

1 **How do farm models compare when estimating greenhouse gas emissions**
2 **from dairy cattle production?**

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19 Short title: Comparing dairy cattle farm model greenhouse emissions

20

21 **Abstract**

22 The European Union (EU) Effort Sharing Regulation (ESR) will require a 30%
23 reduction in greenhouse gas (GHG) emissions by 2030 compared to 2005 from the
24 sectors not included in the European Emissions Trading Scheme, including

25 agriculture. This will require the estimation of current and future emissions from
26 agriculture, including dairy cattle production systems. Using a farm-scale model as
27 part of a Tier 3 method for farm to national scales provides a more holistic and
28 informative approach than IPCC (2006) Tier 2 but requires independent quality
29 control. Comparing the results of using models to simulate a range of scenarios that
30 explore an appropriate range of biophysical and management situations can support
31 this process by providing a framework for placing model results in context. To assess
32 the variation between models and the process of understanding differences,
33 estimates of greenhouse gas (GHG) emissions from four farm-scale models
34 (DairyWise, FarmAC, HolosNor and SFARMMOD) were calculated for eight dairy
35 farming scenarios within a factorial design consisting of two climates (cool/dry and
36 warm/wet) x two soil types (sandy and clayey) x two feeding systems (grass only and
37 grass/maize). The milk yield per cow, follower:cow ratio, manure management
38 system, N fertilisation and land area were standardised for all scenarios in order to
39 associate the differences in the results with the model structure and function.
40 Potential yield and application of available N in fertiliser and manure were specified
41 separately for grass and maize. Significant differences between models were found
42 in GHG emissions at the farm-scale and for most contributory sources, although
43 there was no difference in the ranking of source magnitudes. The farm-scale GHG
44 emissions, averaged over the four models, was 10.6 t carbon dioxide equivalents
45 (CO₂e) ha⁻¹ yr⁻¹, with a range of 1.9 t CO₂e ha⁻¹ yr⁻¹. Even though key production
46 characteristics were specified in the scenarios, there were still significant differences
47 between models in the annual milk production ha⁻¹ and the amounts of N fertiliser
48 and concentrate feed imported. This was because the models differed in their

49 description of biophysical responses and feedback mechanisms, and in the extent to
50 which management functions were internalised. We conclude that comparing the
51 results of different farm-scale models when applied to a range of scenarios would
52 build confidence in their use in achieving ESR targets, justifying further investment in
53 the development of a wider range of scenarios and software tools.

54

55

56 **Keywords:** dairy cattle, farm-scale, model, greenhouse gas

57

58 **Implications**

59 Farm-scale models can be used to document GHG emissions and predict the likely
60 consequences of mitigation measures on both emissions and production. However,
61 regulators and commercial organisations need assurance of the validity of their use.
62 An inter-comparison of models should form part of this process.

63

64 **Introduction**

65 Globally, the livestock sector accounts for 14.5% of human-caused greenhouse gas
66 emissions (GHG), producing 7.1 Gt of carbon dioxide equivalent (CO₂e) emissions
67 year⁻¹, of which dairy farming contributes about 20% (Hagemann *et al.*, 2012).
68 European dairy production is over 150 million tonnes of milk and accounts for about
69 15% of the value of all European agricultural production (European Commission,
70 2017). However, it also accounts for about one third of GHG emissions from the
71 European livestock sector (Bellarby *et al.*, 2013). The sources of direct on-farm GHG
72 emissions are methane (CH₄) from enteric fermentation and manure management,

73 and nitrous oxide (N₂O) from manure management and the soil. In addition, there are
74 indirect GHG emissions in the form of N₂O, resulting from the nitrification and partial
75 denitrification of reduced forms of nitrogen (N) that occur off-farm, either as a result
76 of the atmospheric deposition of N from ammonia (NH₃) volatilization from on-farm
77 manure management and the soil, or from nitrate (NO₃⁻) leaching from the fields on
78 the farm (IPCC, 2006). Finally, changes to the amount of C stored in the soil can act
79 as a source or sink for CO₂.

80 Hitherto, there has been limited regulatory pressure to reduce GHG emissions from
81 agriculture, although there is increased interest from the food retail sector concerning
82 their GHG emissions and that of their supply chains (e.g. Tesco PLC, 2016).

83 However, the European Union (EU) is currently in the process of supplementing its
84 Effort Sharing Decision (European Commission, 2009) with an Effort Sharing
85 Regulation (ESR; Erbach, 2016) that by 2030 compared to 2005, will reduce by 30%
86 the GHG emissions from the sectors not included in the European Emissions Trading
87 Scheme (agriculture, transport, buildings, small industry and waste). The agreement
88 will place a heavier burden on the wealthier Member States and impose national
89 Annual Emission Allocations but will allow some flexibility concerning the distribution
90 of reduction burden between sectors and allow limited transfer or trading of Annual
91 Emission Allocations. How the ESR will be implemented in individual Member States
92 is unclear, including the proportion of the emission reduction allocated to agriculture
93 and the extent to which there is the ability and willingness to utilise the flexibility
94 mechanisms. However, since the ESR contains reduction targets for EU member
95 states that range from 0 to 40%, significant reductions seem likely to be demanded
96 from agriculture, especially for more wealthy Member States with large agricultural

97 sectors. Member States that decide they need to reduce agricultural GHG emissions
98 will need to choose a method of implementing reduction measures and how these
99 will be documented in their national GHG inventories. This could include devolving
100 the choice of measures and GHG accounting to individual farms, and the use of farm
101 typologies in their national GHG accounting.

102 Ruminant livestock farms in general, and dairy cattle farms in particular, typically rely
103 heavily on on-farm crop production to supply animal feed. This leads to a substantial
104 internal cycling of nutrients (Jarvis *et al.*, 2011), feedback effects between farm
105 components (livestock, manure management etc.), and difficulty in obtaining the
106 information concerning feed intake necessary to calculate the major sources of GHG
107 emissions using the Tier 2 IPCC methodology. Member States will need to assess
108 the cost and effectiveness of the mitigation measures needed to achieve the ESR
109 reductions and report projected emissions (European Commission, 2013). As noted
110 by Crosson *et al.* (2011), whole-farm systems models offer a more consistent
111 approach than IPCC methodologies when assessing GHG emissions from such
112 farms. This includes capturing feedback effects and allowing the consequences of
113 mitigation measures on production and costs to be assessed.

114 A number of whole-farm cattle systems models have been developed (Del Prado *et*
115 *al.*, 2013, Kipling *et al.*, 2016). At present, these models have mainly been used for
116 exploratory purposes (e.g. Vellinga *et al.*, 2011), for which plausibility is an adequate
117 criteria for the form of response functions and the quality of inputs and parameters.
118 Exploration will remain a useful function but in the future, farm-scale models will also
119 need to operate within an environment in Europe in which there is regulatory or
120 commercial pressure to reduce emissions and in which the quality of emission

121 inventories at all scales is likely to be subject to increased scrutiny. Comparing
122 modelled results with empirical data is not currently possible at the farm scale, given
123 the technical and financial challenges (Brentrup *et al.*, 2000, McGinn, 2006). Quality
124 assurance or review processes can therefore benefit from the comparison of results
125 from different models when used to simulate a range of scenarios (e.g. as in Özkan
126 Gülzari *et al.*, 2017 and Veltman *et al.*, 2017). Deviations in the results from new
127 models or new versions of existing models compared to earlier simulations with the
128 same scenarios can be investigated to assess whether they are scientifically credible
129 or not.

130 In the study reported here, we use four farm-scale models to quantify GHG
131 emissions, using eight scenarios of dairy cattle production that reflect the climates,
132 soils and feeding systems of dairy cattle farms in two contrasting milk-producing
133 areas of Europe. The aim was to quantify the variation between models in emissions
134 from on-farm sources and to assess the process of identifying the differences in the
135 structure and function of the models giving rise to such variations.

136

137 **Material and methods**

138 The models used were DairyWise, developed in The Netherlands (Schils *et al.*,
139 2007), FarmAC, developed as part of an EU project (Hutchings and Kristensen,
140 2015), HolosNor, developed in Norway (Bonesmo *et al.*, 2012), and SFARMMOD,
141 developed in the United Kingdom (Annetts and Audsley, 2002). DairyWise and
142 HolosNor are specifically dedicated to dairy farming whereas FarmAC and
143 SFARMOD can simulate a wider range of farm types. The choice of models used
144 depended on who could obtain funding via the Modelling European Agriculture with

145 Climate Change for Food Security (MACSUR) project (www.macsur.eu). An overview
146 of the models is given in Table 1, with additional details in the Supplementary
147 Material. Some models could simulate off-farm GHG emissions, such as pre- or post-
148 farm emissions, and/or emissions associated with the use of farm machinery.
149 However, these emission sources are not part of the agricultural emissions in the
150 ESR, so were omitted from the comparison. Changes in the carbon (C) sequestered
151 in the soil are part of the ESR but since this could not be simulated by all models, it
152 was also omitted from the comparison. Steady-state simulations with no change in
153 the C sequestered were used for those models that included this aspect. Global
154 warming potentials (GWP) of CH₄ and N₂O are 28 and 265 times higher than that of
155 CO₂, respectively, for a given 100 year time horizon (Myhre *et al.*, 2013).

