Offshore multi-purpose platforms for a Blue Growth: a technological, environmental and socio-economic review

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Abstract

"Blue Growth" and "Blue Economy" is defined by the World Bank as: "the sustainable use of ocean resources for economic growth, improved livelihoods and jobs, while preserving the health of ocean ecosystem". Multi-purpose platforms (MPPs) can be defined as offshore platforms serving the needs of multiple offshore industries (energy and aquaculture), aim at exploiting the synergies and managing the tensions arising when closely co-locating systems from these industries.

Despite a number of previous projects aimed at assessing, from a multidisciplinary point of view, the feasibility of multipurpose platforms, it is here shown that the state-of-the-art has focused mainly on single-purpose devices, and adopting a single discipline (either economic, or social, or technological, or environmental) approaches. Therefore, the aim of the present study is to provide a multidisciplinary state of the art review on, whenever possible, multi-purpose platforms, complementing it with single-purpose and/or single discipline litera-

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ture reviews when not possible. Synoptic tables are provided, giving an overview of the multi-purpose platform concepts investigated, the numerical approaches adopted, and a comprehensive snapshot classifying the references discussed by industry (offshore renewables, aquaculture, both) and by aspect (technological, environmental, socio-economic). The majority of the multi-purpose platform concepts proposed are integrating only multiple offshore renewable energy devices (e.g. hybrid wind-wave), with only few integrating also aquaculture systems. MPPs have significant potential in economizing CAPEX and operational costs for the offshore energy and aquaculture industry by means of concerted spatial planning and sharing of infrastructure.

Highlights

- A number of projects on multipurpose platforms, aiming to conduct multidisciplinary feasibility assessments, have been done.
- Despite them, there is a lack of multidisciplinary analyses for multipurpose platforms.
- This work therefore aims at reviewing state-of-the-art multidisciplinary analyses on MPPs, complementing them with the review of single-purpose, single discipline analyses when necessary.
- A review of technological, environmental, and socio-economic analyses of ORE devices and aquaculture systems is given.

Keywords: Multi purpose platform, multi use platform, marine renewable energy, offshore wind, wave, aquaculture, social science2010 MSC: 00-01, 99-00

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1 1. Introduction

² 1.1. Context

The marine environment represents a vast source of renewable energy. Energy is available in multiple forms - wind, wave, tides, currents, and temperature and pressure gradients. The successful commercial exploitation of these energy sources is perceived as a key target to be able to tackle the energy trilemma [1]: to provide secure, sustainable, and affordable energy. However, targeted installed capacities for 2050 (460 GW for offshore wind [2] and 188 GW for tidal and wave [3]) can be achieved only by lowering the cost of the energy produced, possibly through the combined extraction of more than one marine resource. In this regard, offshore wind farms and aquaculture have been proposed as suitable candidates for co-location/multiple use, in the recent past [4, 5, 6].

Of the various offshore renewable energy (ORE) systems, bottom fixed off-13 shore wind turbines can be considered as commercially mature. On the other 14 hand, floating offshore wind turbines (FOWTs) have been slowly evolving from 15 concepts to reality [7], in recent decades. This upswing in the demand for 16 FOWTs has been brought about by a combination of several factors - stronger 17 and less turbulent offshore winds, reduced visual pollution and mulit-use con-18 flicts, for instance. However, other offshore renewable energy (ORE) systems, 19 such as wave energy converters, still need to be further developed, in order to 20 be considered commercially competitive. 21

In parallel with the energy trilemma, the Food and Agriculture Organization 22 (FAO) estimates that, by 2030, the demand for seafood will exceed the supply 23 by 40 million metric tonnes [8]. With constraints limiting the possibilities for the 24 expansion of inland and near-shore fisheries, offshore aquaculture has emerged as 25 a viable alternative for increasing the global seafood production. Aquaculture is 26 classified as offshore, if it takes place in the open sea, exposed to significant met-27 ocean conditions [9]. Notwithstanding the challenges posed by the open ocean, 28 offshore farming offers several advantages - increased possibilities for expansion, 29 reduced exposure to pollution from human sources and the potential of co-30

locating infrastructure with ORE systems to reduce competition for operational
space [10].

Thus, a proposed solution for a reliable energy extraction and aquaculture 33 development, while addressing the questions of CAPEX, space limitation and 34 operational safety could be the use of Multi-Purpose Platforms (MPPs). Ide-35 ally, an MPP is an offshore structure able to exploit the synergies between ORE 36 systems and aquaculture systems, avoiding by design the conflicts arising from 37 the close co-location of these systems. MPPs are expected to bring about sig-38 nificant cost reduction, by allowing multiple use of space and infrastructure, 39 through co-located and shared technologies [11, 12]. MPPs would also pro-40 mote an optimization of the marine spatial planning, proposing an efficient, 41 integrated, sustainable, and ecological use of oceanic resources through shared 42 spaces and infrastructure [12, 13, 14]. 43

Furthermore, for remote and island communities, not able to access the utilities grids, an MPP may constitute the only secure, sustainable, and affordable source of energy [15, 16], food, and jobs.

47 1.2. Aim, objectives, and structure of the review

⁴⁸ Despite a number of EU-funded projects aimed at assessing the feasibility of ⁴⁹ multipurpose platforms, also highlighting the importance of a multidisciplinary ⁵⁰ approach, it is here shown that the analyses available in the literature are (expect ⁵¹ for very few cases) mainly focused on single-purpose devices, or at best hybrid ⁵² wind-wave offshore renewable energy devices, and tackling the challenge only ⁵³ from a single discipline (either technological and/or economic, or social and/or ⁵⁴ economic, or environmental) point of view.

Therefore, the aim of the present study is to provide a state of the art review on, multidisciplinary technological, economic, and socio-environmental reviews on offshore multi-purpose platforms. This is to provide the ideal basement for a truly multi-purpose, multi-discipline analysis framework, for current and future projects looking at MPP systems. The scope of this review is limited to the most developed ORE resources - wind and wave. While the terms MPP and multi-use of ocean space (MUS) are often used interchangeably, the distinction between the two has to be clearly understood. While MPP refers to a structure capable of exploiting the synergies between different ORE systems or aquaculture, MUS has a more general definition - 'the joint use of resources in close geographic proximity by either a single user or multiple users' [17] *i.e.*, not necessarily a single platform.

