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2 **Integrated Simulation Framework for Assessing Turbocharger Fault Effects** 3 **on Diesel Engine Performance and Operability**

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7 **Abstract:** Turbocharged diesel engines are extensively used in marine vessels, both as propulsion
8 engines and as generator sets. The engines operation in the hostile marine environment results to
9 performance degradation having a negative effect on the economics of the marine vessel's operation both
10 in terms of fuel consumption and maintenance. This paper presents a turbocharged 4-stroke diesel engine
11 simulation framework based on one-dimensional calculations and analysis. The framework is suitable for
12 turbomachinery and heat exchanger components fault simulation predicting both turbocharger and diesel
13 engine performance and operability. Meanline models were used in conjunction with beta lines method
14 for generating accurate and detailed compressor and turbine performance maps, coupled with a single
15 zone closed-cycle diesel engine model for generating engine performance characteristics. The simulation
16 framework modules are adjusted and validated against measured data. Following specific faults are
17 simulated utilizing physical consistent parameters such as blade friction and thickness based on relevant
18 literature data. Overall system simulation and operation analysis is carried out assessing operability and
19 performance parameters. Analysis results show a significant reduction in engine performance, especially
20 in case of both turbo-components being fouled (22% power reduction), in contrast with the heat
21 exchanger fouling where the power reduction is about 1%.

22 **Author keywords:** Turbocharger; Diesel Engine; Centrifugal Compressor; Radial Turbine;
23 Turbocharger fault effects;

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Notation

AL0 = Compressor diffuser outlet angle, Turbine nozzle inlet angle.	Q_{comb} = In-cylinder fuel combustion energy input
AL1 = Compressor diffuser inlet angle, Turbine nozzle outlet angle.	$Q_{\text{comb,tot}}$ = Total heat of combustion
ALR3 = Compressor impeller exit sweep angle, Turbine impeller inlet angle	Q_w = Heat transfer through the boundaries of the engine cylinder
B4 = Compressor impeller inlet angle, Turbine impeller exit angle	R = Resistance
CC = Centrifugal Compressor	R0 = Compressor diffuser outlet radius, Turbine nozzle inlet radius
C.P, C.T = Charged Air Pressure and Temperature	R1 = Compressor diffuser inlet radius, Turbine nozzle outlet radius
$C_{\text{piston,mean}}$ = Mean piston speed	R3 = Compressor impeller outlet radius, Turbine impeller inlet radius
dP = Pressure Drop	R4 = Compressor impeller inlet radius, Turbine impeller outlet radius
HDDI = Heavy-Duty Direct Injection	Re = Reynolds number
HS0 = Compressor diffuser height at outlet radius, Turbine nozzle height at inlet radius	RH = Relative Humidity
LHV = Lower Heating Value of Diesel fuel	RT = Radial Turbine
m_{AIR} = Diesel engine inlet air mass flow rate	Sfc = Specific fuel consumption
m_{cor} = Corrected mass flow ($\dot{m} \sqrt{\theta/\delta}$)	T4,T5 = Temperature before and after Radial Turbine
m_{EXH} = Diesel engine exhaust gas mass flow rate	RBDW = Compressor impeller outlet width, Turbine impeller inlet width
m_{fuel} = Diesel engine fuel mass flow rate	T/C = Turbocharger

N = Diesel engine crankshaft speed, T/C speed	T_{cyl} = In-cylinder Temperature
n = Polytropic exponent	$TH1$ = Compressor diffuser leading edge normal thickness, Turbine nozzle trailing edge normal thickness
N_c = T/C Corrected speed ($N/\sqrt{\theta}$)	$TH4$ = Compressor impeller inlet normal thickness, Turbine impeller exit normal thickness
$N_{c,des}$ = T/C Design corrected speed	T_{exh} = Exhaust gas temperature
$NRBL$ = Number of impeller full blades	T_{IVC} = Cylinder gas temperature at inlet valve closing
$NRSBL$ = Number of impeller splitter blades	T_{EVO} = Cylinder gas temperature at exhaust valve opening
$NSTV$ = Number of stator vanes	T_{im} = Temperature at diesel engine inlet manifold
η_{vol} = Diesel engine volumetric efficiency	U = Overall Heat Transfer Coefficient
P_{amb} = Ambient Pressure	U_{cyl} = Internal Energy of the engine cylinder content
p_{cyl} = In-cylinder pressure	V_{sw} = Diesel engine Cylinder swept volume
P_{EVO} = Cylinder gas pressure at exhaust valve opening	W = Engine work output
$P_{exh,manif}$ = Gas pressure at exhaust manifold	$\Delta\phi_c$ = Duration of combustion (in CA degs)
P_{im} = Pressure at diesel engine inlet manifold	ε = Effectiveness
Pr = Prandlt number	

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38 **Introduction**

39 Turbocharged diesel engines have been widely used in vehicles, heavy duty trucks, ships, non-
40 interconnected electric power systems and other energy applications. Specifically, they have a leading
41 role in marine industry, used mainly as main propulsion engines and as auxiliary power generator sets
42 (GENSETs). Naval vessels up to frigate class utilize four-stroke diesel engines for propulsion as well as
43 GENSETs since they offer lower acquisition cost, better fuel economy and better response to load
44 changes compared to gas turbines (Bricknell, 2006).

45 As discussed by Button et al. (2015), the bigger contributors in the life cycle cost of the turbocharged
46 diesel engines are maintenance and operational costs. The development of an integrated simulation
47 framework for simulating fault effects on turbocharged diesel engines is expected to contribute towards

48 quantifying the degradation effect on operational cost by providing information on the increased fuel
49 consumption and decreased load (Murphy et al., 2015). At the same time, it may provide information on
50 maintenance cost and operability concerning compressor surge margin reduction along with temperature
51 and rotational speed changes that affect bearings life. Additionally, it can be used for providing suitable
52 fault signatures, as discussed by Pagán Rubio et al. (2018).

53 Turbocharger (T/C) consists of two components, a Centrifugal Compressor (CC) and a Radial (RT) or
54 Axial Turbine depending on diesel engine size. The impact of turbocharger modeling on diesel engine
55 combustion mechanism and performance characteristics have been thoroughly examined in the past by
56 Giakoumis and Tziolas (2018) and by Giakoumis et al. (2017). Also the influence of turbocharger heat
57 transfer modeling both for diesel and gasolines engine performance parameters have been investigated
58 using neural networks by Huang et al. (2018). At present, the design and modeling of both T/C
59 components can be performed by using 1D and 3D analysis. 1D analysis is used to calculate components
60 performance maps using basic geometrical parameters and not the whole geometry in contrast to 3D
61 analysis. Thus, it can be a powerful tool during preliminary design and modeling. In 1D analysis the flow
62 through the impeller is assumed uniform and the off-design performance is calculated using mean
63 streamline single zone models (Galvas 1973, Aungier 1995, Wasserbauer and Glassman 1975). A
64 significant aspect for the compressor map is the prediction of surge line. Rodgers (1963) set the surge and
65 choke limits for a wide range of centrifugal compressors using experimental data. Another approach was
66 made by Japikse (1996), who assumed that a jet-wake structure exists in the impeller passage. Stuart et al.
67 (2017) made a new approach in CC 1D analysis using a three-zone model assuming that impeller exit
68 recirculation influences compressor work input. For the turbine performance, 1D models have been
69 extensively applied, as for example described by Romagnoli and Martinez–Botas (2011) utilizing mean
70 line analysis method well described in the past (e.g. Wasserbauer and Glassman 1975). Applying 1D
71 models for T/C component faults simulation and map prediction allows for assessing the fault effect using
72 physical consistent parameters such as roughness increase rather than arbitrary mass flow and efficiency
73 reduction factors used in the literature (Pagán Rubio et al. 2018) providing information, at the same time,
74 of the fault effect on the surge line.

75 Studies conducted in the past have examined the effect of various faulty conditions on marine diesel
76 engine performance characteristics. Specifically, Kökkülünk et al. (2016) investigated the performance
77 degradation of a marine diesel engine by using curve-based approach and Kowalski (2015) developed a
78 methodology of a multidimensional diagnostic tool based on exhaust gas composition of marine engines.
79 Also, Sakellariadis et al. (2015) proposed a turbocharger simulation methodology for marine two-stroke
80 diesel engine modeling and diagnostic applications.

81 The 3D model provides a high-fidelity analysis, based on CFD simulation and as a time, consuming
82 method, it is used in most cases, as a designing and stability analysis tool. It is also combined with 1D
83 model, for loss correlations adaptation. Japikse and Baines (1997) suggested a turbomachinery
84 component design procedure, combining 1D and 3D model, a procedure followed by Qiu et al (2013), in
85 T/C components design.

86 The importance of the turbomachinery component maps used as part of a turbocharged engine model
87 has been highlighted by Pesiridis et al. (2012). As discussed, suitable fitting and extrapolation methods
88 should be applied for accurately predicting the engine performance.

89 In the present work the well-established beta lines method suggested by Kurzke (1996) is applied for
90 ensuring accurate interpolation and extrapolation both for compressor and turbine performance maps
91 generated by corresponding meanline models, described in next section. Various types of models have
92 been proposed and used in the literature for the simulation of performance and emissions of turbocharged
93 diesel engines, depending on the application and configuration examined (Watson and Janota, 1982).
94 Three are the main categories of diesel engine models: zero-dimensional thermodynamic models, quasi-
95 dimensional phenomenological models and multi-dimensional CFD models. In zero-dimensional
96 thermodynamic models (Baldi et al. 2015 and Catania et al. 2011) the heat release is simulated in a
97 simplified way, using empirical / mathematical expressions, without detailed study of physical and
98 chemical sub-processes that actually take place in the combustion chamber, because these are strongly
99 dependent on the spatial distribution of temperature and composition which are not taken into account.
100 This approach is advantageous in applications where limited data are available regarding the design
101 configuration and the operating parameters of the engine, while computational power is limited, and

102 computational time is a critical parameter. In the field of phenomenological simulation models, quite
103 important are the multi-zone combustion models, which provide a temporal and spatial distribution of
104 combustion temperature and mixture composition based on the concept of fuel jet distribution into zones.
105 A fair compromise between more detailed multi-zone and single-zone combustion models is provided by
106 two-zone combustion models, which offer reasonable accuracy at economic computer runtime
107 (Rakopoulos et al., 2003). Two-zone models have been used very effectively for examining the effect of
108 exhaust gas recirculation (EGR) rate and temperature on diesel engine combustion characteristics and
109 pollutant emissions as demonstrated by Rakopoulos et al. (2018). Phenomenological models along with
110 experimental campaigns have been used by Rakopoulos et al. (2015) and Rakopoulos et al. (2019) to
111 examine the effect of various alternative fuels on HDDI turbocharged diesel engine performance
112 characteristics and pollutant emissions under both steady-state and transient conditions.

113 On the other hand, the multidimensional CFD models (Petranović et al. 2018, Reitz and Rutland 1995
114 and Liang et al. 2010) are based on locally resolved solution of conservation of mass, energy and
115 momentum and include detailed sub-models for spray and combustion phenomena. With this approach it
116 is possible to obtain detailed results regarding the gas flow pattern and the spatial distribution of
117 temperature and composition inside the combustion chamber. However, these models are very demanding
118 in terms of detailed design data, computational power and expertise to be applied making its use
119 appropriate only for specific applications.

120 The intermediate category is the quasi-dimensional phenomenological models, which allows to
121 execute efficient, fast and economic preliminary calculations of heat release models and exhaust
122 emissions as a function of important engine parameters like injection pressure, injection timing, swirl
123 ratio and boost pressure. These models are based on physical and chemical sub-models for fuel spray
124 formation, air fuel mixing, combustion and emission formation, offering a fair compromise between the
125 detailed CFD ones and the zero-dimensional models, being appropriate as predictive tools conducting
126 parametric studies during engine development (Pagán Rubio et al. 2018, Pariotis and Hountalas 2003 and
127 2004, Pariotis et al. 2005).

128 Focusing on models applied to investigate the matching between diesel engine and a turbocharger
129 system, Charlton (1992) proposed the SPICE modeling software, which is a quasi-dimensional model,
130 based on the filling and emptying method and is particularly suited for turbocharged diesel engine
131 systems. The system of components is modeled as a combination of thermodynamic volumes, flow
132 junctions and shafts. The intake and exhaust valves are represented by junctions, each having a schedule
133 of effective flow area versus crankshaft position. One dimensional compressible flow equations are used
134 to obtain flow rates for given pressures in the neighboring volumes. The performance of the turbocharger
135 compressor and turbine is represented by tabulated data taken from performance maps published by the
136 manufacturers.

