighted (section 6) and future research 27 themes are mentioned
- 28 Keywords: Bioaugmentation, biofilm, biological wastewater treatment, biological nitrogen removal,
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## **Nomenclature**

- $A_d = total disc surface area (L^2)$
- $A_{exp}$  = area of exposed disc (L<sup>2</sup>)
- $A_{sub}$  = area of submerged disc (L<sup>2</sup>)
- $A_t = cross sectional area of tank (L^2)$
- $C_{Bf}$  = compound concentration at the biofilm
- 46 surface (ML<sup>-3</sup>)
- $C_{Lf}$  = compound concentration at liquid film
- 48 surface (ML<sup>-3</sup>)
- 49 C<sub>i</sub> = influent compound concentration (ML<sup>-3</sup>)
- $C_e = effluent compound concentration (ML<sup>-3</sup>)$
- $C_T$  = compound concentration in the tank (ML<sup>-3</sup>)
- $C^*$  = equilibrium compound concentration at a
- given temperature (ML<sup>-3</sup>)
- $D_L = \text{diffusion coefficient of oxygen in water } (L^2T^2)$
- 66 e = distance from disc edge to the basin (L)
- $g = acceleration due to gravity (LT^{-2})$
- H = distance between the disc centre to the liquid
- 59 free surface (L)
- $K_C$  = half saturation constant for compound (ML<sup>-</sup>
- $K_L^{air}$  = oxygen mass transfer coefficient film
- $K_L$  = overall oxygen mass transfer coefficient (LT
- 64 ¹

- $K_L a_t$ , = volumetric oxygen mass transfer
- 66 coefficient total (T<sup>-1</sup>)

- $67 ext{ N} = \text{number of discs}$
- $N_v = \text{volume renewal number } (T^{-1})$
- 69 Q = reactor flow rate  $(L^3T^{-1})$
- 70 r = radius of disc (L)
- $r_a = \text{substrate removal rate } (ML^{-2}T^{-1})$
- s = half space between discs (L)
- $t_R$  =contact time per rotation (T)
- $V = \text{wet volume of reactor } (L^3)$
- $V_{Lf}$  = volume of liquid film ( $L^3$ )
- $U_{max} = maximum substrate removal rate (ML^{-2}T^{-1})$
- $X_a = \text{concentration of attached biomass (ML}^{-3})$
- $Y_a$  = yield coefficient for attached biomass
- $\delta$  = liquid film thickness (L)
- $\delta_{bf}$  = biofilm thickness (L)
- $\mu$  = Absolute viscosity of a liquid (MLT<sup>-1</sup>)
- $\mu_{\text{max}} = \text{maximum specific growth rate } (T^{-1})$
- $\rho$  = fluid density (ML<sup>-3</sup>)
- $\omega$  =rotational speed (RPM)
- $\omega' = \omega/60$
- $\square$  =disc diameter (L)
- $\square_0$  =wetted disc diameter (L)

#### 1. Introduction

- 89 Wastewater treatment processes should comply with standards that ensure environmental protection,
- 90 whilst be efficient to minimise socio-economic burden (Ainger et al. 2009). The main priorities for
- 91 wastewater treatment (WwT) are effluent quality, cost, energy efficiency and nutrient
- 92 removal/recovery (STOWA, 2012). Regulatory agencies aim to improve local environmental health
- 93 using advanced forms of WwT such as biological nutrient removal (BNR). To achieve tighter
- 94 effluent standards, traditional biological treatment is largely reliant on increasing energy input
- 95 through extended reactor aeration or retention time. Already, ~55% of the energy budget for sewage

treatment is used in aeration (Ainger et al. 2009). The development of wastewater treatment technology is critical to improve the long term sustainability of necessary treatment capacity (Hoyland et al. 2008; STOWA, 2012).

Rotating biological contactors (RBC) are called disc, surface, media and biofilm reactors and provide an alternative to the activated sludge (AS) process. The RBC has a solid media that encourages microbial growth in a static biofilm (Singh and Mittal, 2012). The RBC media is arranged in a series of plates or discs which are rotated on a shaft through a biozone trough by motor or air drive (Patwardhan 2003). The rotation leads to bulk fluid mixing, convection through media/biofilm pores, compound diffusion to the film and subsequent product exchange with the reactor and surroundings (Rittman and McCarty, 2001). Biological processes occur inside a fixed microbial biofilm, which contains components of active/non-active biomass, biofilm extracellular matrix and debris (Arvin and Harremoës, 1990). The RBC combines bacterial growth and substrate utilization with a natural biomass separation system; however effluent quality and process stability is contingent on a distal sedimentation zone. The principal advantage of biofilm processes, such as RBCs, is that the mean cell residence time (MCRT) is uncoupled from hydraulic residence time (HRT). This could allow higher organic loadings and resistance to toxic shocks than suspended culture systems (Najafpour et al. 2006; Cortez et al. 2008). Fixed RBC biofilms offer higher substrate affinity, resistance to traumatic events and exhibit quicker recovery from starvation than suspended counterparts (Batchelor et al. 1997; Bollmann et al. 2005). This could be due to differential gene expression, physical or chemical isolation and the presence of stronger diffusion gradients (Cohen 2001). The RBC biofilm is especially useful for the degradation of refractory agents due to high bacterial density and compound immobilisation (Singh et al. 2006). The presence of gradients can promote aerobic, anaerobic and anoxic conditions within a single amalgamated system, which promotes different removal regimes (Dutta et al. 2007).

The RBC biofilm can undertake biochemical oxygen demand (BOD) removal and BNR for domestic and high strength sewage (Hiras et al., 2004; Vlaeminck et al. 2009) and limited enhanced phosphorus recovery (Yun et al. 2004). Mounting evidence suggests that the RBC consortia can offer specific contaminant remediation for certain aromatics molecules including hydrocarbons, heavy metals, xenobiotics and pharmaceuticals / personal care products (PPCP) under appropriate process conditions (Novotný et al. 2011; Jeswani and Mukherji, 2012; Orandi et al., 2012; Simonich et al., 2002). Rotating biological contactors are used for wastewater treatment requiring low land area, maintenance, energy or start-up costs and can facilitate a more decentralised water treatment network (Hiras et al. 2004; Dutta et al. 2007). Traditional RBC design, maintenance and operation relied on process theory; however the biochemistry, biofilm modelling and microbial ecology have received increased attention recently (Wuertz et al. 2004). Patwardhan (2003), reviewed the process design aspects of RBCs and Cortez et al. (2008) highlighted some performance related process parameters. However despite investment and research in areas such as enhanced biological phosphorus removal, denitrification, cost and scale-up, the RBC is yet to achieve full potential.

### 1.1. Process development history

The RBC concept originated in Germany in 1920's where it was described as a 'rotating aerobic mass' fixed to a media support (Chan and Stenstrom, 1981), although the first plant was registered in the United States and was named the 'Contact Filter' or 'Biologic Wheel' consisting of partially submerged rotating plates (Doman, 1929). This device served as an alternative to the trickling filter with  $1/10^{th}$  the land area, and lower power cost than AS (Allen, 1929). Commercial interest in RBCs was minimal, until the modern emergence of the so called 'drip body immersion systems' (Hartman, 1960). The design was patented (Hartmann, 1961) and the first recorded experimental pilot RBC was undertaken to test performance (Popel, 1964). This landmark study informed future RBC design which progressed in the 1960's. For example the surface BOD<sub>5</sub> loading from this study of ~3g.m<sup>2</sup>.d<sup>-1</sup>

<sup>1</sup> is similar to modern overall organic surface loadings of 3-15 g.BOD<sub>5</sub>.m<sup>2</sup>d<sup>-1</sup> that have been applied 146 recently (Rittmann and Mcarty, 2001). The availability of stronger, lighter and affordable materials 147 148 such as plastics increased the stability of media and increased the surface area available for 149 microbiological growth, which improved treatment capacity. This allowed a plethora of capital 150 ventures in the 1960 and 70's. The RBC was applied for biological treatment under a variety of 151 influent types, organic and hydraulic regimes (Ritmann and Mcarty, 2001, Cortez et al. 2008). A 152 Japanese company known as Kubota submitted a patent application for an RBC capable of 153 simultaneous nitrification and denitrification, using variable submergence to facilitate multiple 154 nutrient removal regimes (Sim 1988). A series of process failures have been noted for RBCs, many 155 were due to inappropriate mechanical design which did not account for biomass growth, often 156 leading to shaft, bearing and media malfunctions (Mba et al. 1999). A report suggested that 157 equipment warranty should protect the owner from failure (Weston, 1985), however often liability contracts rarely exceeded 3 years which provided little stimulus to fix inherent mechanical issues 158 159 (Griffin and Findlay, 2000). Another challenge was supplier competition led to an exaggeration of 160 possible removal rates (Rittmann and McCarty, 2001); allowable loadings varied by a factor of 7 161 between suppliers (Ross et al. 1994). Unlike other major biological processes, designers were 162 initially reliant on proprietors design criterion for process control (Ross et al. 1994). Hydraulic 163 loading was previously applied as a design parameter, but was usually inappropriate by not 164 considering organic strength; biodegradability, toxicity and temperature which impact microbial 165 process performance (Steiner 1997). Design criteria should be used that incorporate fundamental 166 parameters including microbial activity, organic loading and substrate utilisation rate.