67 1.3. Overview of previous projects

Combining different ORE systems onto the same platform structure offers 68 several benefits: increased, more consistent power production, thanks to the 69 different patterns of different renewable energy sources, lower CAPEX-to-rated 70 power ratio, shared balance-of-plant operations and maintenance (O&M) costs, 71 positive dynamics interactions (e.g. wave energy converters (WECs) as addi-72 tional damping systems for the OWT with which are coupled, ensuring a lower 73 response to waves), are some of the main technological advantages. Pérez-74 Collazo et al. [18] have also identified potential project and legislative syner-75 gies. During the past decade, several European projects have investigated the 76 technical challenges of combined ORE extraction systems. The design concepts 77 defined by these projects are explained in detail, in the following paragraphs. 78

The MARINA platform project [19] identified three designs of OWT-WEC 79 combinations for further study - the spar torus combination (STC), the semi-80 submersible flap combination (SFC), and the 'OWC' array with a wind turbine. 81 In the STC [19], the NREL 5-MW OWT [20] is supported on a spar, and the 82 torus (an axisymmetric point absorber that operates mainly in heave) extracts 83 wave energy by moving along the spar, by means of a hydraulic power take-84 off (PTO) system. The SFC [21] comprises a semi-submersible floater with 85 four columns (one at the centre and three at the sides) connected by means of 86 pontoons. The central column supports the turbine and rotating flaps hinged at 87 the pontoons capture the wave energy, making use of a hydraulic PTO system to 88 generate electricity. The OWC array consists of a large floater having multiple 89 WECs and supporting a single wind turbine [22].

The ORECCA project [23] classified combined platforms into offshore hybrids and energy islands. The former refers to a combination of wind and wave (or tide) energy devices, while the latter denotes large multi-purpose platforms capable of utilizing multiple sources of ocean energy, possibly in combination with an offshore harbour [24].

A modular approach for multi-purpose platforms, combining the attributes of transport, energy, aquaculture and leisure (TEAL) was proposed by the TRO-POS project [25]. Different TEAL modules can be accommodated around a central unit to cater to the local socio-economic and environmental conditions, thus affording flexibility to the concept.

The H2OCEAN [26] project proposed multi-purpose platforms aimed at the production of hydrogen, perceived as the future energy vector. A farm of hybrid floating wind-wave energy devices, coupling a 5MW H-type vertical axis wind turbine (VAWT) with a WEC, provides electricity to a central platform, where the energy is transformed into hydrogen, and to an aquaculture system.

The MERMAID project [27] explored the possibility of using innovative multi-purpose platforms for combining food (aquaculture) and energy production, on the basis of site-specific environmental challenges.

The W2Power concept supports two WT's on a triangular platform, with WEC's attached in arrays along the 3 sides [28]. Each platform has been envisaged to reach a rated power around 10 MW. The WindWaveFloat intends to equip the WindFloat (a semi-submersible type FOWT structure with three columns) with different types of WECS, such as OWC's and point absorbers [29]. The addition of the WEC's were observed to have minimal influence on the motion of the support structure.

The Poseidon, developed by Floating Power Plant A/S, is a semi-submersible platform for combined wind-wave energy extraction, which can support 10 floating wave energy absorbers (3 kW) and 3 wind turbines (11 kW) [30]. The Wave Dragon, a multi-MW overtopping WEC, has been a pioneer in the field of wave energy generation [31]. The Wave Dragon is also capable of supporting two 2.3 MW wind turbines, with significant savings in the levelized cost of energy

122 (LCoE) [32].

123 1.3.1. MPP: comparison of functionality

The functionality of the MPP concepts discussed above are compared in Table 1. It is noticeable how the majority of the platforms are hybrid wind-wave energy devices, with only a few including aquaculture systems. Furthermore, it may be noted that majority of the concepts are publicly funded and subsidized, thus highlighting the novelty of the platforms. Also, the rated power varies from 10² to 10⁴ W, reflecting the potential variety of applications and markets of the MPP concepts.

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No.	Platform	Wind	Wave	Aquaculture	Solar
1	Sea Star Spar [33]	\checkmark		\checkmark	
2	STC [19]	\checkmark	\checkmark		
3	W2Power [28]	\checkmark	\checkmark		
4	SFC [21]	\checkmark	\checkmark		
5	OWC Array [22]	\checkmark	\checkmark		
6	Poseidon [30]	\checkmark	\checkmark		
7	TROPOS [34]	\checkmark		\checkmark	
8	MERMAID [35]	\checkmark	\checkmark		
9	WT - feed barge[36]	\checkmark		\checkmark	
10	Ocean Farm1 [37]			\checkmark	
11	Wave Dragon [31]	\checkmark			

Table 1: MPP concepts - Comparison of functionality

Over 200 scientific articles have been accessed as part of the present re-131 view paper, with most of them ($\sim 70\%$) published during or after 2010. The 132 methodology to organise this multidisciplinary review has been the following the 133 selected papers were initially classified on the basis of the discipline they rep-134 resent - technological (section 2), environmental (section 3) and socioeconomic 135 (section 4). Further, the literature reviewed in each section has been subdivided 136 with respect to the resource they exploit - ORE (wind, wave or combined) or 137 aquaculture. As overarching topic, including aspects from different disciplines, 138

the studies related to MPPs and risk are reviewed in section 5. An overview and
discussion of the literature reviewed is presented in section 6, with conclusions
provided in section 7.