137 An alternative approach has been proposed by Ledger et al. (1971 and 1973), focusing on the
138 transient simulation of turbocharged engines, by linking steady speed experimental data (regarding engine
139 performance and gas flow) with dynamic models of the mechanical components of the system. However,
140 the weakness of this approach is that it is heavily dependent on experimental data and it oversimplifies the
141 simulation of combustion. A more comprehensive transient model (extended from the filling and
142 emptying model) was developed by Watson and Marzouk (1977). Their model was used to investigate
143 turbocharger response problems at a fundamental level. It takes into account the non-linear influence of
144 combustion on the torque developed and the exhaust-gas energy available at the turbine, the pulsating
145 nature of gas flow (including reverse flow) and also the influence of manifold pressure on pumping work.

146 The increasing need for marine engine system downsizing, combined with the harsh working
147 conditions, leads to frequent engine components failure, especially for the turbocharger. In order to ensure
148 ship safety operation, by preventing those failures, a fault diagnosis system must be used. For
149 turbocharger fault diagnosis, slight improvement has been made, comparing with the rest of
150 turbomachines (e.g. turbofan, gas turbine, etc.), relying in most cases on engineers' personal experience.
151 At present, Barelli et al. (2009) presented a turbocharger diagnosis methodology based on Artificial
152 Neural Network (ANN) and proposed a frequent data gathering campaign every 6 to 9 months in order to
153 ensure the proper operation of such a system. Sakellaridis and Hountalas (2013) also developed a radial
154 turbine mean line code for being a part in a T/C diagnostic tool with the ability of adapting to available

155 measured data. Cui et al. (2018) developed a gas-path diagnosis for diesel engine turbochargers, using
156 health factors (flow capacity and isentropic efficiency), hence monitoring the T/C health status.

157 The present study proposes a turbocharged diesel engine modeling framework using 1D modeling for
158 T/C components, single zone modeling for the diesel engine and matching analysis between T/C and
159 diesel engine. The diesel engine model is adapted to engine specific data and the overall integrated model
160 is validated against shop trials data obtained from a marine diesel generator. Having developed a model
161 capable to simulate the system operation over its whole envelope, engine fouling analysis is performed in
162 order to determine how fouling in T/C and intercooler affects the whole system operation.

163 The T/C component faults simulation is materialized using physical consistent parameters such as
164 roughness increase rather than arbitrary mass flow and efficiency reduction factors (Kurz and Brun 2009)
165 or time consuming CFD analysis (Melino et al. 2011) used in the literature. In this way the fault effect on
166 the surge line is provided, thus the effect of faults on operability, usually neglected in the literature, is
167 assessed as well.

168 **Integrated Simulation Platform**

169 The integrated simulation platform utilizes 1D models for calculating the T/C component maps based
170 on the available geometry, then a fully coupled process integrating the T/C components the diesel engine
171 and the intercooler is applied for calculating the performance and operating conditions at sub-system and
172 system level.

173 **Turbocharger Modeling**

174 The turbocharger can be modeled by using specific compressor and turbine maps, a feature that can
175 be applied when measured maps are available or when the geometry of the turbocharger is not available.
176 In the latter case appropriate scaled maps can be used. In the case that measured turbomachinery
177 geometry is available or the simulation system is used as part of an integrated pre-design or/and
178 optimization procedure, or specific faults are to be simulated, suitable mean line aerothermodynamic
179 models are used for calculating the component maps. A hybrid modeling approach can also be applied, for
180 example using a measured map for the compressor and a map calculated based on geometry for the
181 turbine.

182 For simulating Variable Geometry, either in the case of Inlet Guide Vanes for the compressor and
183 Variable Guide Vanes for the turbine the simulation tool can handle multimaps performing 3-D
184 interpolations as discussed by Alexiou et al. (2012). If the meanline codes are applied for the T/C
185 components modeling then the Variable Geometry is integrated to the calculations, since the maps are
186 derived for specific inlet angles allowing the optimization of the Variable Turbine control schedule as part
187 of a design process, or the simulation of variable geometry fault for assessing its effect on operability and
188 performance.

189 **Centrifugal Compressor**

190 For calculating the compressor map, namely the relation between corrected mass flow rate, pressure
191 ratio and efficiency for different corrected rotational speeds an in-house compressor meanline code is
192 used. The 1-D code is based on the methodology presented in (Galvas, 1973). The flow properties are
193 calculated along a streamline in mean radial position using Wiesner slip factor. The compressor
194 performance is evaluated using empirical correlations for compressor losses and flow deviation. The
195 meanline program allows the calculation of the compressor map without increasing the time for the
196 overall matching of the integrated system. Additionally, compressor geometry optimization can be
197 performed not in isolation but in the frame of an integrated system. The geometry related inputs of the
198 compressor code can be seen in Fig. 1.

199 The meanline code has been validated against experimental data published by NASA-Galvas
200 (1973). As seen in Fig.2 and Fig. 3 the map derived for the specific geometry is in good agreement with
201 the experimental data, especially for rotational speeds lower than the maximum one. The deviation
202 between the experimental and calculated data increases as rotational speed increases, since at high
203 rotational speeds the 3-D phenomena become significant. This error trend is similar to the original code
204 presented by Galvas (1973). For surge line prediction, two surge criteria are taken into account, namely
205 one for impeller inducer and one for vaned diffuser surge. Both surge limits are calculated with empirical
206 correlations, developed by Rodgers (1963) and Galvas (1973) respectively.

207 **Radial Inflow Turbine**

208 Similar to the compressor, a meanline code based on the work of Wasserbauer et al. (1975) is used.
209 In this meanline model, the flow properties are calculated along a streamline in mean radial position,
210 computing turbine performance using empirical correlations for radial turbine losses and angle deviation.
211 The geometry related inputs of the turbine code can be seen in Fig. 4. The code is validated against
212 measured data for a specific geometry (Wasserbauer and Glassman, 1975). The results indicate that both
213 choking line and low pressure operating region are predicted with good accuracy, as seen in Fig. 5

214 **Diesel Engine Model**

215 For diesel engine modeling an in-house single zone thermodynamic combustion model has been used
216 for the closed engine cycle. The main scope of the simulation model developed is to predict the engine
217 performance, the thermodynamic properties of the working medium and its mass flow rate, in order to be
218 coupled with the compressor and turbine model, using as little as possible experimental data for model
219 calibration. Therefore, a simple approach is followed, which is based on the application of the first law of
220 thermodynamics assuming that the entire combustion chamber consists of a single homogeneous mixed
221 charge. Thus, only the temporal variation of the in-cylinder mixture concentration, temperature and
222 thermodynamic properties is considered, as a function of the instantaneous cylinder volume. In other
223 words, at each crank angle degree integration step, the model predicts the in-cylinder homogeneous
224 mixture composition (i.e. perfect combustion products concentrations after combustion initiation), the in-
225 cylinder pressure and the uniform in-cylinder bulk gas temperature. For the close part of engine cycle the
226 energy conservation equation is written as:

$$\frac{dU_{cyl}}{dt} = \frac{dQ_w}{dt} + \frac{dQ_{comb}}{dt} - \frac{dW}{dt} \quad (1)$$

227 The mechanical work performed by the piston during the compression and expansion phase is due
228 to the volume change of the cylinder and is calculated by the following trapezoidal rule:

$$dW = (p_{cyl,i} + p_{cyl,i+1}) * \frac{dV_{cyl}}{2} \quad (2)$$

229 where $p_{cyl,i}$ and $p_{cyl,i+1}$ are two successive values of cylinder pressure and dV_{cyl} is the cylinder
 230 volume step.

231 The heat addition via combustion is taken into account assuming complete combustion of the fuel
 232 injected with a specified lower heating value. The fuel burning rate at each crank angle degrees, is
 233 predetermined using a simple empirical model (Wiebe function) according to the following expression
 234 (Stiesch, 2003)

$$\frac{Q_{comb}(\varphi)}{Q_{comb,tot}} = 1 - \exp\left(-6.908 * \left(\frac{\varphi - \varphi_{SOC}}{\Delta\varphi_c}\right)^{m+1}\right) \quad (3)$$

235 Where $Q_{comb,tot} = m_{fuel} * LHV$, m and $\Delta\varphi_c$ are parameters determined through the calibration
 236 procedure conducted. The ignition delay is estimated using an Arrhenius type equation (Heywood, 1988):

$$\tau_{id} = A * p_{cyl}^{-n} * \exp\left(\frac{E_A}{\tilde{R}} * \frac{1}{T_{cyl}}\right) \quad (4)$$

237 Where, P and T are the instantaneous in-cylinder pressure and temperature, E_A is the apparent activation
 238 energy of the fuel auto-ignition process, \tilde{R} is the universal gas constant and A and n are constants
 239 dependent on the fuel. In this study the values proposed by Wolfer are used, i.e. $n=1.19$, $A=0.44$ and the
 240 parameter $E_A/\tilde{R}=4650$ K (Heywood, 1988).

241 The heat transfer between the cylinder gases and the combustion chamber walls can be due to both
 242 convection and solid body radiation which originates from hot soot particles. However, as stated in the
 243 model developed the assumption of ideally mixed combustion chamber is made, therefore, soot particles
 244 are not taken into account. To compensate this, the effect of radiative heat transfer is taken into account
 245 by an empirical augmentation of the convective heat transfer coefficient (Stiesch, 2003). The convective
 246 heat transfer rate between the gas and the wall can be described by the Newton's cooling law:

$$\dot{Q}_w = h * A * (T_w - T_{cyl}) \quad (5)$$

247 Where h is the convective heat transfer coefficient, A is the instantaneous surface area of heat transfer
 248 and T_w , T_{cyl} are the mean wall and in-cylinder gas temperatures respectively. The convective heat transfer
 249 coefficient is estimated assuming that an analogy with a steady turbulent flow over a solid wall exists,
 250 using the following expression:

$$Nu = \frac{h * L}{k} = C * Re^a * Pr^b \quad (6)$$

251 Where L represents a characteristic length and equals the cylinder bore diameter, C, a and b are empirical
 252 constants that are determined by curve fitting experimental data of wall heat transfer rates. In this study
 253 a=0.80, b=0.40. To calculate the brake engine power, the correlation proposed by Chen and Flynn (1965)
 254 for turbocharged engines are used, where the friction mean effective pressure FMEP in bar is calculated
 255 as:

$$FMEP = 0.137 + 0.005 * P_{max} + 0.162 * c_{piston,mean} \quad (7)$$

256 Where P_{max} is the peak combustion in-cylinder pressure in bar and $c_{piston,mean}$ is mean piston speed in m/s.
 257 The air mass flow rate is calculated using the following expression:

$$\dot{m}_{AIR} = \frac{P_{im}}{R * T_{im}} * V_{sw} * n_{vol} * \left(\frac{N}{2}\right) \quad (8)$$

258 Where P_{im} and T_{im} are the pressure and temperature at the engine inlet manifold (after inter-cooler), n_{vol}
 259 is the volumetric efficiency, R is the air gas constant and N is the engine crankshaft speed. The gas
 260 temperature at IVC is calculated using the following equation:

$$T_{IVC} = T_{im} + \Delta T \quad (9)$$

261 Where ΔT is an adjusted input parameter to the integrated simulation model.

262 The volumetric efficiency n_{vol} used in Eq. (8) is adjusted in order predicted data for peak cylinder
 263 pressure, brake power output and exhaust gas temperature after turbocharger to match corresponding shop
 264 trials data. The exhaust gas flow rate is calculated by:

$$\dot{m}_{EXH} = \dot{m}_{AIR} + \dot{m}_{fuel} \quad (10)$$

265 A polytropic expansion is used to calculate exhaust gas temperature using corresponding value of exhaust
 266 gas temperature at EVO as follows:

$$T_{exh} = T_{EVO} \left(\frac{P_{exh,manif}}{P_{EVO}} \right)^{\frac{n-1}{n}} \quad (11)$$

267 Where P_{EVO} is the cylinder gas pressure at EVO and $P_{exh,manif}$ is the exhaust manifold pressure, which is
 268 calculated using the following expression:

$$P_{exh,manif} = \frac{1}{2}P_{im} + \sqrt{(P_{im}100)^2 - 8\dot{m}_{exh}^2 T_{im} + (T_{EVO} - T_{im})} \quad (12)$$

At this point it is worth to make some observations about the diesel engine closed-cycle simulation model. Specifically, the model predicts the variation of in-cylinder pressure during closed-cycle diesel engine operation and thus, it does not account for the variation of cylinder pressure during intake stroke in order to calculate the pumping work during gas exchange. However, the impact of the negative pumping power on the brake engine power output is rather limited since the highest portion of indicated power results from the closed-cycle engine operation and thus, the error induced in the calculation is not considerably important. The results from a more detailed phenomenological model which is under development, considering the time and space evolution of the fuel jet, along with cylinder pressure predictions during gas exchange process, will be presented in a future paper. However, it should be underlined that the main scope of the selection of single-zone approach was based on the fact that it is suitable for cases where there are very limited available data for the geometrical and the operational characteristics of the engine. This is the case that is usually met in practical applications where turbo-matching has to be implemented in existing engines under retrofitting (i.e. replacement of existing turbocharger with another one) where the only available data are the test records of the diesel engine at shop trials.