# 2. Process engineering of RBCs

## **2.1.** Types

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There are two main types of RBC; integral and modular. Integral systems consist of a single unit combining primary settlement, RBC biozone and either a contained or separate final clarifier. (Fig. 1a). Integral units are usually contained within a package plant and have a treatment capacity of ≤250 population equivalents (PE) (Findlay et al. 1993). Conversely, modular systems have separate operations for primary, secondary, and solids treatment respectively and usually treat PE >1000 (Griffin and Findlay, 2000), which allows more flexible process configurations (Fig. 1b,c). However size and weight constraints generally limit RBCs to a size of 3.5 m disc diameter. Modular RBCs can be operated using parallel flow separation between units allowing operation within acceptable loading limits (Fig. 1b). In contrast, if effluent quality is of principal concern, RBCs are often operated in series, with an n<sup>th</sup> RBC operating distal in the flow sheet (Fig. 1c). Typically a submergence of 40% (wet disc level), is used (Cortez et al. 2008). By increasing the submergence (Fig. 1d), the conditions in the reactor become increasingly anaerobic which could favour processes that require reduced oxygen levels such as denitrification (Teixeira and Oliveira, 2001). Hybrid systems operate a RBC combined with another unit operation to improve the stability of a process that has strong or variable loading, increase load capacity or improve the achievable effluent standard (Vesilind, 2003; Hoyland et al. 2010). Common configurations include a RBC/biofilm (Fig. 1e) or RBC/suspended growth combination which can be used for the upgrade of capacity (roughing) or provide tertiary treatment (Fig. 1f) (Vesilind, 2003, Upton et al. 1995). The RBC/wetland combination has been applied to improve discharge consents for small works and provide a storm flow buffer (Griffin, 2003) (Fig. 1e). For longevity, the RBC is protected using ultra violet light resistant media (e.g plastic with carbon black) or by covering the RBC within protective casing which can also reduce heat loss and flies/odour.

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#### 2.2. Cost

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The capital expenditure (CAPEX) and operational expenditure (OPEX) of RBCs has been minimised through reduced commissioning, monitoring and maintenance costs compared to AS processes. In the UK, half the CAPEX for RBCs is related to mechanical and electrical components. The CAPEX cost per head in RBCs is inversely proportional to the PE for treatment. At PE >1000 the CAPEX cost decreased by up to 50% (Upton et al. 1995). For example Labella et al. (1972) compared the cost of an activated sludge plant (ASP) and RBC system treating winery waste with a flow of 1.8 x 10<sup>3</sup> m<sup>3</sup>d<sup>-1</sup>. They noted that while capital expenditure were similar, estimated power consumption was less than half that of a concrete tank aerated ASP. An RBC was found to be on average 35% cheaper per PE per year compared to trickling filters due to lower land area and running costs (Upton et al. 1995). However other authors have suggested that the OPEX of an RBC are similar to suspended growth systems and savings are only apparent with CAPEX (Ware et al. 1990). Fountoulakis et al. (2009) identified that RBCs had 29% lower but 44% higher CAPEX than packed bed filters and horizontal surface flow wetlands respectively. In addition RBCs were shown to have five times the power consumption than packed bed filters when operated within the organic loading rate (OLR) range of 0.53-2.01 kg.COD.m<sup>-3</sup>d<sup>-1</sup>. The power efficiency of a RBC operated a 7.5 horse power motor ranged from 72-88% at 25-100% load capacity respectively (Brenner and Opaken 1984). However RBCs are appropriate for decentralised water treatment systems which generally have lower OPEX costs compared to a centralised approach which may require specialist labour and process control (Fountoulakis et al. 2009).

#### 2.3. Substrate

Substrate dependent parameters in RBCs are staging, organic loading, recycle and flowsheet position. The hydraulic considerations include hydraulic residence time (HRT), tip speed, media specific surface area, compound transfer rate and submergence. However there is considerable overlap between these parameters, for example the inverse relationship between HRT and OLR (Patwardhan, 2003). Another example is the association between rotational speed, oxygen transfer rate (OTR) and biofilm thickness. A key criterion for RBC reactors is surface organic load which is defined as substrate (kg.COD/N/pollutant) applied per square metre (specific or nominal) of media per day. In RBCs, as the loading rate increases the removal rate increases in proportion until another parameter becomes limiting (Fig. 2). For example Hiras et al. (2004) operated a two stage predenitrification and aerobic RBC for the treatment of settled municipal sewage. A decrease in the percentage removal of COD with increasing OLR was observed from 50 to 35% at OLR of 90 and 360 gm<sup>-2</sup>d<sup>-1</sup> respectively. This can be explained by biofilm oxygen transfer rate limiting the efficiency of substrate utilisation (Di Palma et al. 2009). However the organic removal rate increased from 45 to 125 gm<sup>-2</sup>d<sup>-1</sup> suggesting that there was more capacity for bulk COD removal in the system. Therefore the highest substrate removal rate is achieved at the maximum loading before the transfer of rate limiting compound is exceeded (Fig. 2). In RBC biofilms mass transfer restrictions usually masks biological reaction kinetic limitations. As both substrates diffuse from the bulk fluid in the same direction and one or both will become limiting at a certain depth in the biofilm. In RBC biofilms there is an equilibrium between the rate of substrate consumption and diffusional transfer which influences the penetration depth (Stewart and Franklin, 2008). Under constant loading the microbial community will attain steady state based on available substrates and competition for electron acceptors and space. In the biofilm there is a layering of bacteria based on prevailing conditions with the lowest substrate redox state proximal to the media (Okabe et al. 1999).

# Note to publisher: insert fig 2.

Staging is a physical barrier employed to separate the wastewater chemistry within or between reactors (Fig. 1b), which leads to a stepwise reduction in the bio-available substrate to the point where the reactor approaches plug-flow (Ayoub and Saikaly 2004). This localisation selects for microbial populations adapted to the physiochemical conditions within each stage. This

242 improves removal rate, process stability and permits autotrophic nitrification at higher organic loads 243 than normally possible (Tawfik et al., 2002; Kulikowska et al. 2010; Najafpour et al., 2006). Staging 244 can permit enhanced ability to manage shock loads providing the biomass has sufficient substrate. 245 The positive impact of staging on RBC performance was found to be negligible after four stages 246 (Adreadakis 1987), although this is dependent on wastewater load and composition. Step feeding 247 can be used to reduce the initial effective substrate concentration. Ayoub and Saikaly (2004) showed 248 that step feeding had minimal impact on removal of RBC bulk COD removal rate, however NH<sub>4</sub>-N 249 removal increased by 18%, by staggering the organic load which reduced the likelihood of oxygen 250 limitation (Rittmann et al. 1983). Recycling effluent permits greater portions of the biofilm to nitrify 251 by diluting the influent organic concentration (Ayoub and Saikaly 2004). The recycle can be either 252 pre, post or from the clarifier depending on treatment aim (Fig. 1c). Recycling settled solids helps 253 aid bacterial retention as sloughed biomass is returned to the reactor. Other biomass associated 254 products like extracellular enzymes may be recycled which could aid the breakdown of complex 255 polymers, which constitute roughly half of domestic wastewater (Confer and Logan, 1998).

# 2.4. Hydrodynamics

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Understanding the hydrodynamics of RBCs is important to maintain appropriate biomass thickness, encourage compound mass transfer and prevent unequal biomass distribution (Di Palma et al. 2003; Griffin and Findlay, 2000). Rotation of media creates a head difference leading to convective air/water exchange. Increasing tip speed increases the total oxygen transfer rate in a pseudo-linear fashion (Rittmann et al. 1983). However the energy usage for motor drive increases exponentially with increasing rotational speed. For minimal OPEX the lowest rpm should be selected and rotor speeds of 0.7-2.0 rpm are common (Mba et al. 1999). However, some high rate systems are known to exceed this speed (Hoyland et al. 2008). Microscale biofilm structure can influence compound mass transfer into the RBC biofilm. For example high biofilm roughness influenced the RBC biofilm boundary layer thickness by changing hydraulics and flow velocity perpendicular to the biofilm. This increased the rate of diffusion through the boundary layer and DO concentration in the biofilm (De la Rosa and Yu 2005).

#### 2.5. Media composition

The RBC media can be present as discs, mesh plates, saddles or rings in a packed bed reactor, which resembles a partially submerged, rotating, moving bed biofilm reactor (Ware et al 1990; Sirianuntapiboon and Chumlaong, 2013). The RBC media commonly has a specific surface area of 150-250 m<sup>2</sup>m<sup>-3</sup> for biofilm growth which supports high removal rates at low HRTs. Lower density media is normally applied at the front-end of the works which typically has high organic loads (Cortez et al. 2008). Support media should be insoluble, have high mechanical and biological stability, and be cost effective (Leenen et al. 1996). The media physicochemical composition and architecture both impact on the microbial biofilm and the removal rate of substrates (Tawfik and Klapwijk, 2010; Stephenson et al. 2013). A comparison between the oxygen transfer efficiency in RBCs was between 2-5 and 1-2 kgkWh<sup>-1</sup> for comparable packed bed and disc RBCs respectively (Mathure and Patwardhan, 2005). However previously it was noted that any performance gains from packed bed RBCs are usually offset by higher CAPEX costs and reliability issues (Ware et al. 1990). Polyurethane foam has been utilised to increase surface area for biofilm growth, reported specific surface areas range from 600-1000 m<sup>2</sup>m<sup>-3</sup> can provide greater solids retention, however careful management of biofilm thickness and pore clogging is required (Windey et al. 2005; Tawfik et al. 2010). Chen et al. (2006) used a 'net-like' media which increased the surface area of flat discs to facilitate a nitrification rate of 0.6 g.N.m<sup>-2</sup>d<sup>-1</sup> (Table 1). Lui et al. (2008), utilised a pyridinium type polymer sprayed to a non-woven carrier. They demonstrated the feasibility of autotrophic anaerobic denitrification. It was claimed that surface properties of the pyridinium facilitated attachment of nitrifiers permitting a nitritification rate >26 kgm<sup>-2</sup>d<sup>-1</sup>. Whereas Hassard et al. (2014) studied the impact of OLR on removal rates of biofilm cultivated on a polyvinylcholoride-like mesh, polyester and polyurethane foam in RBC-like reactors operated concurrently. They identified that under high loading conditions macroscale media pore size was the most significant parameter governing performance. As pore clogging leads to biomass inactivation and a decrease in effective surface area due to mass transfer restrictions.