¹⁴² 2. Technological aspects

143 2.1. ORE

The following subsections discuss the technological aspects of combined ORE 144 systems, where the outcomes of the previous projects have been highlighted. 145 While most concepts from these projects have been subjected to numerical mod-146 elling studies, a few of them have reached the stage of experimental testing as 147 well. The approaches followed in numerical modelling and experimental testing 148 have been mentioned in detail. Aquaculture systems (both standalone and in-149 tegrated with ORE devices) have also been discussed. A review of the control 150 aspects of MPPs is also presented in this section. 151

152 2.1.1. Model of dynamics: numerical and experimental methods

Several MPP systems described in Section 2.1 have been subjected to nu-153 merical modelling. The SFC and the STC have been numerically analyzed in 154 the time domain [38, 39], by coupling the SIMO [40] and RIFLEX [41] software. 155 SIMO is used to compute the hydrodynamic loads on rigid floating bodies. 156 RIFLEX is a nonlinear program for modelling wave loads on slender struc-157 tures, like mooring lines using the Morison equation, and aerodynamic loads 158 on wind turbine blades using blade element momentum (BEM) theory. The 159 models were a combination of flexible and rigid bodies, and potential theory 160 was used to estimate the wave loads. The same approach was adopted to model 161 the WindWEC [42], a hybrid combination of the Hywind SPAR [43] and the 162 Wavestar [44] WEC buoy. 163

Soulard *et al.* [45] used a wave to wire representation based on linear potential theory to model the fluid-structure interaction of a 100 m diameter circular hybrid platform (C-HyP), supporting the NREL 5-MW OWT, and an array of

oscillating WECs. Aerodynamic loads were imposed at the nacelle, through a 167 simplified procedure [46] which makes use of the relative wind speed with respect 168 to the platform motion. The motion of a multi-use platform (MUP) developed 169 for the MERMAID project [47] was studied in the time domain, using the open 170 source boundary element method solver, NEMOH [48]. A coupled system of 171 21 DOF's were used to model the interactions between the platform, WECs 172 and the air pressure inside the chambers. Quasi-static approaches were used to 173 represent the influence of the wind loads on the turbine. 174

Li *et al.* [49] proposed the Hywind-Wavebob-NACA 638xx Combination (HWNC) by integrating the Hywind SPAR [43] OWT with the Wavebob point absorbers [19] and tidal turbines [50]. Hydrodynamic and aerodynamic considerations were included by means of linear potential flow and blade element momentum theories, respectively. A multi-body dynamics approach was adopted for simulating mechanical connections and the mooring lines were represented using a lumped-mass approach.

No.	Platform	n Aerodynamics Hydrodynamics		Structural dyn.	
1	SFC [38]	BEM	potential flow	multibody	
2	STC [39]	wind drag force	potential flow	multibody	
3	WindWEC [42]	BEM	potential flow	rigid body/FEM	
4	C-HyP [45]	relative wind speed	potential flow	lumped mass	
5	MERMAID [47]	relative wind speed	potential flow	rigid body	
6	HWNC [49]	BEM	potential flow	multibody	

Table 2: Comparison of numerical models for MPPs

A summary of the numerical modelling approaches discussed is presented in Table 2. It can be observed that a variety of approaches have been adopted for representing the aerodynamics aspects, ranging from a simple static wind drag force (generated by a body that opposes the flow of wind), to the more accurate blade element momentum (BEM) theory (where the blades are divided into elements and the forces acting on them are summed up together). As far as the hydrodynamics is concerned, all the models adopt a wave diffraction based potential flow approach, which is ideal for large structures. The structural dynamics aspects are modelled with different approaches and level of fidelity/accuracy. In a rigid body approach, the whole body is considered rigid and therefore there is no elastic deformation, while in a multibody approach, the system is comprised of several bodies linked by joints that control their relative motion.

The SFC and the STC mentioned above have been tested experimentally, at 195 a scale of 1:50. The SFC consists of a semisubmersible floating wind turbine 196 and three fully submerged rotating flap-type WECs. The PTO configuration 197 of each of the WECs were physically modelled with the use of a shaft, two 198 pulleys, a timing belt, two tensioners and a linear mechanical rotary damper 199 with constant damping level during the execution of the tests [39]. The wind 200 turbine was modelled with a redesigned small-scale rotor that rotates during 201 the experiments. The quasi-static excitation, motion decay, response under 202 regular and irregular waves, without and with wind were tested for and a good 203 agreement was observed with numerical predictions. 204

The STC model was tested in two different basins to account for experimental uncertainties. Two model tests were performed to investigate the performance of the STC under the two survival modes in extreme conditions: when the torus is fixed to the spar at the mean water level and when the torus is fixed to the spar at a submerged position. The focus of the model tests was waveinduced loads and responses, and wind was also included to model the mean wind thrust on the wind turbine rotor [51, 38, 52].

The above mentioned previous European projects investigated the performance of platforms suitable for large wind farms of the order of 0.5 to 1 GW. There, however, arises a need to study the response of small MPP's capable of catering to the power requirements of remote and island communities, which might be substantially different from those already investigated.

217 2.2. Aquaculture

Aquaculture refers to the cultivation of fish and other aquatic organisms in a controlled manner, for human consumption. Marine aquaculture systems employ a variety of designs, based on the type of seafood harvested. They can be moored to the seabed, tied to a structure or towed by vessels [53]. Cage type structures anchored to the sea floor are generally preferred for finfish [54]. Shellfish cultivation is done either by bottom farming or by making use of lines suspended beneath floating bodies like buoys, rafts and longlines.

Aquaculture in more exposed, harsher conditions is perceived to be the next step, with a number of projects looking at suitable concepts, and a full scale pilot test facility represented by SalMar's "Ocean Farm1" [37], already in operation. The Ocean Farm 1 is a semi-submersible rigid cage of 110 m diameter, capable of housing up to 1.5 million salmon. Vessel type rigid floating cages or Havfarms [55], capable of withstanding 10 m significant wave heights are also being planned for use in the near future.

232 2.3. Combined ORE and Aquaculture

The Sea Star Spar [33] proposed a combination of a spar floating wind tur-233 bine and floating structures with sufficient buoyancy for the cultivation of fin-234 fish, shellfish or algae. Goseberg et al. [56] investigated the interaction be-235 tween OWT structures and aquaculture systems by experimentally analysing 236 the scaled model of a tripile supporting a 5 MW turbine, with a fish cage in-23 stalled between its legs. Variations in flow velocities and additional loads on 238 the substructure, arising from the presence of the cages were detected. Under 239 the MARIBE project [57], different combinations of offshore wind, wave and 240 aquaculture systems were identified, considering multi-use of space (MUS) and 241 MPP criteria. 242

Viúdez *et al.* [58] proposed the use of a spar-type OWT to create an artificial
upwelling of the nutrient-laden waters from the deep to increase the surface
fish production. An experimental study on wave energy systems at Lysekil on
the Swedish coast concluded that structural modification of the foundations

(perforations, in this case) and other components could lead to enhancements
in the fish population [59].