Intercooler

The intercooler performance is estimated by prescribing the intercooler effectiveness and total pressure losses on the hot and cold sides. The temperature effectiveness (ε) and pressure drop at design point are defined according to the following equations (Alexiou and Tsalavoutas, 2013).

$$\varepsilon = \frac{T_{in,hot} - T_{out,hot}}{T_{in,hot} - T_{in,cold}} \quad (13)$$

$$P_{out,cold\ or\ hot} = P_{in,cold\ or\ hot}(1 - dP_{cold\ or\ hot}) \quad (14)$$

Where $dP_{cold\ or\ hot}$ is the pressure drop in the intercooler. In order to estimate the outlet temperatures of both cold and hot side of the intercooler, a heat flow balance is performed between the hot and cold

290 sides. For off-design operation the pressure drop is a function of mass flow deviating relative to design as
291 is the effectiveness (Walsh and Fletcher, 2008).

292 **Coupling between T/C and Diesel Engine**

293 CC and RT geometries are used as input data for the meanline models in order corresponding
294 performance maps to be generated. The input data for diesel model set up are the inlet valve closing
295 angle, the exhaust valve opening angle, the compression ratio, the cylinder bore, the piston stroke, the
296 generator efficiency and the shop trials data. The input data for intercooler model set up are the inlet air
297 mass flow, pressure drop and effectiveness at nominal point (100% of load). Having established the
298 integrated model for a specific turbocharged diesel engine, the required input data for a single operating
299 point run are ambient conditions, engine speed and engine fuel consumption (or demanded output power).
300 This procedure flow chart is depicted in Fig. 6.

302 **Test Case Engine**

303 The present integrated simulation platform is used to simulate the operation of a specific
304 turbocharged marine diesel engine throughout its whole operating envelope. The technical specifications
305 of the diesel engine are shown in Table 1.

306 The results are compared against engine shop trials data for validating the overall system model.
307 The shop trials data are shown in Table 2.

309 **Experimental Verification**

310 As discussed, the approach followed for the simulation of diesel engine operation is based on
311 empirical and semi-empirical expressions to determine the fuel burning rate, heat transfer and friction
312 power losses. Therefore, it is necessary to calibrate model's constants by comparing the output of the
313 simulation model with corresponding available experimental data. It should be noticed, that since the
314 original scope of the simulation framework was to be used as a tool in retrofitting existing engines, the
315 data used for model calibration are limited to the ones usually found in shop test records, which for the
316 case examined are: engine brake power output, peak in-cylinder combustion pressure and exhaust gas

317 temperature. The values of the calibration constants are determined following an optimization procedure
318 to minimize the error when comparing calculated and measured values at each operating point (25%,
319 50%, 75% and 100% of full engine load) and at rated engine speed.

320 In Fig. 7 the comparison between the measured and the calculated values for brake engine power,
321 peak combustion pressure and exhaust gas temperature, at the engine operating conditions used for
322 calibration is depicted. As observed, there is a good matching between measured and calculated values,
323 which indicates that the model reliably reproduce the specific engine operation for the entire range of the
324 conditions examined. It should be noted that one set of calibration parameters is used for the whole
325 operating envelope.

326 **Turbocharged Engine model validation with Engine shop trials**

327 The geometry of the compressor and turbine has been measured and used as input to the mean line
328 compressor and turbine codes. The specific fuel consumption and boost pressure against engine power is
329 presented in Fig. 8 for five different operating points (Load: 25, 50, 75, 100 and 110%) as reported in the
330 engine shop trials.

331 As seen, the integrated turbocharged engine model simulates the overall engine operation in very
332 good agreement to the engine shop trials data. The maximum deviation from the reported mean sfc and
333 boost pressure value is 2.6% and 9% respectively. It should be noted that the reported at the shop trials
334 maximum measurement error for the sfc is 5%. The matching of T/C components with engine is
335 presented in Fig. 9 and Fig. 10 where the compressor and turbine maps with corresponding operating
336 lines are shown.

337 Having established a model that can simulate the turbocharged engine throughout its operating
338 envelope the effect of specific faults on performance and operability can be assessed and the system
339 behavior can be analyzed. Specifically, T/C components and heat exchanger fouling is examined herein.

340 **Turbocharger fouling assessment**

341 Turbocharger fouling can be caused due to compressor fouling, turbine fouling or a combination of
342 both, leading to inefficient operation and a shift of operating and surge line. All compressors are

343 susceptible to fouling as a result of the ingestion of air impurities that accumulate on and stick to gas path
344 free surfaces, blades and shrouds, modifying airfoil geometry (Diakunchak, 1992). In addition, oil leaks
345 from compressor seals and bearings mix with some of the ingested particles and deposit on the blade
346 surfaces (Lakshminarasimha et al. 1994). The result will be the deterioration of airfoils aerodynamic
347 behavior and reduction of flow area leading to the compressor and engine performance degradation.

348 Turbine fouling is mainly depending on type and quality of the operating fuel as discussed by Meher-
349 Homji (1987). When heavy fuel oil or crude oil is used, the turbine degradation is expected to be
350 significant. Low melting point ashes, metals and unburned hydrocarbons can be aggregated in the turbine
351 in the form of scale. The contaminants deposition will have an impact over blade, by changing the airfoil
352 shape, the inlet angle and increasing the surface roughness. These effects will result to the reducing of the
353 airfoil throat area and apparently reducing the performance characteristics and the service life of the
354 component. Also, especially in marine gas turbines, sulfidation may occur resulting in turbine corrosion.
355 As a result, fouling rate will increase, as discussed by Basendwah et al. (2006)

356 Since both T/C components may be fouled, five different fouling cases are simulated herein. The
357 simulation is performed by altering the blade thickness and friction accordingly, as presented in Table 3.
358 The selected blade thickness change due to fouling is between 0.2 and 0.5 mm as proposed by
359 Mezheritsky and Sudarev(1990) for a medium size T/C.

360 The results of fouling analysis are shown in Fig11 –Fig13. As seen in Fig. 11, compressor fouling
361 causes the movement of the surge line towards lower pressure ratios for high rotational speeds, hence
362 reducing the compressor stable operation regime. Turbine fouling is mostly affecting the inlet mass flow
363 and turbine efficiency hence reducing shaft horse power and increasing specific fuel consumption. As
364 seen in Fig. 12 the fifth simulated case (F2-F3), which is the most severe one, results to a shaft horse
365 power reduction of 22% highlighting the effect that T/C components fouling can have on a turbocharged
366 engine. For this reason the original nominal power demand cannot be satisfied for this case. The effect of
367 fouling on fuel consumption is considerable leading to a specific fuel increase of about 5% for the worst
368 case, as depicted in Fig. 13.

369 For further interpretation of the fouling analysis, additional simulation is performed, with results
370 presented in Table 4 highlighting the effect of fouling in engine performance degradation for constant
371 engine speed and load. The demanded shaft power, used in this simulation, represents the fifth simulated
372 case (F2-F3) maximum power, aiming to ensure that engine operates stable in all fouling conditions. It is
373 observed that as the fouling level increases, the fuel consumption increases in order to satisfy the
374 demanded load. Also boost pressure and T/C rotational speed reduction occurs due to compressor and
375 turbine degradation. Final, the system outlet temperature rises, because of the turbine efficiency
376 reduction.

378 **Intercooler fouling assessment**

379 The air density determines the maximum weight of fuel that can be effectively burned per working
380 stroke in the cylinder. The increase in air density can be performed by decreasing the charged temperature
381 leading to power increase. The intercooling is used for this purpose. In most cases, intercooler consists of
382 three channels.

- 383 ○ Air channel
- 384 ○ Brackish water channel
- 385 ○ Sea water channel

386 Brackish water drains heat energy from charged air through a finned tube exchanger, increasing its
387 density. This energy is transferred in next step to sea water through a secondary exchanger. In order to
388 perform the simulation of a fouled intercooler it was assumed that:

- 389 ○ Maximum fouling sea water resistance is $0.176 \text{ m}^2\text{K/kW}$ (Kakac et al. 2012)
- 390 ○ Maximum pressure drop increase is 0.29% due to fouling. (Gautam et al. 2017)

391 Using the heat exchanger fouling assumptions, clean cooler effectiveness to fouled cooler
392 effectiveness ratio can be determined as follows.

$$\frac{\varepsilon_{\text{clean}}}{\varepsilon_{\text{fouled}}} = \frac{1}{\frac{1}{U_{\text{clean}}} + R_f} \quad (15)$$

Thus, calculating $\varepsilon_{\text{fouled}}$ and using it, in turbocharged engine model, sfc, power and temperature changes can be calculated. Heat exchanger fouling leads to effectiveness reduction and pressure drop increase, hence, the air density before the manifold is decreased causing engine shaft horse power and efficiency reduction. The fuel consumption is increased by 1% as reported in Table 5, thus heat exchanger fouling economic effect can become significant. In addition exhaust gas temperature increases significant and turbocharger operating line is moved towards surge (Fig. 14), expected to affect turbocharger stable operation.

The heat exchanger fouling rate depends on many parameters, including time. It is of interest to assess how the buildup of heat exchange fouling affects the overall turbocharged engine performance over time. The changes of pressure drop and resistance over time are evaluated according to the following and the values discussed, while time is assumed dimensionless, for expressing the relative change of performance parameters over time (see Fig 15 and Fig 16).

- Pressure drop reduction function against fouling resistance has parabolic form. (Gautam et al. 2017)
- Fouling resistance function against time has linear form. (Kakac et al. 2012)

As seen in Fig. 16 the sfc increase and the shaft horse power decrease are more profound during the first period of fouling. Over time the fouling build up is degrading the overall performance but the degradation rate is expected to be reduced over time.

Conclusion

An integrated simulation framework for turbocharged internal combustion engine performance and operability assessment has been developed. For the turbomachinery components 1D models have been applied for analyzing the impact of turbomachinery fouling on sub-system and system level. The effect of

416 intercooler fouling has been assessed as well. The assessment is undertaken utilizing models suitable
417 adapted to shop trials data. The results indicate that:

418 Compressor fouling causes the movement of the surge line towards lower pressure ratios, hence
419 reducing the compressor stable operation regime. Turbine fouling is mostly affecting the inlet mass flow
420 and turbine efficiency reducing shaft horse power and increasing specific fuel consumption. As for the
421 combination of compressor and turbine fouling, power may be reduced up to 22% highlighting the effect
422 that T/C components fouling can have on a turbocharged engine and leading to a 5% specific fuel
423 consumption increase.

424 Heat exchanger fouling leads to effectiveness reduction and pressure drop increase resulting, for the
425 case examined herein, to 1% specific fuel consumption increase and 1% power decrease, indicating that
426 intercooler fouling may affect the engine life cycle cost. In addition exhaust gas temperature increases
427 significant, an increase that is expected to affect the turbocharger bearings life. Also, turbocharger
428 operating line is moved towards surge line, increasing the chance of working under unstable operation.

429 The present simulation framework has a lot of possible other applications apart from the study of
430 engine system degradation due to fouling in T/C components and heat exchanger. It can be an integrated
431 part either of a retrofitting platform with design and optimization modules or of a diagnostic tool, for
432 predictive maintenance purposes. Therefore, the authors intent to replace the single-zone diesel engine
433 model by a more detailed phenomenological one coupled with detailed modeling for NO_x emissions from
434 marine diesel engine, while CO₂ emissions will be directly calculated by the fuel consumption.

435 Finally, the present framework can be used to any type of turbocharged engine after specific
436 modifications, which include adaptation of engine modeling to gasoline or diesel engine geometrical and
437 operational specifications and individual combustion conditions. The single-zone combustion model
438 modifications for gasoline engines include the selection of a Wiebe function suitable for gasoline
439 combustion and the observation of cylinder pressure variation rate for controlling fuel supply to avoid
440 pre-ignition or post-combustion knocking phenomena. Single-zone combustion concept can be considered
441 more suitable for gasoline combustion modeling due to its predominantly premixed nature.

