Fibre Optic Sensors with Nano-Structured Coatings

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**Abstract**

A review of optical fibre devices employing nano-structured coatings is presented. Active and passive coatings, deposited using the Langmuir Blodgett and Electro-static Self Assembly techniques, have been utilised to influence the transmission spectrum of optical fibres, with the aim of developing sensor elements and in-line components for telecommunications systems. Coupled waveguide, fibre grating and miniature Fabry-Perot cavities are described.
1. Introduction

The ability to interact with and/or control the properties of light propagating in optical fibres is of fundamental importance in both optical fibre sensor and optical telecommunication technologies. By interacting with the propagating modes it is possible to make sensors for a range of measurands and also to control the properties of the guided light, producing a family of functional devices such as tuneable filters and modulators.

The use of active and passive nano-structured coatings deposited onto optical fibres as a means for controlling the transmission spectrum of an optical fibre is reviewed within this paper. The use of thin film deposition techniques offering the ability to control the film thickness on the nanometer scale is significant, as this facilitates the optimisation of the interaction of the guided mode with the coating.

The devices created in this fashion offer an exciting prospect for the development of optical fibre sensors and devices. The coatings may be engineered to change their optical properties in response to an external stimulus. A number of configurations are discussed which facilitate interaction between modes of the optical fibre and the coatings, offering techniques for monitoring changes in the coating’s optical properties. The sensors offer the advantages common to all fibre optic sensors: immunity to electromagnetic interference, small dimensions, light weight and the prospect for multiplexed arrays.
2. Nano-structured coating deposition techniques

2.1 Introduction

The two major nano-coating techniques that will be discussed in this section are electrostatic self-assembly (ESA) and Langmuir-Blodgett (LB) deposition. Each facilitates the deposition of a surface coating one molecular layer at a time – giving nm scale thickness control. Both techniques allow the formation of thin films at room temperature and pressure.

2.2 The Langmuir Blodgett Technique

The LB technique allows the manipulation of material at the molecular level\(^1,2\). The technique is based on the fabrication of organic monolayer films, which are first oriented on a subphase and subsequently transferred, layer by layer, onto a solid surface at room temperature and molecule specific pressure. This offers high resolution control over the film thickness (1 nm - 3 nm per layer), and is thus ideal for waveguide applications.

Molecules constituting the LB film are usually amphiphilic, i.e. they have hydrophobic and hydrophilic tail or head groups\(^1,2\). Classic examples of these molecules are fatty acids such as stearic acid and \(\omega\)-tricosenoic acid. In the case of stearic acid, the carboxylic acid group acts as the hydrophilic portion, while the \(\text{C}_{17}\text{H}_{35}\) hydrocarbon acts as a hydrophobic tail. A monolayer of the material is formed by applying the molecules to the surface of a subphase in the form of a solution. The solvent is allowed to evaporate, leaving the molecules dispersed across the whole of the water surface and oriented with the hydrophobic part upwards and the hydrophilic part in the water, producing a floating monolayer. Reducing the surface area by means of a moving barrier, the molecules begin to repel one another, modifying the surface pressure\(^3\). The change in surface pressure may be monitored to produce a surface pressure isotherm, such as that shown in figure 1. The isotherm has three distinct phases; gas, liquid condensed and solid.
At the onset of compression, the molecules are randomly oriented, and experience weak interaction with each other, behaviour analogous to a two dimensional gas. Upon further compression the molecules are pushed closer together as the hydrophobic tails of the molecules begin to lift from the surface. The molecules undergo a transition from the gas phase to a liquid-condensed phase, which is accompanied by a steep rise in surface pressure. Further compression reduces the surface area occupied by each molecule close to its cross-sectional area, producing a solid phase in which the molecules are densely packed and in which the molecules form an ordered array. From this phase, the molecules may be transferred to a solid substrate, forming an LB film\textsuperscript{1,2}. LB films may consist of a single chemical species, this homogeneity not being available to the ESA method, which requires alternate layers of oppositely charged materials as will be discussed in section 2.3.

2.2.1 Deposition of Langmuir-Blodgett Films

An LB film can be deposited by passing a solid substrate such as a glass slide or optical fibre vertically through a condensed Langmuir layer. The surface pressure is monitored and kept constant during deposition by decreasing the surface area as the molecules are removed from the subphase and deposited on the substrate, ensuring that the solid phase is maintained. The vertical motion can be either down into the water (downstroke), or up, out of the water (upstroke). If the surface of the substrate is prepared such that it is hydrophilic, the first monolayer is transferred like a carpet as the substrate is raised through the Langmuir layer. The curve of the meniscus pushes the compressed monolayer onto the substrate. On the downstroke, the hydrophobic tail groups of the floating monolayer are attracted to those of the deposited LB film, thus depositing a second layer. Repeated dipping allows the film to be built one layer at a time, using these nanoscale building blocks. This represents the most common configuration, and is illustrated in figure 2 (a), with the adjacent layers packing head to head and tail to tail, and the overall film is termed \textit{Y}-type or centrosymmetric\textsuperscript{4}. 
A small number of materials can be deposited as either X- or Z-type, non-centrosymmetric, LB
films, offering the possibility of exploring non-linear optical processes such as second harmonic
generation, and the electro-optic effect\textsuperscript{5,6,7}. In these cases, layers are only deposited on the
downstroke (X-type), or upstroke (Z-type) of the substrate, as illustrated in figure 2 (b).