249 2.3.1. Control Strategies

Control systems form an integral part of any energy system. Coupling several ORE technologies and energy storage on a single MPP for aquaculture operations calls for a hybrid control system. This is due to the fact that while aquaculture requires a smooth, stable supply of power, ORE systems are highly dependent on their environmental source for power production. This can often result in periods of zero power production [60]. The existing scenario in control strategies for MPPs is reviewed in the following subsections.

257 2.3.1.1 Challenges of MPP control system

For an MPP combining ORE and aquaculture, while the latter can smooth the influence of waves and currents on the platform [61], it also has an impact on the layout of the power generation equipment [62]. Also, the interaction between the floating platform, wind turbine, WECs and energy storage (ES) devices, exists in many aspects such as the motion response, the dynamic loads and the control system, making the MPP a highly complex and coupled system.

The power supply of the MPP should be smooth and stable to meet the 264 requirements of both the platform operations and the aquaculture system. The 265 power transmission between land substation and the MPP also needs to be sta-266 ble. As a result, power generation, ES and electrical equipment on the MPP 267 need an overall power control and capacity management system. No comprehen-268 sive review of control technologies for MPPs currently exists. However, reviews 269 on control of wind and wave devices [63], and control of energy storage (ES) 270 systems [64], are useful for the present purpose. 271

Control systems for each ORE technology aims to operate the devices at their rated values by following an operating strategy, whilst maintaining safe operating conditions. The power generated by each technology depends on the renewable source cycle, leading to periods of zero power production. In an MPP,

the combination of wind, wave and ES technologies can minimize the time of 276 zero power production if shared control objectives are attained by means of a 277 platform-level controller. These objectives can be classified as maximization of 278 energy capture (wind and wave), regulation of generated power (wind, wave, 279 ES), mitigation of structural loads for MPPs with large power capacity (wind, 280 wave, platform), and reduction of unwanted platform swings and motions. For 281 some types of ORE, estimation of the input can be of further benefit to the 282 attainment of the control objectives, especially incident wave estimation for 283 WECs [63]. 284

The size of the MPP thus plays an important role in the definition of the control objectives. Promising wind/wave hybrid concepts estimate that the total installed capacity of around 10 - 20 MW would include 20 - 25% of wave energy, since energy efficiency of the WEC is much less than that of the wind turbine [65].

290 2.3.1.2 Management of power network and ES system

The control of the electrical system is often treated separately from the control 291 of the mechanical systems. The MPP grid is different from a conventional grid as 292 the former depends basically on a collection of inverters and synchronous and/or 293 asynchronous generators. Each generator will have a control system to provide 294 voltage and frequency regulation. A power network management strategy is 295 therefore required to provide the operating states to the local electrical control 296 systems and also to control power sharing and achieve network stabilization. 297 The power network management is developed based on the MPP size and type 298 of interconnection (*i.e.*, grid connected or isolated) [66]. Network stabilization 299 and reactive power compensation can be further improved by the use of FACTS 300 (flexible AC transmission systems) devices [67]. 301

A detailed local electrical network (*i.e.*, including loads, cabling network, protection, switchgear, transformers and a power network management, operation and control system) definition/identification is still required; as its optimal sizing to meet load requirements with minimum investment and operating costs [68]. Conventional methods for resynchronizing the local network to the main grid and power flow control between the two grids can be used [69].

An ES device can be used to suppress fluctuations of ORE [70]. Cao et 308 al. [71] proposed a battery energy management system (BEMS) strategy, and 309 the point estimate method is used to solve the volatility of ORE generation. 310 Osório et al. [72] studied battery pack modelling and health feature extraction 311 methods for an ocean power station to reduce the number of battery charging 312 and discharging cycles and dump load, and to improve the life of ES devices. 313 Methods of multi-scale energy management have been proposed based on power 314 generation/load forecasting, in which the multi-time scale energy management 315 model is combined with daily scheduling [70] and real-time scheduling [73]. Fur-316 ther, physical constraints also need to be addressed for ES system. 317

318 2.3.1.3 Island/sea power integration

The integration of island/sea area ORE power is mainly based on micro-grid 319 technology including AC, DC and AC-DC hybrid micro-grids. The DC micro-320 grid avoids many problems such as the loop-current between multi-inverter, 321 protection strategies of AC grids, which conforms better to systems with source 322 diversity and load diversity [74, 75]. According to the availability of grid sup-323 port, micro-grid can be divided into the grid-connected island power supply 324 system and the remote isolated power supply system. With the remote isolated 325 power supply, several demonstration projects have been completed and put into 326 operation, such as the Dongfushan and Nanji islands in China [76, 77]. 327

328 2.3.1.4 Island/marine micro-grid control and management

The micro-grid control system can be designed with different structures, *i.e.* the centralized control, the decentralized control and the hierarchical control. In the land-based and shore-based micro-grid demonstration projects, the centralized control is used more in Asia and the decentralized control mainly in Europe. The hierarchical model combines advantages of centralized and decentralized control,
allowing better flexibility and scalability [78]. For the MPP control system, the
use of hierarchical model is likely to be a better choice [79]. One recent successful
example is the three-tier hierarchical management model applied in the marine
micro-gird of China's Zhai Ruo mountain [80].

Grid planning has evolved from the realization of the grid-connected/offgrid function and the smooth transition process in early time (through classical control and various intelligent control theories) to the economical, reliable, highquality, environmentally power supply. The planning and design of the island micro grid can be realized from single-objective optimization to multi-objective optimization. Life cycle cost, power supply reliability, power supply quality and other indicators have been considered in the optimization [76, 81, 82, 83].