442

443 **Data Availability**

444 All the data generated or used during the study are available from the corresponding author by
445 request.

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Table 1. Diesel engine technical specifications

Cycle	4	Fuel LHV [kJ/kg]	42700
Cylinders	5	Number of turbochargers	1
Bore[mm]	200	Injection timing	10 degCA BTDC
Stroke[mm]	300	Injection pressure	294 bar
Fuel Type	Diesel	Number of injector nozzle holes	8

Table 2. Diesel engine shop trials data

Load [%]	Output [kW]	Fuel* [kg/h]	C.P [barg]	C.T [C]	P_{amb} [mbar]	T_{amb} [C]	RH [%]	P_{max} [bar]	T_{exh} [C]
25	113	32.2	0.36	35	1001.5	31	62	62	283
50	225	53.5	0.69	37	1003.7	30.5	66	83	339
75	338	73.6	1.23	42	1003.5	31	65	108	365
100	450	94.5	1.84	45	1003	31.5	59	131	399
110	495	104.3	2.05	47	1002.7	32.5	54		

Table 3. Turbocharger fouling conditions

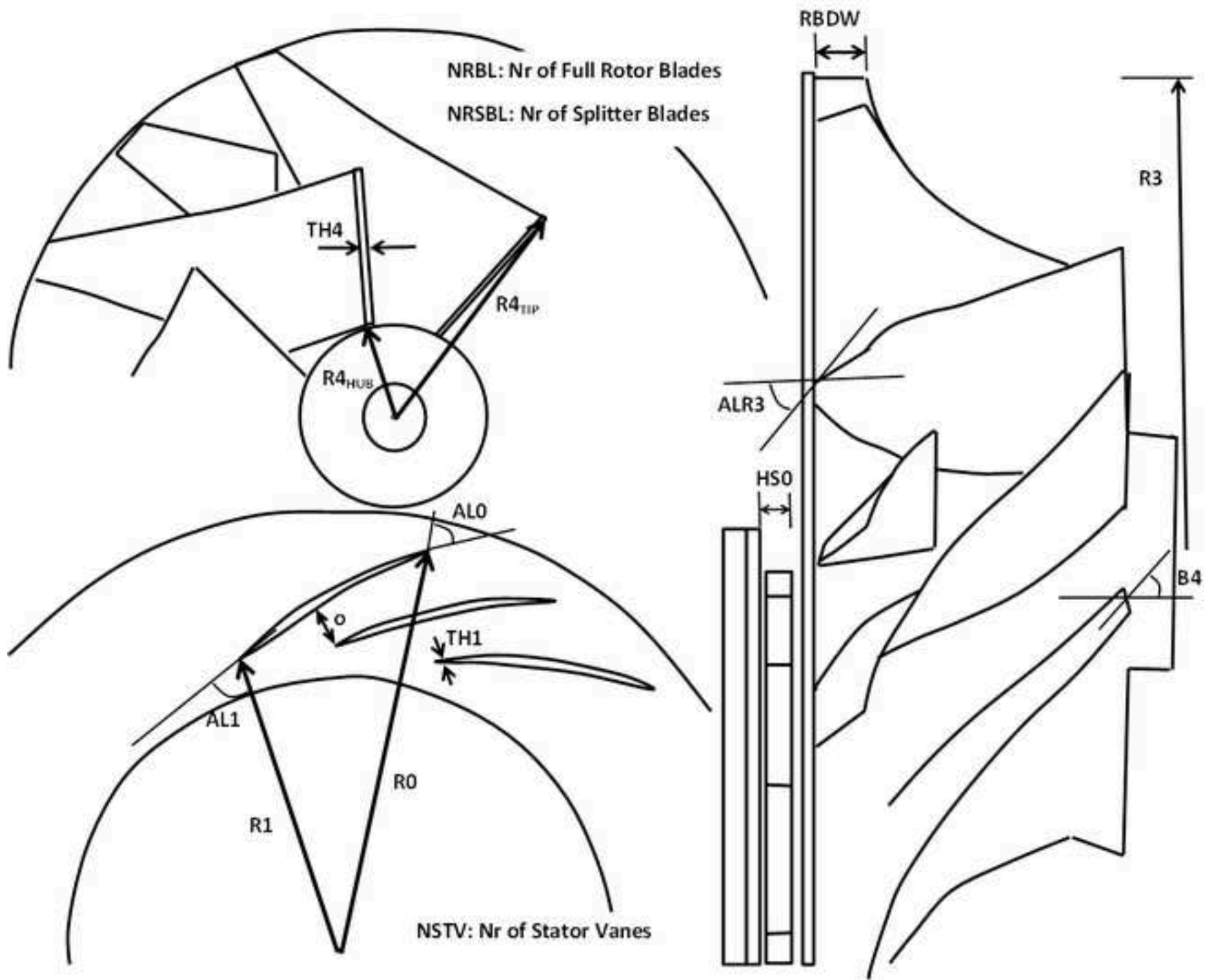
Fouling condition	Centrifugal Compressor			Radial Turbine		
	Blade thick. change[mm]	Blade thick. change [%]	Friction coef. change [%]	Nozzle thick. change [mm]	Nozzle thick. change [%]	Friction Coef. change [%]
F1	+0.2	+21%	+13%	-	-	-
F2	+0.5	+54%	+50%	-	-	-
F3	-	-	-	+0.5	-2.4%	+37.5%
F1-F3	+0.2	+21%	+13%	+0.5	-2.4%	+37.5%
F2-F3	+0.5	+54%	+50%	+0.5	-2.4%	+37.5%

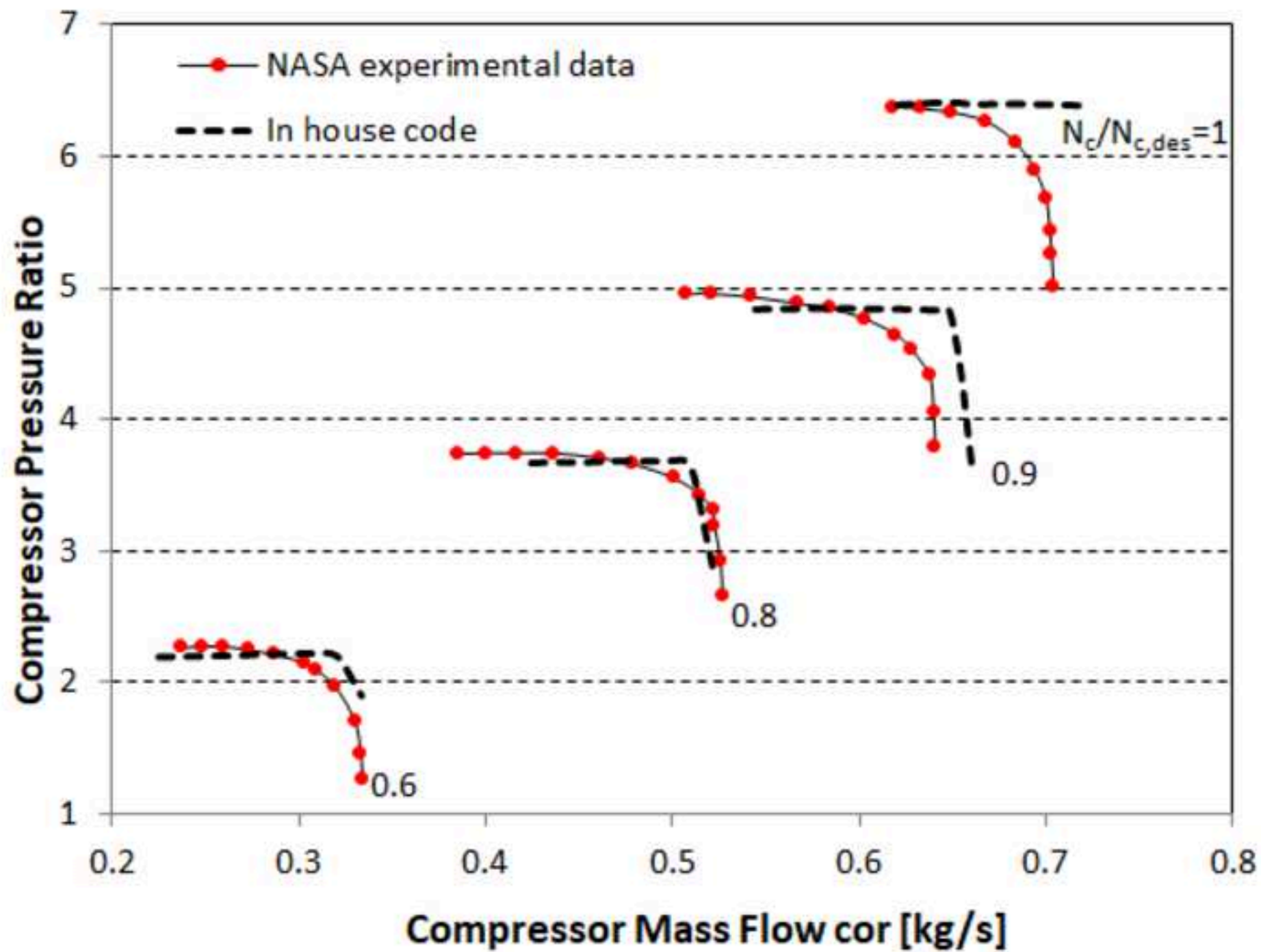
Table 4. System operation dependence on fouling

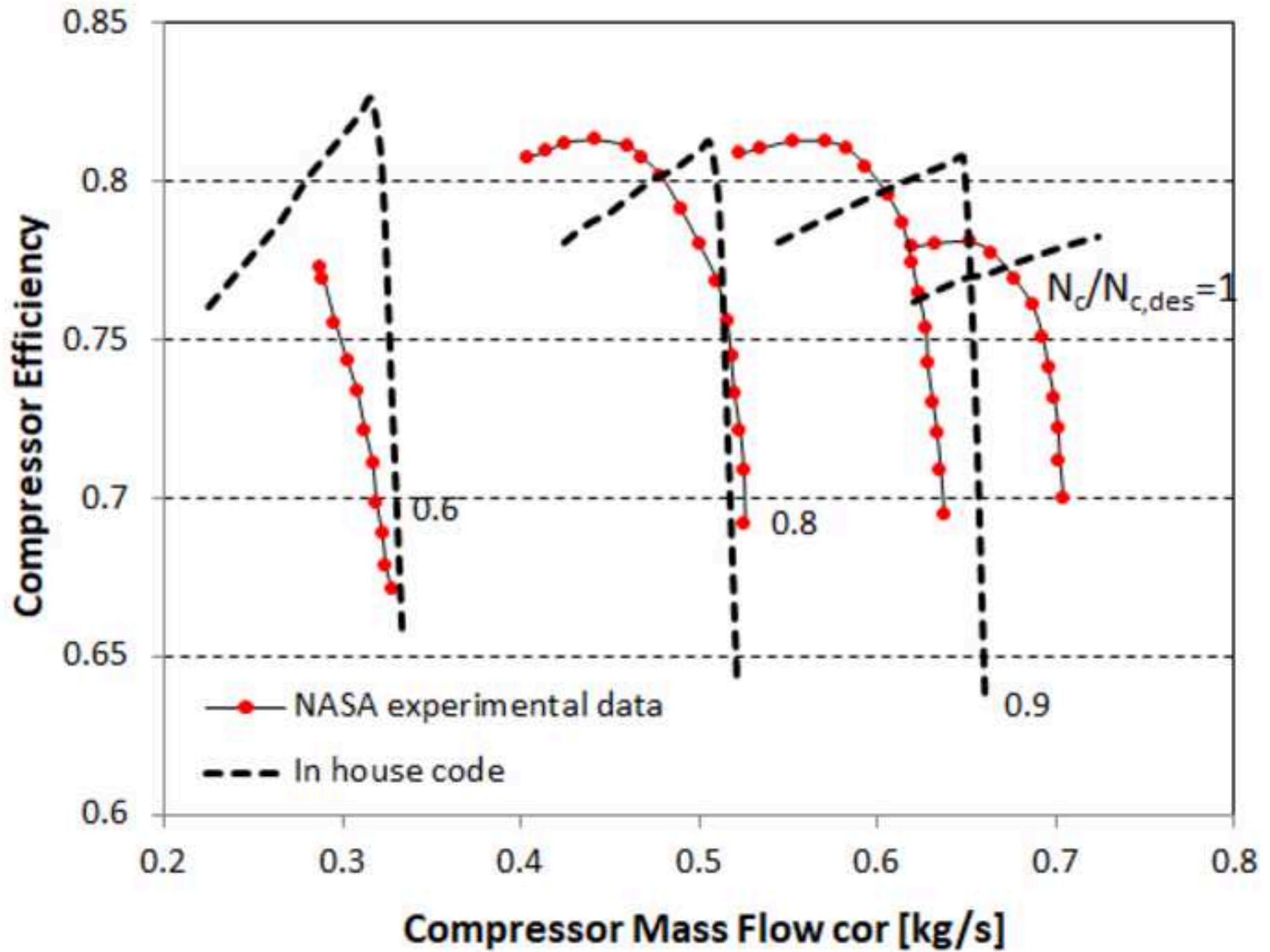
Fouling condition	Specific shaft horse power (377 kW)				
	T/C rotational speed	Boost Pressure	T4	T5	sfc
F1	-0.9%	-10.0%	3%	4%	0.28%
F2	-2.0%	-22.2%	8%	12%	1.06%
F3	-2.2%	-6.2%	2%	4%	0.17%
F1-F3	-2.6%	-13.9%	5%	8%	0.61%
F2-F3	-3.7%	-26.1%	9%	15%	1.31%