Research suggests a link between the initial adhering community, subsequent established biofilm and reactor performance (Stephenson et al. 2013). Different media physicochemical properties have been suggested to select for different bacterial groups. For example media hydrophobicity influences adhesion, due to the difference in size of the aqueous boundary layer preventing bacterial contact. This effect is reduced in more hydrophobic materials, promoting adhesion (Khan et al. 2013). The surface roughness can impact bacterial adhesion. Singh et al. (2011) found that media with a roughness of >20 nm has high protein adsorption, which increases the effective media hydrophilicity, increasing the water layer and preventing adsorption of bacteria (Singh et al. 2011). In contrast a media of intermediate roughness gains the surface area benefit and high bacterial adsorption. Hassard et al. (2014), studied this phenomena using bench scale RBC-like reactors at OLR from 16-160 g.sCOD.m<sup>-2</sup>d<sup>-1</sup> and identified that media with an average roughness <20 nm had 4.5 times more biomass on average compared to similar media with an average roughness of 35 nm. However the removal rates of sCOD were similar suggesting the high roughness biofilm had greater specific bacterial activity.

### 2.6. Scale up considerations

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Appropriate scale up of RBCs is critical to validate whether performance will be comparable from bench/pilot to full scale (Arvin and Harremoës, 1990). For RBCs, scale up should incorporate parameters of hydrodynamics, media active surface area, flow, organic loading, oxygen transfer, bacterial growth rate, biofilm accumulation and detachment. However most models only accommodate one of these variables. For example Wilson et al. (1980) developed 'generalised design loadings' based on 12 months data at different scales. However, resulting models failed to consider operating/environmental conditions or process understanding (Harremoës and Gönenc, 1983). The use of tip speed is rarely a suitable parameter - as it increases (along with shear forces and mixer power) to the square of the diameter. To simulate full scale, bench scale reactors were previously operated at higher rotational speeds (to keep constant tip speed) which decreased the contact time per rotation (Spengel and Dzombak, 1992). This also resulted in different shear distributions influencing erosion and sloughing processes in the biofilm, greater mixing and improve substrate removal. The empirical approach to scale-up involves constructing reactors of different sizes and is popular but is generally expensive. After sufficient development a mechanistic model can be developed, reducing the need for extensive testing. However these models are usually appropriate only for identical operating and wastewater conditions. Dutta et al. (2010) constructed three different sized RBCs to characterise the oxygen transfer coefficient at different scales. The model was based around existing ones: the Activated Sludge Model No. 3 for biochemical reactions, a multiculture biofilm model and an RBC model. However the main limitation for this approach is that oxygen transfer should be suitably characterised on scale up, which is rarely the case. Alternatively, design such that large reactors have chemical, dynamic, geometric and kinematic homogeneity to bench scale trials (Spengel and Dzombak, 1992). The appreciation of scale up in RBCs is far from complete however models which based on fundamentals are less sensitive to scale up than empirical design parameters.

# 3. Microbiology of RBCs

The microbiology of RBC systems is governed by influent substrate conditions, seed population and 335 336 hydrodynamic conditions. The biofilm which grows on RBC media is reliant on initial adhesion and 337 the formation of glucoconjugate extracellular polymeric substance (EPS) matrix for stability (Möhle 338 et al. 2007). The most influential variable to the microbiology of RBCs is the mass transfer of 339 parameters, is dependent on operational biofilm 340 attachment/detachment mechanisms, and boundary layer thickness which have profound impact on 341 the chemistry and microbial community structure, function and activity (Wuertz et al. 2004).

#### 3.1. Structure

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The growth rate and yield govern the spatial location of groups within multispecies RBC biofilms (Wuertz et al. 2004). Organisms with the highest maximum specific growth rate will be located towards the outside of the biofilm whereas slower growing organisms will be located towards the inside (Okabe et al. 1996). Ouyang (1980) reported an RBC biofilm with 74% VS, 95% water content and a chemical composition of C<sub>4.2</sub>H<sub>8</sub>N<sub>0.6</sub>O<sub>2</sub>. However RBC biofilm communities also exhibit distinct three dimensional organisation, for example Zahid and Ganczarczyk (1994) found that early RBC biofilms are characterised by numerous fine pores, whereas mature biofilms have few large pores. This could reflect biofilm community regulation by quorum sensing (Strous et al. 1999). Pores influence the convective flow and diffusive mass transport within the biofilm itself. De la Rosa and Yu (2005) found that a mature RBC biofilm had highly heterogeneous surface DO concentration from 3.8 to 0 mgL<sup>-1</sup> which suggested that the biofilm oxygen consumption exceeded the rate of mass transfer through the boundary layer. However, they identified pockets of high DO (>lugL<sup>-1</sup>) at depths of 760 µm, which is attributed to convective water flow through pores within the biofilm (Zahid and Ganczarczyk, 1994). The surface microbiota will be exposed to shear forces and the biofilm as an entity is subject to erosion. It is important to minimise mass sloughing events which negatively impact biofilm sludge retention time and process performance can ultimately suffer. Biofilm density is important to reduce sloughing frequency. Cell density increased from 3.3 x 10<sup>9</sup> to 3.9 x 10<sup>10</sup> cells.cm<sup>3</sup> with depth from 0 to 350 μm toward media surface (Okabe et al. 1996). The inner layers are protected from erosion and contain groups with a higher cell density (Arvin and Harremoës 1990). The rate of diffusion decreases with depth into the biofilm due to density, mineral formation and reduced mass driving force (Okabe et al. 1996; Stewart, 2003). Okabe et al. (1996) discovered that increasing the C:N ratio from 0 to 1.5 in an RBC biofilm created a distinct stratification in functional groups, where heterotrophs outcompeted nitrifiers for oxygen and space in the outer layers. Further increases in the carbon ratio decreased nitrification rate and enhanced the biofilm functional stratification. The biofilm thickness also influences the performance of RBC reactors by providing a barrier to mass transfer. Möhle et al. (2007) showed that RBC biofilm thickness increases with substrate concentration and decreases with surface shear forces. The cohesive strength of biofilms on RBC media was identified to be 6.1 and 7.7 Nm<sup>-2</sup> at a biofilm thickness of 412 and 151 µm respectively, suggesting that biofilm stability is linked to thickness and density. Under high load and or low shear environments filamentous groups proliferate at the surface RBC biofilm boundary. Alleman et al. (1982) showed that a distinct redox layering exists where the Desulfovibrio sp. reduce of sulphate to sulphide in the anaerobic sublayer and the Beggiatoa species dominate the outer aerobic layer where they oxidise hydrogen sulphide. This was confirmed by Kinner et al. (1985) identified bacteria containing poly-β-hydroxybutyrate and elemental sulphur inclusions. This situation develops under high organic and low oxygen conditions in the biofilm which can result in reductions in RBC performance. Decreased OLR subsequently reduced the dominance of these organisms (Kinner et al. 1985).

### 3.2. Function and activity

Bacterial presence within an RBC biofilm does not necessitate functional activity. Satoh et al. (2003) studied the influence of bioaugmentation and biostimulation on the efficacy of nitrification by RBC biofilms. Addition of nitrifying bacteria into the RBC resulted in elevated bacteria cell numbers at the surface of the biofilm. This resulted in higher NH<sub>4</sub>-N/NO<sub>2</sub>-N removal rates and 0.33 and 3 times lower start-up required for AOBs and NOBs respectively compared to a control. Kindaichi et al. (2004) showed that a carbon limited RBC biofilm was comprised of 50% nitrifying bacteria composed of AOBs and NOBs consuming the influent ammonia and nitrite products respectively. However the remaining 50% were heterotrophic bacteria consuming soluble microbial products (SMPs) for nourishment from biofilm endogenous decay. A diverse heterotrophic community was present but sometimes inactive, however the majority of the carbohydrate and protein utilisation was by bacteria undertaking endogenous decay. Okabe et al. (2005) demonstrated that under substrate limitation the *Chloroflexi* group utilised <sup>14</sup>C labelled products derived from RBC biofilm endogenous decay. In contrast the *Cytophaga-Flavobacterium* group accumulated <sup>14</sup>C

394 labelled reaction products from nitrifying growth, which suggested that each group specialised in 395 utilising products from different biofilm growth phases. Heterotrophic turnover of utilisation and 396 biomass decay products formed an equal contribution to the cell number and a greater contribution 397 to the total diversity within a nitrifying RBC biofilm suggesting a role in community regulation. 398 Kulikowska et al. (2010) demonstrated that an integral RBCs can remove up to 99% of faecal 399 coliforms from the influent. Tawfik et al. (2004) suggested that adsorption to the RBC biofilm could 400 be a major mechanism for the removal of *Escherichia coli* although grazing by higher organisms or 401 sedimentation could also contribute to pathogen removal in RBCs. Further research is warranted on 402 the mechanisms of initial adhesion and bacterial incorporation in RBCs.