156 Table 1 here

157 *Scenarios*

158 Each model simulated eight scenarios within a factorial design consisting of two
159 climates, two soil types, and two feeding systems. The two climates were cool with
160 moderate rainfall (Eindhoven, The Netherlands; 'Cool') and warm with high rainfall
161 (Santander, Spain; 'Warm'). The Cool climate had a mean annual temperature of 9.6
162 °C and a mean annual precipitation of 757 mm. The Warm climate had a mean
163 annual temperature 14.3 °C and a mean annual precipitation of 1268 mm. The
164 characteristics of the Sandy soil were 60% sand, 10% silt, 30% clay and the Clayey
165 soil were 10% sand, 45% silt, 45% clay. For both soil types, the pH >6, <7.5 and soil
166 depth was 1 metre. For HolosNor, the maximum permissible clay content allowed by
167 the model (35%) was used (A. O. Skjelvåg, Ås, 2016, personal communication).

168 The choice of scenarios was intended to provoke noticeable responses from the
169 models whilst remaining within the range of conditions for European dairy production.
170 The choice of climates was also determined by the need to access advice concerning
171 climate-related farm management information. Grass has an energy:protein ratio that
172 is sub-optimal for effective utilisation of the protein for milk production, so must be
173 supplemented with an energy-rich feed when formulating diets. This is commonly
174 provided using either an imported cereal or on-farm maize silage, so two cropping
175 systems were simulated, one consisting of grass only and other of grass and maize
176 silage.

177 The participants agreed a set of farm structure and management characteristics and
178 parameters (Table 2). The GHG emissions intensity of milk production decreases
179 with increasing annual milk production per cow (Casey and Holden, 2005, Gerber *et*
180 *al.*, 2011), so it was necessary to standardise this factor. To avoid excessive
181 externalising of GHG emissions through high imports of energy concentrates, we
182 chose to simulate a production system with a moderate production of 7000 kg ECM
183 cow⁻¹ year⁻¹.

184

185 Table 2 here

186

187 Complete standardisation of scenarios was not possible as all models required
188 additional model-specific inputs or parameters. To internalize model responses, the
189 exchange of material with off-farm systems was minimized. This meant that within
190 realistic constraints (e.g. maintaining a realistic balance between energy and protein
191 in cattle diets), the amount of imported animal feed and manure and the export of

192 silage and manure was minimised. Since the milk yield per cow, the weight of the
193 mature dairy cows and the number of young stock per mature dairy cow were
194 standardised, the number of livestock that could be carried on the farm was
195 determined by each model's prediction of (i) the diet necessary to achieve the
196 specified milk yield and growth of immature livestock; and (ii) the capacity of the farm
197 to produce roughage feed. HolosNor required the number of animals as an input;
198 therefore, the number of animals in each scenario was inputted to HolosNor from
199 FarmAC.

200 The statistical significance of the differences between models for the selected
201 management variables and the estimated GHG emissions was determined using the
202 Friedman test, (Friedman, 1940) followed by the post-hoc Nemenyi test (Nemenyi,
203 1963). The analysis was undertaken using the Friedman.test and
204 posthoc.friedman.nemenyi.test function from the PMCMR package (Pohlert, 2014) of
205 R programming language. The corresponding analysis for differences between
206 scenarios was determined using the anova function of the R programming language.
207 Differences were considered significant if $p < 0.05$ or less.

208

209 **Results**

210

211 *Production characteristics*

212 DairyWise predicted a significantly higher number of dairy cows could be maintained
213 than the other models (Fig. 1A). This was not due to lower values for the DM intake
214 necessary to achieve the prescribed production; cow DM intake was 16.5, 15.6, 17.6
215 and 16.0 kg day⁻¹ for DairyWise, FarmAC, HolosNor and SFARMOD respectively and
216 for the followers, 6.0, 5.7, 7.1 and 4.8 kg day⁻¹ respectively. The median milk

217 production values ranged from 10360 litres ha⁻¹ for DairyWise to 8835 litres ha⁻¹ for
218 HolsNor. The variation between scenarios was greatest for FarmAC (HolsNor used
219 the same livestock numbers as FarmAC). There were significant differences between
220 models in the amounts of concentrate feed imported (Fig. 1B), reflecting the
221 differences in the diet predicted or considered necessary to achieve the target milk
222 production specified. The area dedicated to maize silage production on grass/maize
223 farms was significantly lower for SFARMMOD than for the other models (Fig. 1C).
224 Note that for DairyWise, the area would have been higher, had the model not
225 included a cap of 20% of field area that could be allocated to maize cultivation. There
226 were significant differences between models in the amounts of fertiliser N applied
227 (Fig. 1D).

228

229 Fig 1 here

230

231 *Farm-scale GHG emissions*

232 Total GHG emissions expressed on an area basis ('area emission intensity'; kg CO₂e
233 ha⁻¹ year⁻¹) were highest in DairyWise (Fig. 2A), significantly higher in relation to
234 HolsNor and SFARMMOD, with the range between models equivalent to 18% of the
235 mean of models. This mainly reflects the significantly higher number of livestock
236 predicted by DairyWise, as can be seen by expressing emissions on the basis of a
237 unit mass of milk ('milk emission intensity'; kg CO₂e (kg ECM)⁻¹); the range between
238 models is reduced to 6% of the mean of models, although there were still significant
239 differences between models (Fig 2B). To prevent variations in livestock number from
240 masking other differences between the models, the emissions from the on-farm

241 sources will here be expressed as milk intensities rather than area intensities. Note
242 that no allocation method was used when calculating the milk intensities (see
243 Supplementary Material).

244

245 Figure 2 here

246

247 The enteric CH₄ emissions simulated by SFARMMOD were significantly greater than
248 those by FarmAC and HolosNor (Fig. 3A). SFARMMOD estimates enteric CH₄
249 emissions from milk production, hence the lack of variation between scenarios. There
250 were no significant differences between the estimates of field N₂O emissions from the
251 different models (Fig. 3B). The manure CH₄ emissions estimated by SFARMMOD
252 were lower than those of the other models, significantly so compared to FarmAC
253 (Fig. 3C). Manure N₂O emissions (Fig. 3D) estimated by HolosNor were higher than
254 those of the other models, significantly so compared to DairyWise and SFARMMOD.

255

256 Figures 3 here

257

258 There were significant differences between models for the N₂O emissions from both
259 NH₃ volatilisation and NO₃⁻ leaching (Fig. 4). The emissions estimated by HolosNor
260 were higher than by the other models, significantly so in some instances. For
261 FarmAC, the emissions resulting from NO₃⁻ leaching were particularly variable
262 between scenarios. The variation in GHG emissions from different sources between
263 models is shown in Table 3. For each source, the mean of the emissions for the four
264 models is subtracted from the emission for the individual model. The differences

265 between models led to differences in the ranking of scenarios. DairyWise ranked the
266 Cool climate higher than the Warm climate and thereafter grass only higher than
267 grass/maize. FarmAC, HolosNor and SFARMMOD ranked grass only higher than
268 grass/maize but there were no clear rankings for climate and soil.

269

270 Figure 4 and Table 3 here

271

272 *Differences between scenarios*

273 The production characteristics, and area and milk intensities for the different
274 scenarios, averaged across models, are shown in Table 4. There were statistically
275 significant differences between the feeding systems, with the grass only system
276 requiring more concentrate feed (1.75 versus 1.13 Mg dry matter cow⁻¹ year⁻¹),
277 carrying a higher number of cows (69.3 versus 64.2 head) and receiving more N
278 fertiliser (242 versus 232 kg N ha⁻¹ year⁻¹). Significantly more N fertiliser was applied
279 under the Warm climate than under the Cool (246 versus 228 kg ha⁻¹ year⁻¹). The
280 area emission intensity was around 11% greater for the grass only system than for
281 the grass/maize (11.1 kg versus 10.0 kg CO₂e ha⁻¹ year⁻¹). When expressed as milk
282 emission intensities (kg CO₂e (kg ECM)⁻¹), the emissions under the Cool climate
283 were significantly greater than under the Warm climate for enteric CH₄ (0.673 versus
284 0.669), manure CH₄ (0.259 versus 0.251), manure N₂O (0.025 versus 0.017) and the
285 indirect N₂O emission resulting from NH₃ volatilisation (0.030 versus 0.028). The
286 emissions for the Grass only were significantly greater than for the Grass/maize for
287 enteric CH₄ (0.677 versus 0.666), soil N₂O (0.264 versus 0.247), manure CH₄ (0.128
288 versus 0.124), manure N₂O (0.022 versus 0.020), and the indirect N₂O emission

289 resulting from NH₃ volatilisation (0.030 versus 0.028). The indirect N₂O emission from
290 NO₃⁻ leaching was significantly higher for sandy soil than clay soil (0.028 versus
291 0.020). At the farm scale, the milk intensities were significantly higher for Grass only
292 than for Grass/maize (1.119 versus 1.084) and for the Cool climate than for the
293 Warm (1.127 versus 1.076). Across scenarios, enteric CH₄ and field N₂O emissions
294 were the major contributors to total GHG emissions.

