

# Asteroid flyby opportunities using semi-autonomous CubeSats: mission design and science opportunities

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## ABSTRACT

CubeSat technology has recently attracted great interest from the scientific community, industry and space agencies, and represents today an exciting movement towards a more affordable and accessible space industry. In view of potential applications of CubeSat technology to small-body planetary exploration, this paper studies the feasibility of using autonomous CubeSats to flyby near-Earth asteroids. This work provides an overview of the current state of CubeSat technology and proposes a 3U CubeSat mission using primarily off-the-shelf components. The proposed mission considers a CubeSat is deployed by a larger spacecraft in a periodic orbit around the first (L1) or the second (L2) Sun-Earth Lagrange points (common destinations to observe the Sun and outer space), from where fuel-optimal impulsive trajectories are designed to flyby asteroids between 2019 and 2025. Navigation support and ground operations costs still represent a major challenge for interplanetary CubeSats. As such, Monte Carlo simulations are performed to determine the flyby accuracies that can be accomplished by a 3U CubeSat flying autonomously (i.e., using observations of the Sun during cruise and observations of the asteroid before the flyby to estimate its own trajectory, instead of using ground stations for navigation support). Asteroid flyby opportunities for an autonomous 3U CubeSat are identified between years 2019 and 2025. Flyby altitudes below 500 km are found possible with currently-available CubeSat components. Possible science payloads are also over-viewed, and the potential scientific return of such a low-cost mission is discussed.

### Keywords:

Interplanetary CubeSats

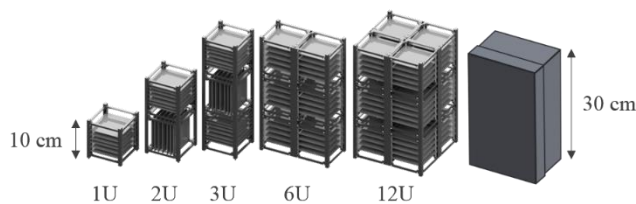
Near-Earth asteroids

Trajectory design

Autonomous navigation

## 1. Introduction

Miniature spacecraft the size of a shoebox (known as CubeSats—see Fig. 1) have gathered significant interest amongst the scientific community, industry, and space agencies over the past decade. In an effort to transform space exploration into a more affordable and accessible industry, CubeSats offer a low-cost alternative to traditional space missions and have promoted the standardization and miniaturization of spacecraft components.



**Fig. 1.** CubeSat 1U–12U structures (modified from Radius Space, 2016).

CubeSats come in different sizes (all of which are composed of 10 cm x 10 cm x 10 cm cubical units—

denoted as 1U), and although their capabilities are limited by their small mass and volume, they are currently employed in low-Earth orbit (LEO) for communication purposes and for Earth observation (Aslan et al., 2013; Jove-Casulleras et al., 2012; Praks et al., 2015).

The CubeSat industry is rapidly evolving, and easily-implemented, ready-to-use components are now produced by a variety of manufacturers. These commercial off-the-shelf components allow for a cost reduction in the development and implementation of CubeSats, ultimately enabling space missions with budgets 5–10% those of traditional missions (Heidt et al., 2000; Selva and Krejci, 2013).

As such, a current trend in the space community is conceptualizing the potential applications and novel mission architectures now enabled by these miniature spacecraft (Poghosyan and Golkar, 2017). Proposed applications for CubeSats include biological experiments and astronomical observations from LEO, the observation and study of the Moon, supporting larger spacecraft

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missions, the exploration of comets, asteroids, planets and other planetary bodies, etc.

Proposed CubeSat missions beyond LEO generally consider deploying CubeSats from a launch vehicle or a larger spacecraft that is already in space (Hunter, 2015). In view of their limited propulsion capabilities, these so-called “piggyback” opportunities represent the most viable option for CubeSats to reach farther destinations. CubeSats have been successfully deployed from the International Space Station (ISS) and NASA’s Atlas V launcher (Pournelle, 2014; Schoolcraft et al., 2016), and will soon be launched by NASA’s new Space Launch System (SLS) (Singer et al., 2017). Although numerous and varied missions have been proposed, only one mission is planned to flyby an asteroid using CubeSats: the Near-Earth Asteroid (NEA) Scout mission (a 6U, <12kg CubeSat mission), which will be launched along NASA’s SLS in 2019 (Mcnutt et al., 2014).