2.3 Electrostatic Self Assembly

ESA is a technique for the deposition of multilayered films of magnetic\textsuperscript{8}, electrically conductive\textsuperscript{9},
and nonlinear optical materials\textsuperscript{10} onto a substrate. The basis of the technique is the alternate dipping
of a charged substrate into solutions of cationic and anionic polymers. The growth of the film is
based on electrostatic attraction between the opposite charges of molecules in alternate
monolayers\textsuperscript{11}.

The ESA process is shown schematically in figure 3. A positively charged surface is immersed in a
solution containing an anionic polyelectrolyte. A monolayer of the polyanion is adsorbed and the
surface charge is reversed. The substrate is rinsed in pure water and is subsequently immersed in
the solution containing the cationic polyelectrolyte. A second monolayer is adsorbed, and the
original surface charge is restored. By repeating these steps, alternating multilayer films may be
deposited\textsuperscript{11}.

The LB and ESA techniques offer a number of different features. For ESA, multilayer formation is
based on the attraction of opposite charges. This it is possible to incorporate more than two
molecules into the multilayer, simply by immersing the substrate in as many solutions of
polyelectrolytes, or appropriately charged inorganic nano-clusters, as desired, provided the charge
reverses from layer to layer. Aperiodic multilayer assemblies may be prepared, offering more
versatility than the Langmuir-Blodgett technique. Thus the individual layer composition and
thickness can be controlled, and the properties of the film are determined by the polymers, the
nano-particles and the long-range physical order of the layers. The immersion based process facilitates deposition on substrates of various sizes, shapes and materials, including metals, plastics, ceramics and semiconductors.

3 COUPLED WAVEGUIDE DEVICES

3.1 Introduction

Fibre optic components based upon coupled waveguides have been studied extensively, and many standard fibre optic components, for example, directional couplers, are based upon this principle. The principle of operation concerns the transfer of energy between two dielectric waveguides, which occurs when the waveguides are in close proximity to one another, such that the evanescent fields of the propagating modes can interact when the waveguides are phase matched.

A thin film overlay deposited onto a substrate that is an optical waveguide may itself act as a waveguide, provided its index is higher than that of the substrate. The phase matching condition is dependent upon parameters including the dimensions and refractive indices of the two waveguides and is given by

\[ \frac{2\pi}{\lambda_m} d \sqrt{n_0^2 - n_{\text{eff}}^2} = m\pi + \Phi_a + \Phi_{\text{co}} \]  

(1)

Where \( n_{\text{eff}} \) is the effective index of the mode propagating in the substrate, \( d \) is the thickness of the overlay, \( n_0 \) is the refractive index of the overlay, \( \Phi_a \) and \( \Phi_{\text{co}} \) are polarisation dependent phase change terms occurring at the air and substrate boundaries and \( \lambda_m \) is the synchronous wavelength for coupling to the \( m^{\text{th}} \) order mode of the overlay waveguide. In the presence of strong differential waveguide dispersion between the guides, this produces a series of sharp channel dropping responses in the transmission spectrum (discussed further in section 3.3). The coupling wavelength
is particularly sensitive to changes in the overlay thickness and so very accurate thickness control, as provided by the LB and ESA techniques, is required.

In the case of the devices to be discussed here, the coupled waveguides are formed by the core of the optical fibre and an overlay waveguide deposited on the optical fibre by the LB technique. Access to the evanescent field of the propagating mode of a single mode optical fibre requires removal of much of the cladding. This is generally achieved by tapering the optical fibre\textsuperscript{13}, or by polishing the fibre\textsuperscript{14}.

### 3.2 Polished Fibre Devices

Two polishing configurations are illustrated in figures 4 (a) and (b). In figure 4 (a) the optical fibre is first stripped of its polymer buffer jacket over a length of 15mm and is subsequently fixed into a groove with a 250 mm radius of curvature cut into a glass block. Material is then removed from the top surface of the block using a rotary polishing machine. The technique is used in the fabrication of fibre components such as variable split ratio directional couplers\textsuperscript{14} and in fibre polarisers\textsuperscript{15}. This technique has limitations for LB deposition, as the fibre interaction region occupies only a small fraction of the total surface area, and thus the majority of the film does not contribute to the interaction. The typical interaction length between the core and the overlay waveguide achieved using this technique is limited to 1-2mm. In addition, the differential polishing rates of the glass substrate, the silica fibre and the adhesives used to bond the fibre into the groove can also compromise the film deposition\textsuperscript{16}.

An alternative method, the annular polishing technique\textsuperscript{17}, is illustrated in figure 4 (b). The fibre is tensioned across a 25mm diameter abrasive wheel. The polished fibre profile can be seen to afford a longer interaction length, of 5mm – 10mm, defined by the diameter of the wheel and the configuration of the tensioned fibre.
Monitoring the polishing depth is critical to obtaining optimum performance of the polished fibre device. The oil drop method\textsuperscript{18,19} is commonly used, where a drop of refractive index matching oil is placed in the polished region, and its influence on the transmission of the fibre measured. If the refractive index of the oil matches the index of the propagating core mode, the electric field propagating in the overlay formed by the oil changes its characteristic from evanescent to radiative, with a concomitant decrease in the transmitted power. The decrease in transmission is strongly influenced by the thickness of the remaining cladding. From the transmission measurement it is possible to determine the amount to the evanescent field that has been accessed through the polishing process, and to determine the coupling strength.

