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2 Numerical study on the limit of power extraction by a dense
3 cross-stream array of wind turbines
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5 Filiberto Tartari^{*}, Takafumi Nishino[†]

6 *Centre for Offshore Renewable Energy Engineering, Cranfield University, Cranfield,*
7 *Bedfordshire MK43 0AL, United Kingdom*
8

9 **Abstract**

10 A numerical study is presented on the upper limit of power extraction by a dense cross-stream array of
11 wind turbines, using 3D Reynolds-averaged Navier-Stokes simulations of flow over porous discs. The
12 main objectives are: (i) to investigate the effect of ‘local blockage’ due to neighbouring turbines on
13 the limit of power extraction; and (ii) to clarify how this effect compares with the effect of ‘local flow
14 acceleration’ obtained by staggering the array in the streamwise direction. Some unconventional array
15 configurations with vertical turbine arrangements, following the so-called ‘multi-rotor’ concept, are
16 also investigated. Results show that the limit of power extraction by a non-staggered array increases
17 moderately with the number of turbines arrayed (about 5% increase in the power coefficient compared
18 to the Betz limit when 9 turbines are arrayed side-by-side). This power increase due to the local
19 blockage can be enhanced further, but only slightly for the case of 9 turbines, by arranging turbines
20 vertically as well as horizontally. Staggering the array in the streamwise direction may increase the
21 power of downstream turbines due to the effect of local flow acceleration but reduce the power of
22 upstream turbines as the local blockage effect diminishes, resulting in a total power reduction.
23

24 *Keywords:* Blockage effect; Efficiency; Multi-rotor; Staggered array; Wind farm
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^{*} Present address: Mott MacDonald, Victory House, Trafalgar Place, Brighton BN1 4FY, United Kingdom

[†] Corresponding author. E-mail: t.nishino@cranfield.ac.uk

27 **1. Introduction**

28 Nowadays, wind turbines are often clustered in arrays or wind farms to reduce the land use as well as
29 the cost of installation and maintenance. However, the interaction of turbine wakes in such a wind
30 farm often results in not only increased dynamic loads (as a consequence of higher turbulence level)
31 but also a reduced total power production (Sørensen, 2011). It has been demonstrated that the power
32 losses due to the wake effects in grid-like arranged wind farms can be significant, largely depending
33 on the streamwise spacing between adjacent lateral (or cross-stream) rows of turbines. Consequently,
34 the optimisation of turbine spacing and layout in large wind farms has recently become a key research
35 topic in the wind energy sector (Meyers and Meneveau 2012; Porté-Agel et al., 2013; Ghaisas and
36 Archer, 2016; Stevens, 2016; Nishino, 2016).

37 In this study we investigate a possible enhancement of power production by a dense cross-
38 stream array or ‘fence’ of wind turbines. Specifically, we investigate how the maximum total power
39 produced by a given number of turbines could be increased by arranging the turbines densely but only
40 in the cross-stream direction (so that no turbines are placed in the wake of other turbines). Although
41 such a fence of wind turbines can be deployed on its own, this can also be a constituent element of a
42 large wind farm, i.e. it is possible to deploy several fences of turbines to form a large wind farm. In
43 the latter case, some fences could be located in the wake of other fences, the effect of which is not
44 investigated in the present study. Nevertheless, the local (or fence-scale) flow physics discussed in
45 this paper is of great importance to the performance of multiple-fence wind farms to be considered in
46 future studies.

47 For almost a century it has been known that the upper limit of power extraction by a single
48 ideal wind turbine rotor is $16/27$ (or 59.3%) of the kinetic power of natural wind passing through the
49 rotor swept area, known as **the Betz limit or the Betz-Joukowski limit (Okulov and van Kuik, 2012)**.
50 However, recent theoretical and numerical studies on the efficiency of tidal turbines (Garrett and
51 Cummins, 2007; Nishino and Willden, 2012a, 2012b, 2013; Draper and Nishino, 2014) have shown
52 that this upper limit of power extraction may increase significantly when the passage of flow around a
53 turbine is constrained, often referred to as the power increase due to ‘blockage effect’. In particular,

54 the recent work by Nishino and Willden (2012b, 2013) has highlighted that the blockage effect may
55 arise not only when the flow around a turbine is constrained by physical boundaries (such as wind-
56 and water-tunnel walls, ground, seabed and sea-surface) but also due to the existence of neighbouring
57 turbines that effectively constrain the passage of flow around each turbine in the case of a fence of
58 tidal turbines. More recently, Nishino and Draper (2015) have explained theoretically and
59 demonstrated numerically that such a ‘local’ blockage effect due to neighbouring turbines may arise
60 in the case of a fence of wind turbines as well, although the effect seems to be less significant
61 compared to the case of tidal turbines. A similar blockage effect for wind turbines (called ‘in-field’
62 blockage effect) has also been investigated experimentally by McTavish et al. (2015).

63 The main aim of the present study is to better understand the effect of local blockage on the
64 limit of power extraction by a fence of wind turbines. One interesting question to be answered is
65 whether the maximum power of a turbine fence could be further increased by displacing some of the
66 turbines in the fence in the streamwise direction (rather than arranging all turbines perfectly side-by-
67 side). This question has been examined recently by Hunter et al. (2015) for the case of tidal turbines,
68 but has not been examined systematically for the case of wind turbines. To address this, we perform
69 three-dimensional (3D) Reynolds-averaged Navier-Stokes (RANS) simulations of flow around several
70 different arrangements of **idealised** turbines (represented by porous discs) placed near a plane
71 boundary. In addition to conventional cross-stream arrays of wind turbines with each turbine having
72 the same rotor hub height, we also consider some unconventional arrangements where some rotors are
73 placed above other rotors, following the concept of ‘multi-rotors’ studied recently by Jamieson and
74 Branney (2012), **Chasapogiannis et al. (2014)** and Manwell et al. (2014).

75

76 **2. Methodology**

77 *2.1. Computational methods*

78 The numerical simulations are performed using a commercial CFD solver ANSYS FLUENT 15.0,
79 solving 3D incompressible RANS equations numerically based on a finite volume method. The
80 Reynolds stress terms in the RANS equations are modelled using the standard k - ε model of Launder

81 and Spalding (1974). The convective terms in the RANS equations are discretised using the second-
82 order upwind scheme, whereas the first-order upwind scheme is used for the transport equations of k
83 and ε . The SIMPLE algorithm (Patankar, 1980) is used to solve the mean velocity and pressure fields
84 iteratively.