295

296 Table 4 here

297

298 **Discussion**

299 *Differences in production characteristics*

300 The scenario specifications defined key production characteristics and yet achieving
301 complete standardisation of farm management was not possible. The models differed
302 both in their description of biophysical responses/feedback mechanisms and in the
303 extent to which management functions were internalised. For example, when
304 estimating the livestock number that could be carried on the farm, the DairyWise
305 predictions were 15% higher than the other models (Fig. 1A). This was due partly to
306 a higher efficiency of the use of feed for milk production; the major drivers of
307 production (DM intake, import of concentrate feed and available N used for crop
308 production) being similar or the same as the other models. To achieve an appropriate
309 feed ration on the grass only farms, all models predicted it was necessary to import
310 cereal feed. This import of feed increases the number of livestock that can be carried
311 on the farm. Since maize silage has a higher energy:protein ratio than grass, an
312 appropriate feed ration could be more easily achieved from within the farms'

313 resources when maize silage was available on the farm. Consequently, three of the
314 four models found the need to import cereal-based feed was lower for the
315 grass/maize system than for the grass only system and hence fewer livestock were
316 carried (Fig. 1B); the exception being DairyWise. In DairyWise, the maximum
317 percentage of the area of maize silage (20%) permitted is embedded in the model,
318 corresponding to the derogation obtained by the Netherlands under the EU Nitrates
319 Directive (European Commission, 1991 and 2014), so a higher import of
320 concentrates is necessary to achieve an appropriate feed ration. Even the remaining
321 models show substantial differences in the area allocated to maize silage production
322 (Fig. 1C), reflecting the differences in the definition of an appropriate feed ration and
323 the maize silage production predicted per unit area. This highlights a major difference
324 between farm-scale models and those of individual farm components such as crops;
325 the latter are commonly driven by external management variables whereas these are
326 internalised to a varying extent within the farm-scale models.

327 Finally, the application of N fertiliser varied between models (Fig. 1D). Since the total
328 amount of plant-available N applied was prescribed here and were different for grass
329 and maize, the differences in the application of N fertilizer reflect the differences
330 between models in the estimation of the plant-availability of N in the animal manure,
331 and for grass/maize system, the relative areas allocated to grass and maize
332 cultivation. This in turn reflects differences in the N losses occurring in the manure
333 management system. The farm characterisation specified a higher input of plant-
334 available N to grassland than to maize, so differences between models in the areas
335 used to produce maize silage also lead to differences in the farm-scale demand for
336 fertiliser N.

337

338 *Differences in greenhouse gas emissions*

339 The scenario-averaged area emission intensity was highest for DairyWise (Fig. 2A).
340 This was mainly due to the higher number of livestock that this model predicted could
341 be supported on the farms, as the differences between models decrease when
342 emissions are expressed as milk intensities (Fig. 2B). The variation in enteric CH₄
343 emissions (Fig. 3A) has complex origins. The models differed in the methods used to
344 determine the quantity and quality of feed appropriate to achieve the specified milk
345 production per cow. Since feed quality is predicted by DairyWise, it could not be
346 standardised here, meaning there were differences between models in the feed
347 quality. Finally, there were differences in methods used to model enteric CH₄
348 emissions, which varied from a national Tier 3 method with varying emission factors
349 per feedstuff (DairyWise), through the IPCC methodology (FarmAC, HolosNor), to a
350 fixed factor based on milk production (SFARMMOD).

351 The differences between estimates of N₂O emissions from the soil were not
352 significant (Fig. 3B), but this was due to the substantial variation between models in
353 their response to the scenarios. All models use algorithms similar to those used by
354 IPCC (2006) and so are driven by the total amount of N entering the soil. The total
355 input of plant-available N (manure plus fertiliser) was prescribed here so the crop
356 production was largely decoupled from the behaviour of the livestock and manure
357 management modules. However, the estimates of the total N input to the soil differed
358 between models, since differences in the estimated loss of N in the manure
359 management system meant that they differed in their assessment of the plant-
360 availability of N in the manure ex storage (the lower the plant-availability in the

361 manure, the higher the total manure N input). Furthermore, the total plant-available N
362 application to grass was prescribed to be higher than that to maize, so differences
363 between models in the allocation of land to these two crops affected the farm scale
364 input of N to the soil for the grass/maize systems.

365 The differences in GHG emissions from manure (Fig. 3C and 3D) reflect differences
366 in the methodologies used (particularly emission factors) and in the throughput of
367 manure dry matter (DM) and N, resulting from differences in the methods used to
368 estimate DM and N excretion. The significant differences in indirect GHG emissions
369 associated with NH_3 volatilisation (Fig. 4A) reflect differences in assumptions made
370 or the methodology used. In particular, in the DairyWise simulations, a high DM
371 content of the applied slurry was assumed, leading to high field NH_3 emissions. In the
372 FarmAC simulations, a lower manure DM content was assumed and in SFARMMOD,
373 a constant factor independent of manure DM.

374 The low indirect emissions of N_2O associated with NO_3^- leaching predicted by
375 DairyWise (Fig. 4B) is because it simulated a large N loss through denitrification on
376 the clayey soil. The small effect of soil type on the HolosNor simulations were
377 because this model uses a leaching fraction that is not sensitive to soil type. In
378 contrast, FarmAC was highly sensitive to soil type, especially in the Warm climate
379 due to the higher drainage.

380 The total GHG emissions calculated by the different models were similar but this
381 disguised differences between estimates of all the contributory emissions (Table 3).
382 Nevertheless, all models indicated that enteric CH_4 was the major source, followed
383 by soil N_2O emissions, and that the two together contributed more than half the total
384 emissions. This would be expected from earlier investigations (FAO, 2010, Gerber *et*

385 *al.*, 2011, Alemu *et al.*, 2017). Furthermore, all models ranked the importance of the
386 remaining sources in the same order; manure CH₄ > indirect emissions > manure
387 N₂O. This is important, since the ranking of targets for mitigation measures is a
388 common reason for constructing such models (Cullen and Eckard, 2011, Del Prado
389 *et al.*, 2013). In contrast, the differences between the ranking of scenarios between
390 models shows that there can be systematic variations in the responses to climate
391 and farm management.

392 Variation between scenarios might be expected to increase with model complexity,
393 since this should increase the capacity to reflect the effect of different management
394 strategies (Beukes *et al.*, 2011). Cullen and Eckard (2011) estimated GHG emissions
395 for 4 locations in Australia and found the emissions estimated using the complex,
396 dynamic model DairyMod (Johnson *et al.*, 2008) to be between +10% and -30% of
397 the values estimated by an inventory method, depending on location. The majority of
398 the variation between the two methods arose from differences between locations in
399 the direct and indirect N₂O emissions predicted by the complex model. In the current
400 study, the range of milk emission intensities, relative to the model returning the
401 lowest estimate, was 4-9% for the cold climate and 13-16% for the Warm climate.

402 In O'Brien *et al.* (2011), the use of locally-determined rather than default parameters
403 for the IPCC (2006) methodology led to a reduction in estimated GHG emissions of
404 about 13%. In this study, the emission factors in FarmAC and HolosNor were
405 adjusted to the IPCC (2006) default values for the relevant climate whereas the
406 parameter values are not climate-sensitive in DairyWise and SFARMOD. Since the
407 latter two models were developed in The Netherlands and UK respectively, this may

408 explain the larger variation between the model emission estimates for the Warm
409 climate.