In response to the current interest in understanding the potential of CubeSats (e.g., how far they can go, what kind of science they can achieve, how small missions can be, etc.), this work studies the feasibility of flying by a near-Earth asteroid using a 3U CubeSat (i.e., <4kg), evaluates the flyby altitudes that can be achieved, and discusses the potential scientific impact of such a mission.

In addition to investigating whether asteroid exploration is possible using smaller CubeSats, this work also analyzes the possibility of performing autonomous navigation and guidance instead of using ground segment operations to estimate the position and velocity of the spacecraft, and to correct its trajectory—which generally requires extensive workforce and the use of large and costly ground stations such as the Deep Space Network (DSN). Although the development cost of CubeSat missions is highly reduced in comparison to larger missions, ground operations costs do not scale down proportionally to spacecraft size and still represent a very significant—sometimes inviable—fraction of mission budgets (Cheung et al., 2015).

If the study of asteroids is demonstrated to be possible using autonomous 3U CubeSats, these could provide a low-cost solution to determine initial stages of solar system formation through asteroid exploration (or the primordial sources of organic matter if used for cometary exploration) (National Research Council, 2013), they could be used to identify potential targets for future missions, to complement the scientific objectives of larger missions, or to support the emerging asteroid mining industry (Calla et al., 2017), etc. Noteworthy small-satellite missions proposed by commercial asteroid mining

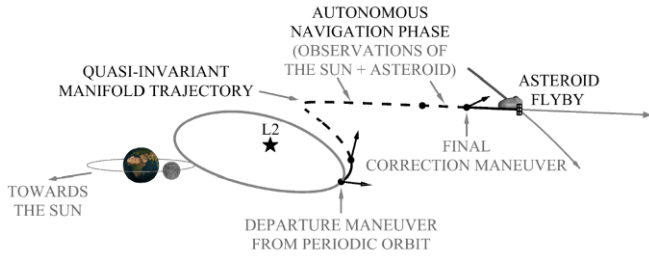
companies include, for instance, Deep Space Industries’ 50-kg microsatellite mission to land on an asteroid (Bonin et al., 2016), and Planetary Resources’ already-in-orbit 6U CubeSat mission to demonstrate asteroid prospecting technologies (Planetary Resources, 2018).

The following sections describe the proposed asteroid exploration mission concept (Section 2), provide an overview of the current state of CubeSat technology and available off-the-shelf components, and propose a 3U CubeSat design (Section 3), explain the trajectory design and target selection process (Section 4), study the feasibility of performing autonomous navigation and determine attainable asteroid flyby altitudes (Section 5), and assess the potential scientific return of the mission (Section 6).

## 2. Mission concept

In preparation for future scientific missions to the Sun-Earth Lagrange points, this paper considers the possibility of deploying a CubeSat from a larger spacecraft in a periodic orbit around the first (L1) or the second (L2) Lagrange points. The Sun-Earth Lagrange points are common destinations for scientific missions to observe the Sun and outer space. Over the past 40 years, seven missions have been launched to L1 (e.g., SOHO in 1995 (Domingo et al., 1995), LISA Pathfinder in 2015 (McNamara and Racca, 2009)), and four to L2 (e.g., Herschel and Planck in 2009 (Pilbratt, 2001; Tauber, 2004), Gaia in 2013 (Prusti et al., 2016)). Piggyback opportunities to L1 and L2 are certainly not as common as LEO or cislunar deployments, but a few scientific missions could provide a ride to L2 in the upcoming years: e.g., James Webb Space Telescope is scheduled for launch in 2019 (Greenhouse et al., 2011), and Euclid in 2020 (Laureijs et al., 2014), as well as NASA’s WFIRST and ESA’s PLATO and ARIEL missions which are planned for the mid- and late-2020s (ESA, 2017a, 2017b; NASA, 2015a).