345 3. Environmental Aspects

346 3.1. Environmental Impact Assessment

347 3.1.1. ORE

Boehlert and Gill [84] have highlighted the major ecological and environ-348 mental concerns accompanying the development of various ORE systems. The 349 different forms of ORE extraction were considered in isolation and impacts were 350 studied on the basis of a stressor-receptor framework. Here, the former refers to 351 environmental features susceptible to change from ORE development and the 352 latter stands for elements of the ecosystem that may respond to the stressor. 353 Among the potential hazards were habitat loss, bird hits (from moving turbine 354 components), acoustic and electromagnetic emissions. Best practice measures 355 for the mitigation of the effect of WTs on birds have also been mentioned [85]. 356 Some WTs were recently dismantled in China due to the severe impact on the 357 bird migration [86], indicating the importance of site selection assessment for 358 the construction of wind farms. 359

Several environmental impact assessment studies have been carried out on individual wind and wave energy concepts. The main concern for acoustically-

sensitive species such as marine mammals to date has been the construction 362 phase of bottom fixed OWTs due to the widespread use of pile driving, with 363 comparatively limited focus on sounds emitted by operational OWTs, let alone 364 floating ones [87, 88, 89, 90]. Nonetheless, the ability of species such as harbour 365 seals and porpoises to detect and react to the sound emitted by operational 366 OWTs has been identified as a potential concern [91]. Marine fish and inver-367 tebrates may be similarly hampered in terms of communication masking and 368 disturbance [92, 93], although there is presently no evidence of noise emitted by 369 operational OWTs causing physiological damage in fish [94]. Offshore WECs 370 might present a collision risk for diving species and can potentially change lo-371 cal oceanographic processes by extracting large amounts of incident wave en-372 ergy [95]. 373

In addition to the adverse impacts, the potential benefits of ORE systems 374 to biodiversity have also been suggested [96]. These include the potential for 375 ORE structures to act as secondary artificial reefs to aid in the enhancement 376 of fisheries and rehabilitation of marine habitats [97, 98, 99]. Floating ORE 377 installations also have the capacity to act as local fish aggregation devices [97, 378 100]. As fishing around ORE installations is often prohibited, such areas can 379 serve as miniature impromptu Marine Protected Areas (MPAs) [101]. Potential 380 effects on wild species, as well as associated commercial/recreational fisheries, 381 of this inadvertent protection presently remain poorly understood. 382

383 3.1.2. Aquaculture

At-sea aquaculture, particularly those involving finfish, can have multiple impacts on the surrounding marine environment (summarized by Tett *et al.* [102] focusing on Atlantic salmon). These include, in no particular order:

impacts on wild fish stocks through increased parasite and pathogen densities [103, 104, 105, 106], competition between wild and escaped fish for resources [107, 108, 109], and genetic dilution of local wild fish stocks through interbreeding with escaped fish (an acute problem for salmonids [110, 111])

• degradation of surrounding seafloor communities through deposition of organic waste [112, 113], reduction in dissolved oxygen [114], eutrophication [115, 116, 117] and dispersal of various chemicals [118, 119]

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• direct and indirect impacts on large mobile species such as marine mammals and seabirds, including shooting and exposure to loud underwater noises (to prevent depredation of cultured fish [120, 121]), accidental entanglement in nets and moorings [122], and displacement from potentially important habitats due to fish farm-associated activities [123, 124]

Some positive impacts on particular species include provision of food and 400 shelter for wild fish, and foraging and resting opportunities for marine mam-401 mals and seabirds (although this increases the risk of further negative inter-402 actions outlined above). Some of these impacts are indirectly driven by the 403 inshore, sheltered nature of the sites where finfish aquaculture has developed 404 to date. There is pressure to expand the sector into more exposed, offshore lo-405 cations, which may reduce or modify some of the aforementioned impacts (e.g.406 eutrophication, attraction of wild fish) due to greater exposure and stronger 407 water movements; however, the nature of these changes, if any, remains poorly 408 understood and difficult to predict at present [125]. 409

As for the considerable amount of biogenic waste such as organic wastes and 410 inorganic nutrients that are generated in the fish farming process, trash fish 411 (small fish of low commercial value) feeding showed more severe cumulative im-412 pact to the aquatic and sediment environment than pellet feed [126, 127, 128]. 413 Trash fish is still a popular traditional feed for marine carnivorous fish in China 414 and many Asian countries, and this practice is likely to persist for some time 415 despite farmers are encouraged to use pelleted feed to minimize the environ-416 mental impact. Field monitoring, lab tank experiment and bioenergetics mod-417 els were both applied to quantify the wastes generations and the environmental 418 impact [128, 129, 130, 131, 132]. 419

420 3.1.3. Combined ORE and Aquaculture

While ORE and aquaculture have matured as separate industries, the environmental impacts when these sectors are combined within a single site are very poorly understood and almost entirely based on theoretical projection of the single industry impacts on to a multi-use site. Much of this understanding has come from the European funded projects mentioned in section 1.3 and through application of cumulative effects assessment methods [133, 134].

The TROPOS project made use of an impact assessment approach to study 427 the effects of combining the two industries, as opposed to a single use sce-428 nario [135]. To allow a direct comparison, a semi-quantitative scale was used 429 for each impact category. This methodology used the difference between the 430 impacts of a single use platform compared to those of a multi-use platform, and 431 combined the impacts either on a an additive value of through the use of which 432 ever value was highest, and this was conducted for both negative or positive 433 attributes. It was concluded that while the impacts are similar for the single 434 and multi-use approaches, the latter had the advantage of integrating diverse 435 activities in a common location. 436

The H2OCEAN project was recognized that the impacts of different sectors may combine, and that the cumulative effects may reach thresholds of impacts, therefore recommending a comprehensive cumulative impact assessment [136]. Understanding of the impacts from multiple sectors of the system was limited to recommendations on the location of the living quarters, and their outfalls, to prevent potential conflicts [137].

Within the framework of an expert opinion approach, the MERMAID project identified a number of scenarios involving different combinations of aquaculture and ORE systems. Common environmental benefits, such as structures providing a refuge for wild fisheries species and operational constraints like increased bio-fouling, were also listed. A framework for risk analysis was also defined, including internal environmental interactions between the biota and different types of foundation and material [61].

450 3.2. Ecological Modelling

Modelling the effect of the installation of an MPP system in a marine ecosys-451 tem is challenging. On a large spatial scale, deployment of offshore structures 452 for ORE generation will lead to exclusion zones, limiting the access to the area 453 for several users such as shipping, fishing and tourism [138, 139, 140, 141]. 454 Such infrastructure can also underpin development of 'artificial reefs', supply-455 ing nursery areas and feeding grounds for fish species [142, 97]. Species larvae 456 and juveniles can disperse to the surrounding areas leading to a 'spill-over ef-457 fect', enhancing local production [143, 144, 145]. These infrastructures can 458 also create new substrates for benthic organisms [146, 99, 147]. The creation 459 of new benthic habitats can lead to either displacement or attraction of ben-460 thic species in the local area, resulting in changes to local food-web dynamics 461 with both positive and negative impacts on species distribution and abundances 462 [148, 149, 150, 151].463

Aquaculture associated with the MPP structure can increase the productivity in the water column and on the surrounding sediment (detritus enrichment): depending on the characteristics of the surrounding environment, this increased productivity can lead to large-scale impact, attracting top-predators species [152], and small-scale impact, affecting benthic faunal communities, important food source for many species including those of commercial importance [83].