# 4. Biological nutrient removal in RBCs

#### 4.1. Nitrification

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Rotating biological contactors are used for nitrification and denitrification of a range of influent conditions (Cortez et al. 2007, De Clippeleir et al. 2011). Stringent rules govern nitrogen discharge and the energy cost/greenhouse gas emissions are a growing concern (Ainger et al. 2009). The RBC has potential benefits by reducing tank volume, HRT and aeration demand coupled with nitrogen removal at greater loadings compared to traditional treatments. Furthermore RBCs have been applied for refractory or contaminated wastes. For example Kulikowska et al. (2010) achieved a maximum nitrification rate of 4.8 g.NH<sub>4</sub>-N.m<sup>2</sup>d<sup>-1</sup> at a loading of 6.6 g.NH<sub>4</sub>-N.m<sup>2</sup>d<sup>-1</sup> (Table 1). Sequence analysis revealed microbial diversity decreased with time, suggesting a climax community was attained. Diversity indices were resistant to shock loading of >70% of normal flow and fluctuating performance, suggesting more sensitive measures of community change are required.

#### 4.2. Denitrification

Denitrification is the dissimilarly reduction of nitrate to nitrite to dinitrogen gas under anoxic conditions (Paredes et al. 2007). Conventional heterotrophic denitrification is possible in wastewaters with a C/N ratio >2.5, without additional carbon sources (Hippen et al. 2001). As DO is consumed within a biofilm the community becomes oxygen limited. Thereby facilitating microenvironments where each consortia can develop. Helmer and Kunst, (1998) found that under low DO conditions RBCs can remove up to 90% of the nitrogen load from landfill leachate. Odegaard and Rusten (1980) found that the NO<sub>x</sub>-N recycle ratio in RBCs improved denitrification rate. Batch testing revealed that nitrogen removal was carbon limited, suggesting autotrophic degradation satisfied the nitrogen deficit. Cortez et al. (2011a.) achieved almost complete nitrogen removal from landfill leachate using conventional denitrification in an anoxic RBC, they identified that preozonation was required to remove refractory carbon compounds. Gupta and Gupta (2001) augmented a myxotroph known as Paracoccus denitrificans to undertake simultaneous aerobic carbon oxidation, nitrification and denitrification. P. denitrificans removed a maximum of 26 and 1.9 gm<sup>-2</sup>d<sup>-1</sup> of COD and nitrogen respectively in an RBC. However, the aerobic denitrification rate was slower than conventional denitrification. At high nitrate concentrations (>500 mgL<sup>-</sup>1) inorganic phosphorus can limit denitrification. Cortez et al. (2011b) suggested that phosphorus improves overall biofilm denitrifying activity and nitrogen removal by promoting bacterial growth. Teixeira and Oliveira (2000) improved denitrification by 30% upon the addition of phosphorus. Hanhan et al. (2005) compared the nitrogen removal rates in full scale pre-denitrifying RBCs. The highest reported removal was ~2 g.N.m<sup>-2</sup>d<sup>-1</sup> with a HRT of 0.2 d (Table 1). The nitrogen removal rate decreased with increasing rotational speed, suggesting oxygen inhibition led to suppression of the denitrification pathway. Teixeira and Oliveira (2001) demonstrated that increased disc submergence from 64.5 to 100% improved the TN removal by 63% but had delayed start-up.

The RBC is suitable for autotrophic denitrification as the anammox bacteria have low growth rates and therefore require reactors with a high MCRT (Siegrist et al. 1998). Initially the thin RBC biofilm is conductive for AOBs to proliferate and provide the colonisation matrix for slow growing

442 anammox bacteria; providing the biofilm is oxygen limited or NOBs are supressed (Pynaert et al. 443 2004). De Clippeleir et al. (2011) showed that decreasing HRT from 0.66 to 0.18 d stimulated a decrease in removal rate from 2.2 to 1.6 g.N m<sup>-2</sup>d<sup>-1</sup> (Table 1). This was attributed to increased 444 nitratation by *Nitrospira* sp. which proliferated at DO concentrations of >1.2 mgL<sup>-1</sup>. Stepwise 445 loading increases allowed removal rates in excess of 1.8 g.N m<sup>-2</sup>d<sup>-1</sup> (Pynaert et al. 2004). Vlaeminck 446 et al. (2009) tested the feasibility of an oxygen limited autotrophic nitrification and denitrification 447 448 (OLAND) process to treat digestate from source separated black water and achieved a removal rate 449 of 0.71 g.N m<sup>-2</sup>d<sup>-1</sup>. The nitrite oxidising bacteria were supressed at free ammonia levels >3 mgL<sup>-1</sup>, however, DO levels <0.3 mgL<sup>-1</sup> are required for process stability. The effluent from this reactor had 450 451 a N/P ratio of 1 suggesting struvite production and therefore nutrient recovery is possible. However 452 facilitating struvite accumulating organisms in RBC biofilms has not received any attention. Windey 453 et al. (2005) showed that anammox bacteria could adapt to high salinity conditions of up to 30 gL<sup>-1</sup>, providing the RBC biofilm acclimation was gradual. The removal rate of nitrogen decreased from 454 455 11.9 gL<sup>-1</sup> using non-saline wastewater to 11.5, 9.6 and 9.6 at 5,10 and 30 gL<sup>-1</sup> of salt respectively. A 456 similar study by Kartal; et al. (2006), identified that 45 gL<sup>-1</sup> of salt completely inhibited anammox 457 bacteria. Liu et al. (2008) suggested that the anammox consortium on RBCs were relatively resistant to DO shocks. They found that a Nitrosomonas eutropha-like species protected the Planctomycetes 458 459 by sequestrating potentially inhibiting DO levels.

Note to publisher: insert table 1

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### 4.3. Biological phosphorus removal

Attaining biological phosphorus removal (BPR) is challenging in RBC systems, as it is difficult to control the sequential oxic and anaerobic conditions for growth of phosphorus accumulating organisms (PAO). Kenneth (1999) grew PAOs in a modified RBC setup with an anaerobic clarifier and carbon addition for PAO growth, with subsequent sludge recycle to the RBC. This solids recycle allowed oxygen conditions for enhanced BPR and increased the liquid phase MLSS improving organic removal rates. Simm (1988) varied the submergence in a RBC operated as a sequencing batch contactor. Initially full submergence and acetate addition created anaerobic conditions necessary for phosphorus release and fatty acid storage. Next half of the fluid was stored in a holding tank, the remaining liquid in the RBC was subjected to oxic conditions allowing enhanced phosphorus uptake. Yun et al. (2004) used a sequencing batch reactor (SBR) approach to undertake BPR without an additional carbon source. The authors demonstrated that the maximum biofilm phosphorus uptake was at a C:P range of 13 to 18 where P ranged from 3 to 8% of biofilm VS. The biofilm thickness appeared to determine the TP removal with a maximum removal efficiency of total phosphorus of 70% was attained at a biofilm thickness <1.8 mm. This limitation is not apparent in suspended growth SBR. This could be a mass transfer restriction preventing exchange of available phosphorus and organic substrates restricting TP uptake rate which is not present in suspended growth setups. Understanding mechanisms which govern BPR in RBC biofilms warrants further attention.

# 5. Priority pollutant remediation in RBCs

481 Priority pollutant remediation can require the bioaugmentation or retention of specialised strains. 482 Bioaugmentation in RBC systems is usually achieved through addition of either suspended or freeze 483 dried artificial cultures or freeze dried biomass to the RBC (Stephenson and Stephenson, 1992). 484 Alternatively cultures of microbes can be grown in a side stream reactor prior to addition. The 485 natural solids retention of the RBC biofilm permits microbe retention without additional separation 486 or recirculation. Many systems require acclimatisation periods and are sensitive to shock/variable 487 loadings or intermittent feeding of the pollutant which is of import for the removal of priority 488 substances from wastewaters (Stephenson and Stephenson, 1992; Duque et al. 2011; Amorim et al. 489 2013).

# 5.1. Organic pollutants

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Dye wastewater is a challenging form of organic pollutant as the dyes or breakdown products can be toxic or mutagenic (Malachova et al. 2013). The RBC is ideal for dye treatment due to high biomass retention, low startup costs, and appropriate technology level for developing countries (Robinson et al. 2001). Wastewater dyes are initially absorbed to the biofilm but a continually exposed biomass will eventually saturate. Most dyes do not penetrate bacteria as they have a high molecular weight and contain hydrophobic groups, which are a barrier to biocenocis (Pearce et al. 2003). The bioaugmentation of white rot funghi (WRF) e.g. Phanerochaete sp. has been undertaken in RBC systems as they excrete non-specific extracellular hydrolytic enzymes with dye decolouring capacity (Pakshirajan and Kheria, 2012). Novotný et al. (2011) found a surface decolourisation rate of 0.63, 0.19 and 0.01 mg.m<sup>-2</sup>h<sup>-1</sup> for Remazol Brilliant Blue R, Methylene Blue and Azure B respectively by the augmented fungus Dichomitus squalens (Table 2). Dye degradation is often undertaken as a secondary metabolism so allochthonous carbon sources are required to maintain activity. Novotný et al. (2011) identified that D. squalens has a minimum glucose concentration of 0.018 gL<sup>-1</sup> for effective dye decolourisation. Pakshirajan and Kheria, (2012) showed that the decolourisation rate of WRF P. chrysosporium is proportional to glucose concentrations to a limit of 10 gL<sup>-1</sup>. The use of molasses dosing decreased the decolourisation rate of P. chrysosporium by 20% compared to glucose control (Pakshirajan and Kheria, 2012). Dye removal has been correlated with activity of manganese dependent peroxidase and lignin peroxidases. For full dye remediation from wastewater the dye should be decolourised and detoxified. Malachova et al. (2013) utilized an RBC bioaugmented with Irpex lacteus 931, and achieved a batch methyl blue decolourisation rate of 9.4 mgm<sup>-2</sup>d<sup>-1</sup>. Decolourisation resulted in reduced toxicity level of the wastewater. However the WRF are susceptible to bacterial stress which usually prevents application under real wastewater conditions. Nilsson et al. (2006) used an RBC augmented with Trametes versicolor to treat real textile wastewater and achieved 60-70% decolourisation efficiency. Research should identify if WRF can be utilized in RBCs with appropriate scale up.