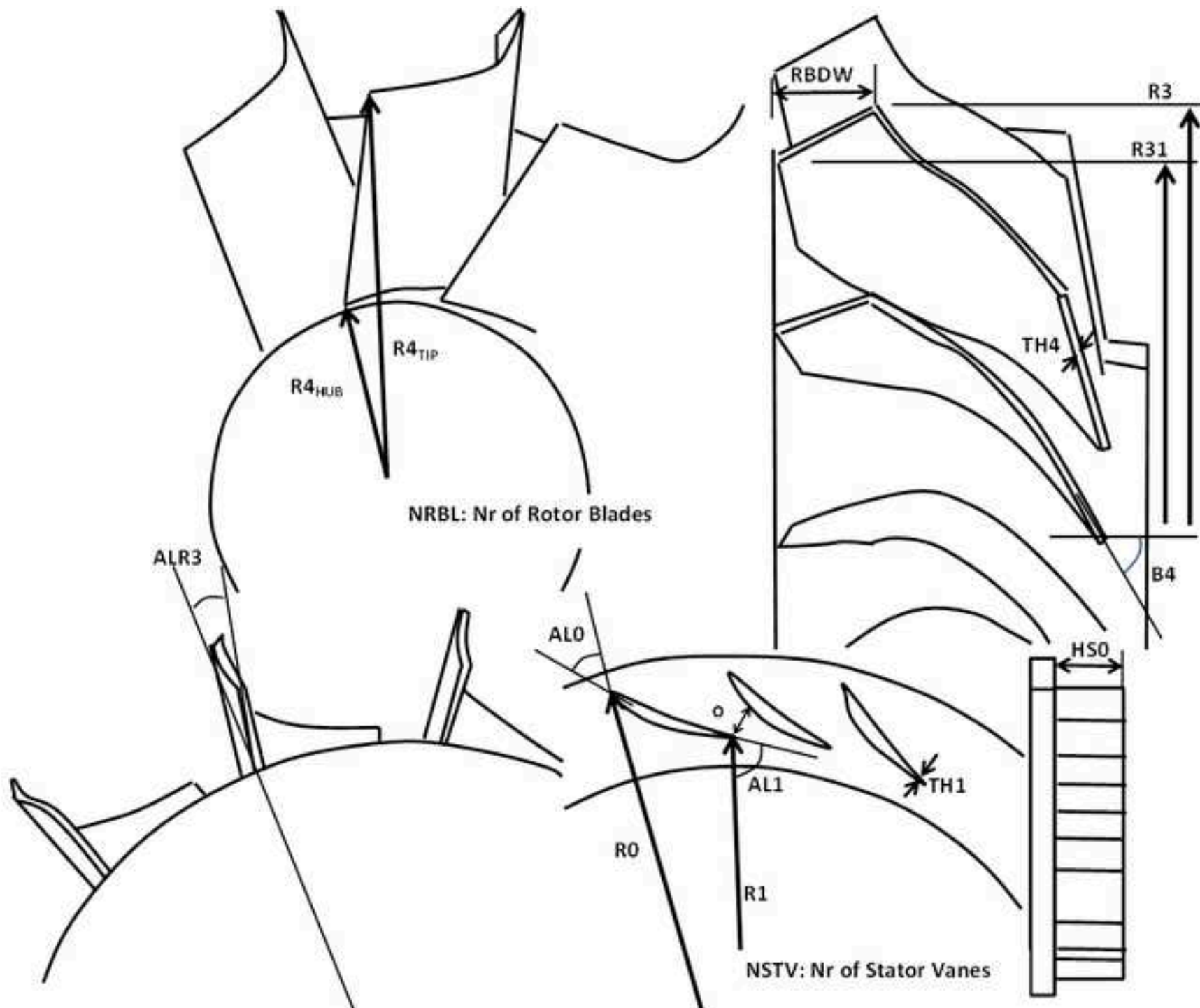
Table 5. Fouled intercooler parameters

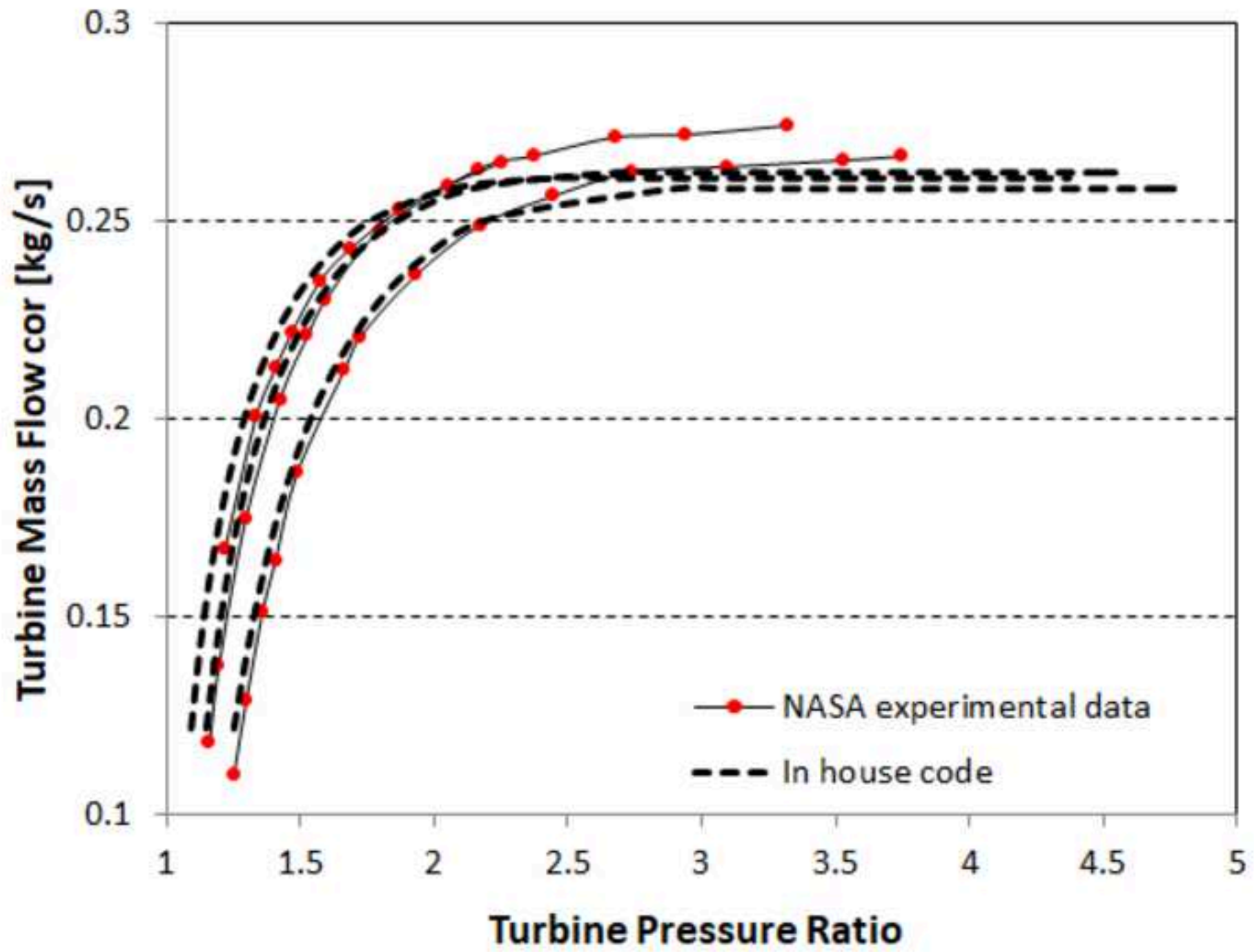
Condition	sfc [g/kWh]	T4 (°C)	T5 (°C)
Healthy	214.49	480	360
Fouled Intercooler	216.72 (+1.04%)	497 (+17)	381 (+21)