⁴⁷⁰ 'Exclusion zones effect' and 'artificial reef effect' can therefore lead to both ⁴⁷¹ synergies and conflicts with other marine users, notably the fishing industry ⁴⁷² [153, 154, 155]. Modelling small-scale impacts will require a high resolution of ⁴⁷³ the model spatial grid with associated high computing power [141, 83].

Ecosystem-based approaches are necessary to investigate the cumulative effects of human impacts on marine ecosystems [156, 157, 158, 159, 160, 161, 144, 162, 145, 163]. Ecosystem models have proved to be a powerful tool for monitoring natural variability, assessing impacts of natural and anthropogenic environmental changes and advising management measures [164, 165, 161, 166]. The Ecopath with Ecosim and Ecospace (EwE) modelling approach has been

considered one of the most suitable tools for evaluating the direct and indirect 480 effects of anthropogenic pressures on large spatial scale ecosystem dynamics 481 [167, 168, 161, 169]. EwE models have been successfully used to evaluate how 482 these pressures cascade through the food-web. For example, changing in the 483 spatial distribution of top predators (cetaceans, large fish and seabirds) will 484 affect the entire marine ecosystem through top-down control pathways [170, 485 152]. Similarly, changing of primary productivity can cascade through the food-486 web by means of bottom-up controls [144, 145] as well as environmental drivers 487 [144, 171]. The use of Ecospace to assess cumulative impacts of these effects 488 have been exponentially increased since the later development of this software 489 [172, 169, 144, 173], with new capabilities of coupling the spatial model with 490 external spatial data (e.g. spatial habitats and hydrodynamic drivers). The 491 EwE model has also been applied in the Bohai Sea, the Yellow Sea and the East 492 China Sea to describe the energy transformation between trophic levels [174], 493 to demonstrate the fishery resources declining due to overfishing [175] and the 494 predominant fish species variation in the past decades [176, 177, 178]. 495

496 4. Socio-economic aspects

Socio-economic is a term that defines the effect of a project - its development,
operation and decommissioning, on the local population, or the society. There
have been numerous studies on the socio-economic impacts of ORE and aquaculture, mostly undertaken on the basis of stakeholder interviews and surveys.
The main inferences are listed below.

- 502 4.1. ORE
- 503 4.1.1. Offshore wind

Despite the advantages that offshore wind offers, several socio-economic drawbacks have been identified. A particularly problematic factor hampering the development of onshore wind farms, is public opposition arising from social concerns, such as visual pollution and the impact of noise [179]. Even as offshore wind farms are considered to be less intrusive than those onshore [180], public perception of the visual impact, notably shadow flicker and the impact on seascapes, remains an important concern [181].

In addition, whilst the underwater noise caused by the operation of wind 511 farms has recently been shown to be of very low level and probably insufficient 512 to cause any significant environmental effects [182], the noise which is created 513 by the impact piling required for the foundation installation of OWTs has been 514 found to be of extremely high level [183, 184, 89]. Such issues represent a 515 challenge for the industry, planners and regulators as they can influence public 516 opinion, which studies have observed to be dependent on demographics [185], 517 with for instance, older people being more concerned with visual pollution [186]. 518 Opposition also arises from concern over the fate of the local fishery industry 519 [187, 188]. On the other hand, support for OWT projects arise from the under-520 standing that they provide a non-polluting energy source, capable of generating 521 jobs and contributing to the economy [189, 190]. 522

523 4.1.2. Wave energy

The emergent nature of WECs, and the lack of commercial-scale deployments, results in uncertainties surrounding their potential positive and negative socio-economic effects and impacts. However, studies have highlighted a range of socio-economic impacts associated with WEC developments typically including demography, employment and regional income; sea and land use; aesthetics; infrastructure; socio-cultural systems and implications for other maritime activities such as fisheries, tourism and recreation [191, 192, 193, 194].

In addition to the benefit of providing a new source of electricity from a local, low carbon energy source, WEC developments will potentially bring economic benefits including the creation of jobs, the development of new supply chains and investment in infrastructure required to support such developments [194, 195]. However, uncertainties regarding the delivery of such benefits, and the potential displacement of jobs in different sectors have been identified as concerns [194]. Notably, WECs, like any other marine development activity, inevitably cause a change in the use of the ocean space at the deployment site that is likely to
disrupt or displace the activities of other users of the area. Of increasing concern
are potential restrictions on the accessibility and use of the surrounding marine
space, introducing the risk of conflicts with other marine resource users and
stakeholders [194, 196, 197, 198].

As with environmental concerns, the socio-economic effects and impacts of 543 WEC will vary with the stage (construction, operation and decommissioning) 544 and scale of the project and will depend on the location, communities, econ-545 omy and environment in that area. For example, concerns regarding the ability 546 of communities to adapt to changing demand for new services, skills through-547 out the WEC project lifecycle are emerging [194]. Furthermore, whilst some 548 studies have argued that unlike wind energy, WEC developments do not cause 549 visual pollution, as they are often located at great distances from the coasts 550 and have mostly submerged configurations, this may not be true with differ-551 ing WEC designs, deployment sites and community perceptions of the marine 552 environment [199]. 553

A further concern is that WECs may also provide non-market benefits, such as providing coastal protection benefits, they may negatively impact upon the ecosystems services and provisioning services, such as fisheries and the cultural services provided by the marine and coastal environment [194, 200]. Such issues are of particular concern because WEC developments are proposed in rural coastal locations and islands, where strong cultural ties to the marine and coastal environment exist and may result in community opposition [194].