In particular, Lissajous and halo orbits around the Lagrange points are commonly used periodic orbits that provide continuous observation of the Sun, and continuous communication with Earth (Howell, 2001). If deployed from a larger spacecraft already orbiting L1 or L2, the CubeSat could perform one propulsive maneuver to depart from the periodic orbit, and (if necessary) a second maneuver to flyby an asteroid passing near the Earth (see Fig. 2). The span of the mission is here limited to 150 days (from the time of departure from L1 or L2) as to confine the demanding implications of long-duration, deep-space travel (see Section 3.1), and the time



**Fig. 2.** Near-Earth asteroid flyby with departure from L2 halo orbit.

window considered for the mission spans from 2019 to 2025.

As aforementioned, and in order to reduce the use of ground stations and overall cost of the mission, estimation of the CubeSat’s position and velocity is performed autonomously. In this work, only observations of the Sun during cruise and observations of the asteroid prior to the flyby are used to autonomously estimate the actual states of the CubeSat as it approaches its target (for simplicity in spacecraft operations and in the navigation campaign).

The information from this navigation phase is then used to perform a final maneuver to correct the trajectory of the CubeSat and remain as close as possible to the designed flyby trajectory. This final correction maneuver is found to be essential for maximized scientific return, as otherwise the resulting trajectories would greatly differ from the designed flyby trajectories—due to, for instance, inaccuracies in the execution of the propulsive maneuvers, or to uncertainties in the position and velocity at the time of departure from around the Lagrange points.

The mission, hereafter referred to as NEARCube (Near-Earth Asteroid Reconnaissance using CubeSats), is therefore designed so that one or two (fuel-optimal) propulsive maneuvers allow a CubeSat to flyby a near-Earth asteroid from an orbit around the Sun-Earth Lagrange points. Along its <150-day trajectory, the CubeSat will also collect observations of the Sun and the asteroid for navigation purposes, and finally perform an additional correction maneuver to improve the quality of the flyby. The trajectory analysis is performed here considering the gravitational influences of the Sun and the Earth only, employing the dynamical model known as the Sun-Earth Circular Restricted Three-Body Problem (CR3BP) (Marchal, 1990; Poincaré and Magini, 1899; Szebehely and Jefferys, 1967).

**Table 1**  
NEARCube mission requirements.

Requirement	Description
1. Sun-Earth L1/L2 piggyback opportunity between 2019 and 2025	CubeSat is deployed from a larger spacecraft in an orbit around L1 or L2. CubeSat should withstand environmental conditions before and after deployment
2. Propulsive capability	On-board propulsion system should allow the CubeSat to reach an asteroid from L1/L2 and to correct its own trajectory prior to the flyby
3. <150-day mission duration	Asteroid flyby trajectory should be less than 150 days as to confine environmental implications of deep-space travel
4. Autonomous navigation	(a) CubeSat should autonomously estimate its own trajectory prior to the flyby, using only observations of the Sun during cruise and of the asteroid before the flyby (b) On-board computational power should suffice to process the observations and to calculate the required trajectory correction maneuver before the flyby
5. Payload allocation	Systems design should allocate sufficient space for the integration of a science payload to perform science during the flyby
6. High Technology Readiness Level (TRL)	Spacecraft components should be readily available on the market, have flight heritage, or be currently under development

General mission requirements for such an asteroid flyby mission are summarized in Table 1, which strongly drive the spacecraft component selection discussed in Section 3.

### 3. State of the art and mission specifications

CubeSats have dramatically grown in popularity since their first launch in 2003 (Swartwout, 2013). Nearly 800 CubeSats have been launched to LEO within the last 15 years (Nanosatellite & CubeSat Database, 2017), and CubeSat technology continues to mature and provide more attractive applications.

**Table 2**

CubeSat and small satellite missions of interest to the NEARCube concept study.