410

411 *Effect of scenarios*

412 More concentrate feed was required to provide a balanced diet in the grass only
413 system than the grass/maize system (Table 4). This meant that the total amount of
414 feed available on the grass only farms was greater than for the grass/maize system,
415 so more cows could be carried and the area emission intensity was higher. Less
416 fertiliser is applied to the grass/maize system than the grass only system, since the
417 application of plant-available N specified for maize was lower than that for grass.
418 Expressed in terms of milk emission intensities, manure CH₄ and N₂O emissions
419 (direct and from NH₃ volatilisation) were lower under the Warm climate, due to the
420 shorter housing period and therefore lower annual manure production. We have no
421 immediate explanation for the higher enteric CH₄ emission under the Cool climate but
422 note that is a small effect (1% difference). The higher emissions from enteric
423 fermentation, manure and soil under the Grass versus the Grass/maize system may
424 reflect a lower ability to construct a balanced feed ration with grass as the only
425 roughage feed and therefore a lower efficiency of utilisation of feed for milk
426 production in the former. This would increase the flow of DM and N through the
427 livestock and manure management, per unit mass of milk produced. The N₂O
428 emissions associated with NO₃⁻ leaching were greater for the sandy than clayey soil,
429 due to the higher drainage. The lower farm-scale milk emission intensity in the Warm
430 climate compared to the Cool mainly reflected the lower emissions associated with

431 manure management (79% of difference) whereas the difference between the Grass
432 and Grass/maize systems was mainly associated with the soil N₂O emission (47%).
433 The total model-averaged area emission intensities calculated here are within the
434 range 9.6 – 11.8 kg CO₂e ha⁻¹ year⁻¹ found for Ireland by O'Brien *et al.* (2011), similar
435 to the 11.0 and 9.5 kg CO₂e ha⁻¹ year⁻¹ found for a farm in the USA by Veltman *et al.*
436 (2017), lower than the 12.1 kg CO₂e ha⁻¹ year⁻¹ found for New Zealand by Beukes *et*
437 *al.* (2011) but higher than that calculated using the relationship with milk production
438 per unit area found by Christie *et al.* (2011) (adjusted to remove non-ESR
439 emissions). However, as noted by Christie *et al.* (2011), comparing the results of
440 different studies is difficult, due to variations in the methods used, so we here draw
441 no other conclusion than that the results are within the range found in other studies
442 and that the scenarios we chose were indeed an adequate basis for investigating the
443 process of comparing the models.

444

445 *Comparing GHG emissions from dairy cattle farm models*

446 The advantage of using farm-scale models of dairy cattle production in the context of
447 the ESR, particularly in relation to their ability to predict the consequences of
448 mitigation measures on emissions and production, arises mainly because of their
449 ability to account for the on-farm feedback processes. However, as we have shown
450 here, the same feedback processes sometimes make it difficult to standardise all
451 aspects of farm-scale scenarios and complicate the process of understanding the
452 reasons for differences between model results. The maximum difference between
453 models in area emission intensity was equivalent to about 18% of the mean of all
454 models, equivalent to about half the average ESR reduction demand. The magnitude

455 of this variation underscores the importance of understanding and assessing the
456 credibility of farm models when they are used as part of national GHG emission
457 accounting, and in gaining acceptance by producers, where a Member State choses
458 to introduce GHG accounting at the farm scale. We believe this justifies further
459 investment in methodologies and tools to support the comparison of farm-scale
460 models. That investment needs to include the development of a wider range of
461 scenarios, designed to encompass all European dairy production, and software tools
462 to compare, analyse and present the large amount of data generated.

463

464 **Conclusions**

465 Based on the four farm-scale models used here, we conclude that there can be
466 important differences between models in the GHG emissions predicted at the source
467 and farm scales. These variations between models arise because of differences in
468 the simulation of the processes and feedback loops driving production and emission,
469 and the extent to which management functions are internalised. These model
470 features complicate the standardisation of farm characteristics and management in
471 scenarios and the interpretation of results. Nevertheless, we conclude that comparing
472 the results of applying farm-scale models to a wide range of scenarios is a useful
473 process for quality assurance and review, and that further investment in the
474 development of scenarios and software tools is justified.

475

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489

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602
603
604
605

606 **Table 1 Overview of the methods used by the models to calculate key farm**
 607 **characteristics and emissions.**
 608

Category	Methods used by models*			
	<i>DairyWise</i>	<i>FarmAC</i>	<i>HolosNor</i>	<i>SFARMMOD</i>
Feed ration formulation	Optimized	Input	Optimized	Optimized
Milk production determination	Energy and protein	Energy and protein	Input	Optimized
Proportion of area for silage maize	Fixed (20%)	Input	Input	Optimized
Crop production	Tier 3	Tier 3	Input	Optimized
Enteric CH ₄	Tier 3	Tier 2	Tier 2	Tier 2
Manure CH ₄	Tier 2	Tier 2	Tier 2	Tier 2
Manure N ₂ O	Tier 2	Tier 2	Tier 2	Tier 3
Field N ₂ O	Tier 2	Tier 3	Tier 3	Tier 3
NH ₃ emissions	Tier 3	Tier 3	Tier 2	Tier 3
NO ₃ ⁻ leaching	Tier 3	Tier 3	Tier 2	Tier 3

609 * See Supplementary Material

610 ** Tier 2 = Tier 2, IPCC (2006); Tier 3 = modified Tier 2 and/or dynamic modelling

611

612 **Table 2. Standardised farm data**

Category	Standardised farm data
Dairy cows	Mature live weight 600 kg, milk yield 7000 kg ECM cow ⁻¹ year ⁻¹ , diet: grass + concentrate or grass + maize silage + concentrate, grazing time: 16 hours day ⁻¹ during growing season*
Young animals	1 female:dairy cow, with male calves exported at birth, diet: grass + concentrate or grass + maize silage + concentrate, grazing time; 24 hours day ⁻¹ during growing season
Beef cows	No beef calves or bulls
Manure management	Livestock housing; freely-ventilated, fully slatted floor, manure storage; slurry tank with natural crust, manure application; broadcast spreader, no incorporation
Fields	Total area; 50 ha, irrigation; none
Crop potential DM yield (unlimited by availability of nutrients or water)	Grass; Cool climate: 10 tonnes ha ⁻¹ year ⁻¹ , Warm climate: 8 tonnes ha ⁻¹ year ⁻¹ . Maize; Cool climate: 14 tonnes ha ⁻¹ year ⁻¹ , Warm climate: 18 tonnes ha ⁻¹ year ⁻¹ . Values were established after consultation with local experts.
N fertilisation	Grass; 275 kg plant-available N ha ⁻¹ year ⁻¹ . Maize 150 kg plant-available N ha ⁻¹ year ⁻¹ **

613 * Cool climate; May to September, Warm climate; March to November

614 ** Fertiliser type urea, with all fertiliser N considered plant-available. For animal manure,
615 plant-available N was equal to the mineral N present. The total N application in manure was
616 not permitted to exceed 250 kg N ha⁻¹ year⁻¹ for permanent grassland and 170 kg N ha⁻¹ year⁻¹
617 ¹ for maize silage. Manure was only exported if these application rates would otherwise be
618 exceeded.

619 **Table 3. Variation between models in the direct and indirect GHG emissions,**
 620 **relative to the mean of all models.**

Model	Enteric CH ₄	Soil N ₂ O	Manure CH ₄	Manure N ₂ O	Indirect	Direct + indirect
Deviation of individual model from mean of all models						
gCO ₂ e (kg ECM) ⁻¹						
DairyWise	0	-42	13	-7	0	-36
FarmAC	-23	33	48	0	-13	44
HolosNor	-8	-16	2	10	31	19
SFARMMOD	31	26	-63	-3	-17	-27
Mean of models*						
	670	260	130	20	50	1130

621 * No allocation method used to partition emissions between milk and meat production (see
 622 Supplementary material)

623
 624

625 **Table 4 Summary of results for the different scenarios**

	Scenario*							
	CSG	CSM	CCG	CCM	WSG	WSM	WCG	WCM
Number of dairy cows	69	62	69	63	70	65	69	67
Imported concentrate feed	126	67	124	82	116	67	116	78
Maize area	0	13	0	12	0	11	0	10
Fertiliser N	231	221	232	228	252	238	253	240
Area emission intensity	11.4	9.9	11.2	10.0	11.2	9.9	10.8	10.0
	kg CO ₂ e ha ⁻¹ year ⁻¹							
	kg CO ₂ e (kg ECM) ⁻¹							
	Direct emissions							
Enteric CH ₄	0.68	0.67	0.68	0.67	0.67	0.66	0.67	0.66
Manure CH ₄	0.14	0.14	0.14	0.14	0.11	0.11	0.12	0.11
Manure N ₂ O	0.03	0.02	0.03	0.02	0.02	0.02	0.02	0.02
Field N ₂ O	0.26	0.25	0.27	0.26	0.26	0.24	0.27	0.24
	Indirect emissions							
Volatilization of NH ₃	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.02
Leaching of NO ₃ ⁻	0.03	0.03	0.02	0.02	0.03	0.03	0.02	0.02
	Total emissions							
Milk emission intensity**	1.17	1.14	1.16	1.14	1.12	1.08	1.12	1.08

626 * Cxx = Cool climate, Wxx = Warm climate, xSx = Sandy soil, xCx = Clayey soil, xxG = Grass
 627 only, xxM = Grass and maize.

