Optimal Integration of a Hybrid Solar-Battery Power Source into Smart Home Nanogrid with Plug-In Electric Vehicle

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Abstract

Hybrid solar-battery power source is essential in the nexus of plug-in electric vehicle (PEV), renewables, and smart building. This paper devises an optimization framework for efficient energy management and components sizing of a single smart home with home battery, PEV, and photovoltaic (PV) arrays. We seek to maximize the home economy, while satisfying home power demand and PEV driving. Based on the structure and system models of the smart home nanogrid, a convex programming (CP) problem is formulated to rapidly and efficiently optimize both the control decision and parameters of the home battery energy storage system (BESS). Considering different time horizons of optimization, home BESS prices, types and control modes of PEVs, the parameters of home BESS and electric cost are systematically investigated. Based on the developed CP control law in home to vehicle (H2V) mode and vehicle to home (V2H) mode, the home with BESS does not buy electric energy from the grid during the electric price’s peak periods.

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1. Introduction

1.1. Motivation

The present energy demand and environmental crisis has been promoting the rapid development of electric vehicles (EVs) and renewables [1, 2]. However, EVs charging activities and some renewable energy generation, such as solar and wind power, are always intermittent and volatile. Reconciling EVs and renewables to ensure optimal usage of electric power is critical for the performance and economy of smart grid [3, 4], especially when larger-scale distributed generation (DG) units and EVs are deployed [5]. As a consequence, researchers have recently focused on developing effective management and sizing techniques for integrating EVs and renewables into house loads and the grid. New material and structure of renewables devices were also reported. For example, a newly designed microfluidic architecture with a hyperflexible siliconic matrix is proposed in [6], as a polymeric cage in dye-sensitized solar cell (DSSC). A photocurable polymeric membrane is employed as quasi-solid electrolyte for both the electrochromic device and the DSSC in [7]. Moreover, a flexible integrated energy harvesting and storage system is devised in [8] by coupling DSSC and an electrical double layer supercapacitor.

Related to the recent attention given to smart grid vision, smart home nanogrids that can optimize energy consumption and lower electricity bills have also gained particular importance. The results in [9] have comprehensively demonstrated the second-life battery energy storage’s performance in solar charging, home load following, and utility demand side management for a single family home. Developing a smart home energy management system (SHEMS) and component sizing method has become a common global priority to support the trend toward a more sustainable energy supply for smart grid. One of the
key features of smart home nanogrid is the SHEMS that intelligently controls household loads through an association between smart meters, smart appliances, EVs, and home power generation and storage, etc. Besides, power source dimension is another important factor. Hence, this paper focuses on optimal energy management and sizing of a smart home nanogrid with home battery energy storage system (BESS), plug-in electric vehicle (PEV), and potovoltatic (PV) power supply.

1.2. Literature review

There is a rich literature for optimized home energy management (HEM) approaches, which can be generally categorized into mixed-integer linear programming (MILP) [10], geometric program [11], model predictive control (MPC) [12], dynamic programming (DP) [13], stochastic dynamic programming (SDP) [14]. The optimal operation of a smart household with a PV, a home battery bank, and an EV with vehicle to home (V2H) option is considered through solving a MILP in [15]. A MILP model of the HEM structure is established in [16] to investigate a joint evaluation of a dynamic pricing and peak power limiting based demand response (DR) strategy, with a bi-directional utilization of EV and energy storage system. An optimal day-ahead household appliances scheduling is developed in [17] under hourly pricing and peak power-limiting based DR strategies, where thermostatically and non-thermostatically controllable loads are explicitly modeled using MILP. In addition, the optimal operation of a smart neighborhood, in terms of minimizing the total energy procurement cost, is analyzed using MILP by considering all possible bi-directional power flows in [18]. A MILP model of home energy management system (HEMS), as well as a wavelet transform (WT)-artificial neural network (ANN) forecasting of residential loads, is described in [19] for different price signals. A MILP-based DR strategy with end-user comfort violation minimization is synthesized for residential heating, ventilation, and air conditioning (HVAC) units in [20]. Considering DR, sizing of PV and energy storage system applied in smart households is assessed with HEM modeling in a MILP framework in [21]. It is clear that MILP
has been widely adopted for either creating efficient operational schedules for HEM or sizing of component. However, few studies exploring HEM MILP models considered optimal component size and control strategy simultaneously. A new effective tool, convex programming (CP), which can rapidly and efficiently optimize both management strategy and parameters, has also been applied by some researchers in the energy management field.