85 The wind turbines are modelled using a porous disc model, similarly to the earlier studies on
86 the local blockage effect for wind turbines (Nishino and Draper, 2015) as well as for tidal turbines
87 (Nishino and Willden, 2013). Specifically, each turbine is represented by a stationary permeable disc,
88 which is implemented using the ‘porous jump’ internal boundary condition in FLUENT. The effect of
89 each disc on the mean flow is considered as a loss of momentum at the disc in the streamwise (x)
90 direction. The change of x -momentum flux (per unit disc area) is locally calculated as

$$91 \quad M_x = K \cdot \frac{1}{2} \rho U_d^2 \quad (1)$$

92 where ρ is the density of air, U_d is the local (rather than disc-averaged) streamwise velocity at the disc
93 plane and K is the momentum loss factor. In this study we assume that the value of K is uniform
94 across the surface of all discs. Note that this assumption (i.e. prescribing a uniform disc resistance)
95 results in a non-uniform thrust distribution across each disc (since in general the velocity U_d is not
96 uniform across the disc). This may appear to contradict the conventional actuator disc theory, which
97 usually explicitly assumes a uniform thrust distribution across the disc. However, a recent study by
98 Draper et al. (2016) has shown that the same theoretical upper limit of power extraction can be
99 generally reached by assuming a uniform resistance; hence the above porous disc model is fairly
100 compatible with the theoretical actuator disc model. For further clarification of the relationship
101 between the numerical porous disc model and the theoretical actuator disc model, see Nishino and
102 Draper (2015) and Nishino (2016).

103 As noted by Nishino and Willden (2012a), the main advantages of using a porous disc model
104 in this type of numerical study are its generality (i.e. not requiring any particular geometry of turbine
105 rotors), simplicity and compatibility with the actuator disc theory. Since the main aim of the present
106 study is to understand the effect of local blockage on the ‘limit’ of power extraction by a fence of
107 ideal turbines, the above porous disc model is sufficient for this study. To investigate the effect of

108 local blockage on the performance of real turbines, however, we would need to employ a higher-
109 fidelity turbine model, such as actuator-line and actuator-surface models (Sørensen, 2011). Also,
110 when some turbines are located in the wake of other turbines and hence the accuracy in the prediction
111 of wake mixing is important, higher-fidelity simulations of turbulent flows, such as Large-Eddy
112 Simulations (LES), would be more desirable than the RANS simulations performed in this study.

113

114 *2.2. Computational domain and array configurations*

115 Following the earlier study by Nishino and Draper (2015), we employ the same size of computational
116 domain in this study; $25D$ in height, $50D$ in width and $100D$ in streamwise length, where $D = 100\text{m}$ is
117 the disc diameter. The array of discs is positioned at the centre of the domain near the ground (bottom
118 boundary) with a fixed cross-stream gap of $0.5D$ between each disc (from edge to edge). The vertical
119 gap from the ground to the lowest disc edge is also maintained at $0.5D$. Unless specified, all cases
120 investigated in this study are for an array of 9 discs, which results in a very small ‘global’ blockage
121 ratio (i.e. the ratio of the total area of discs to the cross-sectional area of the computational domain) of
122 $B_G \approx 0.006$. As noted by Nishino and Draper (2015), this essentially means that the computational
123 domain is large enough to conclude that the global blockage effect is negligibly small (and hence the
124 power increase observed is due to ‘local’ flow mechanisms within the array).

125 Table 1 summarises the array configurations investigated in this study. Also, Fig. 1 shows the
126 three main array configurations (Array-A, Array-B and Array-C). For Array-A, all discs (up to 9
127 discs) are arrayed only horizontally near the ground (like a conventional lateral array of wind
128 turbines). For Array-A, we consider two different staggered arrangements, namely ‘zigzag’ and ‘V-
129 form’ arrangements, as well as the non-staggered (or side-by-side) arrangement. For Array-B, 5 discs
130 are arrayed horizontally near the ground (to form the first row) and 4 discs are arrayed above these 5
131 discs (to form the second row). For this Array-B, we consider a staggered arrangement like a ‘step’
132 (i.e. only the 4 discs forming the second row are shifted downstream) as well as the non-staggered
133 arrangement. For Array-C, 4 discs form the first row, 3 discs form the second row and 2 discs form
134 the third row.

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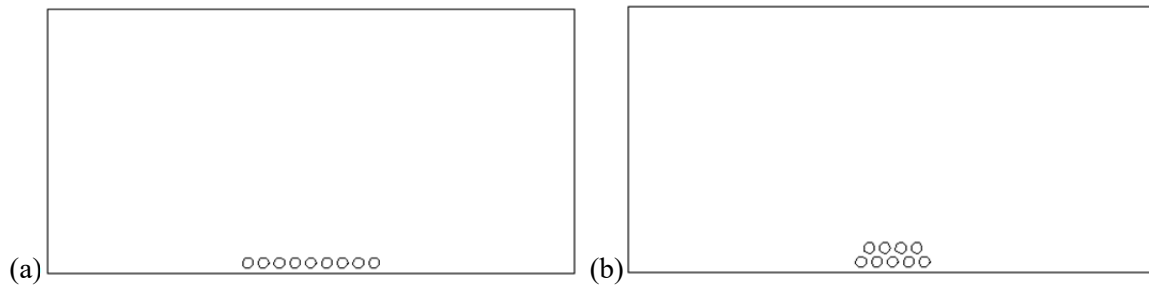
Table 1. Summary of array configurations.