628 ** No allocation method used to partition emissions between milk and meat production (see
 629 Supplementary material)

630

631 **Figure captions**

632

633 **Figure 1**

634 Predicted number of dairy cows (A), amount of concentrate feed imported (Mg DM
635 year⁻¹) (B), area of maize on farms growing both grass and maize (ha) (C) and
636 fertiliser N applied (kg ha⁻¹ year⁻¹) (D). The boxplots show the data median and
637 quartiles of the eight dairy farming scenarios. Differences between model results are
638 not significantly different from one another if they share the same letter.

639

640 **Figure 2**

641 Total GHG emissions from all sources, expressed as an area emission intensity (kg
642 CO₂e ha⁻¹ year⁻¹) (A) and as a milk emission intensity (kg CO₂e (kg ECM)⁻¹) (B). The
643 boxplots show the data median and quartiles. Differences between models are not
644 significantly different from one another if they share the same letter.

645

646 **Figure 3**

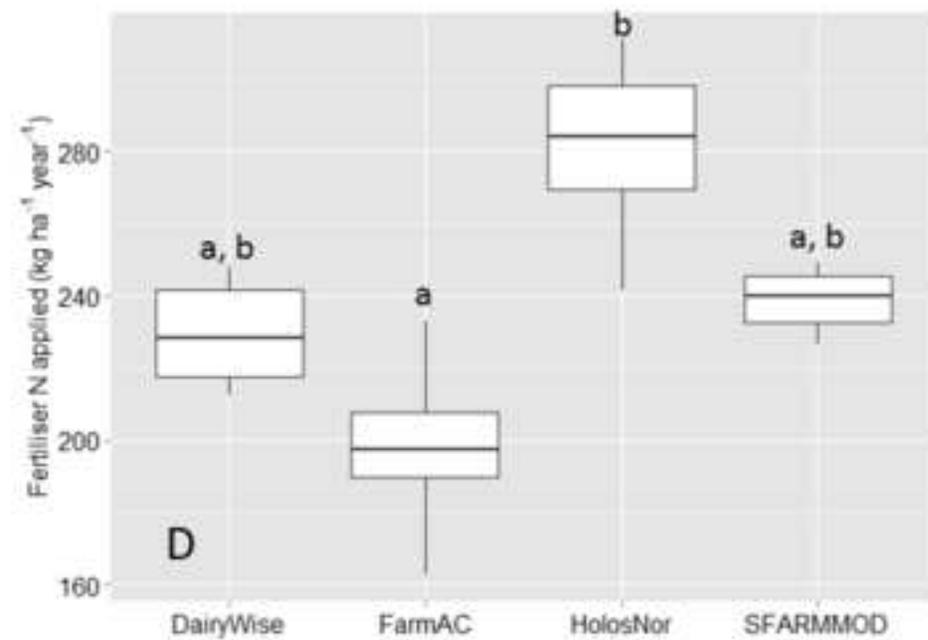
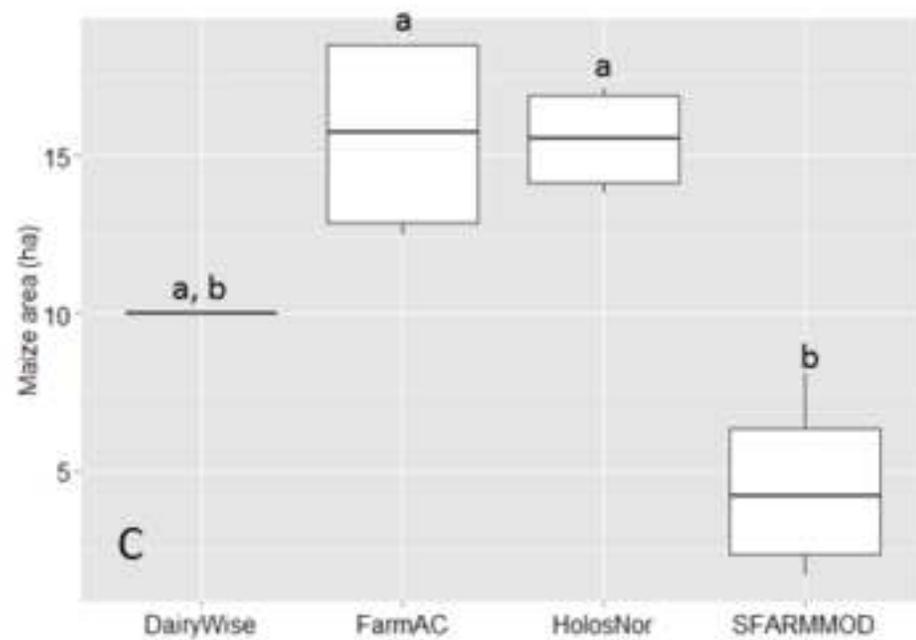
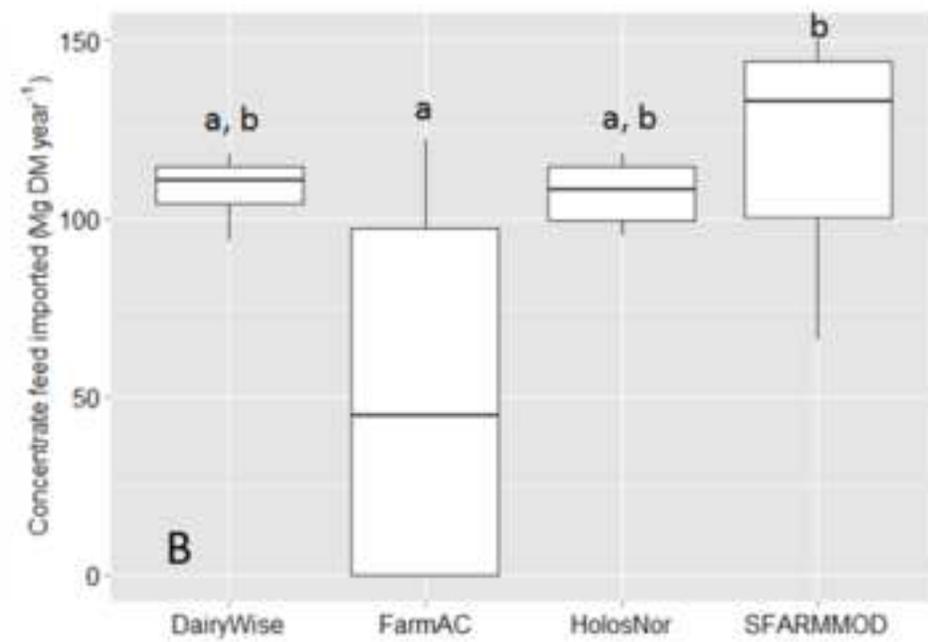
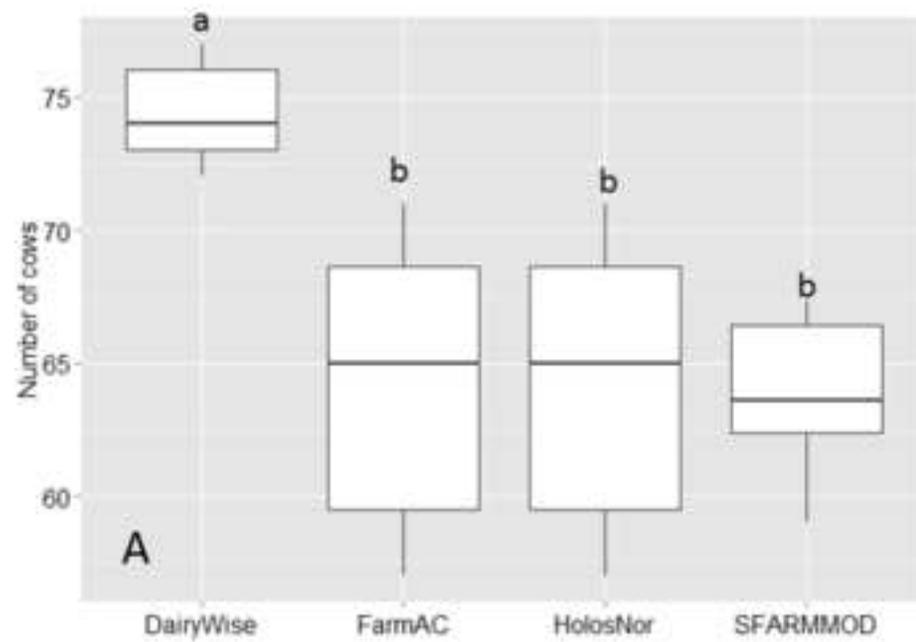
647 Direct GHG emissions; enteric CH₄ emissions (A), soil N₂O emissions (B), manure
648 CH₄ (C) and manure N₂O emissions (D) (kg CO₂e (kg ECM)⁻¹). The boxplots show
649 the data median and quartiles. Differences between models are not significantly
650 different from one another if they share the same letter.