Indeed, emergent research investigating such issues has identified divergent 561 views on the socio-economic benefits and appropriateness of wave energy de-562 velopment [194]. For example, studies have attributed public support to wave 563 energy being perceived as a renewable source and its capability to boost the 564 local economy, without affecting established activities like fishing [201, 191]. 565 Elsewhere, perceptions and support for WEC and ORE have been associated 566 with place attachment, community pride and the 'symbolic fit' of place and 567 technology [194, 202]. For example, Alexander et al. [141, 203] found broad 568

⁵⁶⁹ support for WEC amongst Scottish fishers. However, the nascent nature of
⁵⁷⁰ WEC technologies and deployment means that many are yet to form their views
⁵⁷¹ and opinions [191].

With community buy-in and support being vital to WEC deployment, there 572 is clearly a need to maximize community benefits [194]. For example, some com-573 munities have questioned the potential benefits of WEC developments, which 574 may result in increased electricity prices or taxes if subsidized. Thus, calls 575 for wealth distribution and community benefit schemes, similar to those as-576 sociated with other industries, have been made [194, 204]. These may range 577 from community payments, to new forms of business models, including shared 578 or community owned schemes [194]. The embryonic nature of WECs and the 579 lack of commercial deployments also mean that there is a paucity of empirical 580 research investigating their real world socio-economic impacts. 581

582 4.2. Aquaculture

Based on the available literature, Krause and Mikkelsen [205] have attempted 583 to capture the socio-economic aspects of aquaculture, in a multi-use perspective. 584 Socio-economic analysis have always taken the back seat, with regard to the de-585 velopment of aquaculture activities, the focus being on technical and biological 586 issues [206]. Further, exiting studies concentrated on the influence of salmon, 587 shrimp and seaweed farming [207]. Socio-economic studies for aquaculture have 588 to be tailored to suit the local economic and geo-political settings and the out-589 comes cannot be generalized. For instance, in a study on risk perception and 590 management in Norwegian aquaculture, fish farmers were more concerned about 591 the future prices of their stock and potential disease outbreaks. On the other 592 hand, they were least bothered about aesthetic considerations and repugnance 593 to the public [208]. 594

While food and jobs are the main direct socio-economic benefits from aquaculture, it has to be noted that in many Asian countries like Vietnam and Bangladesh [209, 210], the fishermen community is struggling to survive with the generated income. Further, the negative effects of aquaculture have been difficult to quantify, mainly due to the lack of knowledge and awareness among the consumers [211]. The recent decades have witnessed large degradation of the coastal marine environment and its resources in many areas, at least partly due to an unprecedented growth of the aquaculture industry, coupled with the absence of proper national and international regulations, policies and management strategies [212, 213].

There are several studies on the sustainable development of offshore aquaculture, wherein the society, economics and environment are given due consideration [214, 215]. Proposed aquaculture developments have been known to cause concern among local fishing communities [216]. Often, the concerns involve potential risks pertaining to the aquaculture development [217].

⁶¹⁰ 5. MPPs and Risk

The offshore environment is associated with high technical risks arising from the mechanical forces, corrosion, biofouling, extreme conditions and unreliable moorings [13].

Research investigating such risks is emerging, with the MARIBE project considering the technical and non-technical challenges associated with MPP including risk perceptions of new technologies and their combinations [14].

A simple methodology for assessing the risks associated with MPPs, considering operation, economic, environmental, socio-economic, financial, political and health and safety risks across the different phases of a project was presented [14].

The MERMAID project utilized the Policy, Economic, Social, Technical, Environmental and Legal (PESTEL) approach (also known as PESTLE), along with stakeholder analysis to gain a clearer understanding of external factors affecting future MPP developments [11]. This identified legal and policy, social, environmental, technical and economic issues as presenting key obstacles to MPP.

627 Legal and policy obstacles identified included complicated bureaucracy, poor

dialogue between public institutions and difficulties identifying responsibilities for permits, and a lack of codes and standards [11]. Social obstacles refer to potential conflict with near-shore and offshore fisheries, tourism and shipping routes.

Further, activities that change the marine landscape were deemed socially unacceptable by stakeholders. However, some perceived social obstacles (*e.g.* anchoring issues) stem from a current lack of experience and understanding of ORE installations.

Other concerns are about insurance (costs may increase once the potential types of accidents insurers will have to cover become clearer) and the financial feasibility of combining some activities (*e.g.* mussel and seaweed farming with offshore wind farm (OWF)), due to the reluctance of OWF operators to share space due to potential risks arising from multiple uses.

While new jobs and revenue streams are obvious socio-economic benefits of MPP projects, there was also evidence of potential conflict with fishing communities and between wave energy production and energy suppliers, equipment and machinery, and marine transport. In particular, the offshore wind industry is concerned about potential risks (*e.g.* collision and corrosion) arising from MPP developments [218].

Such findings highlight the need for further research, investigating the po-647 tential socio-environmental-policy-technological risks, opportunities (e.q. new 648 business models), challenges (e.q., barriers, and enabling mechanisms), trade-649 offs associated with MPPs, and their governance. Given the complex and mul-650 tifaceted emergent properties, and trade-offs associated with the multiple ac-651 tivities that MPPs comprise, new trans-disciplinary methods, adopting systems 652 approaches resilience-thinking, will be vital to overcome the limitations associ-653 ated with traditional single discipline approaches [194, 219]. 654

This will require consideration of critical systems functions, interactions and inter-dependencies, and their uncertainties, together with levels of robustness and resilience of the MPP and its component systems, across its life-cycle, under a range of conditions including low-frequency high consequence extreme events (e.g. typhoons and storm surges) [219]. Furthermore, greater consideration of
cumulative effects, requiring new approaches to overcome recognized limitations
in CEA practice are required [133, 134]. Such studies will support the identification of priority risks and monitoring, management and mitigation measures
to reduce MPP risks and vulnerabilities.

In parallel research assessing the socio-environmental impacts and benefits of MPP is urgently required. Critically this should include consideration of societal acceptability and values, potentially affecting MPP development, and strategies for optimizing their benefits and reducing the risk of conflict. For example, siting MPP further offshore may avoid nearshore conflicts with sectors such as tourism and navigation [12]. This in turn will requires exploration of the governance challenges associated with MPP.

Here, it is argued that MPPs and co-location of activities could result in the development of a common regulatory framework, resulting in more co-ordinated marine spatial planning and simplified licensing procedures [13]. In parallel, with research highlighting a wide range of potential legal issues surrounding ORE [220], studies exploring the legal implications of MPP, notably liabilities and the potential need for new forms of business model, will be of importance to the sector.