An alternative technique involves operating the optical fibre below its cut off wavelength, such that it guides a small number of modes. As the polishing depth increases, these modes will be cut off in turn, leading to a series of step reductions in the transmitted power\textsuperscript{20}.

The polished block approach and LB film deposition have been used to form a fibre optic polariser and modulator\textsuperscript{21}. In this case, an LB film of Cadmium Stearate (molecular length 2.5 nm) was deposited on a gold-coated single mode side polished optical fibre. The thickness of the LB film influenced the properties of the surface plasmon resonance based polariser, allowing optimisation of the extinction ratio for a film of thickness 500nm. Application of dc electric fields of upto 50MV/m across an LB film consisting of 41 layers of hemicyanine deposited onto a gold surface, upon which a gold film was sputtered to form the top electrode, allowed limited tuning of the extinction ratio\textsuperscript{21,22}.

**Channel dropping filters**
An example of a channel dropping filter is shown in figure 5, where an LB film of \( \omega \)-tricosenoic acid was deposited onto a single mode optical fibre polished using the rotary method\textsuperscript{23,24}. Each transmission spectrum was obtained for a different number of LB layers deposited into the fibre. The graph shows that an increase in the film thickness by a molecular bi-layer (\( \sim 5.8 \) nm) results in a change in the coupling wavelength of \( \sim 14 \) nm. This illustrates the requirement for the use of a film deposition technique with a nano-scale thickness resolution for the fabrication of such components, to ensure operation at a specified wavelength.

A second consequence of the high sensitivity of the coupling wavelength upon the overlay’s thickness is a concomitant dependence upon the refractive index of the material. Thus if the material changes its optical properties in response to an external stimulus, for example, exposure to a particular chemical species, or an applied voltage, this will also result in a shift in the synchronous wavelength, forming the basis of a sensor.

Figure 6 illustrates the sensitivity of the channel dropping response to temperature. This sensitivity arises from the differential thermo-optic coefficients, and thus temperature induced refractive index changes, of the optical fibre and the overlay waveguide\textsuperscript{24}.

The ability of the LB film to act as a waveguide was used to capture fluorescence from a cyanine-dye-impregnated film of cadmium arachidate deposited on the core of a multimode optical fibre that formed one arm of a 3dB coupler. The fluorescence was re-coupled into the fibre, and monitored in reflection, as shown in figure 7\textsuperscript{25}.

### 3.4 Chemical sensor

A pH sensor was demonstrated by depositing LB film-forming materials known to be sensitive to pH, taken from the family of merocyanine dyes, onto a side polished optical fibre. Merocyanine
dyes contain a terminal oxygen atom that can be reversibly protonated, leading to a change in the absorption spectrum and thus a change in its refractive index. Two dyes were investigated. The absorption spectrum of 20 molecular layers of the first dye, E-N-docosyl-4-[2-(4-oxyphenyl) ethenyl] pyridinium inner salt (structure shown in figure 8(a)), deposited onto a glass slide, is shown in figure 8(b) before and after exposure to HCl vapour. A channel dropping filter with a coupling wavelength of 725 nm was created by depositing 56 layers of the dye on an annularly polished fibre (cut-off wavelength of 630 nm). A schematic of the device is shown in figure 9. The response of the transmission spectrum of the device to exposure to solutions of varying pH yielded is shown in figure 10 (a), yielding a linear response with a sensitivity of 18.8 ± 0.8 nm/pH and a resolution of 0.1 pH, as shown in figure 10 (b). The organic materials that may be deposited using the LB technique may be tailored to satisfy specific purposes. A second dye was investigated that has its absorption at longer wavelengths. The use of this dye would result in larger refractive index in the near infrared region of the spectrum, increasing sensitivity. This dye differed from the original by the addition of bromine atoms and replacing one carbon atom on the ethylene bridge with a nitrogen atom, Figure 11 (a). The response of the absorption spectrum to exposure to HCl vapour is shown in Figure 11 (b). 178 layers of the 2nd dye were deposited on an annularly polished fibre. The response to pH is shown in figure 12 (a). In this case, the wavelength shift of the central wavelength of the channel dropping response was nonlinear, as shown in figure 12 (b). A Kramers-Kronig model, in which experimentally determined transmission spectra of 50 layers of the dye deposited onto a glass slide recorded on exposure to solutions of differing pH were used to determine changes in the material dispersion of the overlay waveguide, was found to match well with the experimental results, as indicated by the solid line in figure 12(b).

3.5 Optically non-linear nano-structured coatings
As discussed in section 3.1, LB films may be deposited with a non-centrosymmetric structure, offering the possibility of exploiting non-linear optical processes, such as the electro-optic effect.
This is particularly appealing, as LB film forming materials have been shown to have high non-linear coefficients, with Pockels’ coefficients of 100pm/V being reported\textsuperscript{28}. These materials may be deposited as Z-type LB films onto both glass slide and side polished single mode optical fibres, and characterised as non-linear materials by investigating second harmonic generation.