Configurations	Staggered?	Streamwise gap	Number of discs
Array-A	No	0	1, 3, 5, 7, 9
Array-A	Yes (zigzag)	$0.5D$ to $3D$	9
Array-A	Yes (V-form)	$0.5D$ to $3D$	9
Array-B	No	0	9
Array-B	Yes (step)	$0.5D$ to $3D$	9
Array-C	No	0	9

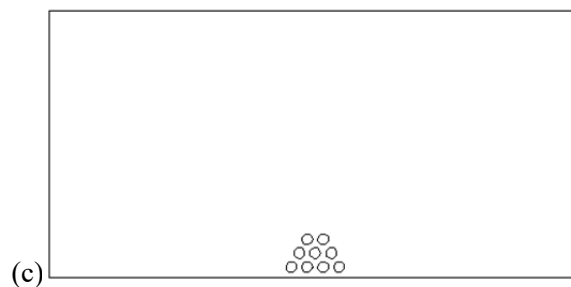
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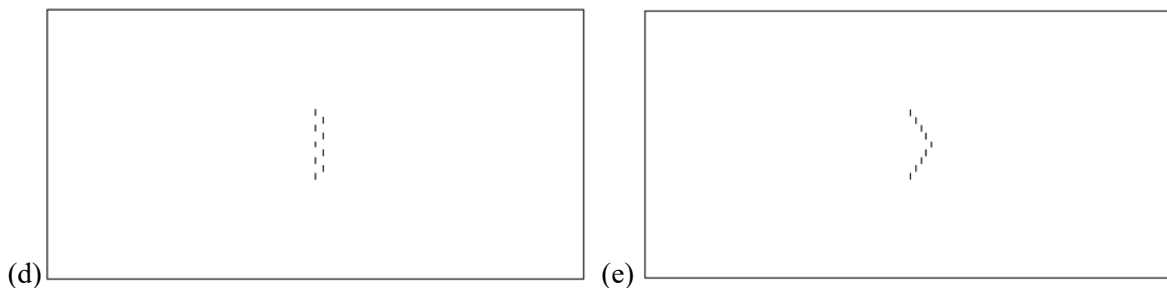
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Fig. 1. Array configurations: (a) front view of Array-A; (b) front view of Array-B; (c) front view of Array-C; (d) top view of Array-A in ‘zigzag’; (e) top view of Array-A in ‘V-form’.

144 For each array configuration, we first investigate the ‘non-staggered’ case with various values
145 of K (uniform across all discs) to find the optimal K value to maximise the average power of all discs.
146 In this study we do not consider a non-uniform distribution of K across discs; however, Hunter et al.
147 (2015) has shown that varying K across a non-staggered array of four and eight discs does not
148 increase the maximum average power. The maximum average power obtained here may therefore be
149 taken as the upper limit of power extraction by the non-staggered array. Then we investigate the effect
150 of staggering (or streamwise gap) with keeping this optimal K value obtained for the non-staggered
151 case. It should be noted that, strictly speaking, this K value may not be optimal for the staggered cases.
152 However, as will be shown later, the average power of the discs tends to change only slightly for a
153 relatively wide range of K around its optimal value. Therefore we can consider that the power of the
154 staggered arrays calculated from the above procedure also corresponds approximately to the upper
155 limit of power extraction.

156 In addition to these array configurations summarised in Table 1, we have also tested a large
157 array consisting of 25 discs in order to demonstrate the effect of the number of discs; see Appendix
158 for further details of this additional case.

159

160 2.3. Flow parameters and boundary conditions

161 In the earlier numerical study by Nishino and Draper (2015) three different inflow conditions have
162 been tested, namely: (i) uniform inflow with a low freestream-turbulence (FST) level, (ii) vertically
163 sheared inflow, and (iii) uniform inflow with a high FST level (as high as the sheared inflow case at
164 the location of the discs). In the present study, we consider only the uniform inflow with a low FST
165 level. This is mainly because the earlier study has shown that the power increase of a lateral array of
166 actuator discs is very similar between the three different inflow cases, although another reason for
167 employing a uniform inflow is to make a fair comparison between the Array-A, Array-B and Array-C
168 cases. It should be noted that, in a more realistic case with vertically sheared inflow, the Array-B and
169 Array-C would yield a higher power than the Array-A since the discs located at a higher position
170 would experience a faster inflow. However, the power ‘coefficient’ of each actuator disc is expected

171 to be insensitive to the shear of inflow if the power coefficient is defined using the average of the cube
172 of the upstream velocity of the fluid passing through the disc (Draper et al. 2016).

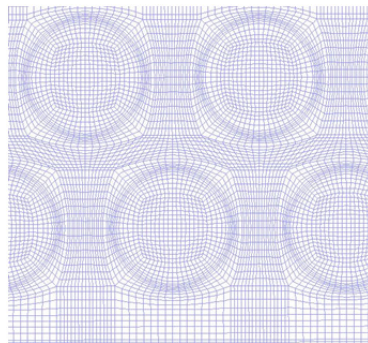
173 Throughout the study, the inflow velocity is fixed at $U_{ref} = 10\text{m/s}$. In addition, the inlet values
174 for k and ε are fixed at $k_{in} = 1.5 \times 10^{-4} \text{ m}^2/\text{s}^2$ and $\varepsilon_{in} = 3.02 \times 10^{-7} \text{ m}^2/\text{s}^3$, respectively, following the
175 earlier study by Nishino and Draper (2015). Symmetry conditions are applied at the side boundaries
176 of the domain, whereas slip-wall conditions are employed for the top and bottom boundaries; hence
177 the uniform velocity profile given at the inlet is maintained throughout the domain (unless the discs
178 perturb the flow). The values of k and ε given at the inlet gradually decrease towards downstream
179 without mean shear to maintain the turbulence level, resulting in a relatively low turbulent viscosity
180 ratio of $\mu_T/\mu = 420$ at the centre of the domain (where the discs are placed). For the outlet boundary,
181 zero streamwise-gradient conditions are prescribed for the velocities, k and ε , with a constant gauge
182 pressure of 0 Pa. The Reynolds number based on the disc diameter is 67 million.

183

184 2.4. Computational grids

185 The computational grids have been created using the mesh extrusion function available in ANSYS
186 ICEM. Specifically, for each array configuration a 2D multi-block structured grid was created first for
187 a cross-section of the domain and then this 2D grid was extruded for the length of the domain in the
188 streamwise direction, resulting in a 3D multi-block structured grid. A snapshot of a 2D cross-section
189 grid for Array-B is shown in Fig. 2 as an example.

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191

192 Fig. 2. Cross-sectional view of the computational grid for Array-B.

193

194 As will be presented in Section 3, a mesh sensitivity analysis has been conducted to determine
195 an appropriate number of cells for the study. For the mesh used in the main part of the study, the
196 minimum cell dimension is $0.0015D$ near the disc edge (to resolve the steep shear flow around each
197 disc sufficiently). The circumference of each disc is divided into 64 cells to represent with sufficient
198 accuracy the round shape of the disc, whereas the spanwise length (z -direction) and height (y -
199 direction) of the 2D cross-section are divided into 245 and 105 elements, respectively. For the
200 extrusion of the 2D grid in the streamwise (x) direction, grid points are allocated non-equidistantly;
201 the minimum streamwise cell size is $0.002D$ near the discs and the maximum size is about $1D$ near the
202 inlet and outlet boundaries. The total number of cells in the streamwise direction varies from 160 for
203 the non-staggered cases to 175 for the staggered cases. The resultant total number of cells for the 3D
204 grid varies between 5.3 and 6 million, depending on the array configuration.