678 6. Overview and discussion

As previously stated, due to the scarcity of references analyzing MPP systems, the scope of the review has been expanded also to research works analyzing single energy source systems/single aquaculture systems.

In the synoptic Table 3, the references cited have been classified against the type of system analysed:

A ORE systems extracting energy only from one type of energy source (single purpose);

⁶⁸⁶ B Aquaculture (only) systems;

System \rightarrow	A. ORE systems	B. Aqua-	C. MPP		D. N	IPP
	– single energy	-culture	without		wi	$^{\mathrm{th}}$
Aspect \downarrow	source	systems	aquaculture		aquaculture	
	[221, 222, 20, 63]	[53, 54, 37]	[16, 12, 18]		[56, 58]	High
	[223, 224, 225]		[19, 23, 25]	[13]	[59, 33]	Level
			[26, 27, 221]		[218]	[10, 25]
Techno-			[222, 21, 24]			[27]
-logical			[28, 29, 30]			Detailed
			[38, 39, 42]			[11, 57]
			[45, 47, 49]			[226, 61]
			[227, 228, 67]			
			[68, 225]			
			[229, 230]			
Environ-	[84, 85, 91]	[53, 231]	[135]		[135, 136]	
	[94, 95, 96]	[232, 233, 234]			[137]	
-mental	[97, 98, 99]	[235, 236]				
	[15] (Wind) [7, 179]	[9, 205, 206]	[13, 135]		[12, 13]	
	[180, 181, 185, 186]	[207, 208, 209]			[237, 100]	
Socio-	[190, 187, 188, 189]	[210, 211]			[135]	
-economic	(Wave) [199]	(Policy) [238]			(Policy)	
	[201, 191]				[239]	
	(Policy) [2, 3]					

Table 3: System classification of references	3
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687 C MPP coupling offshore systems extracting renewable energy from two or more

sources, but not coupled with aquaculture system/s;

D MPP coupling offshore systems extracting renewable energy from two or more
 sources, including aquaculture system/s;

and against the aspect/s considered, i.e. if mainly concentrating on the technological (engineering) aspects, the environmental impact aspects, the socio-

⁶⁹³ economic aspects, or all of them.

6.1. Main considerations on the literature reviewed

Most of the research has been focused on the technological aspects of the 695 MPP systems, followed by the socio-economic aspects, and then the environ-696 mental impact aspects. This can be explained by the Technology Readiness 697 Level (TRL) of the research conducted in this area, which in most cases can be 698 assessed as between TRL 2 and TRL 6, if the TRL scale used by Horizon 2020 699 EU funding scheme is adopted, reported in Table 4. As it can be seen, even if it 700 is not the only aspect, from TRL 1 to TRL 6 the focus is on the technological 701 aspects. 702

Table 4: Technology Readiness Level [240]

TRL 1	Basic principle observed
TRL 2	Technology concept formulated
TRL 3	Experimental proof of concept
TRL 4	Technology validated in lab
TRL 5	Technology validated in relevant environment
TRL 6	Technology demonstrated in relevant environment
TRL 7	System prototype demonstration in operational environment
TRL 8	System complete and qualified
TRL 9	Actual system proven in operational environment

An important first consideration following up from this one is indeed that, despite the substantial advantages obtained if both the environmental and the socio-economic aspects are considered from the early stage of the technology development, the need to adopt a multidisciplinary approach is still not common practice even for commercially mature systems, with only few noticeable examples [11, 14, 57, 61, 226].

The second consideration is that the research done on MPP has been much more focused on the so called 'hybrid' ORE systems, i.e. coupling wind, wave, and tidal systems, but considering the direct coupling or the close co-location of ⁷¹² aquaculture systems in very few cases, especially when considering the techno⁷¹³ logical aspects. The Blue Growth EU-funded projects have started to address
⁷¹⁴ this gap in knowledge, and MERMAID [27]), but have also highlighted the
⁷¹⁵ several multidisciplinary challenges to be tackled.

The third observation is that these European projects had been focusing 716 on large commercial scale installations, MPP farms, consisting in installed ca-717 pacifies of the order of hundreds of MW to GW, connected to the grid and, 718 whenever considered, aquaculture systems of commercial scale. Nonetheless, 719 as demonstrated by some pilot projects in China (Daguan [221], Dawanshan 720 [222], and Sehngshan [222]), there is a strong potential for small scale MPP 721 to serve remote, isolated communities, providing not only a sustainable, safe, 722 affordable source of energy, but also socio-economic benefits such as food and 723 jobs. 724

Furthermore, also in the EU there is a growing research interest in coupling 725 sustainable source of energy to offshore aquaculture facilities [36, 241]. The 726 research on small scale MPP can certainly learn and build upon the ones done for 727 large scale MPP farms, but it is likely that there will be some specific challenges. 728 For example, the scale of the environmental impact is completely different, the 729 metocean conditions will have a higher impact on the dynamic response of the 730 MPP, and the dynamics of the different systems (wind, wave, solar, aquaculture) 731 may be more strongly coupled. There is certainly a need for further research. 732

733 7. Conclusion

An emerging interest in the development of multi-purpose platforms, exploiting the synergies among ORE and aquaculture industries, has been observed
over the past decade.

Based on this analysis, the following main points can be derived, which also
constitute a statement of the current gaps in knowledge:

• In general, there is a scarcity of literature specifically on MPP systems, probably due to this technology's low TRL level, and the lack of full scale,

- ⁷⁴¹ but also small scale outdoor prototypes;
- Therefore, at the moment, this area of research has to rely on singlepurpose, single discipline studies to develop a multidisciplinary analysis framework for MPP systems: the present article aims at providing an overview of the available material to develop such framework;

If the number of sources on MPP can be considered proportional to the research effort, most of the effort so far has been allocated to the technological aspects (again, probably due to the low TRL level), while the socio-economic and environmental aspects have been investigated to a lower extent;

• The adoption of a multidisciplinary approach is still not common practice even for more mature, single-purpose offshore systems, with only few noticeable examples;

The majority of the literature focuses on a small subset of MPP, coupling
 only different ORE devices, but not integrating or co-locating aquaculture
 systems, with only relatively few recent EU-funded projects that started
 to address this gap in knowledge;

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