# Note to publisher insert table 2.

Duque et al. (2011) inoculated a strain capable of degrading 2-fluorophenol and demonstrated increased removal efficiency under constant pollutant loading. Under variable loading the pollutant removal decreased even though the community remained in the biofilm. Amorim et al. (2013) studied the impact of shock loadings of 4-fluorocinnamic acid (4-FCA) on an augmented RBC. The removal efficiency was increased from 8 to 46% at surface loadings of 73 to 168 g.m<sup>-2</sup>.d<sup>-1</sup> respectively (Table 3). Isolation of biofilm strains and batch testing revealed that two strains completely mineralised 4-FCA. Sequence analysis revealed a 97% similarity to the original augmented Rhodococcus strain, suggesting horizontal gene transfer or genetic drift had occurred (Singh et al. 2006).. The RBC reactor has also been applied for removal of non-aqueous phase liquids (NAPL) (Mukherji and Chavan 2012). Chavan and Mukherji (2008.b) found that a mixed freshwater phototrophic community augmented with Burkholderia cepacia had a removal rate of >26 gm<sup>-2</sup>d<sup>-1</sup> for removal of diesel NAPL. The NAPL component of the wastewater was likely sorbed onto the biofilm for subsequent biodegradation of the aliphatic fraction (Mukherji and Chavan (2012). Operation with the co-contaminant phenol slightly reduced the removal efficacy of NAPL but resulted in complete phenol removal (Chavan and Mukherji, 2010). Under constant pollutant loading in RBCs it is therefore important to promote proliferation of the augmented community at functional levels.

### Note to publisher insert table 3

In WWTPs micropollutants are usually eliminated through biotic degradation or abiotic sorption. Simonich et al. (2002) compared removal of fragrances in different WWTPs. Fragrances appeared to be removed typically in the biodegradable fraction of the wastewater. However sorptive non-biodegradable fragrance material removal is linked to solids disposal (Simonich et al. 2002). In

539 contrast micropollutants which are non-sorptive and non-readily biodegradable are of greatest 540 concern. In this study the RBC achieved 99% removal efficiency of methyl dihydrojasmonate 541 compared to 98, 93, 82% for an ASP, trickling filter and carousel setup respectively. The removal of 542 6-Acetyl-1,1,2,4,4,7-hexamethylteraline (AHTN) in the RBC was inferior compared to other 543 secondary treatments which could be due to poor removal of particulate matter. Batt et al. (2007) 544 compared four treatment works with similar influent concentrations of Ciprofloxacin (CP), 545 Sulfamethoxazole (SM), Tetracycline (TC) and Trimethoprim (TM) and found that the RBC had 546 comparable removal of antibiotics of between 52-95% removal of CP, TC and TM to an extended 547 aeration ASP but with lower HRT and presumably treatment cost. In contrast the RBC demonstrated 548 43% lower SM removal compared to the ASP. The degradation behavior of this antibiotic could be 549 due to physical differences between bacteria in biofilms and suspended growth...

### **5.2.** Inorganic pollutants

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Biological heavy metal removal relies on both the sorption of the metal species to biomass and the bioaccumulation by metabolic processes (Costley and Wallis, 2001). The RBC microbial biofilm is suitable for biosorption as there is a high contact area for sorption and a long MCRT. However the metal removal rate will decrease with time, as the attraction sites become saturated (Matheikal et al. 1991). For example Sirianuntapiboon and Chumlaong (2013) found that an RBC had a decreased removal efficiency of 64-45 and 80-85% with increased loading which corresponded to a removal rate of between 255-400 and 255-480 mg.m<sup>-2</sup>d<sup>-1</sup> for Ni and Pb respectively (Table 4). This is similar to removal rates reported for Cu of ~450 mg.m<sup>-2</sup>d<sup>-1</sup> using activated sludge consortia (Costley and Wallis, 2001) (Table 4). To prevent saturation it is necessary to remove the metal loaded biomass by suitable treatment. However this is costly and produces secondary waste issues (Costley and Wallis 2001). Costley and Wallis, (2001) showed that multiple cycles of sorption/desorption, using a dilute (<0.5 M) acid did not impact the adsorption efficiency of a mixed culture RBC biofilm, suggesting reuse was possible. The removal rates demonstrated by Costley and Wallis (2001) of ~ 640, 450 and 320 mg.m<sup>-2</sup>d<sup>-1</sup> for Zn, Cu and Cd appeared dependent on loading and the availability of free sorption sites. Regression analysis reveals that the loading rate predicts removal rate between loads of 0.003-762.8 mg.metal.m<sup>-2</sup>d<sup>-1</sup> ( $R^2 = 0.9$ , P<0.001) (Table 4).

Note to publisher: insert table 4

### 6. Modelling of RBC reactors

569 Process optimization and scale-up are challenges for the efficient use of RBCs (Spengel and 570 Dzombak, 1992; Dutta et al. 2010). In contrast to most suspended growth processes, mass transfer 571 can often mask the impact of biokinetics on the performance of RBCs (Famularo et al. 1978). This is 572 because thick biofilms and unidirectional transfer limit the rate of compound exchange. Previously, 573 the derivations of mass transfer were described within the context of penetration and surface renewal 574 theory (Patwardhan et al. 2003). Then focus was placed on the relationship between oxygen transfer 575 and substrate utilization biokinetics (Chavan and Mukherji, 2008). However usage of empirical 576 approaches are limited to wastewater and operational conditions similar to the derivative source of 577 the models (Di Palma et al. 2003). Models can also be based on reaction order, substrate diffusion, 578 microbial growth biokinetics and the identification of different oxygen and nutrient conditions 579 (Clarke et al. 1978; Patwardhan, 2003). Finally, multiple substrate and species models have been 580 applied to RBCs using biofilm models based on description of transformation and transport 581 processes (Gujer and Boller, 1990; Dutta et al. 2007). Historically RBC modeling has received 582 significant research attention; however the inherent complexities of system hydrodynamics prevent 583 application to other biological treatment processes.

## 6.1. Substrate utilization in RBCs

The substrate utilization in RBCs is separated into substrates and electron acceptors, model assumptions and output. Roberts (1973) developed a model incorporating substrate mass transfer

- 587 limitation to/from the biofilm and the kinetic considerations governing biodegradable substrate
- 588 utilization. Alternatively the removal of soluble substances is determined by the boundary layer
- 589 diffusion resistance, into the biofilm prior to microbial degradation within the interior (Arvin and
- Harremoës, 1990). An empirical relationship to predict effluent BOD<sub>5</sub> was determined by the US
- 591 Environmental Protection Agency (Brenner and Opaken 1984) in which:

$$592 \frac{c_e}{c_i} = e^{K(0.000125 V/Q)^{0.5}} (1)$$

- 593 In which:
- 594 K= reaction rate constant (0.3) at 13°C.
- $V = \text{media volume } (m^3)$
- $Q = hydraulic loading (Ls^{-1})$
- 597 This model does not include parameters on microbial kinetics, substrate limitation or changes to
- 598 influent / temperature. Clark et al. (1978) developed an RBC model where removal rate can be
- 599 determined from influent/effluent conditions and microbial growth rate in which:

$$600 r_a = \left(\frac{\mu_{max}}{X_a}\right) / Y_a (2)$$

A modified version of the Kincannon and Stover (1982) model for RBC systems of removal rate

integrated over disc area in which:

$$603 r_a = \left(\frac{K_C}{U_{max}}\right) \cdot \left(\frac{A_d}{QC_i}\right) + \left(\frac{1}{U_{max}}\right) (3)$$

The equations mentioned above are empirical or analytical in origin which predict removal rate per 604 605 area as a function of a chosen suite of dependent variables. The removal rate constants and model 606 coefficients are obtained by regression analysis with experimental data (Hansford et al. 1978). 607 However providing the system has been adequately described more complex models allow 608 application to different treatment scenarios (Wanner et al. 2006). An RBC model was one of the first 609 to describe simultaneous BOD removal and nitrification. It was suggested that heterotrophic activity 610 is the dominant process at earlier stages in RBC treatment and nitrification occurs once the BOD 611 concentration is below the threshold selecting against autotrophic nitrification (Mueller et al. 1978). 612 Wanner and Gujer (1984) demonstrated that competition for space and electron acceptors between 613 heterotrophs and autotrophs occurs in biofilms. Biofilm modeling was previously based on Fick's 614 Law of diffusion, however, Wanner and Gujer (1986) also accounted for biofilm behavior and 615 internal microbial distribution in a dynamic model. This allowed the application of a modified 616 version of Activated Sludge Model (ASM) 1 to permit true dynamic modeling of RBCs for aerobic 617 and anoxic degradation of organic constituents (Gujer and Boller, 1990). The model revealed that 618 the distal compartment of the RBC was substrate limited for nitrification, in which decay and