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206 **3. Results**

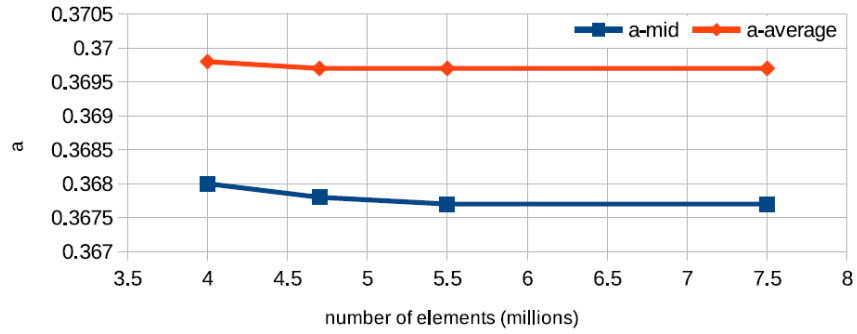
207 *3.1. Mesh sensitivity analysis*

208 We have performed a mesh sensitivity analysis for non-staggered Array-B (with $K = 2.5$ for all 9
209 turbines). Four different grids were tested with an increasing number of mesh elements from 4 million
210 to 7.5 million. Figure 3 shows the effect of the number of elements on the axial induction factor a ,
211 which is calculated for each turbine as

$$212 \quad a = 1 - \frac{\langle U_d \rangle}{U_{ref}} \quad (2)$$

213 where $\langle \phi \rangle$ denotes the average of a variable ϕ over the disc. In the figure, ‘mid’ shows the value of a
214 for the middle turbine (in the first row), whereas ‘average’ shows the average value of a for all 9
215 turbines. As can be seen from the figure, the impact of the mesh resolution on the disc averaged axial
216 induction factor (and also on the disc thrust and power, which are not presented here for brevity) is
217 negligibly small for the grids with more than 5 million elements. This number of elements compares
218 well with the earlier study by Nishino and Draper (2015), who also conducted a mesh sensitivity study
219 and eventually employed a grid with about 5.3 million mesh elements for a non-staggered single row
220 of 9 discs.

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222

223 Fig. 3. Effect of the number of mesh elements on the axial induction factors for non-staggered
 224 Array-B ('mid': middle turbine in the first row; 'average': average of all 9 turbines).

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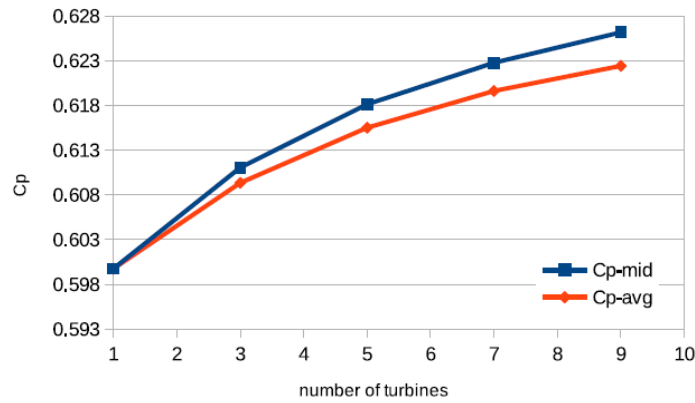
226 *3.2. Non-staggered single row of up to 9 discs*

227 In this section we investigate the influence of the number of turbines on the limit of power extraction
 228 by a non-staggered single row (Array-A), although this configuration has already been studied earlier
 229 by Nishino and Draper (2015). We have performed simulations for 1, 3, 5, 7 and 9 turbines and, for
 230 each case, we have tested several different K values to maximise the power coefficient C_p , which is
 231 calculated as

232
$$C_p = \frac{\int M_x U_d dA}{\frac{1}{2} \rho U_{ref}^3 A} = K \frac{\langle U_d^3 \rangle}{U_{ref}^3} \quad (3)$$

233 where A is the area of each disc. For the single disc case $K = 2$ was found to maximise C_p (as can be
 234 predicted from the Betz theory), whereas for all other cases $K = 2.5$ was found to give a higher C_p
 235 value. Figure 4 shows the effect of the number of turbines on the maximum value of C_p (again for the
 236 middle turbine and for the average of all turbines). Note that, for the single disc case, the C_p value
 237 obtained is slightly higher than the Betz limit (0.593); this slight difference is due to the effect of the
 238 ground (which provides a weak partial blockage effect) as well as to the effects of the viscosity and
 239 three-dimensionality of the flow. The maximum C_p value increases with the number of turbines in the
 240 array due to the local blockage effect. These results agree very well with the results reported earlier by
 241 Nishino and Draper (2015).

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Fig. 4. Effect of the number of turbines on the maximum power coefficient for non-staggered Array-A (“mid”: middle turbine; “avg”: average of all turbines).

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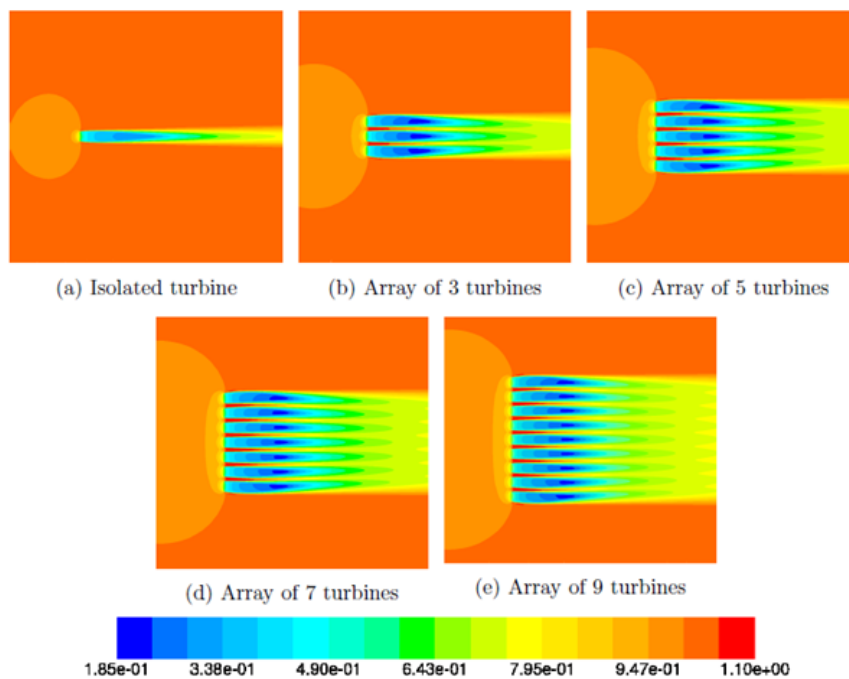
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Figure 5 shows contours of streamwise velocity normalised by the undisturbed flow speed ($U_{ref} = 10\text{m/s}$) for the non-staggered single row of 1, 3, 5, 7 and 9 discs, plotted at the height of the centre of the discs. It can be seen how the flow around the discs changes with the number of discs in the array. In particular, we can observe two main features of the flow affected by the local blockage: (i) the acceleration of flow around each disc increases with the number of discs; and (ii) the area of flow deceleration in front of the entire array increases with the number of discs.