Due to the significant advantage of CP in computational efficiency, CP is gaining growing popularity in energy management of energy systems. The problem of integrating residential PV power generation and storage systems into the smart grid is addressed in [22] for simultaneous peak power shaving and total electricity cost minimization over a billing period, where a convex optimization problem is formulated and solved. A renewable energy buying-back scheme with dynamic pricing to achieve the goal of energy efficiency for smart grids is modeled as a convex problem in [23], which can significantly reduce peak time loading and efficiently balance system energy distribution. Based on convex objectives and constraints of a grid-tied PV storage system, an optimization problem to obtain a control schedule for storage units is solved by CVX in [24]. Based on the objective of reduction of the substation transformer losses, cost saving of energy delivered from the grid, and reduction of the impact on the life-cycle cost of the BESS, a convex optimization approach to schedule charging and discharging of the lithium-ion-based BESS in a distribution feeder with penetration of renewables is discussed in [25]. To assess optimal residential DR in a distribution network, a CP problem is formulated to minimize electricity payment and waiting time under real-time pricing for a multiagent system in [26]. A novel convex quadratic objective function for active power management of plug-in hybrid electric vehicles (PHEVs) is proposed in [27] for minimizing energy loss of microgrid, where the convexity of the proposed method leads to a fast, precise solution facilitating real-time dispatch. Given the price information, a versatile CP framework for the load management of various household appliances, in order to support DR through energy management system (EMS) in a single smart home, is constructed in [28]. To perform effective storage
control based on the predictions of PV power generation and load power consumption. [29] splits a residential storage control algorithm into two tiers: the global control tier and the local control tier. The global tier, which is performed to globally plan future discharging/charging schemes of the storage system, is formulated and solved by convex optimization at each decision epoch. It is also mentioned in [29] that finding the optimal sizes of the PV module and storage module with a given budget is possible, but not elaborated.

A number of efforts has probed energy management of smart grid with renewables. Few studies, however, consider optimal component size and control strategy simultaneously. CP has been successfully applied to simultaneously optimize the component size and energy controller for hybrid vehicles [30, 31, 32, 33]. In [31], for example, the optimal sizes of the battery pack and fuel cell system, as well as power management strategy, are optimally determined by CP. In this paper, CP is, for the first time, extended to rapidly and efficiently optimize both HEM strategy and sizes of home BESS of a single smart home with both PEV and PV arrays.

1.3. Contributions

To overcome the downsides of the previous studies, this paper delivers three key contributions to the literature. First, CP is leveraged to rapidly and efficiently optimize both the control decision and parameters of the home BESS in the smart home with PEV and PV arrays. To the best knowledge of the authors, this is the first study on the CP-driven joint optimization of control strategy and component size of the home BESS with the participation of PEV and PV arrays. Second, based on different time horizons of optimization, home BESS prices, types and control modes of PEV, we attain the optimal parameters of the home BESS and electric cost. In contrast to the total electric cost of a home without home BESS, the usefulness of home battery energy storage to increase the home economy is systematically evaluated. Finally, using the CP control law in home to vehicle (H2V) mode and vehicle to home (V2H) mode demonstrates that the home with BESS does not buy electric energy from the
grid during the peak periods of electric tariff.

1.4. Outline of paper

The remainder of the paper proceeds as follows. Section 2 details the system structure and models of the smart home nanogrid. The CP problem is formalized in Section 3. The optimization results are discussed in Section 4, followed by conclusions summarized in Section 5.

2. Structure and models

2.1. Smart home nanogrid structure

We consider a single smart home as shown in Fig. 1, including a PEV battery, solar panels, a home BESS, home equipments, the utility grid, and a SHEMS. The SHEMS communicates with home battery management system (BMS), home appliances, the PEV BMS, and solar panels. The PEV battery is designed to allow both bidirectional and unidirectional power flow. The home battery is designed to allow bidirectional power flow. The SHEMS is also utilized to manage the power flow among the PEV battery, home appliances, PV arrays, the home battery, and the utility grid.