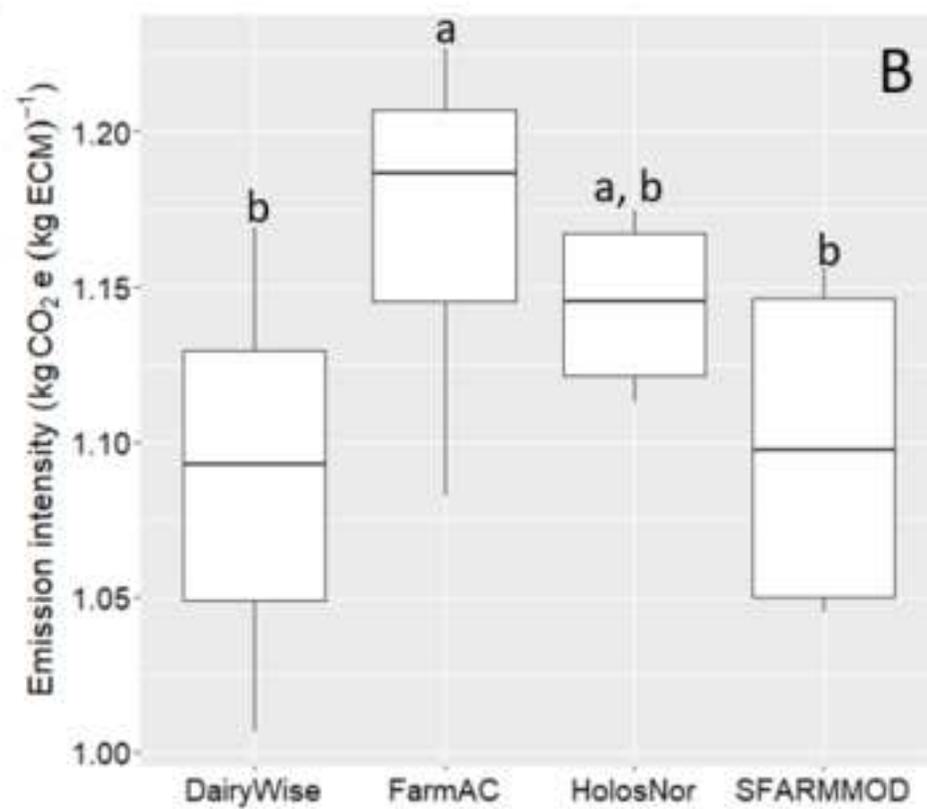
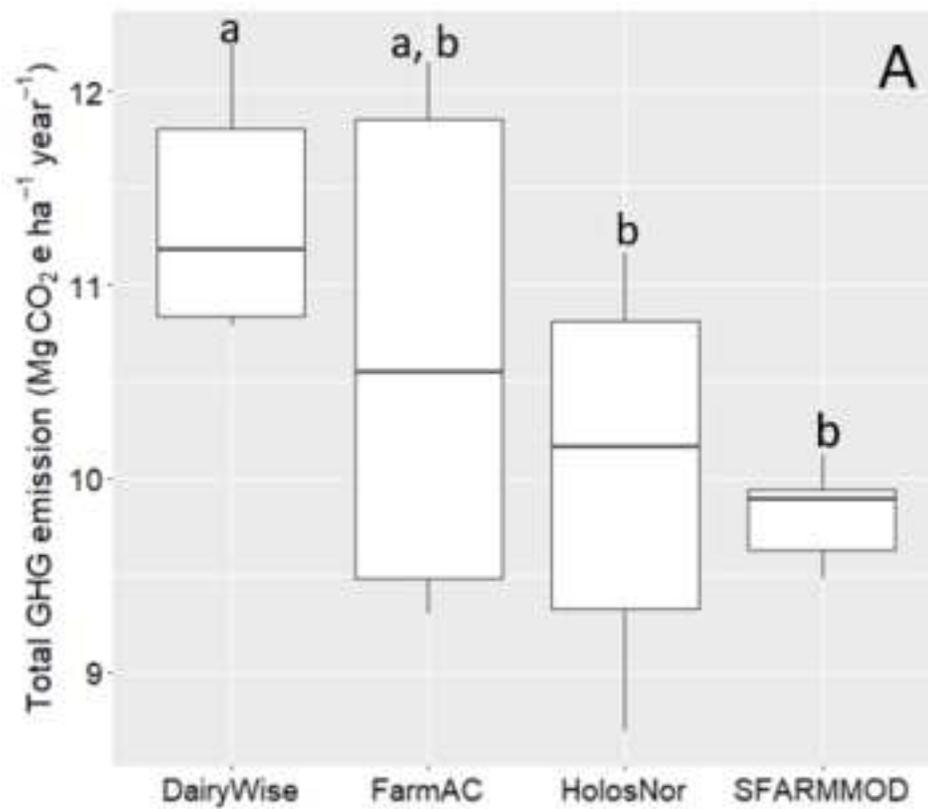
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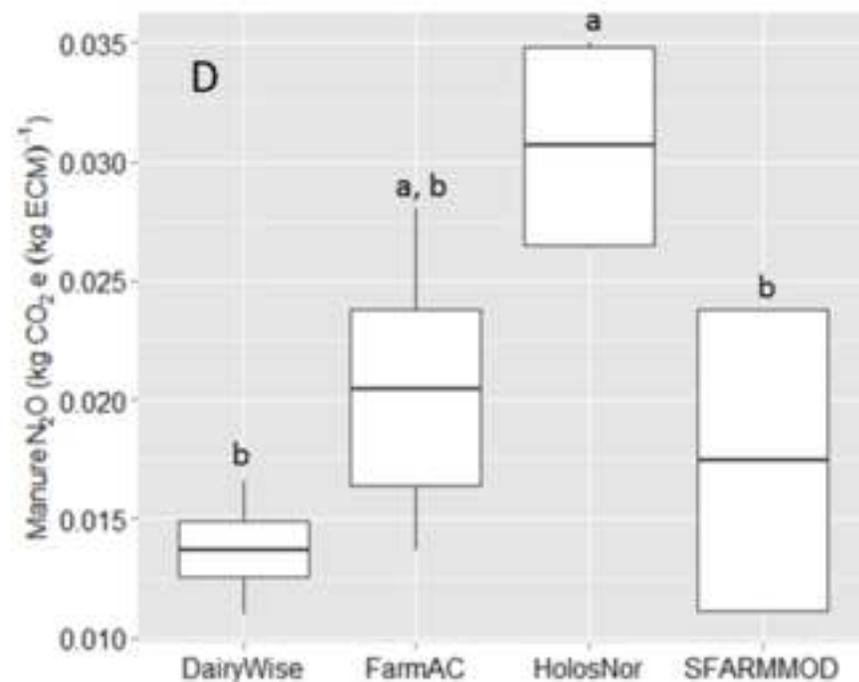
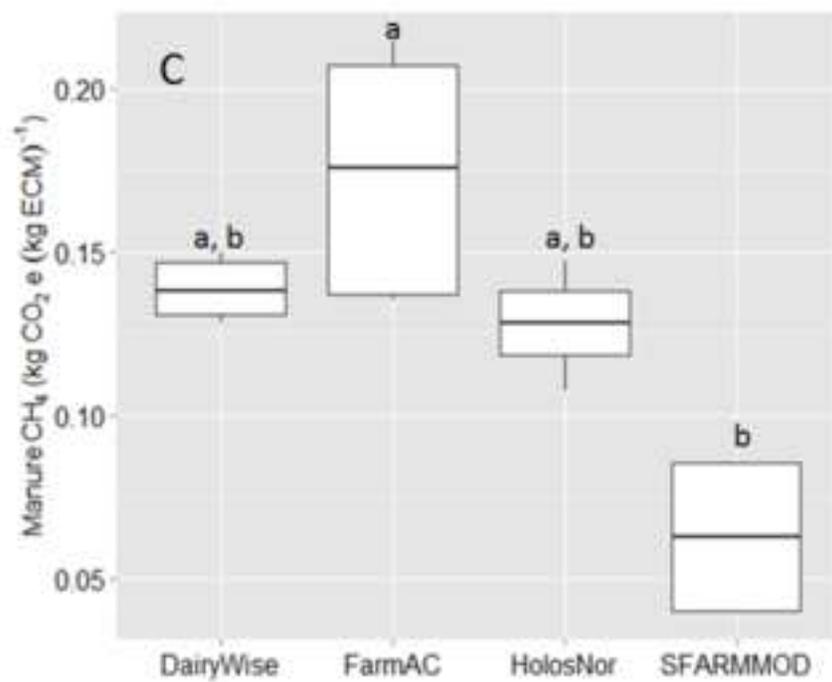
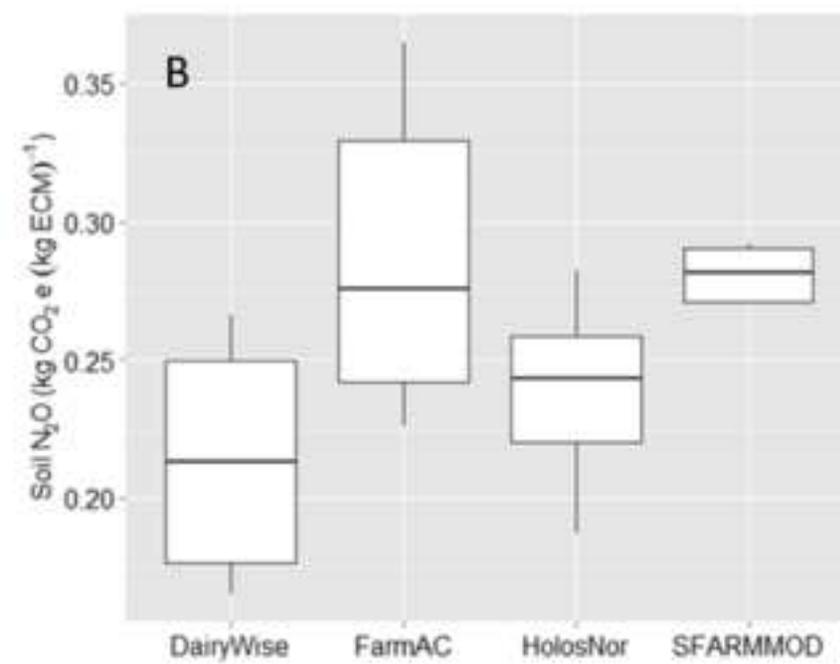
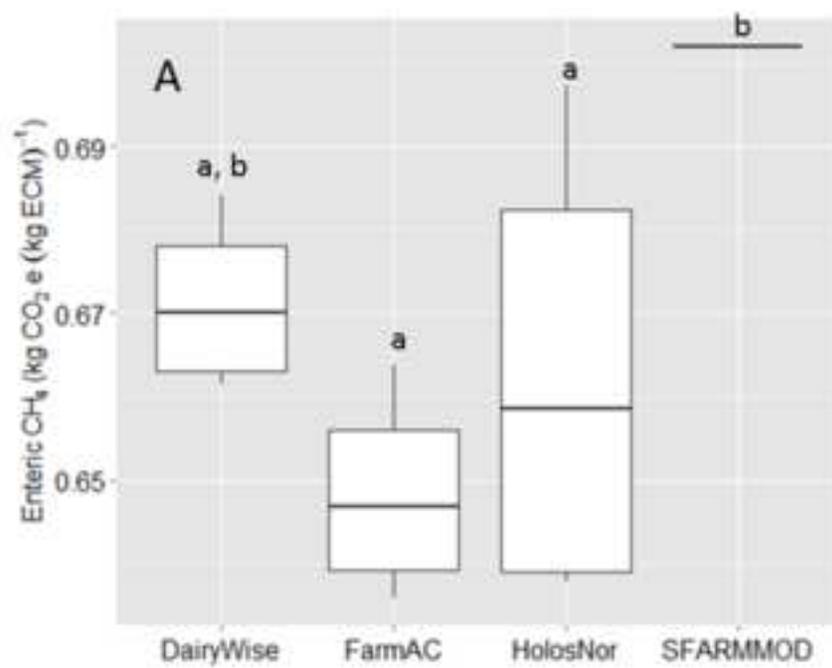
652 **Figure 4**