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Fig. 5. Contours of streamwise velocity (normalised by the inlet velocity $U_{ref} = 10\text{m/s}$).

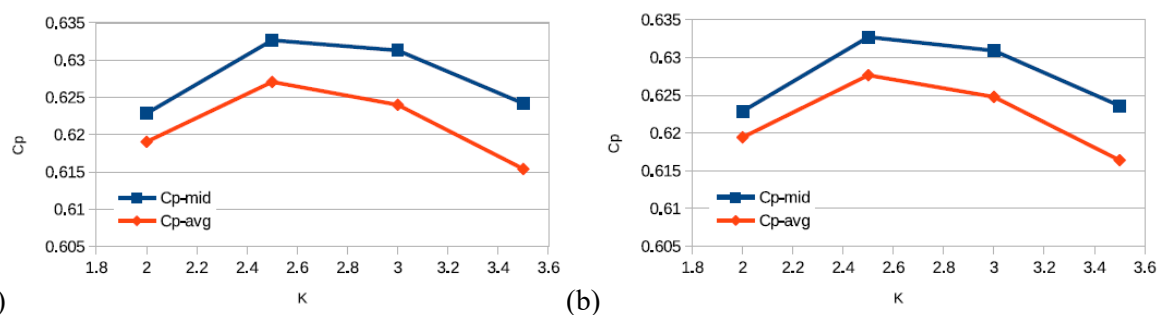
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257 3.3. Non-staggered double and triple rows

258 Next, we investigate potential benefits of arranging turbines not only in the spanwise direction but
259 also in the vertical direction (Array-B and Array-C). As noted earlier, these configurations are not
260 conventional but follow the concept of ‘multi-rotors’, studied recently by Jamieson and Branney
261 (2012) and Manwell et al. (2014). Note that here we again consider 9 turbines with the same intra-
262 turbine spacing of $0.5D$, but these 9 turbines are divided into two rows (for Array-B) or three rows
263 (for Array-C) as depicted in Fig. 1.

264 Figure 6 shows the power coefficient values obtained for non-staggered Array-B and Array-C
265 with different K values (note that all 9 discs have the same K value in each simulation). As can be
266 seen from the figure, for both Array-B and Array-C, the power is maximised around $K = 2.5$, similarly
267 to the single row case (Array-A) discussed in the previous section. Moreover, the maximum power
268 coefficient values for these two array configurations are only slightly higher than that for Array-A,
269 indicating that the benefit of local blockage can be enhanced only slightly by dividing the 9 turbines
270 into two or three rows. Interestingly, the amounts of power that can be extracted by Array-B and
271 Array-C are almost identical; the reason for this will be discussed later in Section 4.

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274 Fig. 6. Power coefficient for non-staggered multi-row arrays: (a) Array-B; (b) Array-C.

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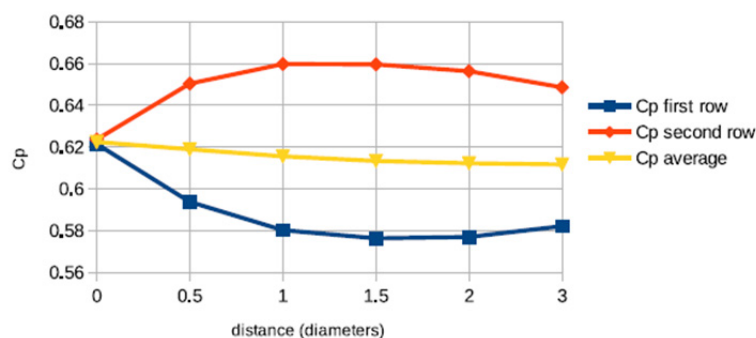
276 3.4. Effect of staggering

277 Now we investigate the effect of staggering the array in the streamwise direction. We consider three
278 different array configurations, namely ‘zigzag’ Array-A, ‘V-form’ Array-A and ‘step’ Array-B, as

279 summarised earlier in Table 1. The main interest here is the effect of the streamwise gap between two
 280 neighbouring turbines on the maximum power extracted by the array. All results presented below are
 281 for $K = 2.5$ for all turbines. This K value is nearly optimal and gives a power coefficient value very
 282 close to its maximum for each array configuration investigated here, although the exact optimum K
 283 value depends on the array configuration and tends to gradually increase with the maximum power
 284 extracted by the array.

285 Figure 7 shows the effect of the streamwise gap on the maximum power coefficients for the
 286 ‘zigzag’ Array-A. Note that three different power coefficient values are plotted for each case: ‘first
 287 row’ (average of 5 discs in the upstream row), ‘second row’ (average of 4 discs in the downstream
 288 row) and ‘average’ (average of all 9 discs). It can be seen that the power extracted by the second row
 289 is maximised when the streamwise gap between the two rows is $1D$ to $1.5D$. This is because turbines
 290 in the second row are located in the flow accelerated locally due to the turbines in the first row, as
 291 shown in Fig. 8. However, this power increase in the second row is accompanied by a power decrease
 292 in the first row, resulting in a slight decrease in the total power. Importantly, the results show that the
 293 total power extracted by the staggered Array-A is always lower than that extracted by the non-
 294 staggered Array-A regardless of the streamwise gap. This agrees with the recent numerical study by
 295 Hunter et al. (2015) for a similar cross-stream array of tidal turbines.