Name	Year	Destination	Duration	Description	References
MarCO	2018	Mars flyby	6 months	NASA's two 6U CubeSat mission to flyby Mars for telecommunication support of In-Sight mission during entry, descend and landing (EDL)	(Klesh and Krajewski, 2015; Schoolcraft et al., 2016)
INSPIRE	2018	Earth-escape trajectory	3 months	NASA's two 3U CubeSat mission to be deployed in an Earth-escape trajectory and demonstrate deep-space telecommunications and navigation capabilities	(Klesh et al., 2013a, 2013b)
Arkyd-6	2018	LEO	2 years	Planetary Resources' 6U CubeSat mission in LEO to demonstrate water detection capabilities on asteroids (e.g., mid-wave infrared imager, attitude determination, power system, etc.)	(Planetary Resources, 2018, 2017)
NEA Scout	2019	Asteroid flyby	2.5 years	NASA's 6U CubeSat mission to be deployed by NASA's SLS and flyby an asteroid using solar sail propulsion	(Castillo-Rogez et al., 2014; Marinan et al., 2017; Mennett et al., 2014)
Prospector-1	2020	Asteroid landing	1.5 years	Deep Space Industries' 50-kg microsatellite to land and prospect water and mineral resources on an asteroid using a water-based propulsion system	(Bonin et al., 2016)
M-ARGO	2021	Asteroid rendezvous	3 years	ESA's 12U CubeSat mission study to be deployed on a Sun-Earth L2 transfer trajectory and orbit around an asteroid	(Walker et al., 2017)

The recent availability of high-TRL (technology readiness level), off-the-shelf components for CubeSats allows for a significant reduction in the overall cost of building a spacecraft. As such, space agencies have shown great interest in potential CubeSat missions beyond LEO (i.e., the Moon, comets and asteroids, and other planets).

Summarized in Table 2 are CubeSat missions of interest to the NEARCube mission study, which are often referenced to along this paper. As a few examples, planned and on-going interplanetary CubeSat missions include NASA's MarCO mission to flyby Mars using two 6U CubeSats (Schoolcraft et al., 2016), NASA's INSPIRE mission to place two 3U CubeSats on an Earth-escape trajectory (Klesh et al., 2013b), and the launch of thirteen 6U CubeSats to the lunar vicinity and beyond along NASA's new SLS (Singer et al., 2017). Multiple other mission concepts have been proposed as well, such as flying two 3U CubeSats to the binary near-Earth asteroid Didymos along ESA's Asteroid Impact Mission (AIM) (Michel et al., 2016), or deploying CubeSats in the proximity of Jupiter's moon Europa along NASA's Europa Clipper mission (Goel et al., 2017; Lorenz et al., 2015).

### 3.1. Current state of CubeSat technology

Proposed and past missions define the current state of CubeSat technology, and help determine realistic subsystem specifications for the NEARCube mission:

a) *Propulsion system*: Few CubeSats have featured propulsion systems up to date, and with limited performance (Lemmer, 2017). Several solutions have been recently developed to meet the more demanding requirements of interplanetary CubeSats, and are now ready (or nearly ready) for use.

Deep Space Industries, for instance, offers 1.5U and 2.5U water-based thrusters providing 440 N·s and 1300 N·s of total impulse, with respective minimum impulse bits of 25 mN·s and 50 mN·s (Deep Space Industries, 2016). Their thrusters will be flight-tested in the 2018 Prospector-X and HawkEye 360's Pathfinder missions (Bonin et al., 2016). VACCO Industries also developed a 2U cold gas propulsion system for the 2018 MarCO mission (25-mN nominal thrust, 755-N·s total impulse) (VACCO Industries, 2015), and now also offers a variety of cold and warm gas propulsion modules for CubeSats. Of particular interest to the NEARCube mission is their warm gas Propulsion Unit for CubeSats (PUC),

which is scalable from 0.14U to 1U, and provides high  $\Delta V$  capabilities (e.g., 0.5U in size, total impulse of 320 N·s, and nominal thrust of 5 mN) (CU Aerospace, 2017).

b) *Attitude determination and control system (ADCS)*: ADCS-equipped CubeSats have been extensively flown in LEO (Xia et al., 2017), and ADCS units of fully-integrated sensors and actuators are now available on the market (Blue Canyon Technologies, 2017; Hyperion Technologies B.V., 2016a; Maryland Aerospace, 2016).