- 619 inactivation outweighed growth (Dutta et al. 2007). This identified a potential risk to effluent quality
- one inactivation outweighed growth (Dutta et al. 2007). This identified a potential risk to efficient quanty
- under shock load scenarios. Model simulations demonstrated that periodic flow reversal restored the
- activity to the distal compartment by countering nitrifyer starvation. Dutta et al. (2007) developed a
- model incorporating the multi-species biofilm model after Gujer and Boller (1990) and the kinetics
- from the ASM 3 (Gujer et al. 1999) in which:

$$624 \quad \frac{dC^{Lf}}{dt} = K_L^{air} \frac{A_{exp}}{V_{Lf}} (C^* - C^{Lf}) + K_L \frac{A_{sub}}{V_{Lf}} (C^T - C^{Lf}) - K_L \frac{A}{V_{Lf}} (C^{Lf} - C^{Bf} \Big|_{x = \delta_{Bf}})$$
(4)

- The terms on the right hand side describe the transfer from the air, from/to the tank and from the
- 626 liquid film to the biofilm for each substrate/electron acceptor respectively. The model was
- 627 implemented on a three stage RBC and calibrated using oxygen transfer data. Increased effluent

recycle rate from 0.25-2.0 improved the rate of nitrification in the first stage of an RBC due to dilution of influent BOD (Dutta et al. 2007). This model has the potential to describe biofilm development with multiple bacterial groups and removal rate of their substrates and electron acceptors. The hydrodynamics should be characterized and the model calibrated for oxygen transfer prior to application, the inherent complexity limits the application to experienced modelers.

### **6.2.** Oxygen transfer in RBCs

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The oxygen transfer rate (OTR) determines the biofilm oxygen concentration and hence the selected removal regime in RBCs. Initially, oxygen must diffuse from the bulk water/gas phase across the boundary layer, into the film layer and eventually into the biofilm itself. The rate of diffusion is dependent on the diffusion coefficient of oxygen and the distance according to Fick's Law (Stewart, 2003). Originally it was thought that the majority of transfer occurs with biofilm contact to the air phase and therefore bulk fluid concentration was less important (Hartman, 1960). Other models were developed with the assumption that substrate alone rather than oxygen is limiting in RBCs: these are now deemed unsuitable (Clark et al. 1978; Spengel and Dzombak, 1992). The OTR is related to the difference between the liquid phase and equilibrium concentration, in the liquid film and RBC biofilm (Chavan and Mukherji 2008.a). Hansford et al. (1978), presented one of the first attempts to include mass transport resistance to OTR. Initial models of OTR assumed that turbulence, wave generation and immersion dominate (Patwardhan, 2003). An alternative method is that oxygenation occurs during film breakup and renewal. This is caused by the air/water cycling involving the interaction with rotational derived forces, which overcome film layer surface tension. The rate of renewal is dependent on the rotational speed, disc diameter, position and half spacing (Table 5) (Chavan and Mukherji, 2008.a). A study suggested that the relationship between liquid film renewal and the OTR was linear under sterile conditions (Kim and Molof, 1982). Attached biofilm increases the OTR, by enhancing concentration gradients due to consumption in the film and adsorption to the biofilm (Kim and Molof, 1982; Zeevalkink et al. 1979). However biofilm growth can reduce OTR by clogging pores which reduces mass transfer, Friedman et al. (1979) related oxygen transfer coefficient to rpm alone. Rittmann et al. (1983), identified the import of adsorption for OT at high rotational speed (>25) whereas diffusive film transport dominated during operation at normal rotor speed. Kubsad et al. (2004), compared two forms of the Kim and Molof (1982) model to alternatives and found appropriate predictive fit providing the volume renewal number can be estimated effectively (Table 5). Di Palma et al. (2009) calibrated a previously defined model and found that the k<sub>L</sub>a increased in a linear fashion between the speeds of 3 and 10 rpm at bench scale. The majority of film renewal is thought to occur when the surface tension resistance is broken under the effect of gravity after the so called 'falling film' theory (Zhang et al. 2009).

Note to publisher: insert table 5

### 7. Novel applications of RBCs

The relatively simple engineering of RBC type systems promises to provide a platform for new energy generating processes that treat wastewater. There are a variety of RBC systems that have been applied for direct electricity generation or energy production through biogas and algae (Sayess et al. 2013; Cheng et al. 2011; Paule et al. 2011). Sayess et al. (2013) coupled an RBC with a microbial fuel cell configuration which allowed for contaminant removal and electricity production. This RBC achieved between 6.9 and 20.9% higher denitrification rates compared to a control RBC setup where electron generation by anodic oxidation was used by denitrifiers for nitrate reduction at the cathode. In a similar system it was shown that the optimum current for nitrogen removal is 0.2 Amps.m<sup>-2</sup> (Rodziewicz et al. 2011). Cheng et al. (2011), developed a bioelectrochemical RBC-type system for indirect energy generation. Each disc was split with regular 180° rotations which led to inversion of the anode and cathode allowing concurrent spatial acetate oxidation and methanogenesis respectively. Methane generation appeared proportional to electrical input with 80% energy recovery. Christenson and Sims (2012) developed a method for indirect energy generation

and removal of nitrogen and phosphorus utilising an algal RBC-type reactor. The reactor design consisted of a RBC drum with ropes and scraper blades which collected the algae. The maximum harvested biomass produced was ~30 gm<sup>-2</sup>d<sup>-1</sup> of total solids. The algal RBC reactor achieved removal rates of ~14 and 2 gm<sup>-2</sup>d<sup>-1</sup> of soluble nitrogen and phosphorus respectively. Paule et al. (2011) designed a vertical RBC with an intrinsic light source with removable polyethylene plates produced 0.007 gm<sup>-2</sup>d<sup>-1</sup> of volatile solids which could be used for energy generation.

#### 8. Conclusions

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The use of RBCs for conventional biological wastewater treatment to remove BOD<sub>5</sub> and ammonia has been well established for the last three decades (Mueller et al. 1978). Application has largely been at the lower end of the WWT scale, usually for up to 2000 P.E. (Griffin and Findlay 2000). The limits of organic carbon renewal have been thoroughly investigated, with maximum OLRs of up to 120 g. sCOD.m<sup>-2</sup>d<sup>-1</sup> through using improved media optimised disc immersion and adjusted rotational speeds (Teixeira and Oliveira 2001; Hanhan et al. 2005; Chen et al. 2008; Hassard et al. 2014). However, novel configurations of media – such as mesh types (Chen et al. 2008; Lui et al. 2008; Hassard et al. 2014) – and careful selection of media to enhance growth of certain bacterial populations could increase applied OLRs and nitrogen loading rates (NLRs) incrementally (Khan et al. 2012; Stephenson et al. 2013).

Recent research has demonstrated that the process can be adapted to remove nutrients, both nitrogen and phosphorus, as with other biological processes (Yun et al. 2004; Hahnhan et al. 2005). Novel RBC type processes, such as Hybrid Activated Sludge (HYBACS), has shown that new combinations of suspended growth and fixed film on rotating media can provide higher organic removal rates and efficient denitrification (Hoyland et al. 2008). Solid and liquid phase bacterial interactions have been mentioned previously (Wanner and Kos 1990; Kenneth 1999), a better understanding of these mechanisms merit further investigation in applying hybrid RBCs to energy efficient nutrient removal. Biofilm systems are suited to providing a range of redox environments, from wholly aerobic through anoxic to anaerobic conditions (Wuertz et al. 2004). Exploitation of this phenomenon in RBCs is in its infancy at full-scale: for example, anammox (Strous et al. 1999) has been demonstrated in RBCs (Siegrist et al. 1998; Vlaeminck et al. 2009; De Clippeleir et al. 2011). Control of disc immersion can be used to stimulate denitrification (Courtens et al. 2014). Enhanced BPR requires alternating anaerobic and aerobic conditions (Yun et al. 2004); however enforcing SBR type approaches in RBCs at full scale is challenging. Therefore manipulation of the gaseous headspace, submergence, rotational speed or recycle in RBCs could be explored to stimulate the enhanced BPR process.