2.2. System model

The power balance equation of the smart home nanogrid is

\[ P_{\text{grid},k} = P_{\text{dem},k} + P_{b,k} + P_{\text{evc},k}S_k - P_{\text{pv},k}, \quad k = 0, ..., N - 1, \]

(1)

\[ 0 \leq P_{\text{grid},k} \leq P_{\text{max}} \]  

(2)

\[ S_k = \begin{cases} 
0 & \text{for } t_d \leq k \leq t_a \\
1 & \text{otherwise},
\end{cases} \]

(3)

where we assume \( P_{\text{grid},k} \geq 0 \), which means that the house is not permitted to supply power to the grid. Variable \( S_k \) denotes the PEV state at time \( k \), i.e.,
plugged-in \((S_k = 1)\) or plugged-out \((S_k = 0)\) [34, 35]. In this work, we assume that the PEV plugs-out and plugs-in once a day.

The controller also must maintain PEV battery energy and power within simple bounds [36]. The dynamics and constraints of the PEV battery are given by

\[
E_{ev,k+1} = E_{ev,k} + \Delta t(P_{evc,k} - \eta_{evc}|P_{evc,k}|), \quad k = 0, \ldots, N - 1, \quad (4)
\]

\[
E_{ev,0} = E_{ev,init}, \quad (5)
\]

\[
E_{plug-out}^{ev} = SOC_{ev}^{max} Q_{ev,eap}, \quad (6)
\]

\[
E_{plug-in}^{ev} = SOC_{ev}^{max} Q_{ev,eap} - E_{dr}, \quad (7)
\]

\[
E_{dr} = 0.4Q_{evc,eap}, \quad k = 0, \ldots, N, \quad (8)
\]

\[
Q_{evc,eap} SOC_{ev}^{min} \leq E_{ev,k} \leq Q_{evc,eap} SOC_{ev}^{max}, \quad k = 0, \ldots, N, \quad (9)
\]

\[
P_{evc}^{min} \leq P_{evc,k} \leq P_{evc}^{max}, \quad k = 0, \ldots, N - 1, \quad (10)
\]

where we assume \(E_{dr}\) is \(0.4Q_{evc,eap}\) [37], and the charge power of the PEV battery is positive, by convention.

Likewise, the controller also must maintain home battery energy and power
within allowable bounds, and its dynamics are depicted by

\[ E_{b,k+1} = E_{b,k} + \Delta t(P_{b,k} - \eta_k|P_{b,k}|), \quad k = 0, \ldots, N - 1, \]  

\[ E_{b,0} = E_{b,\text{init}}, \]  

\[ Q_{b,\text{cap}}SOC_{b}^{\text{min}} \leq E_{b,k} \leq Q_{b,\text{cap}}SOC_{b}^{\text{max}}, \quad k = 0, \ldots, N, \]  

\[ -P_{b}^{\text{max}} \leq P_{b,k} \leq P_{b}^{\text{max}}, \quad k = 0, \ldots, N - 1, \]

where the charge power is assumed to be positive, by convention.

3. Optimization problem formulation

This section presents the CP approach used for solving the optimal parameters design and power management problem for the smart home nanogrid. A standard CP problem is formulated as

\[
\begin{align*}
\text{minimize} & \quad F(x) \\
\text{s. t.} & \quad f_i(x) \leq 0, \quad i = 1, \ldots, p, \\
& \quad h_j(x) = 0, \quad j = 1, \ldots, q, \\
& \quad x \in Z
\end{align*}
\]

where \( Z \in \mathbb{R}^n \) is a convex set, \( F(x) \) and \( f_i(x) \) are convex functions, and \( h_j(x) \) are affine functions of optimization vector \( x \). The theoretical and algorithmic aspects of CP are detailed in [38]. The convex objective function \( F(x) \), which is of great interest to the home owner, is formulated to minimize a summation of the total electric energy cost in the time horizon of optimization and the home BESS cost, for which we mainly consider the battery cost and charger cost:

\[ F = C_{ny} + c_bQ_{b,\text{cap}} + c_cP_{b}^{\text{max}}, \]

where for simplicity, we assume that the total electric energy cost is the same in every year. As a result, we can deduce \( C_{ny} \) as follows:

\[ C_{ny} = n \sum_{k=0}^{N-1} c_{c,k}P_{grid,k}/100, \]
It is easy to see that the objective function $F$ is linear, which is convex. The optimization variables include the state variables $E_{ev,k}$ and $E_{b,k}$, the control variables $P_{evc,k}$ and $P_{b,k}$, and the optimal design parameters $Q_{b,eap}$ and $P_{b}^{\text{max}}$. The constraints are the home power balance (1), the PEV battery constraints (4)-(10), the home battery constraints (11)-(14), and the grid limits (2). The inequality constraint functions include Eqns (2), (9), (10), (13), and (14), which are linear and thus convex. The equality constraint functions include Eqns (1), (4)-(8), (11), and (12). Obviously, Eqns (1), (5)-(8), and (12) are linear and affine. However, Eqns (4) and (11) are absolute function, which are not affine. In a standard convex optimization problem, only affine equality constraints are tolerated. The total original problem is not a convex problem, due to the absolute equality constraints, which is essentially nonlinear. However, relaxing (4) and (11) to inequalities gives a convex problem without qualitatively altering the original problem as follows:

$$E_{ev,k+1} \leq E_{ev,k} + \Delta t(P_{evc,k} - \eta_{evc}|P_{evc,k}|), \quad k = 0, \ldots, N - 1.$$  

(18)

$$E_{b,k+1} \leq E_{b,k} + \Delta t(P_{b,k} - \eta_{b}|P_{b,k}|), \quad k = 0, \ldots, N - 1.$$  

(19)

Now, Eqn (18) and (19) are absolute inequalities, which are convex, enabling the problem to become a convex problem. A tool, CVX [38], is employed to parse the optimization problem, inducing a semi-definite program that can be efficiently solved by SeDuMi (Self-Dual-Minimization) [39]. It should be underlined that thanks to the convexity, a globally optimal solution with arbitrary initialization can be readily accomplished.

4. Results & discussion

4.1. System parameters

This section analyses the properties of the proposed CP approach. The key parameters of the smart home are listed in Table 2. All the simulations were run on a PC with a 2.50 GHz Intel Core i5-2450M CPU and 4 GB of internal memory. Thanks to the mentioned advantages of the proposed method, the CP
The hourly home load data and PV power supply data on each day and average from a single family home in California, US [40] are shown in Fig. 2-(a) and (b). The collected data corresponds to date range from 2014-01-01 to 2014-12-31. The hourly home load demand varies from 0.25 kW to 4.58 kW. The peak loads always happen from 7:00-15:00 and 18:00-1:00. The hourly PV power supply varies from 0 to 2.81 kW. It is easily observed that the PV power supply is centralized from 9:00 to 15:00 and sometimes more than the instantaneous home load demand. Referring to Pacific Gas and Electric Company’s (PG&E) special EV rate plans for residential customers, they are non-tiered, time-of-use plans as shown in Fig. 2-(c) [41]. The electric price is lowest (10 cents/kWh) from 23:00 to 7:00 when the demand is lowest. Electricity is more expensive during Peak (43 cents/kWh, 14:00-21:00) and Partial-Peak (22 cents/kWh, 7:00-14:00 and 21:00 to 23:00) periods. Fig. 2-(d) plots the state of the PEV. The PEV plugs-out from 7:00 to 20:00 (not at home) and plugs-in from 20:00 to 7:00 (at home). It is obvious that the house sells electric energy to the grid with Partial-Peak electric price and buys it with peak electric price. If there is a home BESS, users can not only store the redundant PV power, but also buy electric energy with low price for the use of high price time. The home BESS can not only reduce household electric energy costs, but also supply back-up electric energy to the house during lacking of electric power because of blackout.

4.2. System parameters optimization

Based on the historical home load demand and PV power generation data, as well as the hourly time-varying electric price and state of PEV, the optimal parameters of home BESS and energy management strategy can be procured via CP. In light of the report of Avicenne Energy, the worldwide battery price might vary from 60 $/kWh to 203 $/kWh in 2020 [42]. Considering different
time horizons of optimization, home BESS prices, different control modes of
PEV, the parameters of home BESS can be explored, as well as the total cost.
First, we consider that the owner has a Nissan Leaf with 24 kWh battery that
cannot discharge power to the home. Independently of the time horizon of
optimization (1 to 10), battery price (60 $/kWh to 203 $/kWh), and charger
price (1000 $/kW) [43], the maximum power $P_{b_{\text{max}}}$ maintains constant, equals
to 2.26 kW. The reason for this result may be due to the constraint of Eqn
(2), not permitting power supply to the grid. The optimal values of battery
energy capacity $Q_{b_{\text{cap}}}$ are shown in Fig. 3-(a). The battery energy capacity is
augmented as the optimization time horizon increases. The total electric costs
with/without home BESS for different time horizons of optimization are also
shown in Fig. 3-(b).