As an example, the first interplanetary CubeSats will be equipped with Blue Canyon Technology (BCT)'s all-in-one XACT modules. These 0.5 ADCS units were recently reported to provide  $3\sigma$  pointing accuracy and knowledge better than  $\pm 0.02$  deg in LEO (along the boresight direction—cross-axis specification is 2 to 3 times better) (Mason et al., 2017). The XACT module has now been adapted for use in the deep-space MarCO and INSPIRE missions as well (Palo et al., 2013; Schoolcraft et al., 2016). The same level of pointing knowledge is expected in the INSPIRE mission, which will be provided by BCT's Nano Star Camera that is already integrated in the ADCS unit (Palo et al., 2013).

c) *Communications system*: high data rate X-band transmitters (usually preferred for deep-space missions) have significant flight heritage in LEO (Nanosatellite & CubeSat Database, 2017), and numerous 2-W, 0.1U–0.3U off-the-shelf options providing transmission data rates of up to 50–100 Mbps from LEO are readily available (Clyde Space, 2017; Fernandez et al., 2015).

The first interplanetary CubeSat X-band transmitters (the Iris X-band radio) were developed by JPL for the INSPIRE and MarCO missions (Duncan et al., 2014; NASA, 2015b), and will be used by NEA Scout as well (McNutt et al., 2014). On board MarCO CubeSats and supported by DSN 70-m antennas, these 0.5U transmitters (which are capable of producing a radio frequency (RF) output power of up to 5 W) will be able to down-link data from Mars ( $\sim 1$  AU) at 8 kbps (using 28-dB high gain antennas, and a 4-W transmission output) (Hodges et al., 2017). On the other hand, each INSPIRE CubeSat will be equipped with two 5-dB low gain patch antennas and will be able to transmit data at up to 1 kbps from a distance of 0.01 AU (supported by DSN 34-m antennas, and with a 1-W transmission output) (Duncan et al., 2014; Klesh et al., 2013b).

Antenna selection will be primarily dependent on the transmission rate requirement and stowage volume constraints. Several alternatives are available in the form of patch antennas (5–10-dB gains), reflectarray antennas

( $\sim 30$  dB), mesh reflector antennas ( $\sim 40$  dB), etc. (Lokman et al., 2017). A noteworthy solution are MarCO's reflectarray antennas (Hodges et al., 2017), whose low stowage volume ( $\sim 0.1$ U) and high gain ( $\sim 28$  dB) represent an attractive solution for future deep-space CubeSat missions.

d) *Electrical power system (EPS)*: power generation on small spacecraft is generally accomplished with solar cells (NASA, 2015c). Current solar cell efficiencies range from 26% to 33%, and off-the-shelf components can be found on various configurations. Surface-mounted panels, for instance, provide power levels of the order of 10 W in LEO (DHV Technology, 2015; EnduroSat, 2016). Deployable panels, however, can currently provide up to 30–35 W thanks to their augmented surface area and (limited) adaptability of their orientation (Clyde Space, 2016a; MMA Design LLC, 2014).

The INSPIRE mission will be equipped with Pumpkin, Inc.'s 3Ux1U deployable solar panels that generate up to 20 W (at 1 AU from the Sun) (Klesh et al., 2013b), whereas MarCO CubeSats will generate up to 35 W using two 2Ux3U solar panels that unfold from the 3Ux1U sides of the spacecraft (developed by MMA Design LLC) (Klesh and Krajewski, 2015).