The first demonstration of SHG from a LB film deposited on an optical fibre was reported in \cite{29}. A film of a hemicyanine dye was deposited in a Z-type configuration onto the core of an etched 600 μm diameter multi-mode optical fibre. A conversion efficiency of $10^{-6}$ was achieved for 80 layers of the dye when illuminated using a pulsed Nd:YAG laser source coupled into the fibre. The SHG signal was guided in the film, and the low value was attributed to the likely phase mismatch of the fundamental and SHG, and to the low energy density offered by the use of multimode fibre\textsuperscript{Error!
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To improve the efficiency of coupling of light to the film, an optically nonlinear dye, E-N-octadecyl-4-[2-(4-dibutylaminophenyl) ethenyl]quinolinium octadecylsulfate\textsuperscript{30}, which inherently forms Z-type structures and has a high nonlinear coefficient, was deposited on a side polished single mode optical fibre\textsuperscript{31}. The channel dropping response of the coupled waveguide structure was monitored during deposition of the LB film until it was centred at 1064nm, the wavelength of the laser used as the pump for the SHG process. The resultant channel dropping response is shown in figure 13. For Z-type, non-centrosymmetric deposition, it is necessary for the monolayer to be transferred to the surface of the polished section of the fibre on the \textit{upstroke} only. To achieve this in a consistent and reproducible fashion, a mechanism was developed to isolate the fibre from the floating Langmuir monolayer as it traverses the air–water interface\textsuperscript{32}, using a cylindrical stainless steel tube that temporarily enclosed the fibre on the downstroke.
Cerenkov radiation-type phase matching was exploited as this imposes the least stringent control of the parameters for frequency conversion\textsuperscript{33}. In this regime, the fundamental beam is guided in the LB film and the generated second-harmonic is radiated into the substrate, as shown in figure 14.

Light from a Q-switched Nd: YAG laser, operating at 1064 nm with a pulse duration of 10 ns was coupled into the optical fibre. The second-harmonic intensity relative to the signal from a Y-cut quartz reference was monitored via photomultiplier tubes. The energy of the guided fundamental pump beam exiting the fibre was monitored continuously.

The region of fibre coated with the LB film was mounted on a Peltier thermoelectric element to allow thermal control of the resonant wavelength, $\lambda_m$, and hence the amount of light coupled into the overlay. The second-harmonic intensity from the LB overlay is plotted as a function of fibre transmission in figure 15. Increased transmission is indicative of reduced coupling into the overlay and the second-harmonic intensity decreases as the square of the transmitted fundamental intensity. Varying the optical power coupled into the fibre, and therefore to the overlay, shown in figure 16. The slope is positive and, as expected from theory, the second-harmonic intensity increases as the square of the fundamental intensity.

4 OPTICAL FIBRE LONG PERIOD GRATINGS

4.1 Introduction

The devices discussed in the previous section relied upon the removal of a portion of the fibre cladding to allow the properties of the overlay material to influence the transmission characteristics of the optical fibre. Fibre optic long period gratings (LPGs) offer a method for facilitating the interaction of modes of the fibre with the LB coating with no requirement for removal of the cladding. LPGs are a relatively new class of fibre optic device that offer exciting properties for optical sensing applications\textsuperscript{34} and have already found application as gain flattening filters for
erbium amplifiers. An LPG is an UV induced periodic modulation of the refractive index of the core of an optical fibre, with a period in the range 100 $\mu$m - 1000 $\mu$m. The small grating wave-vector of an LPG promotes the coupling of light from the guided modes of the fibre to cladding modes, with the result that the transmission spectrum of a fibre containing an LPG consists of a number of attenuation bands centred at discrete wavelengths.$^{34}$

The transmission spectrum of an LPG is shown in figure 17$^{35}$, exhibiting the characteristic attenuation bands. The exact form of the spectrum and the centre wavelengths of the attenuation bands are sensitive to the local environment: temperature, strain, bend radius and refractive index of the medium surrounding the fibre.$^{36}$ The sensitivity to a particular measurand is dependent upon the period of the LPG and the order of the cladding mode to which the guided optical power is coupled, and thus is different for each attenuation band. This range of responses makes them particularly attractive for sensor applications, with the prospect for multi-parameter sensing using a single sensor element.

LPGs have a number of attractive properties that may be exploited for sensing applications. They exhibit sensitivity to the refractive index of the medium surrounding the fibre, which has been exploited to form sensors capable of measuring the refractive index of a solution, allowing concentrations of sodium chloride and ethylene glycol to be determined.$^{37}$ Such sensors are not chemical species specific, and are limited to operation with solutions with refractive indices less than or equal to the refractive index of the fiber cladding. Of more interest is the potential to deposit overlay materials that exhibit changes in their refractive index in response to their local environment. In this way, the LPG could form, for example, a tuneable loss filter$^{38}$, a temperature insensitive filter$^{39}$ or a species-specific chemical sensor$^{40}$.

### 4.2 Deposition of Nanostructured Coatings onto Fibre Optic Long Period Gratings
The central wavelengths and the minimum transmission of the LPG attenuation bands can exhibit a
dependence on both the thickness and refractive index of an overlay material, even when the
overlay has a refractive index higher than that of the cladding\textsuperscript{41}.

The spectral response of an LPG of length 40 mm, period 400 $\mu$m, to submersion in external media
of different refractive indices is shown in figure 18, were the refractive index induced wavelength
shift of the central wavelength of an attenuation band in the spectrum is plotted. The figure shows
that the response to refractive index occurs over a range of approximately 1.400 to 1.456. The upper
limit is imposed by the refractive index of the cladding material. For refractive indices above this
limit there is no wavelength response.

An LB film of $\omega$ tricosenoic acid, which has refractive index of 1.57 and a molecular length of 2.6
nm\textsuperscript{42}, was deposited onto the optical fibre containing the LPG. A transmission spectrum was
recorded after each bi-layer had been deposited.