Given the battery price and charger price of 100 $/kWh and 1000 $/kW,
as well as different time horizons, the optimal values of home battery energy
capacity $Q_{b,cap}$, and electric cost are shown in Table 3, where $F_e$, $F$, $F_{noB}$, and $F_{diff}$ are the electric cost for one year with home BESS, the total cost with BESS in $n$ years, the electric cost without BESS, and the cost difference between the cases with and without BESS in $n$ years, respectively. The home battery energy capacity increases as the time horizon becomes larger. The total cost $F$ of the house with home BESS is larger than that in the case of the house without home BESS, when the time horizon is less than 5 years. However, when the time horizon is 5 years, the house with home BESS, for instance, can save 487 $. The cost savings become more significant with increased time horizons. If we assume a home battery life to be 5 years \cite{11}, the optimal value of home battery energy capacity that we consider is 17 kWh, and the cost of home BESS is 3960 $. With home BESS, the electric energy cost in one year is 1382 $, whereas without the BESS, the counterpart is 2271.3 $. The associated reduction reaches up to around 39.2%.

Figure 3: Battery energy capacity and total electric cost, given different time horizons and battery prices.
4.3. Optimal results based on different types and control modes of PEV

This subsection presents the resulting CP control law simulated on smart home with PEVs manufactured by different companies, including Nissan Leaf, Tesla Mode S, BYD E6, Chevrolet Volt, and Toyota Pruis. Here we assume that the time horizon of optimization is 6 years, and the home battery price and charger price are 100 $/kWh and 1000 $/kW. Two control modes of PEV are considered, i.e., H2V and V2H modes. In H2V mode, the PEV battery cannot supply power to the house, \( 0 \leq P_{evc,k} \leq P^\text{max}_{evc} \). In V2H mode, the PEV battery can supply power to the house, \( -P^\text{max}_{evc} \leq P_{evc,k} \leq P^\text{max}_{evc} \).

Considering different types of PEVs (with different battery energy capacities and chargers), the optimal parameters of home BESS \( Q_{b,\text{cap}} \) and \( P_{b,\text{max}} \), and the total cost are shown in Table 4. In H2V mode and V2H mode, independently of the types of PEVs, the maximum power \( P_{b,\text{max}} \) keeps constant, equal to 2.26 kW. In H2V mode, the optimal value of home battery energy capacity \( Q_{b,\text{cap}} \) is not affected by the EV battery energy capacity. In V2H mode, the optimal values of home battery energy capacity \( Q_{b,\text{cap}} \) is affected by the EV battery energy capacity, but the influence is very small, i.e., \( 15.8 \text{ kWh} \leq Q_{b,\text{cap}} \leq 16.7 \text{ kWh} \).

With/without home BESS, the total cost in V2H mode is less than that in H2V mode. For the same type PEV with the same control mode, the total cost with home BESS is less than that without home BESS.

4.4. Example of energy management strategy

This subsection presents the resulting CP control law in a smart home with a Nissan Leaf, simulated on two different operating modes, including H2V mode and V2H mode. The hourly power allocation over two days is described in Fig. 4, including the hourly home power demand \( (P_{dem}) \), the PV power generation \( (P_{PV}) \), the home battery power \( (P_{b}) \), the PEV battery power \( (P_{evc}) \), and the electric power from the grid \( (P_{grid}) \). In both H2V and V2H modes, it is evident that the majority of the home battery charging occurs during the low electricity price period: 24:00-7:00 and high PV power supply period: 10:00-15:00. Most of
the home battery discharging happens during the high electricity price period: 14:00-23:00. The majority of the PEV battery charging occurs during the low electricity price period: 23:00-7:00. In V2H mode, the PEV discharging power to the house appears during the high electricity price period and large home power demand: 21:00-23:00. The electric power from the grid is zero during the period: 8:00-23:00 in V2H mode. The electric power from the grid is zero during the period: 8:00-21:00 in H2V mode. In summary, in both H2V and V2H modes, the home does not buy electric energy from the grid during the peak periods of electric price.