Fully submerged processes such as Biological Aerated Filters use backwashing to remove excess bacterial growth to optimise performance, drawing analogies to mixed liquor wastage in activated sludge (Mendoza Espinosa and Stephenson 1999). Deliberate removal of RBC biofilm, either by mechanical means or air scouring, to control the biomass growth rate, and therefore performance, has not been directly employed. A full scale exception is the air scour used to remove biofilm in rotating biofilm SMART reactors, however, this is usually applied to prevent media clogging (Hoyland et al. 2008). Yun et al. (2004) suggested biofilm thickness should be controlled every 15 days to enable BPR in a SBR type RBC, although this would be dependent on biofilm accumulation rate. Christenson and Sims (2012) used scraper blades to remove algal biofilm for harvesting providing new surfaces for biomass growth. Manipulating microbial growth rate to determine performance could allow greater process control of RBCsThe mechanical engineering of RBCs has proven to be the most problematic issue when applied at full scale, specifically shaft material selection, media robustness and construction and design and maintenance of bearings (Mba et al. 1999). 'Lightweighting' of these components through use of new materials, e.g. composites, provide opportunities for re-engineering and allowing further scale-up. Application of low resistance bearings, e.g. air or 'non-stick' bearings, may allow for lower energy, higher rotational speeds that

- 726 could enhance treatment. The removal of dyes and other recalcitrant organic pollutants in RBCs
- appears linked to bioaugmentation and propagation of allochthonous microbial populations with
- 728 pollutant degrading capacity (Novotny et al. 2012). The sensitivities and expense of these
- 729 communities remains an issue for application under real scenarios with representative wastewater.
- 730 Future research should focus on approaches suitable for scale-up or methods for upgrade or existing
- 731 works which struggle to deal with organic pollutants containing wastewater. Costley and Wallis
- 732 (2001) highlighted the potential of RBC biofilms for resource recovery, with the increasing price of
- 733 metals and nutrient fertilizer new opportunities could be created for cost positive wastewater
- treatment (STOWA, 2012). The simplicity, adaptability, low land use and maintenance and high
- volumetric activity of the RBC suggest that it will continue to help meet our wastewater treatment
- 736 requirements for years to come.

# 737 9. Acknowledgements

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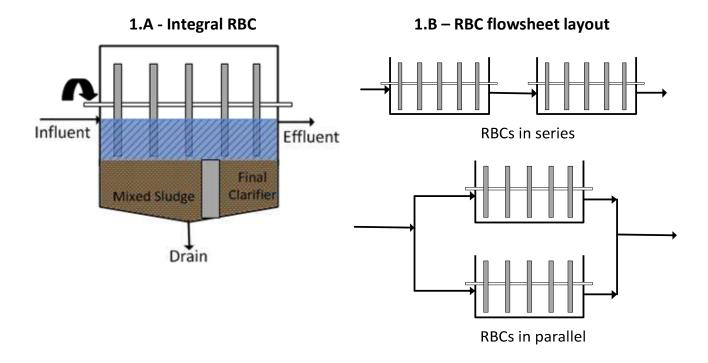
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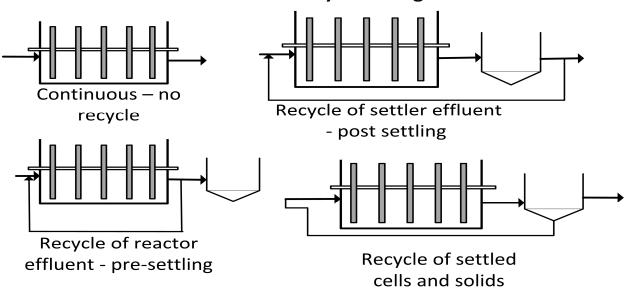
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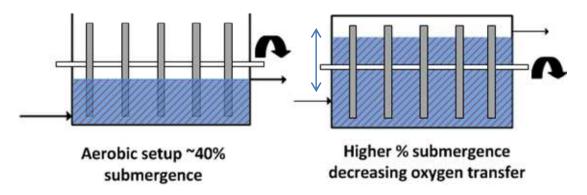
# Rotating fixed film biological contactors for wastewater treatment – a review - figures



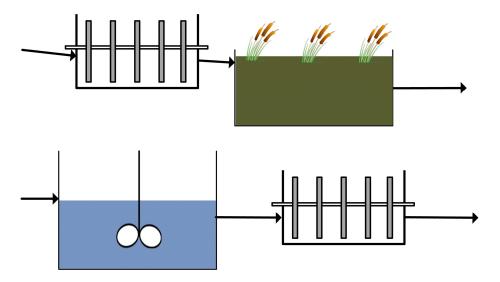
# 1.C Reactor and recycle arrangements



# 1.D. Submergence



# **Hybrid systems**



1.E. Hybrid rbc/wetland operation 1.F Polishing step post AS tank.

Figure 1: Process configurations of RBC technology.

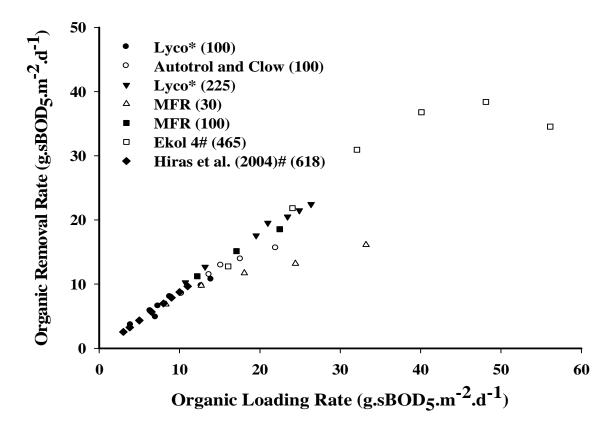


Figure 2: Organic removal rate with loading rate of RBCs from different manufacturers for soluble BOD, \* total BOD, # total COD, numbers in brackets indicates influent concentration mgL<sup>-1</sup> of (Brenner and Opaken 1984), Ekol 4 data adapted from Fountoulakis et al. (2009), data from Hiras et al. (2004) is an unspecified media/manufacturer.

	T-CI-S-1	N 100 Jin 2 100 40	1 IV	Tall		
Wastewater	concentration	$(\mathbf{g.N m}^2\mathbf{d}^{-1})$	$(g.N m^2d^{-1})$	(day)	Process	Reference
Synthetic high nitrogen	450	5.7	4.8	1.70	Anammox	Wyffels et al. (2003)
Synthetic sewage like nitrogen	280	5.4	3.5	1.00	Anammox	Lv et al. (2011)
Saline high NH4+-N	770	12.9	11.9	0.77	OLAND	Windey et al. (2005)
	750	9.6	6.4	0.38		
Synthetic high nitrogen	1300	16.7	14.4	0.38	OLAND	Pynaert et al. (2004)
Synthetic high nitrogen	1150	11.5	10.3	0.70	OLAND	Pynaert et al. (2003)
Synthetic high nitrogen	400	1.7	1.6	1.70	OLAND	Pynaert et al. (2002)
Digested black water	537	2.2	2.2	99.0		
	278	2.2	2.0	0.34	CINATO	De Clinneleir et al (2011)
Synthetic high nitrogen	146	2.2	1.6	0.18	OLVIN	De empleien et an. (2011)
	99	2.1	1.9	0.08		
Digested black water	1023	6.0	0.71	1.14	OLAND	Vlaeminck et al. (2009)
Landfill leachate	209	0.4	0.67	0.55	OLAND	Hippen et al. (1997)
Synthetic high nitrogen	09	0.5	0.5	0.20	Nitrification	Jang et al. (2005)
Discorted mont course as		1.9	1.6	10.00		
Digested feat sewage	43	3.8	2.9	5.00	Nitrification	Tawfik et al. (2002)
		7.6	1.5	2.50		
	130	1.9	1.9			
Landfill leachate	244	3.6	3.6	99	Nitaifiootion	Kulikowska et al. (2010)
	332	4.8	3.6	0.0	municanon	
	451	9.9	4.8			
	24	3.5	0.2	0.16		
Real settled sewage	36	10.3	6.3	0.08	Nitrification	Hassard et al. (2014)
Synthetic high nitrogen	110	1.1	1.1	0.63	SND	Gupta and Gupta (2001)
Synthetic sewage	30	0.7	9.0	0.33	SND	Chen et al. (2006)
Real settled sewage	42	0.06	0.1	0.25	SND	Hiras et al. (2004)

le 2 – Relat	ionship between ch	Table 2 – Relationship between chemical structure and reactivity of	d reactivity of decolourisation of dyes by bioaugmentation	lyes by bioaugmer	ntation	_		
Compound	Dye concentration mgL <sup>-1</sup>	Structu	Medium composition	Organism	Removal (%)	Dye surface loading mgm <sup>-</sup> <sup>2</sup> h-1	Surface Decolourisat ion rate mg.m <sup>-2</sup> .h <sup>1</sup>	Referenc
Remazol Brilliant Blue R		HN N N N N N N N N N N N N N N N N N N	Mineral medium 10 gL <sup>-1</sup> glucose	Dichomitus squalens	95	0.66	0.63	Novotný al. 2011
Reactive Blue 4	200	Lyn O Nath	Citric buffer 21.4 gL <sup>-1</sup> glucose	Trametes versicolor	70	0.26	0.18	Nilsson e al. 2006
Reactive Blue 4	100		$10~{ m gL}^{-1}{ m glucose}$	Bjerkandera sp.	66	0.07	90:00	Axelsson of al. 2006
	50	- 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3	Mineral medium 10 gL <sup>-1</sup> glucose	Dichomitus squalens	85	0.22	0.19	Novotný al. 2011
Methylene Blue	150	P. N. H.	Malt extract glucose 10 gL <sup>-1</sup> + 2% agar	Irpex lacteus 931	55	N/A	0.39	Malachov et al. 201;
Azure B	20	CH <sub>3</sub> CH <sub>3</sub>	Mineral medium 10 gL <sup>-1</sup> glucose	Dichomitus squalens	42	0.03	0.01	Novotný al. 2011
								Ī