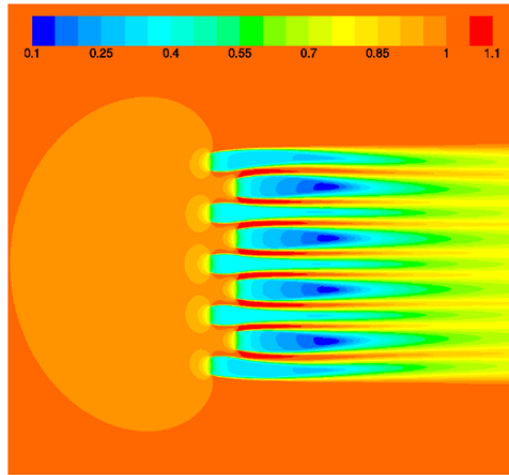
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298 Fig. 7. Effect of the streamwise gap on the maximum power coefficient (zigzag Array-A).

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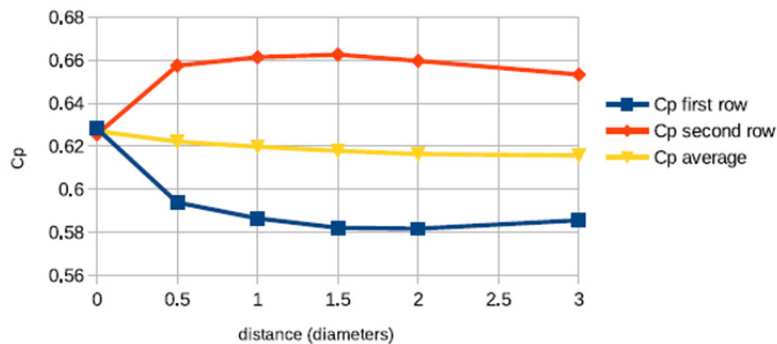
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301 Fig. 8. Contours of normalised streamwise velocity (Array-A with 1.5D streamwise gap).

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303 Figure 9 presents results obtained for the staggered (or ‘step’) Array-B, again for the ‘first
 304 row’ (average of 5 discs in the upstream row), ‘second row’ (average of 4 discs in the downstream
 305 row) and ‘average’ (average of all 9 discs). The results are very similar to the ‘zigzag’ Array-A case
 306 described above; staggering the array increases the power extracted by the second row but decreases
 307 the power extracted by the first row, resulting in a slight decrease in the total power. Figure 10 shows
 308 contours of normalised streamwise velocity for the staggered Array-B with 1.5D gap, plotted at two
 309 different vertical positions corresponding to the disc centre for the first and second rows, respectively.
 310 It can be seen that, although the array configuration is different, again the discs in the second row are
 311 located in the flow accelerated locally due to the turbines in the first row.

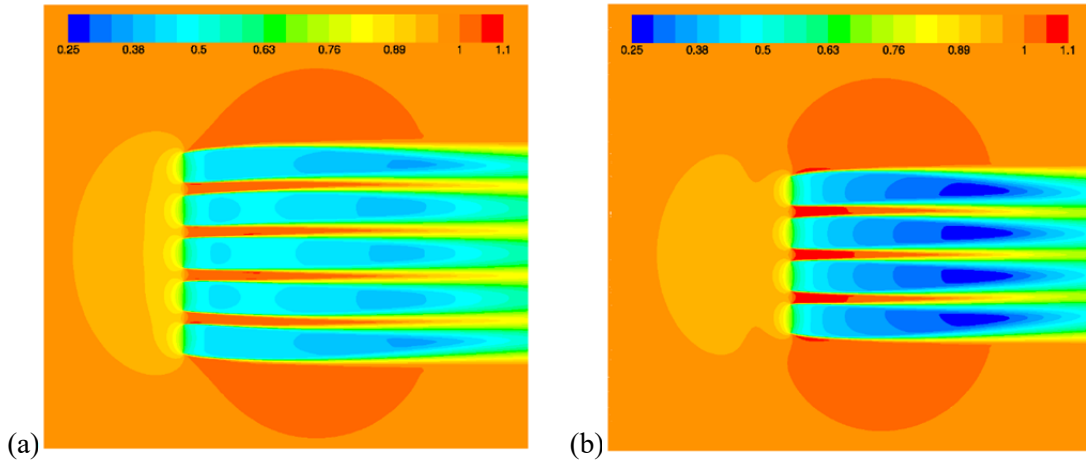
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314 Fig. 9. Effect of the streamwise gap on the maximum power coefficient (staggered Array-B).

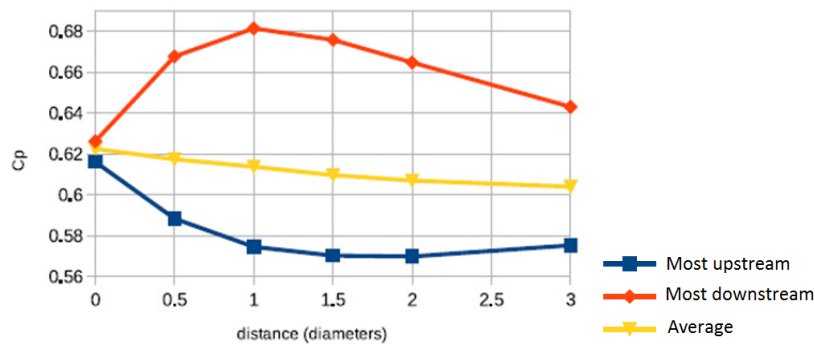
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316 (a) (b)
 317 Fig. 10. Contours of normalised streamwise velocity (Array-B with $1.5D$ streamwise gap): (a)
 318 at the ‘hub-height’ for the first row; (b) at the ‘hub-height’ for the second row.
 319

320 Finally, Fig. 11 shows results for the V-form Array-A. Here the maximum power coefficient
 321 values are plotted for the ‘most upstream’ turbines (located at the spanwise ends of the array), ‘most
 322 downstream’ turbine (located at the middle of the array) and the average of all 9 turbines. Again the
 323 trend is very similar to the ‘zigzag’ Array-A and ‘step’ Array-B; the total power extracted by the array
 324 decreases as we increase the streamwise gap between neighbouring turbines, although the power
 325 extracted by the most downstream turbine can be substantially higher. The variations of the power
 326 coefficient across a half of the array (due to symmetry) are plotted in Fig. 12 for the six different
 327 streamwise gap cases tested. It can be seen that the power increases substantially only for the middle
 328 turbine and decreases for the majority of other turbines in the array.