Figure 4: CP-optimized power allocation in two-day simulation.

In H2V and V2H modes, energy trajectories of both home and PEV batteries are illustrated in Fig. 5. The home battery energy in H2V mode is always higher than that in the V2H mode. When the PEV plugs-in, the PEV battery energy in H2V mode is higher than that in the V2H mode. In the course of PEV plugging-out, the PEV battery energy always equal to $SOC_{ev}^{max}Q_{ev,eap}$, because of the constraints Equ.(6).
To demonstrate the potential economic benefits of the smart home nanogrid, we analyse the electric energy cost in a comparative fashion. The hourly electric energy cost for two days are shown in Fig. 6, including the cost of home power demand, the earned money of PV generation, the earned money of home battery, the cost of PEV battery charging, and the total electric cost. The two-day electric energy cost of home power demand is 13.90 $, and the two-day earned money of PV generation is 6.02 $. The two-day earned money of home battery is 4.62 $ in H2V mode and 4.22 $ in V2H mode. The two-day cost of PEV battery charging is 2.13 $ in H2V mode and 1.59 $ in V2H mode. The two-day total electricity cost is 5.39 $ in H2V mode and 5.25 $ in V2H mode. Therefore, the total electric cost in V2H mode is 2.6 % lower than that in H2V mode.

5. Conclusions

This paper develops a CP framework for optimal energy management and component sizing of a hybrid solar-battery power source for smart home nanogrid
with PEV load. The CP problem is mathematically formulated to optimize the electric power allocation among the PEV battery, home battery, home power demand, PV arrays, and utility grid. At the same time, the CP strategy explicitly takes into account the optimization of home BESS’s parameters. Different time horizons of optimization, home battery prices, types and control modes of PEVs are also considered in extensive simulation campaigns.

Results substantiate that the developed CP method can efficiently solve the optimization problem, and the home BESS, accounting for a suitable time horizon of optimization, contributes to significant operational cost savings, in contrast to the option without home BESS. Further, it is found that the total electric cost in V2H mode (with bidirectional PEV-to-home/home-to-PEV power flow) is 2.6 % lower than that in H2V mode (with unidirectional home-to-PEV power flow).

The future work could incorporate more likely uncertainties into the optimization framework, regarding the house power demand, time-varying elec-
tricity price, renewable power generation, the plug-in/plug-out state of PEV, etc.