The wavelengths of the 4$^{th}$, 5$^{th}$ and 6$^{th}$ attenuation bands are plotted as a function of overlay
thickness in figure 19 (a). Figure 19 (b) shows the shift in the central wavelengths of the attenuation
bands corresponding to coupling to the 5$^{th}$ and 6$^{th}$ cladding modes plotted as a function of the
thickness of the film. The graph contains three distinct regions characterized by differences in the
form and response of the transmission spectrum. The graph shows that for an overlay thickness of
less than 250 nm, (region A), the attenuation band suffers a wavelength shift of $\sim$ 5 nm. For an
overlay thickness of between 250 nm and 380 nm, (region B), the amplitude of the attenuation
bands in the transmission spectrum reduced to zero and thus no coupling wavelengths could be
recorded. For an overlay thickness of over 380 nm, (region C), the attenuation bands reappeared,
but at a wavelength higher than originally observed in the absence of the overlay material. As the
film thickness was increased further, the central wavelengths of the attenuation band returned towards their original value.

Similar effects have been observed for thin films deposited via the ESA technique\textsuperscript{43,44}. Larger wavelength shifts, of up to 40nm, were observed for films of thickness 25nm, formed using Poly(allylamine hydrochloride as the polycation, and poly{1-[4-(3-carboxy-4-hydroxy-phenylazo) benzenesulfonamido]-1,2-ethanediyl, sodium salt} was used as polyanion\textsuperscript{43}. The larger wavelength shifts may be attributed to operating at longer wavelengths, and with the use of films of higher refractive index. It was also shown that careful control of the properties of the anion and cation allows control over the thickness and refractive index of the film and thus of the wavelength of the attenuation bands\textsuperscript{43}.

The dependence of the central wavelengths of the attenuation bands upon the thickness and refractive index of the overlay has been modelled\textsuperscript{41}. The effective refractive indices of the cladding modes were calculated as a function of wavelength and overlay thickness by considering the cladding-overlay system as a stack of thin films and employing the transfer matrix method\textsuperscript{45}. The effective refractive index of the propagating core mode was calculated using the approach of Gloge\textsuperscript{46}. Using the calculated dispersion of the core and cladding modes, the dependence of the central wavelengths of the LPG attenuation bands upon overlay thickness could be predicted. The model makes a number of approximations, in particular that the system may be modeled as a multilayer planar waveguide, and ignores the influence of the coupling efficiency between core and cladding modes upon the coupling wavelength and the depth of the attenuation bands. The model does however qualitatively predict the experimentally observed behaviour; however, this approach suffers from a number of limitations which result from the approximations made. The theoretical prediction of the variation of wavelength as a function of overlay thickness is illustrated in figure
As for the experimental results, if the order of the attenuation band is ignored, the wavelength shifts appear to be continuous as observed experimentally in figure 19 (a).

More rigorous theoretical treatments have provided similar predictions where the LPG is modeled using coupled mode theory, and in reference 50, where a step index waveguide geometry is modeled. Using a linearly polarised (LP), weakly guiding approximation, it has been shown that, as thickness of an overlay of refractive index higher than that of the cladding is increased, the overlay, itself a waveguide, become capable of guiding one of the cladding modes. During the transition to guidance, the effective index of the cladding mode varies rapidly, as evidenced by the rapid change in wavelength of the attenuation bands. In the absence of losses, i.e. an imaginary part of the refractive index, in the overlay it is the cladding mode with highest effective index (i.e. lowest order) that is guided. The transition is also characterized by a reorganization of the cladding modes in region B of figure 19 (b). The effective indices of the cladding modes are then increased to that of their nearest lower order mode in the absence of the overlay, as illustrated in figure 21. Further increases in overlay thickness cause this process to repeat.

The LP approximation presented does not predict the disappearance of the attenuation bands unless the overlay exhibits a complex refractive index. In this case the attenuation of the cladding modes is predicted to increase rapidly in the transition region, which reduces the efficiency of coupling between core and cladding modes, and, as a result, reduces the extinction of the attenuation bands.

In this case, it is not necessarily the lowest order cladding mode that is guided, and the modal reorganization is more complex. Attenuation bands corresponding to coupling to higher order cladding modes than that which is guided by the overlay shift to the immediate lower order cladding-mode resonance condition. Attenuation bands corresponding to coupling to cladding modes of order lower than that which is guided initially suffer a blue shift before recovering their original resonance wavelength. Extension to consider the hybrid modes shows that, within one LP
mode transition, there are EH and HE mode transitions. Thus, for lower order cladding mode transitions in situations where the weakly guiding approximation is not valid, a two step transition is observed.

The simplistic model discussed previously can be used to predict the response of the attenuation bands’ central wavelengths to deposition of films of differing index, as illustrated in figure 22. It can be seen that, as the index of the overlay material increases, the region of high sensitivity moves to lower thicknesses. It is possible to predict the significant sensitivity of the attenuation bands to changes in the refractive index of the overlay, assuming a constant overlay thickness, as shown in figure 23. This indicates the potential for developing sensors based upon nm scale coatings that change their refractive index in response to an external stimulus.

The use of LPGs as refractive index sensors, to determine the concentration of aqueous solutions is well established. The model described above predicts that the sensitivity of an LPG refractometer can be enhanced by the deposition of a nanometer scale overlay, with the degree of enhancement dependent upon the refractive index and thickness of the overlay. This has recently been demonstrated experimentally, using films deposited by the LB and ESA techniques.