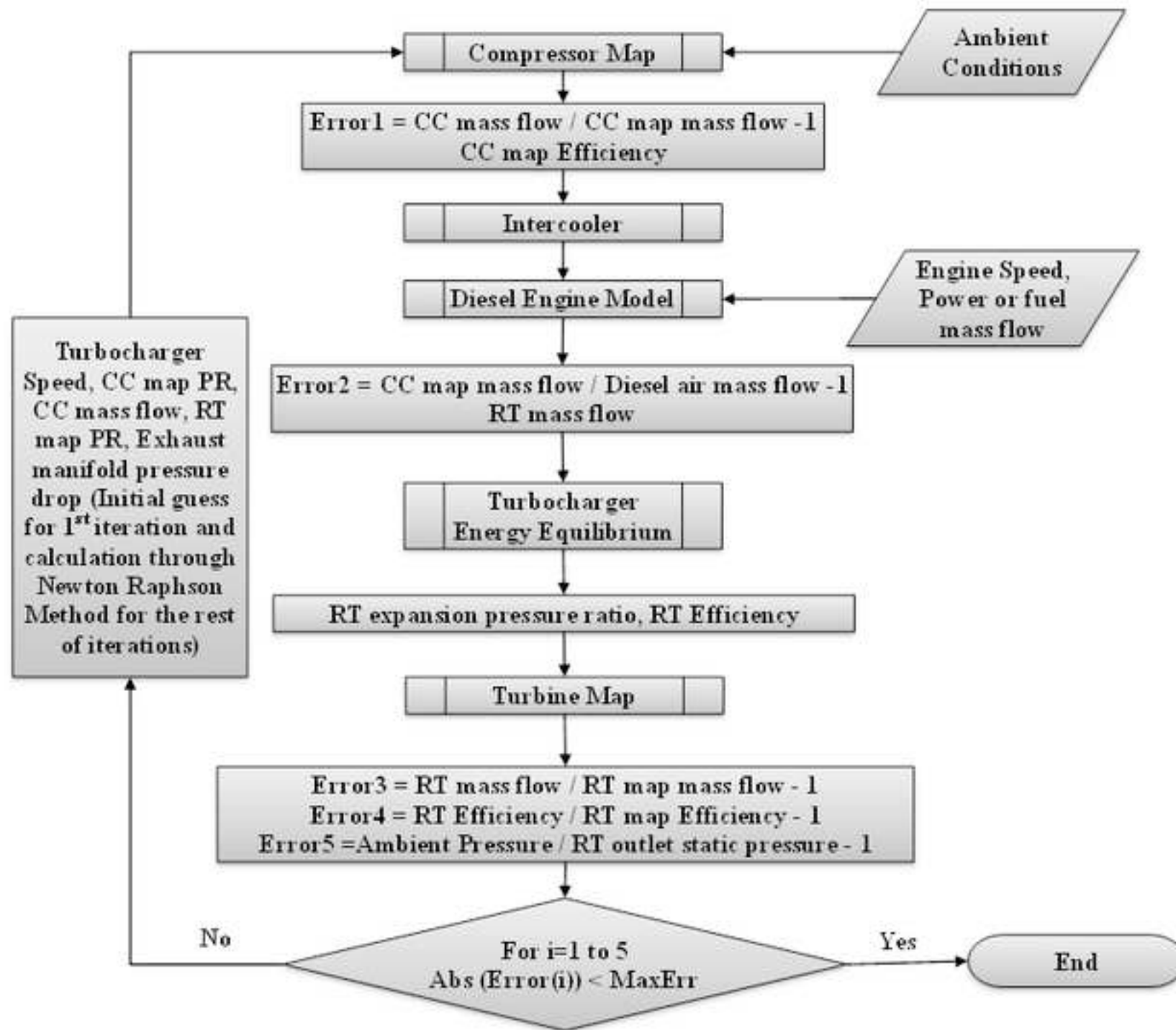












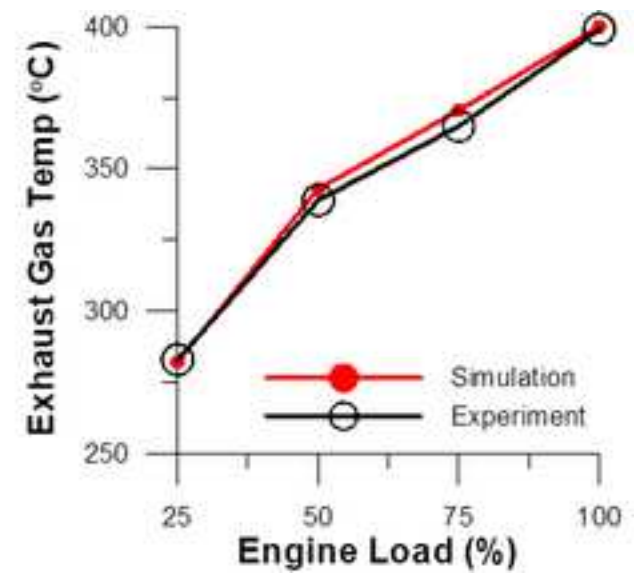
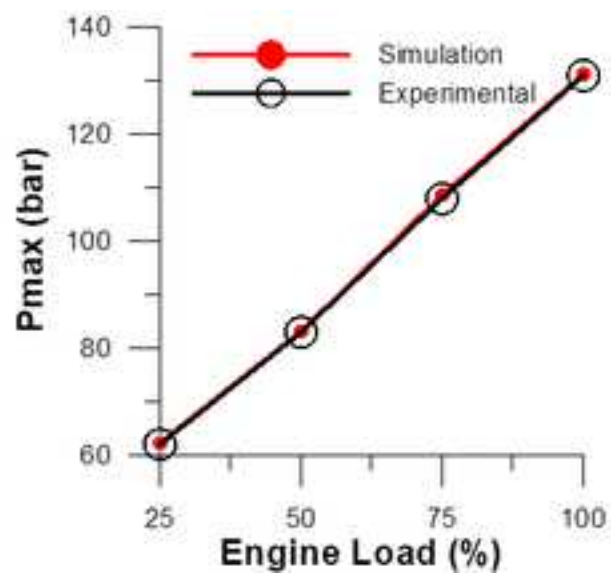
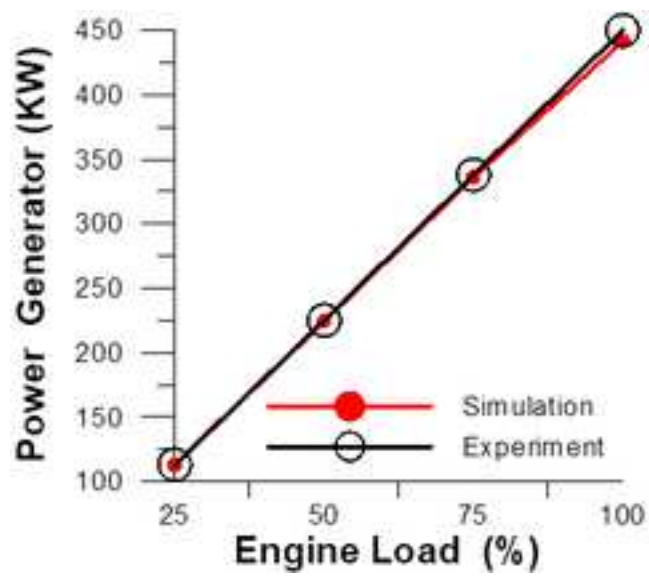


Figure 8

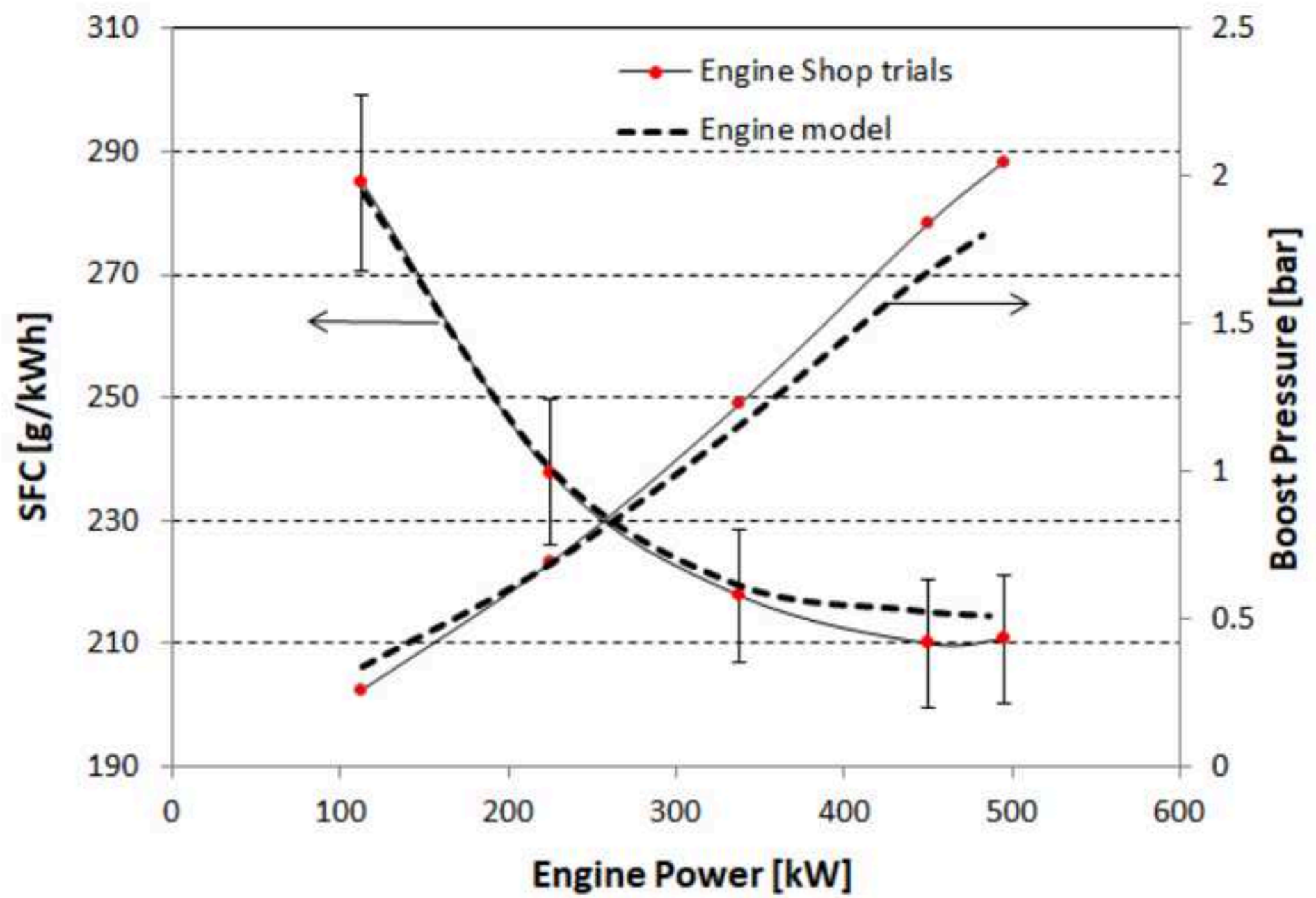


Figure 9

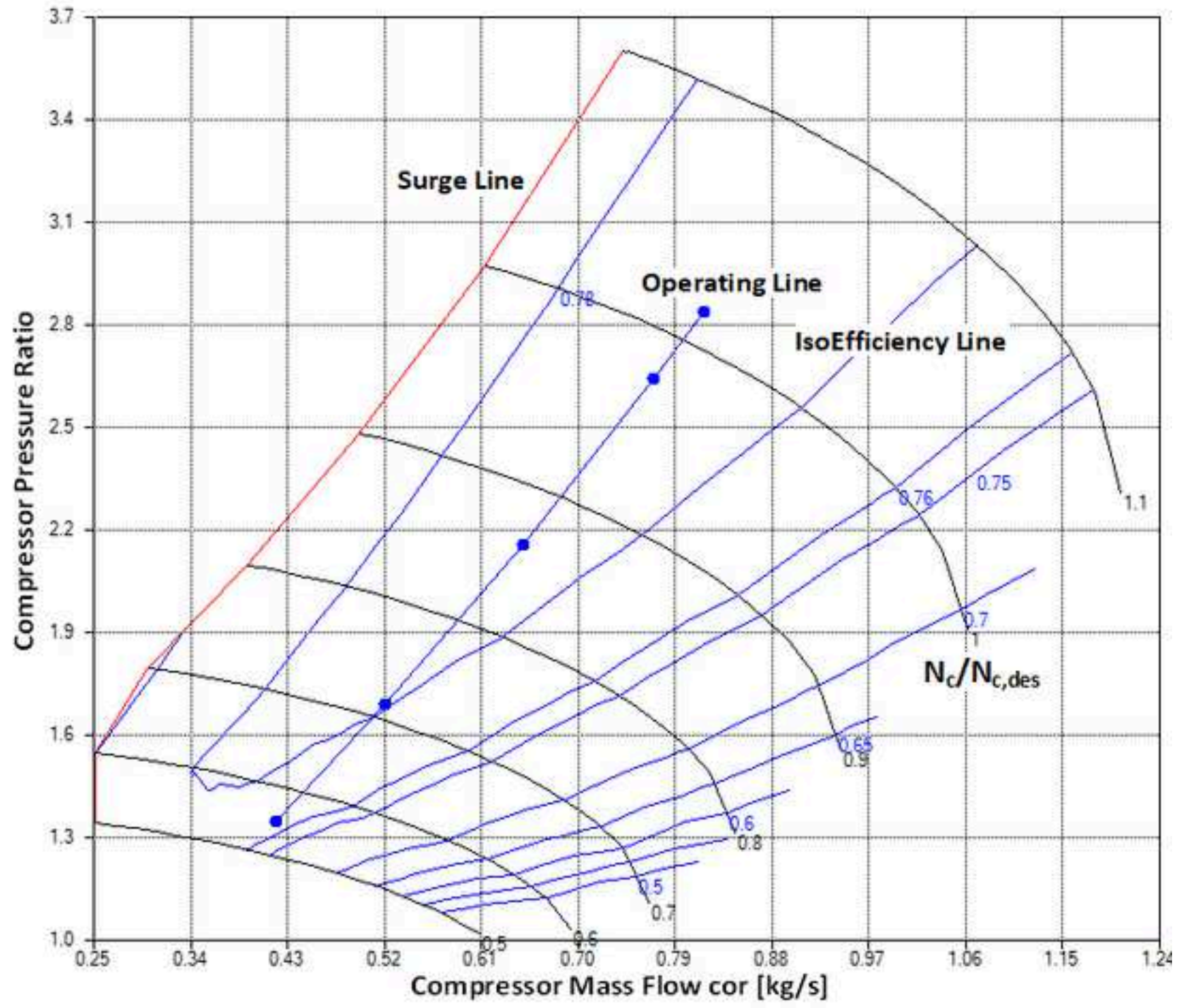
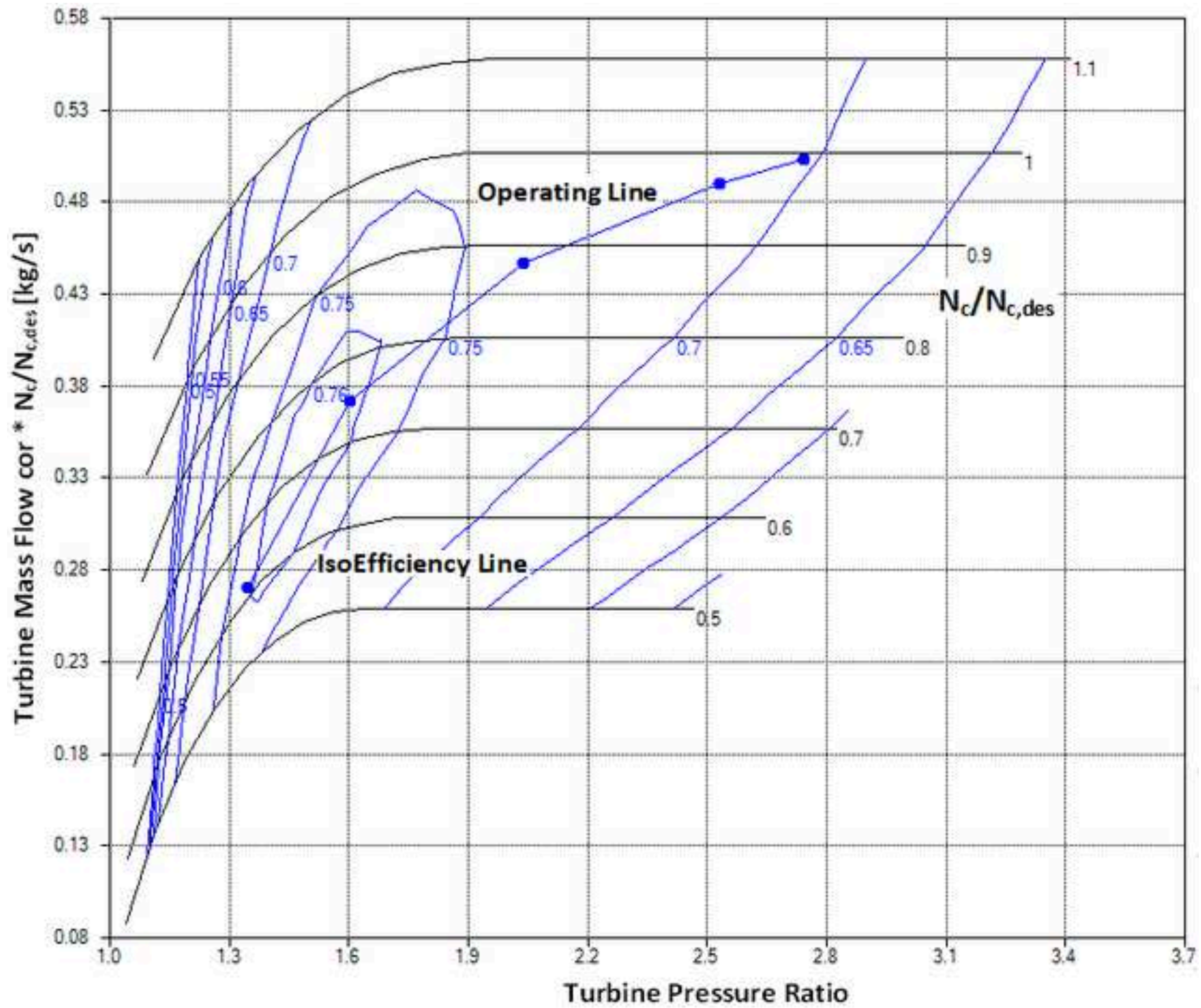