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<table>
<thead>
<tr>
<th>Symbol</th>
<th>Definition</th>
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<tbody>
<tr>
<td>$c_b$</td>
<td>home battery price per kiloWatt-hour [$/kWh]</td>
</tr>
<tr>
<td>$c_c$</td>
<td>charger price per kiloWatt [$/kW]</td>
</tr>
<tr>
<td>$c_{e,k}$</td>
<td>electricity price [cents/kWh]</td>
</tr>
<tr>
<td>$C_{ny}$</td>
<td>$n$-year total electricity cost [$]</td>
</tr>
<tr>
<td>$E_{ev,k}$</td>
<td>energy of PEV battery [kWh]</td>
</tr>
<tr>
<td>$E_{ev,init}$</td>
<td>initial PEV battery energy [kWh]</td>
</tr>
<tr>
<td>$E_{plug-out}^{ev}$</td>
<td>energy of PEV battery when the vehicle plugging-out [kWh]</td>
</tr>
<tr>
<td>$E_{plug-in}^{ev}$</td>
<td>energy of PEV battery when the vehicle plugging-in [kWh]</td>
</tr>
<tr>
<td>$E_{dr}$</td>
<td>consumed energy for driving in a whole day [kWh]</td>
</tr>
<tr>
<td>$E_{b,k}$</td>
<td>energy of home battery [kWh]</td>
</tr>
<tr>
<td>$E_{b,init}$</td>
<td>initial home battery energy [kWh]</td>
</tr>
<tr>
<td>$k$</td>
<td>time index</td>
</tr>
<tr>
<td>$N$</td>
<td>final time step of one year</td>
</tr>
<tr>
<td>$n$</td>
<td>time horizon of optimization [year]</td>
</tr>
<tr>
<td>$P_{grid,k}$</td>
<td>electric power from the grid [kW]</td>
</tr>
<tr>
<td>$P_{dem,k}$</td>
<td>electric load demand of the house [kW]</td>
</tr>
<tr>
<td>$P_{b,k}$</td>
<td>electric power of home battery [kW]</td>
</tr>
<tr>
<td>$P_{evc,k}$</td>
<td>electric power of PEV battery [kW]</td>
</tr>
<tr>
<td>$P_{pv,k}$</td>
<td>power supply of PV arrays [kW]</td>
</tr>
<tr>
<td>$P_{max}^{grid}$</td>
<td>maximal power from the grid [kW]</td>
</tr>
<tr>
<td>$P_{min}^{evc}$</td>
<td>PEV battery’s minimal power [kW]</td>
</tr>
<tr>
<td>$P_{max}^{evc}$</td>
<td>PEV battery’s maximal power [kW]</td>
</tr>
<tr>
<td>$P_{b}^{max}$</td>
<td>home battery’s maximal power [kW]</td>
</tr>
<tr>
<td>$Q_{evc,\text{cap}}$</td>
<td>energy capacity of the PEV battery [kWh]</td>
</tr>
<tr>
<td>$Q_{b,\text{cap}}$</td>
<td>energy capacity of the home battery [kWh]</td>
</tr>
<tr>
<td>$S_k$</td>
<td>PEV state at time $k$</td>
</tr>
<tr>
<td>$t_d$</td>
<td>plugging-out time</td>
</tr>
<tr>
<td>$t_a$</td>
<td>plugging-in time</td>
</tr>
<tr>
<td>$SOC_{ev}^{\text{min}}$</td>
<td>PEV battery’s minimal SOC</td>
</tr>
<tr>
<td>$SOC_{ev}^{\text{max}}$</td>
<td>PEV battery’s maximal SOC</td>
</tr>
<tr>
<td>$SOC_{b}^{\text{min}}$</td>
<td>home battery’s minimal SOC</td>
</tr>
<tr>
<td>$SOC_{b}^{\text{max}}$</td>
<td>home battery’s maximal SOC</td>
</tr>
<tr>
<td>$\Delta t$</td>
<td>time-step [h]</td>
</tr>
<tr>
<td>$\eta_{evc}$</td>
<td>lost efficiency of PEV battery</td>
</tr>
<tr>
<td>$\eta_b$</td>
<td>lost efficiency of home battery</td>
</tr>
</tbody>
</table>
Table 2: Key parameters.

<table>
<thead>
<tr>
<th>Parameter Description</th>
<th>Symbol</th>
<th>Value</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step time</td>
<td>$\Delta t$</td>
<td>1</td>
<td>hour</td>
</tr>
<tr>
<td>Maximum PEV battery SOC</td>
<td>$SOC_{ev}^{max}$</td>
<td>0.90</td>
<td>-</td>
</tr>
<tr>
<td>Minimum PEV battery SOC</td>
<td>$SOC_{ev}^{min}$</td>
<td>0.20</td>
<td>-</td>
</tr>
<tr>
<td>Maximum home battery SOC</td>
<td>$SOC_b^{max}$</td>
<td>0.90</td>
<td>-</td>
</tr>
<tr>
<td>Minimum home battery SOC</td>
<td>$SOC_b^{min}$</td>
<td>0.20</td>
<td>-</td>
</tr>
<tr>
<td>PEV plugging-out time</td>
<td>$t_d$</td>
<td>7:00 AM</td>
<td>-</td>
</tr>
<tr>
<td>PEV plugging-in time</td>
<td>$t_a$</td>
<td>8:00 PM</td>
<td>-</td>
</tr>
<tr>
<td>Lost efficiency</td>
<td>$\eta_{evc} / \eta_b$</td>
<td>0.10</td>
<td></td>
</tr>
<tr>
<td>Maximum power from grid</td>
<td>$P_{grid}^{max}$</td>
<td>10</td>
<td>kW</td>
</tr>
</tbody>
</table>

Table 3: Optimal value ($c_b=100$ $$/kWh and c_e=1000$$$/kW).