The wavelength shifts offer many new prospects for optical fiber sensor configurations. Of particular interest is the transition from region A to region C, since this introduces a large wavelength shift for a small change in the optical thickness of the overlay. If a film of appropriate thickness is deposited, then a small refractive index change could cause a large wavelength shift, offering the prospect of developing a highly sensitive sensor, or an optical modulator. This has been exploited by dip-coating the nanoporous crystalline δ from of Syndiotactic Polystyrene (sPS) onto an LPG. sPS can reversibly adsorb analytes of suitable size and shape, with a concomitant change in refractive index. The sensor was shown to be capable of sub-ppm detection of chloroform in water. The authors demonstrated that appropriate choice of overlay thickness allows optimisation of response time and sensitivity of the device.
The authors demonstrated that appropriate choice of overlay thickness allows optimisation of response time and sensitivity of the device\textsuperscript{54}.

Concatenated or in-series LPGs allow the production of finer detail within the transmission spectrum\textsuperscript{55}. The concatenated LPGs act as a Mach-Zehnder interferometer, as illustrated in figure 24. Light coupled into the cladding by the first LPG is re-coupled into the core by the second LPG, where it interferes with the light that propagated in the core, producing interference fringes within the attenuation bands, as illustrated in figure 25. The deposition of a nano-structured film onto concatenated LPGs has been reported\textsuperscript{56}. Films deposited on the length of optical fibre separating the LPGs affect only the interference fringes, while films deposited along the entire device influence both the fringes and the attenuation band envelope. Figure 26 shows the response of the transmission spectrum to the LB deposition of a film of $\omega$-tricosenoic acid onto a 20mm length of fibre separating two identical LPGs of period 400 $\mu$m and length 5mm. The initial blue shift of the fringes is followed by a reduction in visibility, corresponding to region B of figure 19 (b), and by a subsequent reappearance of the fringes\textsuperscript{56}.

5. Sub-micron Fabry-Perot interferometers formed using nanostructured coatings

Sub-micron Fabry-Perot interferometers formed at the end of optical fibres have been used as low-mass low-cost one time sensors for gases such as ozone on Mars\textsuperscript{57}, and elsewhere as sensor elements for a range of measurands including temperature, vibration and pressure\textsuperscript{58}. Several methods have been reported for the fabrication of an optical cavity on the end of an optical fibre such as vapour deposition of metals\textsuperscript{59}. A constraint of the all-metallic sensing elements is their inherent limitation compared with the sensing capabilities of organic materials. Also, in general it is difficult to obtain high precision in the film thickness for short cavities (< 2 $\mu$m). These limitations have been overcome using the LB\textsuperscript{60} and ESA\textsuperscript{61} deposition techniques, demonstrating thickness control of ca. 3-5 nm layer\textsuperscript{-1} to a total film thickness of 1 $\mu$m in the formation of Fabry-Perot type
interferometric optical cavities on the end of the optical fibre in which the optical fiber/film interface formed the first mirror, and the film/air interface formed the second mirror, as shown in figure 27.

To facilitate the fabrication of a Fabry-Perot type interferometric optical cavity using the LB technique, the LB trough dipper mechanism was modified to allow the film to be deposited on the cleaved end face of the optical fibre. For the ESA technique, the cavity was formed by alternately dipping the end of the fibre in a solution of poly(sodium 4-styrene-sulfonate) salt and a solution of gold colloids in polydiallyldimethyl-ammonium chloride, washing in pure water between.

In general, if the molecular length is $l$ and the number of bilayers is $m$, then the cavity length is $d = 2m/l \cos \phi$ where $\phi$ is the tilt angle of the molecules when deposited onto the substrate. The optical phase change experienced by light undergoing a double pass through the cavity is

$$\phi = \frac{4 \pi n_2 d}{\lambda}$$

(2)

where $\lambda$ is the free space wavelength of the source and $n_2$ is the refractive index of the cavity.

The fabricated cavity has low reflectance at $M_1$, due to the small difference in refractive index between the fibre and the cavity material, and thus acts similarly to a two beam interferometer, where the transfer function will be cosinusoidal, of the form

$$I \approx I_0 \left(1 + V \cos \phi \right)$$

(3)

where $V$ is the visibility.
In the case of LB deposited cavities, the cavity material, \( o \)-tricosenoic acid, was transferred on to the end of the fiber by vertical deposition, with the fibre’s cleaved face orthogonal to the plane of the film, using a modified dipper mechanism. Y-type structures, in which the amphiphilic molecules pack head-to-head and tail-to-tail, were obtained by multiple passes through the film.

The experimental layout shown in figure 28 was used to monitor the formation of the cavity, the light source being a super-luminescent diode with a central wavelength of 830 nm and a 3 dB bandwidth of 30 nm. This was coupled to the cavity via a 3 dB directional coupler using single mode optical fibre with cut-off wavelength 730 nm. As the cavities formed were of the order of 1 \( \mu \)m in length, a broad band source with a coherence length of 15 \( \mu \)m was sufficient to monitor their fabrication. The optical power of the source and the reflected signal from the cavity were monitored on separate matched photo-detectors. Following the deposition of each bilayer, at an incremental thickness of ca. 3 nm, the ratio of the reflected to incident intensity was recorded. This ratio is plotted versus number of bilayers in figure 29. The reflected signal varies periodically with the cavity thickness, showing the interferometric nature of the cavity.