Figure 10



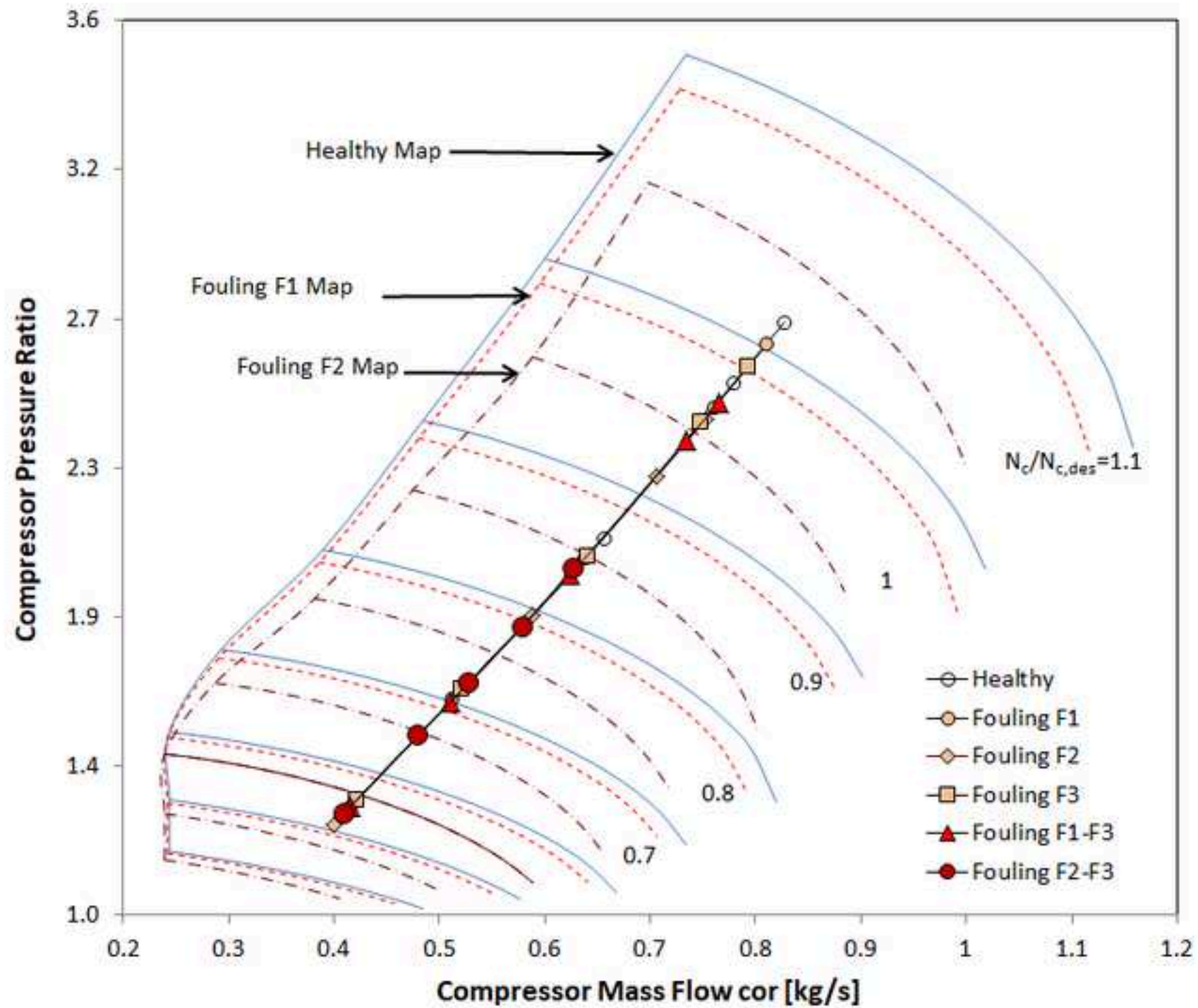
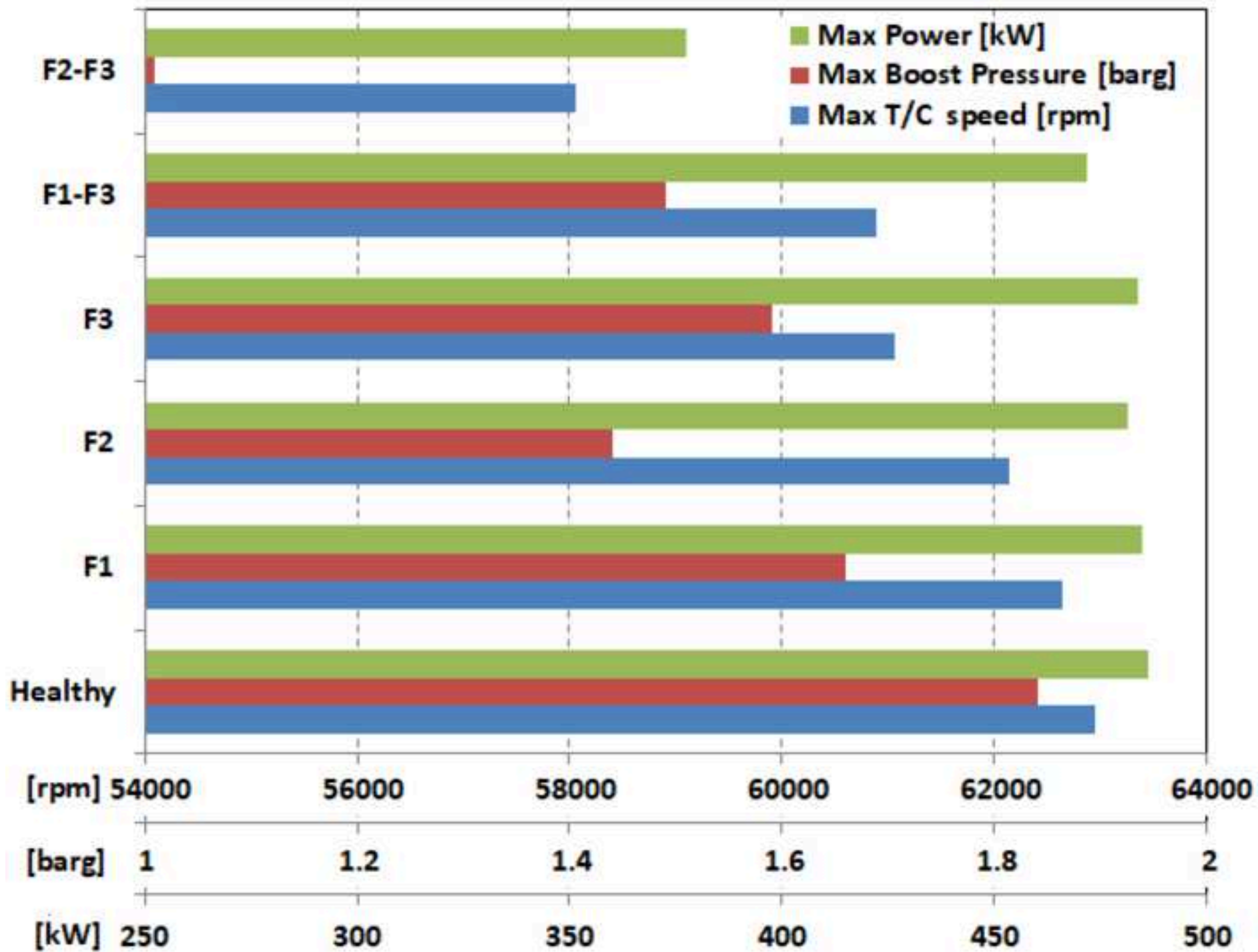
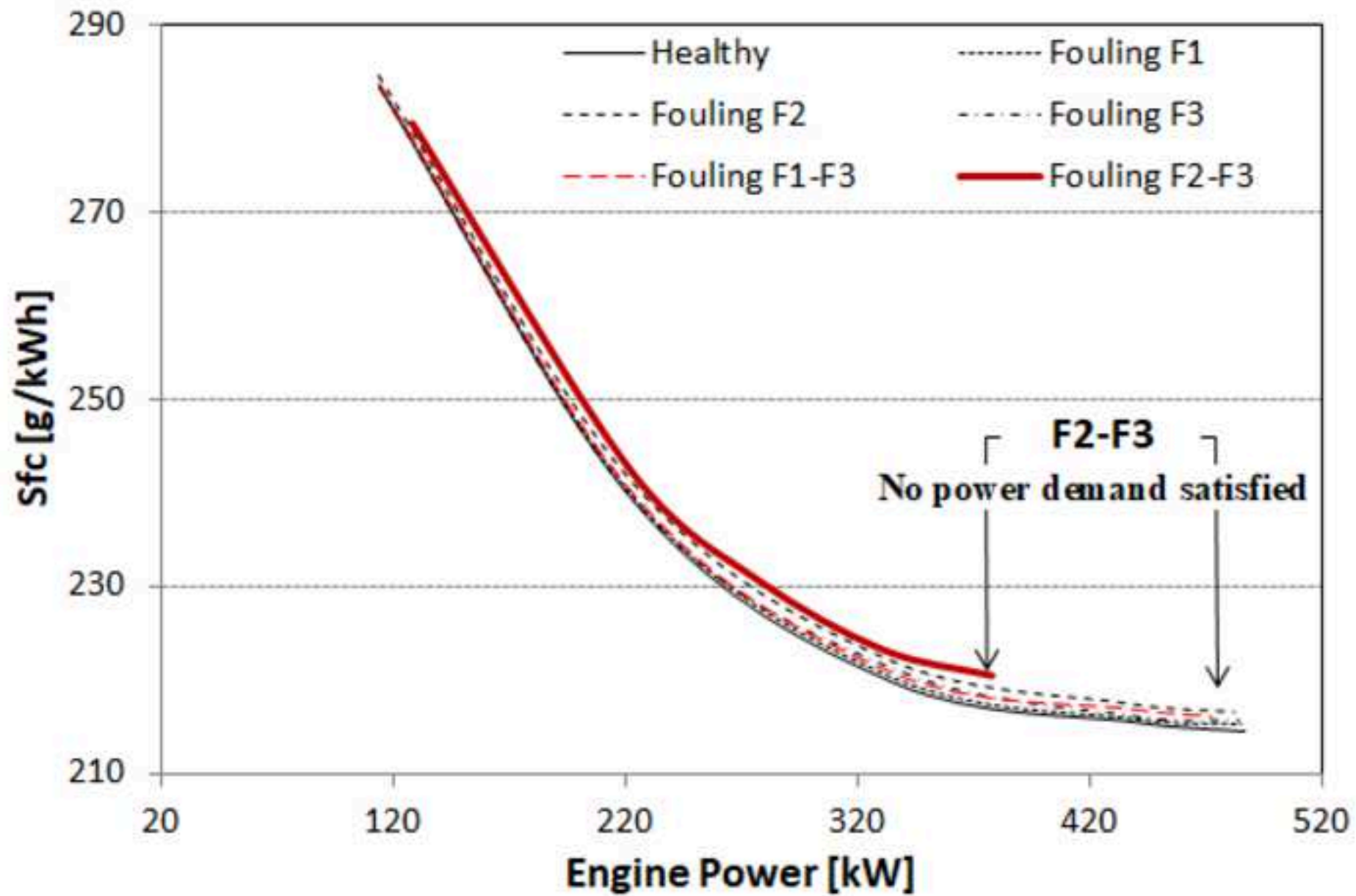
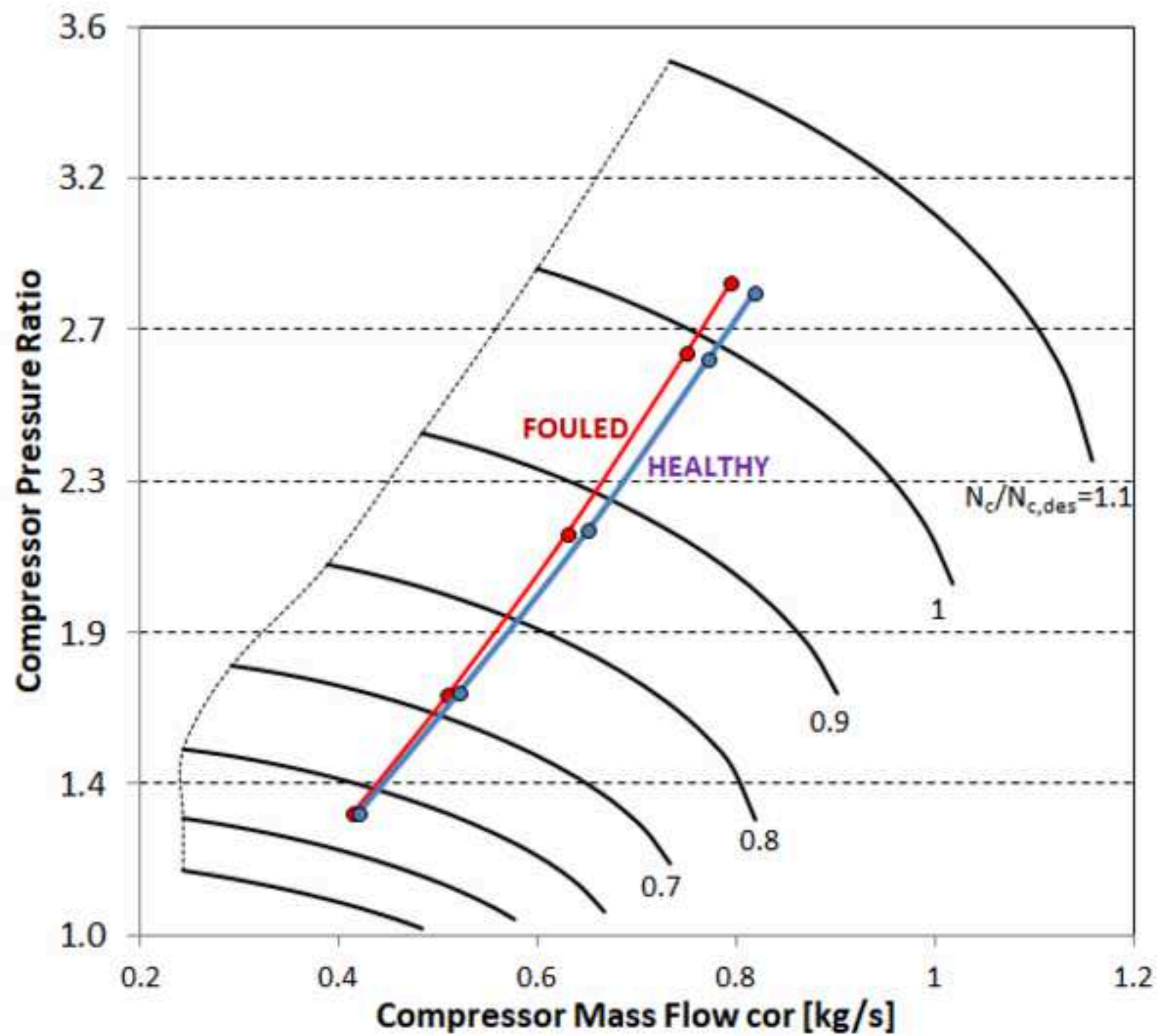
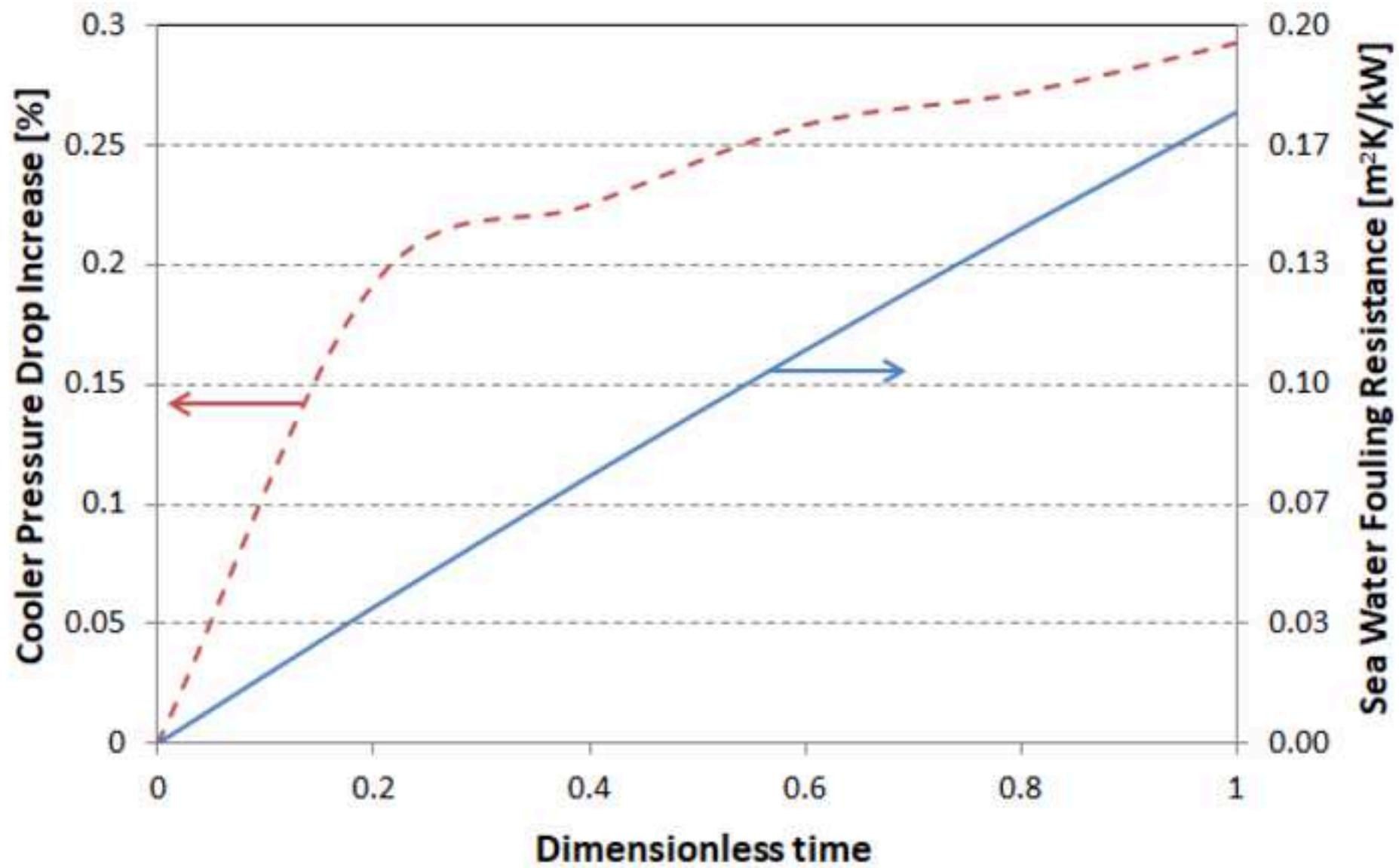