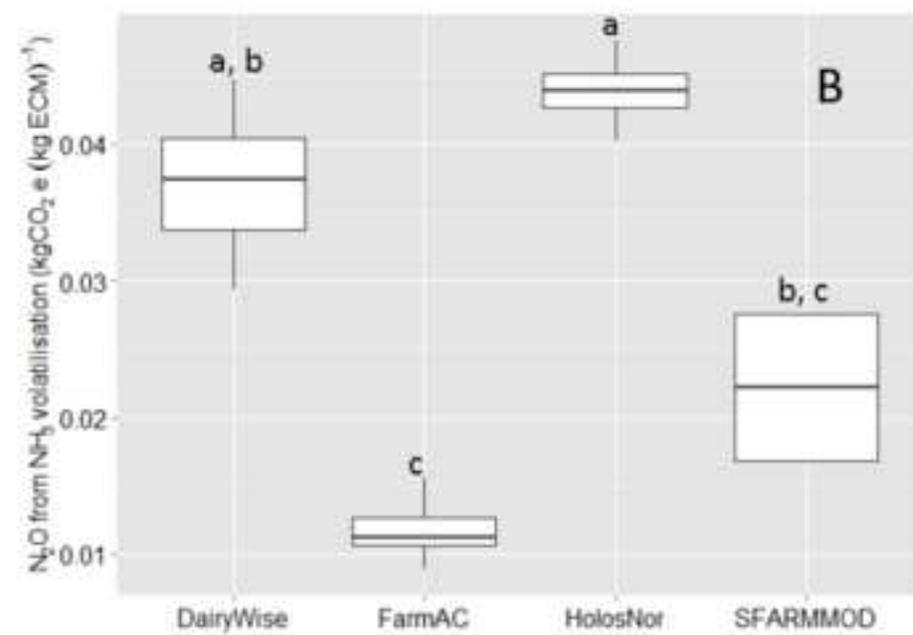
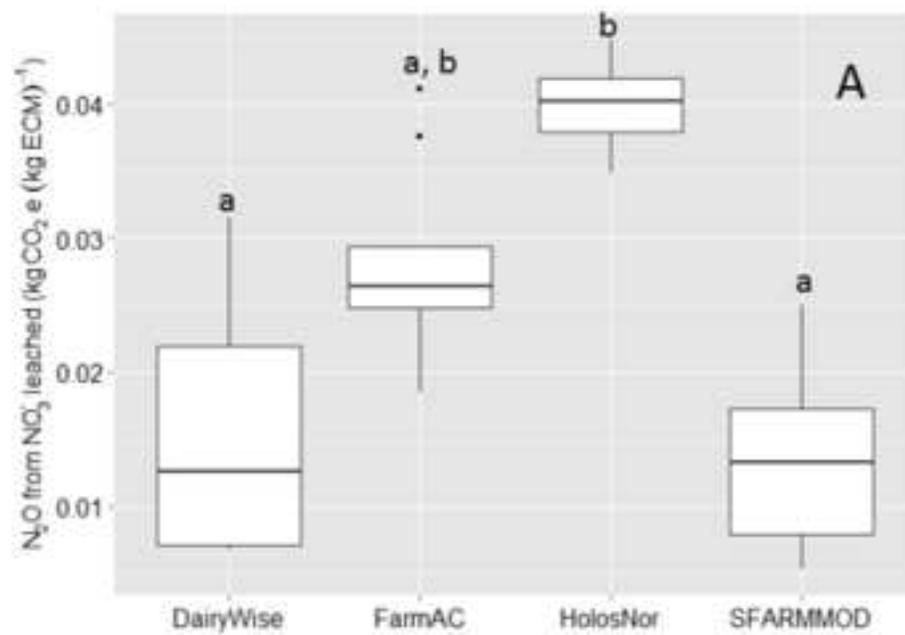
653

654 Indirect N₂O emissions resulting from leaching of NO₃⁻ (A) and from volatilisation of
655 NH₃ from manure management and field-applied manure (B) (kg CO₂e (kg ECM)⁻¹).
656 The boxplots show the data median and quartiles. Differences between models are
657 not significantly different from one another if they share the same letter.
658









How do farm models compare when estimating greenhouse gas emissions from dairy cattle production?

N.J. Hutchings, Ş . Özkan Gülzari, M. de Haan and D. Sandars

Models used

The order of the models is alphabetical, with no intention to rank them.

DairyWise

The DairyWise model includes all major subsystems of a dairy farm. The central component of DairyWise is the FeedSupply model, which meets the herd requirements for energy and protein, using home-grown feeds (grazed or cut grass, forage crops e.g. maize), maize silage and imported feed. The deficit between requirements and supply is imported as concentrates and roughage (Alem and Van Scheppingen, 1993, Schroder *et al.*, 1998, Zom *et al.*, 2002, Vellinga *et al.*, 2004, Vellinga, 2006, Schils *et al.*, 2007).