As reported for cavities formed using ESA, figure 29 shows some attenuation with cavity thickness as a result of absorption and scattering within the film. There is some asymmetry, possibly resulting from incomplete deposition of the multilayer. The low visibility of the fringes is a result of the small difference in refractive index difference between the LB/fibre and LB/air interfaces, and also due to scattering loses in the cavity. Higher visibility has been demonstrated using the ESA technique, using films of higher refractive index.

Knowledge of the dispersion of the material would allow the cavity to be monitored at different wavelengths and thus facilitate signal processing of the cavity when deployed as a sensing element.
The facility to control the optical thickness of the cavity on a nanometer scale permits the formation of high spatial resolution optical fiber sensors and the potential for sensors with fast response times.

In addition, the film-forming materials may be designed to change their optical characteristics when reacting to a particular chemical species, or, in the case of LB films, form non-centrosymmetric films that exhibit non-linear optical properties, opening up the potential for high spatial resolution sensing based on non-linear optics.

A sub-micron cavity fabricated via the ESA technique was used to demonstrate a humidity sensor. A solution of poly (sodium 4-styrene-sulfonate) salt (PSS) formed the anionic electrolyte. A solution of gold colloids protected by a polymer coating, poly (diallyldimethyl ammonium chloride) (PDDA), was used to form the cationic electrolyte (Au:PDDA). A bi-layer structure of thickness 309 nm with the form [Au:PDDA⁺/PSS⁻] was deposited on the endface of an optical fibre. The operation of the sensor was not based upon the interferometric nature of the cavity. Rather, the hygroscopic property of the coating material was exploited. The trapping of water drops at the surface of the coating, the size of which is dependent on the RH, resulted in changes in the reflectivity of the end mirror of the cavity. The sensor showed reversible operation over the relative humidity range 11.3% to 100%, with a response time of 1.5 s, making it suitable for monitoring human respiration. The affinity of dichloromethane to a polymer coating composed of poly (diallyldimethyl ammonium chloride) (PDDA) and the dye Poly S-119 has been used in a similar way to form a dichloromethane sensor. Here the change in the reflected optical power was achieved by the capture of drops of dichloromethane on the coating. LB films have been employed in similar configurations. The reflection from a lutetium bispthalocyanine film deposited onto the end of an optical fibre was monitored when exposed to acetic acid, ethanol, n-butyl acetate and hexanal. ESA has been used to demonstrate an ammonia sensor based upon thin films of zirconia (ZrO2) nanoclusters and poly(sodium-4-styrenesulfonate) salt deposited on the
cleaved ends of optical fibres. The sensor was unaffected by humidity and the presence of volatile organic compounds.

A hydrogen peroxide H₂O₂ sensor was formed by mixing an indicator dye, Prussian blue, in the polycation layer. The operation of the sensor relied upon measurement of the rate of change of the reflected intensity, which was related to the change in the absorbance of the indicator caused by the diffusion of the H₂O₂ into the nanostructure. A cavity of thickness 17 bilayers were found to provide the optimum sensitivity, and a capping layer was used to prevent loss of the indicator dye.

The ability of ESA to form multilayer coatings using more than two different materials has allowed the fabrication of filters formed from quarter-wavelength stacks on the end faces of cleaved and polished optical fibres. Such structures formed on the end of multi-mode fibre have been used to bias the operating wavelength of the sensor, and to thus allow the possibility of wavelength division multiplexing of sensor arrays.

SUMMARY

The properties of fibre optic devices employing nano-structured coatings have been reviewed. The deposition of active and passive coatings using the Langmuir Blodgett and Electro-static Self Assembly techniques, have been described. Their use to form coupled waveguides and miniature Fabry-Perot cavities, and their use to influence the transmission spectra of fibre optic long period gratings has been detailed. The ability to manipulate material deposition onto optical fibres at the nanoscale has lead to a new class of passive and active photonic devices with exciting potential in sensing and signal processing for future sensor and communication systems.
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**Figure Captions**

Figure 1. Idealised pressure-area isotherm for a Langmuir film formed on a sub-phase of pure water.

Figure 2. Principles of LB deposition. (a) Y-type, centrosymmetric deposition. (b) Z-type, non-centrosymmetric deposition. Organic molecule with hyrodophilic (•) and hydrophobic ends.

Figure 3. Schematic illustration of the deposition of a multilayer film by consecutive adsorption of anionic and cationic polyelectrolytes [from [11]].

Figure 4. Polished fibre configurations. (a) Single mode optical fibre fixed into a groove on a 250 mm radius of curvature, and polished using a rotary polishing machine. (b) Annularly polished optical fibre.

Figure 5. Transmission spectra of a side polished optical fibre with overlay waveguide of ω-tricosenoic acid deposited via the LB technique. The curves from left to right show the spectra for 112, 114, 116 and 118 layers respectively [from 23].

Figure 6. (a) Thermal response of the channel dropping spectrum. The temperature range is (left to right) 42°C to 9.7°C, (b) Thermal tuning response of figure 5 (a) [from 24].

Figure 7. Schematic illustration of the experimental system to capture the fluorescence from an LB film deposited on an optical fibre. The core sizes of fibers 1 and 2 are 200 pm and 600pm respectively. f1 and f2 are the 488nm passing and rejection filters respectively [from[25]].

Figure 8. (a) Molecular structure of E-N-docosyl-4-[2-(4-oxyphenyl) ethenyl] pyridinium inner salt (b) Absorption spectra of E-N-docosyl-4-[2-(4-oxyphenyl) ethenyl] pyridinium inner salt before and after exposure to HCl vapour [from 27].