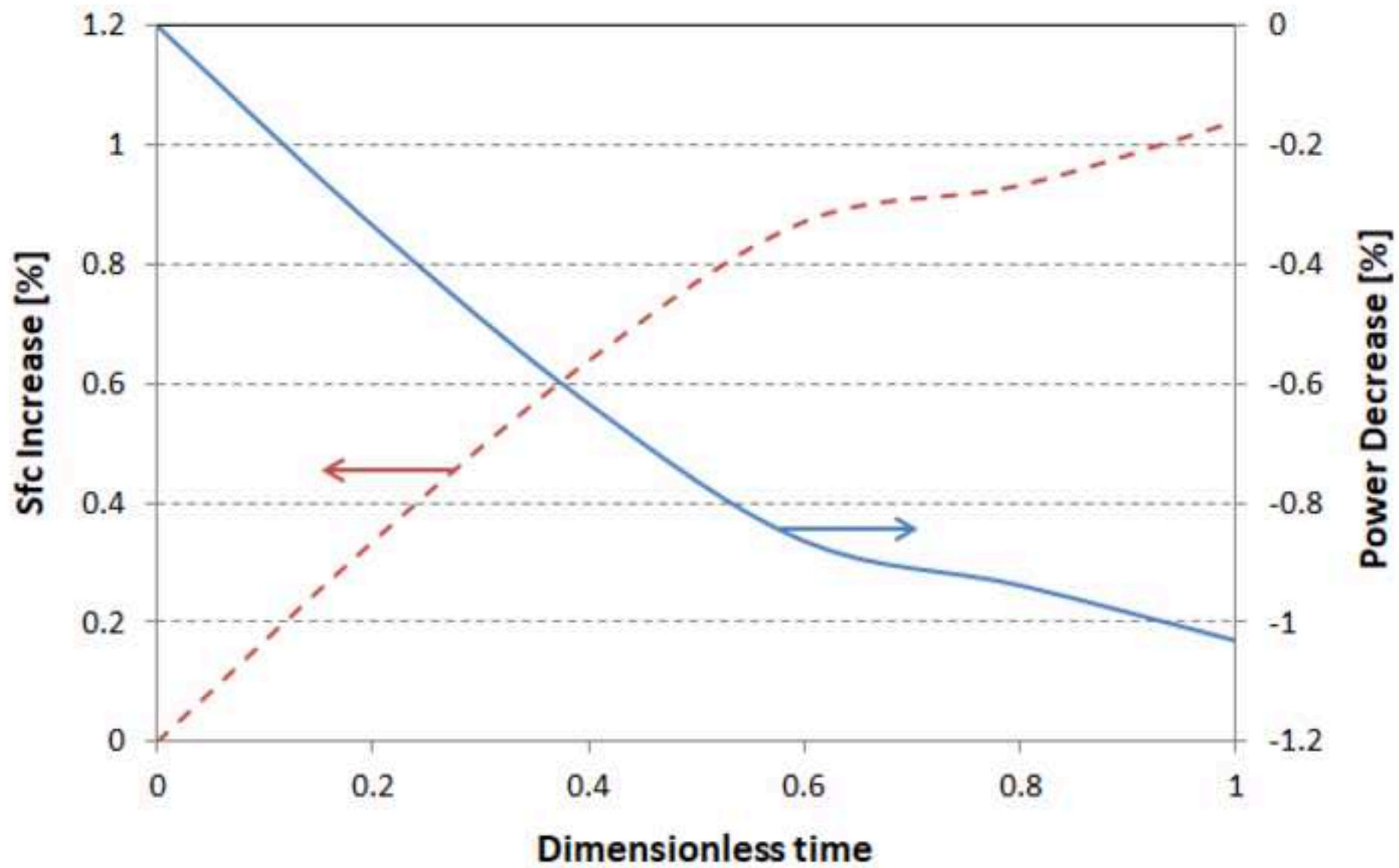
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Integrated simulation framework for assessing turbocharger fault effects on diesel-engine performance and operability

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