Methane (CH₄), nitrous oxide (N₂O), and carbon dioxide (CO₂) emissions are calculated in the sub-model greenhouse gas (GHG) emissions, which uses the emission factors from the Dutch emission inventories (Schils *et al.*, 2006). Methane emissions from enteric fermentation are calculated with the Tier 3 model developed by, using different emission factors for concentrate, grass products, and maize (*Zea mays* L.) silage. The emission factors used to calculate CH₄ emissions from manure storage are those used in the MITERRA model (Velthof *et al.*, 2007). Direct N₂O emissions are related to manure management, nitrogen (N) excreted during grazing, manure application, fertilizer use, crop residues, N mineralization from peat soils, grassland renewal, and biological N fixation.

The emission factors are specified according to soil type and ground water level, with generally higher emissions on organic soils and wetter soils. Indirect N₂O emissions

resulting from the partial denitrification of nitrate (NO_3^-) resulting from the oxidation of reduced N forms are calculated based on ammonia (NH_3) volatilization and NO_3^- leaching. The emissions of NH_3 volatilised are calculated separately for animal housing, manure storage and field-applied manure and fertiliser. Nitrate leaching to ground water was calculated for sandy soils according to the NO_3^- leaching model of (Vellinga *et al.*, 2001). The amount of NO_3^- leached was related to the amount of soil mineral nitrogen (SMN) to a depth of 1 meter at the end of the growing season and soil type. The ground water table determined the partitioning of SMN in NO_3^- leaching and denitrification. The lower the groundwater table, the higher the proportion of NO_3^- leaching. For grassland, a basic SMN was calculated from the difference between applied and harvested N. In the case of grazing, additional SMN was calculated from urine excretions.

FarmAC

The FarmAC model simulates the flow of carbon (C) and N on arable and livestock farms, enabling the quantification of GHG emissions, N losses to the environment and C sequestration in the soil. It was constructed as part of the EU project AnimalChange (<http://www.animalchange.eu/>). It is intended to be applicable to a wide range of farming systems across the globe. The model is parameterised separately for each agro-climatic zone.

A static livestock model is used in which the user defines the average annual number of dairy cows, heifers and calves on the farm and the feed ration (including grazed forage). Ruminant livestock production is modelled using a simplified version of the factorial energy accounting system described in CSIRO (2007). Protein supply limitations on production are simulated using an animal N balance approach. Losses of C in CO_2 and CH_4 are

simulated using apparent feed digestibility and IPCC (2006) Tier 2 methods, respectively. Carbon and N in excreta are partitioned to grazed pasture in the same proportion as grazed DM contributes to total DM intake, with the remainder partitioned to the animal housing. Tier 2 methodologies are used for simulating flows in animal housing (CO_2 and NH_3), manure storage (CO_2 , CH_4 , N_2O , N_2 and NH_3) and for N_2O , N_2 and NH_3 emissions from fields. A dynamic model is used to simulate crop production and nutrient flows in the field. The dynamics of soil C are described using the C-Tool model (Taghizadeh-Toosi et al., 2014). A simple soil water model (Olesen and Heidmann, 1990) is used to simulate soil moisture content and drainage. Soil organic N degradation follows C degradation. Mineral N is not chemically speciated. The pool of mineral N is increased by the net mineralisation of organic N and by inputs of fertiliser and manure. It is depleted by leaching, denitrification and crop uptake. The N_2O emissions associated with the modelled NH_3 volatilisation and NO_3^- leaching were calculated using IPCC (2006). Crop production is determined by a potential production rate, moderated by N and water availability. The user determines the type, amount and timing of fertiliser and manure applications to each crop.

HolosNor

HolosNor was developed as a farm-scale model to calculate the GHG emissions produced from combined dairy and beef production systems (Bonesmo *et al.*, 2013) in Norway. It is based on the Canadian Holos model (Little, 2008) utilising the IPCC methodology (IPCC, 2006) modified for Norwegian conditions. The GHGs accounted for in HolosNor are CH_4 emissions from enteric fermentation and manure, direct N_2O emissions from agricultural soils, indirect N_2O emissions resulting from NO_3^- leached, N in run-off and NH_3 volatilised.

Both direct and indirect N₂O emissions include emissions from manure and synthetic fertiliser applications in soils.

The calculations of all emissions are explained in Bonesmo *et al.* (2013) in details based on Tier 2 approach. Here, only the modification made to the model and input parameters to run the model are described. The ration consisted of grazed grass, grass silage (maize silage in the grass and maize system) grown on farm and concentrates. There was no crop production on the farm. Therefore, concentrates consisting of barley and soybean meal were purchased outside the farm. The GHG emissions associated with production of purchased concentrates were calculated from the mix of barley and soya that could provide the amount of energy and protein in the purchased concentrate (Bonesmo *et al.*, 2013). The amount of concentrates required was calculated using a regression model (Åby *et al.*, 2015) based on concentrate intake and forage requirement for different levels of milk production, as described in Volden (2013). Total net energy requirement (NE; MJ cow⁻¹ day⁻¹) was calculated based on the IPCC (2006) recommendations considering maintenance, activity, lactation and pregnancy requirements. Total NE requirement was then converted to DM by taking into account the energy density of the feeds used (6 and 6.5 MJ NE (kg DM)⁻¹ for grass and maize silages, respectively) (<http://feedstuffs.norfor.info/>). Silage requirement per cow was then calculated by multiplying the total DM requirement by the silage proportion in the ration. By dividing the total farm silage requirement by the potential DM yield given as an input parameter (but corrected for fresh weight and feeding losses), the area to grow silage was computed. The remainder area was allocated for grazing. In the maize scenario, the above and below ground N residue concentration, yield ratio, and above and below ground residue rations were adjusted according to Janzen *et al.* (2003). Methane conversion factor for the warm

climate was also adjusted according to IPCC guidelines, as the default values represented the cool climate (IPCC, 2006). In calculating the soil and weather data as one of the required input data, a 45% clayey soil for the Netherlands was found to be outside the normal variation, and therefore the clay content of 35% was applied (A. O. Skjelvåg, Ås, 2016, personal communication).

SFARMMOD

The Silsoe whole-FARM MODel is a linear programme (LP) that maximises long-run farm profit. The concept and structure of the arable farm model are described in Audsley (1981) with the mathematical structure fully described in Annetts and Audsley (2002). The latter paper details the extensions to model mixed arable and livestock systems. The main focus of the environmental burdens concerns the N cycle. Methane emissions were also included, but only from animal agriculture. Sources of information include inventories (Pain *et al.*, 1997, Sneath *et al.*, 1997, Chadwick *et al.*, 1999) and experimental data and mechanistic models (Scholefield *et al.*, 1991, Bouwman, 1996, Smith *et al.*, 1996, Chambers *et al.*, 1999, MAFF, 2000). Some could be used directly (e.g. indirect N₂O emissions associated with NH₃ volatilisation from animal houses), but others required considerable adaptation to meet the long-term needs of the LP framework (e.g. NO₃⁻ leaching) and to ensure that nutrient cycles are closed with no change in N storage in the soil (Williams *et al.*, 2002, Sandars *et al.*, 2003, Williams *et al.*, 2003). Feed is calculated by a linear programme feed ration using dry matter, energy and protein with a 2-week timestep and annual steady state. The model optimises farm cropping which includes grass (grazing and silage) and forage maize silage for the livestock feed. Concentrates

with differing energy and protein contents are available to supplement the differing forages.

Product-based emission intensity and allocation methods

The focus of the current work was the extent to which model intercomparison could contribute to quality control of estimates of GHG emissions used in connection with the ESR. The use of emission intensities expressed in $\text{kg CO}_2\text{e (kg ECM)}^{-1}$ in the main text of the paper was a device to remove the effect of differences between models in the efficiency of production at the animal level, so that other differences could be identified and investigated. However, we recognise that interest by commercial companies (Tesco PLC, 2016) and by those wishing to gain a holistic overview of the environmental impact of product mean that there may be some readers who are interested in the product-base emission intensities *per se*.

The calculation of product-based GHG emission intensities is commonly estimated using Life Cycle Analysis. Such analyses include pre- and post-farm GHG emissions. These were not included in the current study and nor were any on-farm emissions not included in the ESR. Since C sequestration in the soil could not be simulated by all the models in the study, this was excluded from the comparison (models that could simulate C sequestration were run to steady state, so there was no net change in the C sequestered in the soil).

When focussing on the product-based GHG emissions, it is common in systems in which there is more than one product to use one or more allocation methods to partition the

environmental impact between products (e.g. Casey and Holden, 2005). In the scenarios presented in the main text, there are two products; milk and meat. The choice of allocation method can have a considerable effect on the resulting emission intensity (Rice *et al.*, 2017). No allocation method was employed when calculating the values reported in the main text of this paper. This is equivalent to following FAO (2010) and considering the production of calves for female replacement as an essential feature of the milk production system. Since male calves are exported at birth, the remaining meat production is minimal. Alternatively, using protein production as an allocation criterion, the GHG emissions would be partitioned 82% to milk and 18% to meat production.

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