<table>
<thead>
<tr>
<th>$n$/year</th>
<th>$Q_{b, cap}$/kWh</th>
<th>$F_e$/</th>
<th>$F$/</th>
<th>$F_{noB}$/</th>
<th>$F_{diff}$/</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1.75</td>
<td>2330.9</td>
<td>4765.8</td>
<td>2271.3</td>
<td>2494.5</td>
</tr>
<tr>
<td>2</td>
<td>11.90</td>
<td>1554.6</td>
<td>6558.7</td>
<td>4542.7</td>
<td>2016</td>
</tr>
<tr>
<td>3</td>
<td>14.49</td>
<td>1448.8</td>
<td>8055.1</td>
<td>6814.0</td>
<td>1241.1</td>
</tr>
<tr>
<td>4</td>
<td>16.03</td>
<td>1403.6</td>
<td>9477.2</td>
<td>9085.4</td>
<td>391.8</td>
</tr>
<tr>
<td>5</td>
<td>16.97</td>
<td>1382.0</td>
<td>10870</td>
<td>11357</td>
<td>-487</td>
</tr>
<tr>
<td>6</td>
<td>17.85</td>
<td>1366.2</td>
<td>12243</td>
<td>13682</td>
<td>-1439</td>
</tr>
<tr>
<td>7</td>
<td>18.56</td>
<td>1355.3</td>
<td>13603</td>
<td>15899</td>
<td>-2296</td>
</tr>
<tr>
<td>8</td>
<td>19.06</td>
<td>1348.5</td>
<td>14954</td>
<td>18171</td>
<td>-3217</td>
</tr>
<tr>
<td>9</td>
<td>19.53</td>
<td>1343.0</td>
<td>16300</td>
<td>20442</td>
<td>-4142</td>
</tr>
<tr>
<td>10</td>
<td>20.25</td>
<td>1335.5</td>
<td>17640</td>
<td>22713</td>
<td>-5073</td>
</tr>
</tbody>
</table>
Table 4: Optimal values of home battery energy capacity for different types of PEVs.

<table>
<thead>
<tr>
<th></th>
<th>Leaf</th>
<th>Mode S</th>
<th>E6</th>
<th>Volt</th>
<th>Pruis</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Q_{evc, eap}$ (kWh)</td>
<td>24</td>
<td>85</td>
<td>82</td>
<td>16</td>
<td>5.2</td>
</tr>
<tr>
<td>$P_{evc}^{max}$ (kW)</td>
<td>3.6</td>
<td>10</td>
<td>10</td>
<td>3.6</td>
<td>3.6</td>
</tr>
<tr>
<td>$Q_{b, eap}$ in H2V mode (kWh)</td>
<td>17.85</td>
<td>17.85</td>
<td>17.85</td>
<td>17.85</td>
<td>17.85</td>
</tr>
<tr>
<td>$Q_{b, eap}$ in V2H mode (kWh)</td>
<td>15.9</td>
<td>15.84</td>
<td>15.84</td>
<td>15.98</td>
<td>16.69</td>
</tr>
<tr>
<td>$P_{b, max}$ in H2V mode (kW)</td>
<td>2.26</td>
<td>2.26</td>
<td>2.26</td>
<td>2.26</td>
<td>2.26</td>
</tr>
<tr>
<td>$P_{b, max}$ in V2H mode (kW)</td>
<td>2.26</td>
<td>2.26</td>
<td>2.26</td>
<td>2.26</td>
<td>2.26</td>
</tr>
<tr>
<td>Total cost with BESS – H2V ($)</td>
<td>12243</td>
<td>18188</td>
<td>17896</td>
<td>11463</td>
<td>10410</td>
</tr>
<tr>
<td>Total cost with BESS – V2H ($)</td>
<td>11827</td>
<td>17770</td>
<td>17478</td>
<td>11091</td>
<td>10250</td>
</tr>
<tr>
<td>Total cost without BESS – H2V ($)</td>
<td>13628</td>
<td>19574</td>
<td>19281</td>
<td>12848</td>
<td>11796</td>
</tr>
<tr>
<td>Total cost without BESS – V2H ($)</td>
<td>12919</td>
<td>18843</td>
<td>18550</td>
<td>12193</td>
<td>11517</td>
</tr>
</tbody>
</table>