The use of batteries as the primary source of power is not common due to their limited lifespan (up to a week). Rechargeable lithium ion and lithium polymer secondary batteries, nevertheless, are extensively used for power storage in small spacecraft (NASA, 2015c). These batteries provide high energy-to-weight ratios ( $150\text{--}250\text{ W}\cdot\text{h}\cdot\text{kg}^{-1}$ ) (Navarathinam et al., 2011), and are indispensable for times when not sufficient solar energy is available. Both, MarCO and INSPIRE missions, will be equipped with rechargeable batteries (Klesh et al., 2013b; Schoolcraft et al., 2016). The MarCO mission, for instance, will use a set of twelve 18 mm x 65 mm lithium ion cells, which amount for approximately 0.25U. The INSPIRE mission will use a pack of four battery cells instead (0.2U), which were inherited from the RAX and RAX-2 LEO missions (Springmann et al., 2012; The University of Michigan, 2009).

In addition to the power generation and storage components, power management and distribution (PMAD) on CubeSats is achieved through the EPS motherboard, which also protects electronics and batteries throughout the spacecraft (NASA, 2015c). As an example, Clyde Space and GomSpace provide single-board off-the-shelf PMAD solutions (0.15U) that feature battery charge regulators, regulated power buses at different voltages (e.g., 3.3 V, 5 V, 12 V), and can also be integrated with 0.2U



battery packs (Clyde Space, 2016b; GomSpace, 2014). The INSPIRE and MarCO missions both used 0.2U PMAD boards with heritage from the RAX and RAX-2 LEO missions (Klesh and Krajewski, 2015; Klesh et al., 2013b), with regulated 3.3-V and 5-V power buses (MarCO also included a 12-V battery bus).

e) *Science payload*: miniaturization of scientific instruments for CubeSats is an ongoing effort in industry, space agencies and academia. As a result, a variety of scientific instruments (including off-the-shelf components) could now be allocated within 1U or less.

For example, 0.3U–1U visible cameras have been greatly developed for Earth observation purposes, and ground resolutions of 600 m down to 30 m per pixel are now possible from LEO (~650 km altitude) with off-the-shelf solutions (Crystalspace, 2015; GomSpace, 2017; SCS Space, 2017). Ultraviolet (UV), visible (VIS), and infrared (IR) spectrometers in the 190–2400-nm band-pass and 0.1–10-nm optical resolutions are also available in <0.2U modules (Ocean Optics, 2016, 2013a; Thoth Technology, 2013). Far-UV and mid-IR spectrometers in the 90–200-nm and 3000–8000-nm ranges are also under development (Farrah et al., 2017; NASA, 2017). Ion and neutral mass spectrometers able to resolve H, He, N, N<sub>2</sub>, O and O<sub>2</sub>, and 0.7U–1.3U in size, were designed for missions ExoCube and Dellinger, and constellation mission QB50 (Chaudery, 2014; Johnson et al., 2015), and 60–200-GHz microwave spectrometers (1U in size) were also developed for missions MicroMAS, MiRaTA and TROPICS (Blackwell, 2015; Cahoy et al., 2017). High-resolution (~0.1 nT) fluxgate magnetometers for scientific use have been flown as well, including the boom-mounted, minimal-intrusion (approximately 0.1U) magnetometer employed in the QB50 project (Miles et al., 2016).

In conclusion, there exists a number of flight-ready scientific instruments, and their selection should be made in accordance with the volume constraints and scientific objectives of the mission. This selection process should also consider flyby characteristics (e.g., flyby altitude, relative velocity, etc.) and existing instrument capabilities, as these will determine attainable scientific goals for the mission.

f) *Environmental considerations*: environmental factors to consider when designing an interplanetary CubeSat mission include radiation effects and thermal control.

Thermal control technology has extensive flight heritage from traditional missions (Gilmore, 2002), and is generally achieved through multi-layer insulation (MLI)

blankets, thermal coating (paint or tape), thermal straps, louvers, deployable radiators, heaters, etc. Thermal management in small spacecraft becomes more challenging due to volume and power constraints, especially far away from the Sun. Previous CubeSat missions have successfully flown using MLI and coating techniques (NASA, 2015c), and further development and validation of thermal control systems for small spacecraft is an ongoing effort in the community (Kang and Oh, 2016; Katke et al., 2016; Wachche et al., 2014).