329



330
 331 Fig. 11. Effect of the streamwise gap on the maximum power coefficient (V-form Array-A).
 332

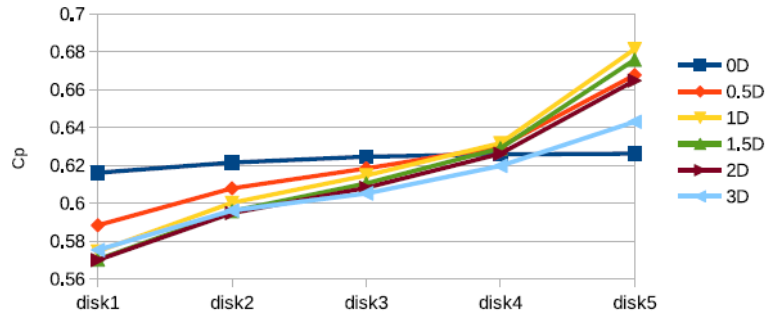


Fig. 12. Effect of the streamwise gap on the variation of power coefficient across V-form Array-A ('disk 5' is the middle turbine, which is located most downstream).

4. Discussion and conclusions

In this study we have considered three different types of dense wind turbine array configurations, namely Array-A, Array-B and Array-C. For Array-A and Array-B, we also considered staggering the array in the streamwise direction. In this section we discuss the performance of non-staggered arrays first and then the effect of staggering the array, followed by some conclusions.

4.1. Non-staggered array performance

For the non-staggered cases, our numerical results have shown that the limit of power extraction by a dense spanwise array of 9 turbines can be about 5% higher than that by isolated turbines, confirming the results reported earlier by Nishino and Draper (2015). We have also tested a new idea of arranging turbines not only horizontally but also vertically (following the 'multi-rotor' concept) by dividing the 9 turbines into 2 rows (Array-B) or 3 rows (Array-C); however, the limit of power extraction by these unconventional arrays was only slightly (less than 1%) higher than that by the single spanwise array (Array-A). Moreover, the performance of Array-B and Array-C was found to be almost identical. A possible explanation for the above results can be made by considering the level of local blockage experienced by each turbine in these three arrays. As depicted in Fig. 13, we can classify the turbines in these arrays into the following three types:

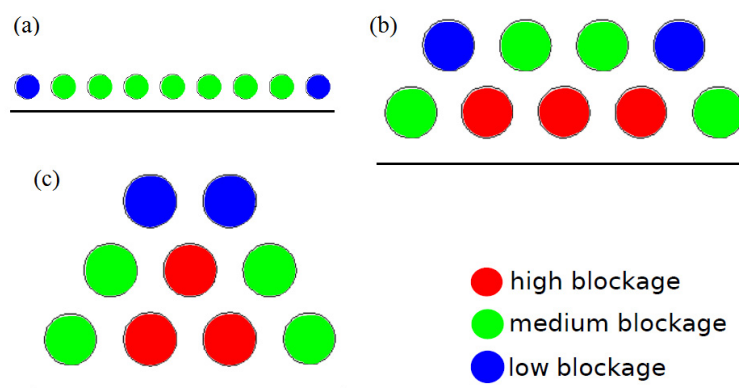
- (i) Turbines with a 'high blockage' level (red): these turbines are completely (360°) surrounded by other turbines or the ground;

- 356 (ii) Turbines with a ‘medium blockage’ level (green): these turbines are mostly ($\sim 240^\circ$)
 357 but not completely surrounded by other turbines or the ground; and
 358 (iii) Turbines with a ‘low blockage’ level (blue): these turbines are only partially ($\sim 180^\circ$)
 359 surrounded by other turbines or the ground.

360 It can be seen that the types of turbines in Array-B and Array-C are exactly the same (3 ‘high’, 4
 361 ‘medium’ and 2 ‘low’ blockage levels), which may explain the almost identical limit of power
 362 extraction by these two arrays. This explanation also suggests that a possible way to further increase
 363 the limit of power extraction (per turbine) is to arrange more turbines and thereby increase the
 364 **proportion** of ‘high blockage’ turbines in the array. An example of this will be presented in the
 365 Appendix.

366 It is worth noting that the above classification of the level of blockage (high, medium and
 367 low) considers only the range of directions surrounded by other turbines or the ground and does not
 368 consider the type of the surrounding turbines. Theoretically, a ‘high blockage’ turbine surrounded by
 369 other ‘high blockage’ turbines may experience a more significant local blockage effect than a ‘high
 370 blockage’ turbine surrounded by ‘low blockage’ turbines, due to the array-size effect (Nishino and
 371 Willden, 2013). It should also be noted that, in general, the local blockage effect depends significantly
 372 on the intra-turbine spacing, which has been fixed at $0.5D$ in this study.

373



374

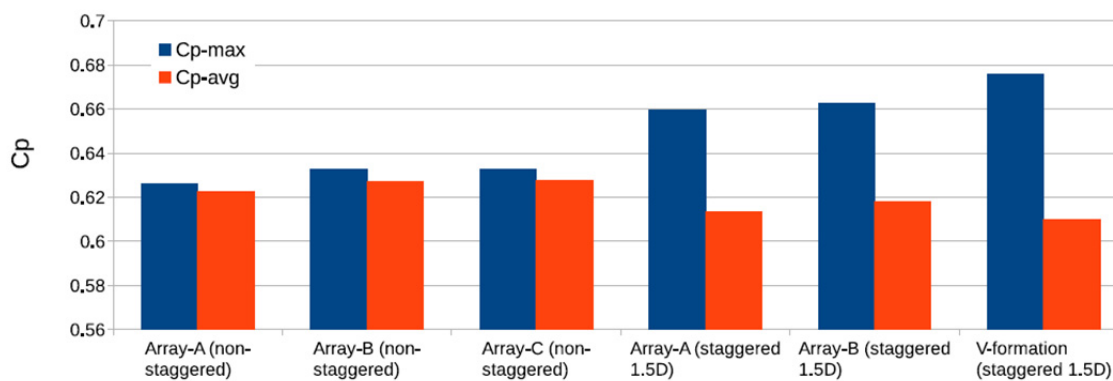
375 Fig. 13. Local blockage level for each turbine: (a) Array-A; (b) Array-B; (c) Array-C.