Compound	Dye concentration mgL <sup>-1</sup>	ration	Structure	Growth substrate supplied	Organism	Remova I (%)	Dye surface loading mgm <sup>-2</sup> h <sup>-1</sup>	Surface Decolourisation rate mgm²h¹	Refere nce
Basic Blue 22	200		HN CH <sub>3</sub>	8 gL <sup>-1</sup> glucose	Phanerochaete sordida	0.80	0.89	0.71	Ge et al. (2004)
Direct Red 80	200	000	N H	13.46 gL <sup>-1</sup> glucose	Phanerochaete chrysosporium	0.80	0.55	0.44	Pakshira jan and Singh 2010
Mordant Blue 9	200	5—————————————————————————————————————	HN O	$13.46  \mathrm{gL^{-1}}$ glucose	Phanerochaete chrysosporium	0.62	0.55	0.34	Pakshir ajan and Singh 2010
Reactive Red 2	100			10 gL <sup>-1</sup> glucose	Bjerkandera sp.	66.0	0.07	0.06	Axelsso n et al. 2006
Acid Red 27	62.4	sN <sub>6</sub> OS	eN <sub>c</sub> OS	Kirk's medium 10.1 gL <sup>-1</sup>	Trametes versicolor	0.58	1.64	0.96	Ramsay et al. 2006

Organic	Initial pollutant concentration	Degrading species/	Removal	Pollutant surface loading rate	Maximum pollutant surface removal	HRT (d)	References
ponucant	${ m mgL}^{\cdot 1}$	COUSOFUE	eniciency %	mg.pollutant.m <sup>-</sup> <sup>2</sup> d <sup>-1</sup>	rate mg.pollutant.m <sup>-</sup> <sup>2</sup> d <sup>-1</sup>		
Benzene	1193	Pseudomonas sp., Bacillus, Enterococcus sp.	7.76	4.0	3.9	1.23	Sarayu and Sandhya 2012
Xylene	1226	Pseudomonas sp., Bacillus, Enterococcus sp.	98.5	4.1	4.1	1.23	Sarayu and Sandhya 2012
Phenol*#	250	Exiguobacterium aurantiacum	48.4	154.4	74.7	1.00	Jeswani and Mukherji, 2012
Pyridine*	280	E. aurantiacum	34.2	169.5	58.0	0.50	Jeswani and Mukherji, 2012
Quinoline*	280	E. aurantiacum	48.9	345.3	168.9	0.50	Jeswani and Mukherji, 2012
Benzene*	200	E. aurantiacum	35.0	246.7	86.3	0.50	Jeswani and Mukherji, 2012
Napthalene*	09	E. aurantiacum	8.65	36.3	21.7	0.50	Jeswani and Mukherji, 2012
Phenanthrene*	0.5	E. aurantiacum	53.2	0.3	0.2	0.50	Jeswani and Mukherji, 2012
Phenanthrene	1.73	Phanerochaete chrysosporium	41.0	2.5	1.0	12.16	Zheng and Obbard, (2002)
Fluoranthene*	0.2	E. aurantiacum	46.0	0.1	0.1	0.50	Jeswani and Mukherji, 2012

(d) References	Jeswani and Mukherji, 2012	Zheng and Obbard, (2002)	Zheng and Obbard, (2002)	Brar and Gupta (2000)	Duque et al. (2011)	Laopaiboon et al. (2007)	Sahinkaya and Dilek,(2006)	Sahinkaya and Dilek,(2006)	Amorim et al. 2013	Amorim et al. 2013
HRT (d)	0.50	12.07	11.72	2.00	0.78	0.03	0.35	0.35	0.77	0.77
Pollutant surface removal rate mg.pollutant.m <sup>-</sup>	0.1	1.2	0.3	200.1	3.9	22455.9	18300.0	9500.0	2129.7	110
Pollutant surface loading rate mg.pollutant.m <sup>-</sup>	0.1	1.8	0.3	202.8	8.	31468.5	37545.5	19272.7	4660.3	2038.8
Removal efficiency %	80.0	62:9	6.96	7.86	82.0	71.4	51.3	50.7	45.7	7.9
Degrading species / consortia	E. aurantiacum	P. chrysosporium	P. chrysosporium	Mixed culture (MC) augmented with <i>Thiosphaera</i>	MC augmented with 2- fluorophenol degrader (FP1)	treating glutaldehyde and RAS	MC from settled sewage	MC from settled sewage	Rhodococcus sp. S2	Rhodococcus sp. S2
Initial pollutant concentration mgL <sup>-1</sup>	0.12	1.23	0.21	30	100	180	826	424	08	35
Organic pollutant	$\mathrm{Pyrene}^*$	Pyrene	$\mathrm{Benzol}(\alpha)\mathrm{pyrene}$	Trichloroethylene	2-fluorophenol	1,5-pentanedial (Glutaldehyde)	4-chlorophenol	2,4-dichlorophenol	4-fluorocinnamic acid	4-fluorocinnamic acid

\*Mixed synthetic wastewater stream containing multiple organic pollutants, #removal from first stage only

concentration		<b>Removal</b>	Metal loading	removal rate	capacity		
•	Biosorbent species	efficiency %	rate mg.metal.m <sup>-</sup> <sup>2</sup> d <sup>-1</sup>	mg.metal.m <sup>-</sup> <sup>2</sup> d <sup>-1</sup>	mg.metal. biofilm.g <sup>-1</sup>	HRT d	References
	Ulothrix sp.	36.7	18.243	6.695	ı	1	Orandi et al. 2012
	Ulothrix sp.	5.7	0.203	0.012	ı	1	Orandi et al. 2012
	Ulothrix sp.	38	40.541	15.405	1	1	Orandi et al. 2012
	Activated sludge consortium enriched by metal spiking	59	762.829	450.069	4484	П	Costley and Wallis, 2001
	Sedimentation tank biomass	83 83 85	600 400 300	480 332 255	1	408	Sirianuntapiboon and Chumlaong (2013)
	Ulothrix sp.	29	8.108	2.351	ı	1	Orandi et al. 2012
	Activated sludge consortium enriched by metal spiking	84	762.829	640.777	3454.1	П	Costley and Wallis, 2001
0.04	Ulothrix sp.	35.2	0.016	0.006	ı	1	Orandi et al. 2012
0.007	Ulothrix sp.	35.6	0.003	0.001	ı	1	Orandi et al. 2012
	Ulothrix sp.	35.7	1.216	0.434	ı	1	Orandi et al. 2013
30	Sedimentation tank biomass	67 71 74	600 400 300	400 284 222	ı	408	Sirianuntapiboon and Chumlaong (2013)
100	Activated sludge consortium enriched by metal spiking	42	762.829	320.388	1914.4	-	Costley and Wallis, 2001
40	Sedimentation tank consortium	06	0.408	0.367	1	0.33	Sirianuntapiboon and Chuamkaew, (2007)

Application / Derivation	Expression	Assumptions	Reference
Liquid film (LF) thickness	$\delta = \phi^{0.5} \omega^{1.5} s^1$		(Zhevalkink et al., 1978)
Overall oxygen transfer (OT) considering film	$K_L = -2(\frac{D_L}{\pi t_R})^{0.5} ((1 - 4.21) \frac{\delta}{D_L T_R})^{0.5}$		Zeevalkink et al. (1979)
Overall OT to bulk	$lnK_L = 1.31 \ln \omega + 14.78$	OT governed by disc rotation alone	Friedman et al. (1979)
	$K_L = 2\left(\frac{D_L}{\pi t_R}\right)^{0.5}$	Where $\delta/D_L t_R \geq 1.7$	
Overall OT considering film theory	$K_L = 2\left(\frac{2\alpha}{\pi^{0.5}}\right) \cdot \frac{\delta}{t_R} \sim \frac{\delta}{t_R}$	Where $\delta/D_L t_R < 0.8$	Bintanja et al. (1975)
Overall OT	$K_L rac{\emptyset}{D_L} = K \left( rac{\omega' \emptyset^2  ho}{\mu}  ight)^l \left( rac{\omega'^2 \emptyset}{g}  ight)^m \left( rac{\emptyset - \emptyset_0}{\emptyset}  ight)^n$	K = 1.7, 1 = 0.8, m = 0.13, n = 0.74	Sant' Anna (1980)
Volume renewal number	$K_L a = 0.0011  (\phi^{0.5} \omega^{1.5} s^{-1})^{0.732}$	Sterile disks, $e/r = 0.042$ and $H/t_R = 0.15$	Kim and Molof (1982)
E	$K_L \alpha = 0.0003 \left( \frac{N A_d \delta \omega}{V} \right) + 0.0119$	Where $N_{\nu}$ : <800	
ine Oi dependence on volume renewal number	$K_L a = 0.0001 \left( \frac{NA_d \delta \omega}{V} \right) + 0.1157$	Where $N\nu > 800$	Kubsad et al. (2004)
Non-dimensional model of $K_L \alpha$	$\frac{\left(K_{L}a\rho A_{d}\right)}{\mu} = \left(\frac{\emptyset}{A_{d}^{0.5}}\right)^{\psi} \left(\frac{\rho A_{d}\omega}{\mu}\right)^{\varepsilon} \left(\frac{A_{d}}{A_{t}}\right)^{\theta} \left(\frac{\delta}{V^{0.33}}\right)^{\lambda}$	Ψ=0.327, ε=1.018, θ=0.743, $\lambda$ = 0.624	Chavan and Mukherji (2008)
Model of Oxygen transfer	$K_L a = \alpha.\omega^{1.5}. \Phi^{0.5}. (\beta/\omega + \gamma)$	where $\alpha$ , $\beta$ , $\gamma$ are constants that need defining	Di Palma et al. (2003)
Experimentally verified model from above	$K_L a = 134.07. \omega^{1.5}. \Phi^{0.5}. (2.15/\omega) + 0.006)$	Model only valid providing enhancement factor is described.	Di Palma et al. (2009)