Typical operating temperatures for the components discussed in the current section range between -15 °C and +50 °C: e.g., thrusters (CU Aerospace, 2014), star trackers (Hyperion Technologies B.V., 2016b; Palo et al., 2013), radio transmitters (Clyde Space, 2017; NASA, 2015b), or ADCS units (Hyperion Technologies B.V., 2016a; Maryland Aerospace, 2016). Microprocessors and batteries, for instance, can operate in even wider temperature ranges: e.g., between -40 °C and +120 °C (Cubic Aerospace, 2017; EXA, 2016; Hannu Leppinen, Antti Kestilä, Pauli Pihajoki, Jukka Jokelainen, 2014). Whereas some science payloads (e.g., visual imagers, spectrometers) might introduce more demanding temperature constraints: e.g., operating temperatures above 0–10 °C and below +30–40 °C (SCS Space, 2017; Thoth Technology, 2013).

Mars-bound 6U MarCO CubeSats, for instance, will use blankets, radiators, and heaters to achieve thermal balance in a strongly varying thermal environment (Klesh and Krajewski, 2015); whereas thermal considerations for the INSPIRE and NEA Scout missions represent less of a challenge since they will remain at ~1 AU from the Sun (Klesh et al., 2013b; McNutt et al., 2014). Proper heat dissipation from strong emitting sources, however, is essential for such missions (e.g., thrusters, communications system, batteries). Similarly to the NEARCube mission, these missions are continuously exposed to sunlight (solar flux is ~1370 W/m<sup>2</sup> at 1 AU) and only passive thermal systems are generally available. Deployable radiators with low stowage volume, for instance, could greatly benefit such missions (Bunce et al., 2016). However, volume constraints are often too stringent, hence thermal mitigation should be accomplished through thermal coating techniques (Escobar et al., 2016), in conjunction with careful duty cycling of strongly emitting components to avoid overheating (which is compatible with NEARCube’s simple concept of operations).

An additional challenge for the NEARCube spacecraft will be maintaining temperature of its critical components (batteries cells, electronic boards, payload) during the piggyback phase. Although further analysis of the thermal requirements and environmental conditions throughout the mission is necessary, the MASCOT lander (10-kg asteroid lander aboard JAXA's Hayabusa-2 spacecraft) provides a valuable example of a successful thermal design for secondary payloads (Celotti et al., 2015; German Aerospace Center, 2016). Launched in 2014, the Hayabusa-2 spacecraft carried the MASCOT lander during its 3.5-year-long cruise phase to asteroid (162173) Ryugu. Thermal balance was achieved primarily through passive systems (i.e., painting, coatings, heat pipes, MLI, interface tuning, and a radiator facing external conditions). Limited heating power was also supplied to heaters by the Hayabusa-2 spacecraft during cold phases of its cruise to maintain proper temperature of the electronic box, primary battery cells, and payload. If possible and necessary, health checks or maintenance activities could also be performed through an umbilical connector to the main spacecraft (German Aerospace Center, 2016; Innovative Solutions in Space, 2013).

Similarly, radiation effects become an important consideration for long-span missions and when flying through high-radiation environments (such as the Van Allen belt or solar particle events) (Bourdarie and Xapsos, 2008). Mitigation techniques often imply shielding sensitive individual components, whole sections or the entirety of the spacecraft, or sheltering critical components within the spacecraft with less sensitive components (Maurer et al., 2008). Watchdog architectures are also a common solution (which generally require radiation hardening as well): these are independent systems that monitor the state of a processing unit, and refresh or reset the system to a previously working state in the event of a software fault (e.g., due to a Single-Event Upsets (SEUs)). Protection against frequent SEUs in deep-space travel is essential, and therefore watchdog strategies should be implemented regardless of the extent of the mission (e.g., INSPIRE, MarCO and NEA Scout missions will use these (Klesh et al., 2013b; Mcnutt et al., 2014; Schoolcraft et al., 2016)). Additional shielding is also required for long-duration missions that will be exposed to large Total Ionizing Dose (TID) levels. Radiation effects represent an active field of research in the community, and solutions for small satellites in deep-space travel (e.g., NEA Scout mission) have already been proposed (Kahn et al., 2017).