376

377 4.2. Staggered array performance

378 As for the effect of staggering the array, our results have shown that the limit of total power extraction
379 by a dense cross-stream array cannot be increased further by staggering the array in the streamwise
380 direction. This agrees with the recent finding by Hunter et al. (2015), who performed similar RANS
381 simulations of seven porous discs placed in a shallow water channel (to represent tidal turbines). This
382 agreement suggests that, although in general the effect of local blockage tends to be less significant
383 for wind turbines than for tidal turbines due to the lack of flow confinement above the turbines, the
384 relationship between ‘local blockage’ and ‘local flow acceleration’ is still the same, i.e. staggering the
385 array in the streamwise direction will enhance the power of downstream turbines due to the effect of
386 local flow acceleration but reduce the power of upstream turbines as the effect of local blockage
387 diminishes, resulting in a reduction of total power. This also agrees with more recent results reported
388 by Zanforlin and Nishino (2016), who performed 2D unsteady RANS simulations of two counter-
389 rotating vertical-axis turbines with various intra-turbine spacing and wind directions, showing that the
390 total power of two vertical-axis turbines is maximised when the turbines are placed side-by-side with
391 respect to the wind direction.

392



393

394 Fig. 14. Comparison of power coefficients for different array configurations.

395

396 Figure 14 summarises the limit of power extraction predicted for six representative array
397 configurations tested in this study (with $K = 2.5$). Note that ‘Cp-max’ shows the power coefficient of
398 the turbine extracting the highest power compared to other turbines in the array, whereas ‘Cp-avg’

399 shows the average power coefficient for all 9 turbines in the array (and therefore indicates the total
400 power). It can be seen that the power of a particular turbine can be enhanced but the total power of the
401 array is reduced by staggering the array in the streamwise direction.

402

403 *4.3. Conclusions*

404 In conclusion, we have investigated numerically, using 3D RANS simulations of up to 9 porous discs,
405 how the limit of power extraction by a given number of wind turbines could be increased by arranging
406 them densely in the cross-stream direction and thereby utilising the so-called ‘local blockage effect’.
407 The focus of the present numerical study, using a simple porous disc model instead of a more realistic
408 rotor model, is limited to the ‘limit’ of power extraction (corresponding to the Betz limit for the case
409 of isolated turbines); however, similar effects of blockage due to neighbouring turbines have also been
410 observed experimentally by McTavish et al. (2015) using more realistic (but a smaller number of)
411 wind turbine models. Our numerical results have demonstrated that:

- 412 (i) The increase in the limit of power extraction due to the local blockage effect tends
413 to be enhanced by increasing the number of turbines in the array;
- 414 (ii) When the number of turbines in the array is relatively small (e.g. 9 turbines), the
415 limit of power extraction can be only slightly enhanced further by arranging the
416 turbines vertically as well as horizontally (so-called ‘multi-rotor’ configuration),
417 since only a small number of turbines in the array can experience a higher level of
418 blockage by doing so; and
- 419 (iii) The limit of total power extraction by a dense cross-stream array tends to decrease
420 by staggering the array in the streamwise direction, although some of the turbines
421 in the array may extract more power due to the effect of local flow acceleration.

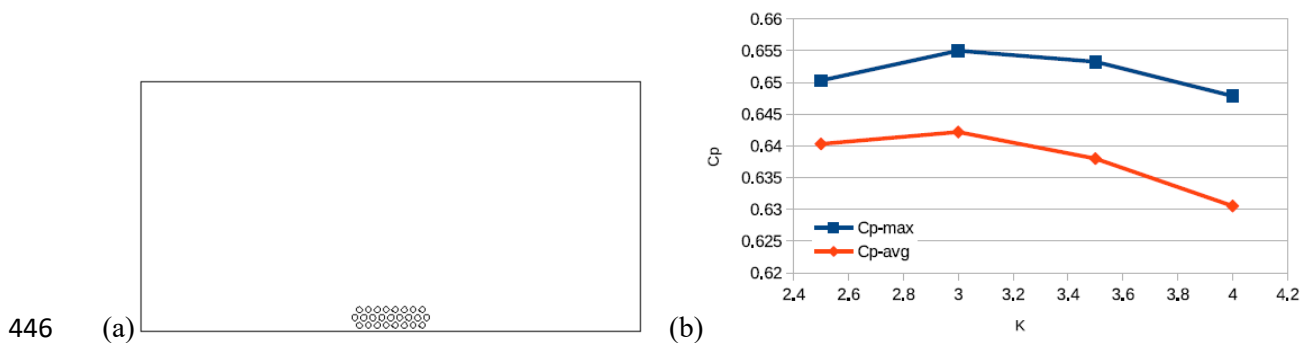
422 Further investigations with a larger number of turbines, and a more realistic turbine model, would be
423 required in future studies in order to fully understand the effect of local blockage for wind turbines;
424 however, such investigations would require huge computational resources and/or a large experimental
425 facility.

426

427 Appendix

428 To further demonstrate the influence of the number of turbines in the array, we have performed some
429 additional simulations with 25 discs arranged in 3 rows (8, 9 and 8 discs in the first, second and third
430 rows, respectively; see Fig. A.1(a)). All computational conditions are the same as those employed in
431 the main body of the paper, except that the diameter of each disc (D) is 60m (instead of 100m) in
432 these additional simulations to maintain the same global blockage ratio ($B_G \approx 0.006$) as the 9-disc
433 cases investigated earlier (to make a fair comparison). The results are shown in Fig. A.1(b) in terms of
434 the maximum and average power coefficient values. Compared to the results for the 9-disc Array-B
435 and Array-C cases presented earlier in Fig. 6, it is clear that the power coefficient for this 25-disc case
436 is substantially higher, demonstrating the impact of the number of turbines in the array on the limit of
437 power extraction by the array. As discussed by Nishino and Draper (2015), the upper limit of the
438 power coefficient of each turbine in this type of dense cross-stream array is expected to increase up to
439 about 0.8 as we further increase the number of turbines in the array. **It should be remembered,**
440 **however, that the power coefficient discussed here has been defined for each turbine, using the rotor**
441 **swept area as the reference area. If the power coefficient of an entire array is considered and defined**
442 **using the entire array area (i.e. including the gap area between rotors as well as the rotor swept area),**
443 **it is unlikely that this power coefficient will exceed the Betz limit (0.593) regardless of the number of**
444 **turbines in the array or the intra-turbine spacing.**

445



446

447 Fig. A.1. Additional simulations with 25 discs: (a) front view of the array; (b) power coefficient.

448

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