Figure 9. Schematic diagram of the polished fibre with the LB thin film overlay.
Figure 10. (a) Normalised, TM transmission of sensor: from left to right 11.52 pH, 11.99 pH, 12.31 pH, 12.67 pH and 13.16 pH. (b) Plot of coupling wavelength versus solution pH [from 27].

Figure 11. (a) Molecular structure of bromine Merocyanine. (b) Absorption spectra of 50 layers of the merocyanine dye, before and after exposure to HCl vapour [from 27].

Figure 12. (a) Normalised TM transmission of sensor, in solutions of, from left to right, 11.60, 11.83, 12.09, 12.39, 12.64, 12.80, 13.02 and 13.23 pH. (b) Coupling wavelength versus solution pH showing nonlinear response of the sensor [from 27].

Figure 13. Normalised spectral response of overlay device with a drop in transmission of ~13 dB [from 32].

Figure 14. Schematic of the Cerenkov-type phase matching configuration.

Figure 15. Graph of SHG intensity as a function of fibre transmission. Constant pump power coupled into the fibre. Thermal tuning of $\lambda_m$ causes the power coupled to the LB overlay to change [from 32].

Figure 16. Graph of SHG intensity as a function of fiber coupled power at $T=21.4^\circ C$ [from 32].

Figure 17. Transmission spectrum of a long period grating of length 40 mm and period 400 μm, written into photosensitive optical fibre with a cut-off wavelength of 644 nm [from 35].

Figure 18. The spectral response of an LPG of length 40 mm, period 400 μm, to submersion in external media of different refractive indices [from 35].

Figure 19. (a) Experimental determined central wavelengths of the attenuation bands plotted as a function of the thickness of the overlay film. • Attenuation band corresponding to coupling to the 5th cladding mode, ■ attenuation band corresponding to coupling to the 6th cladding mode. (b) corresponding wavelength shifts, labeling the attenuation bands from the shorter to longer wavelengths [from 41].

Figure 20. (a) Theoretically predicted wavelength of the central wavelengths of the attenuation bands plotted as a function of the thickness of an overlay film of refractive index 1.57.
(b) corresponding wavelength shifts, labeling the attenuation bands from the shorter to longer wavelengths.

- x attenuation band corresponding to coupling to the 2nd cladding mode
- ♦ attenuation band corresponding to coupling to the 3rd cladding mode
- ▲ attenuation band corresponding to coupling to the 4th cladding mode
- • attenuation band corresponding to coupling to the 5th cladding mode
- ■ attenuation band corresponding to coupling to the 6th cladding mode.

Figure 21. Effective refractive indices of the cladding modes, calculated using the LP approximation, as a function of overlay thickness, illustrating the reorganisation of the cladding modes during the transition to guidance by the overlay. [from 43].

Figure 22. Theoretical prediction of the influence on the refractive index of the thin film overlay on the sensitivity of the LPG transmission spectrum to overlay thickness. ▲ refractive index 2, ♦ refractive index 1.7, ■ refractive index 1.57.

Figure 23. Theoretical prediction of the LPG transmission spectrum on changes in the refractive index of a film of thickness 150 nm.

Figure 24. A schematic diagram of concatenated LPGs, illustrating the beam paths that form the Mach-Zehnder interferometer.

Figure 25. Transmission spectrum of concatenated LPGs, of length 40mm, period 400μm and separation 20mm. [from 56].

Figure 26. Wavelength shifts of the minima of the fringes in the 5th attenuation band of concatenated LPGs of length 5mm, period 400 μm, separated by 20mm, as a function of the thickness of an LB film deposited on the length of fibre separating the LPGs. [from 56].

Figure 27. The Langmuir-Blodgett technique deployed on the distal end of an optical fibre.

Figure 28. (a) Experimental setup, where SLD is a superluminescent diode, OPM 1 and OPM 2 are the inputs of a dual channel optical power meter, C is the 3 dB directional fibre coupler.
and the cavity is fabricated using the LB technique. (b) The cavity formed at the end of the fibre, and the designated refractive indices.

Figure 29. Plot of reflected optical power against number of bilayers deposited. [from60]
Figure 1.
Figure 2.
Figure 3
Figure 4.

(a) Figure 4. (a) shows the fibre core, refractive index $n_c$, and the fibre cladding (diameter 125 μm). The polishing depth is ~62 μm, and the polishing length is 10 mm.

(b) Figure 4. (b) illustrates the fibre core and cladding.
Figure 5.
Figure 6
Figure 7
Figure 8(a).

![Chemical structure](attachment:image.png)

Figure 8(b).

![Absorbance vs Wavelength](attachment:image.png)
Figure 9.
Figure 10

(a) (b)

Gradient = 18.8 ± 0.8 nm/pH
Figure 11
Increasing pH

Transmission (dB)

Wave length (nm)

11.5 12.0 12.5 13.0 13.5

740

750

760

770

780

Predicted coupling wavelength
Experimental results
Exponential fit to predicted data

Coupling wavelength (nm)

Solution pH

(a) (b)

Figure 12
Figure 13
Figure 14
Figure 15
Figure 16
Figure 17
Figure 18
Figure 19.
Figure 20 (a)

Figure 20 (b)
Figure 21.
Figure 22
Figure 22
Figure 23
Figure 24
Figure 26.
Figure 27
Figure 28

Figure 28

% Reflected Optical Power

Number of Bilayers

0 20 40 60 80 100

3.1

3.0

64