Several of the components discussed in the current section have either proven year-long lifetimes in LEO—

where the Van Allen belt also induces high levels of radiation—(e.g., >3-year BCT's XACT unit (Hegel, 2016), >30-year star trackers (Jørgensen et al., 2005), >4-year batteries (EXA, 2016)), have been radiation-hardened (e.g., watchdog units and standalone on-board computers with >300-krad radiation tolerances and  $10^{-15}$  SEUs per day (VORAGO Technologies, 2018)), or have been specifically developed for deep-space environment (e.g., JPL's Iris radio (Duncan et al., 2014)). Some components, however, will still require radiation-hardened watchdog architectures to detect and correct SEUs (e.g., on-board computer, star tracker, sun sensor, science payload, etc.), or further aluminum shielding to reduce SEU frequencies and TID levels (e.g., science payload, or if components with lower radiation tolerances—i.e., 10–50 krad—are selected (Hyperion Technologies B.V., 2016b; SCS Space, 2017)). For reference, analyses for the LISA Pathfinder and JWST missions show that typical radiation doses (with minimal or no shielding) in the Sun-Earth L1/L2 regions are ~100 krad per year (Barth et al., 2000; EADS Astrium Ltd, 2005), and similar environmental conditions can be expected on NEARCube's asteroid flyby trajectory. According to these two mission studies, 10–20-krad/year radiation levels can be achieved with reasonable 0.5–1-mm aluminum shield thicknesses, which should suffice to protect the most sensitive components during the <150-day NEARCube mission.

*g) Other considerations:* various other noteworthy considerations are associated to low-cost, small spacecraft missions. In the context of a stringent budget for the mission, some of these include the design of ground data systems, and spacecraft reliability aspects.

Ground operations for CubeSat missions have also seen a shift towards cost reduction, with solutions such as employing a single ground antenna operated by a single computer, or communicating with collaborative networks of volunteering amateur radio operators (Klofas, 2006). CubeSats have commonly operated in amateur, low radio frequencies (e.g., VHF and UHF bands) (Klofas and Leveque, 2012), but the higher performance of recent missions and increased volume of valuable data are promoting the use of more sophisticated (and costly) networks provided by companies and governmental organizations (Cheung et al., 2016). These networks also display a wide range of capabilities in terms of supported frequency bands (including X- and Ka-band) and antenna sizes (3- to 70-m antennas), with some moderate solutions at less than \$500 per pass (ATLAS Space Operations, 2017; SpaceNews, 2017a), and governmental networks such as NASA's DSN (34-

and 70-m antennas) or ESA’s ESTRACK (15- and 35-m antennas) at the higher end of the spectrum (at hourly rates of thousands of USD\$). Therefore, careful consideration of necessary ground station capabilities and services is required, as they can also drastically increase the cost of a mission.

Although long-duration missions should not be discouraged, they are especially susceptible to communications, on-board computer, and power system failures (Langer and Bouwmeester, 2016). In fact, pico- and nano-satellites (which include 3U and 6U CubeSats) display particularly steep decrements in their mission success rates with time (Guo et al., 2014). Conversely, missions that have been designed for longer lifetimes often show more optimistic success rates, as these usually entail larger resources and more rigorous design processes than short-duration missions (Guo et al., 2014). Hence, special consideration of mission duration, design robustness, and cost is also necessary.

### 3.2. NEARCube mission specifications

As aforementioned, several interplanetary CubeSat missions have already been proposed and planned, including the NEA Scout 6U, <12-kg CubeSat mission to flyby an asteroid using primarily off-the-shelf components and solar sail propulsion (Mcnutt et al., 2014). This mission concept has been accepted for launch as secondary payload on NASA’s SLS, and is expected to achieve a <10-km, 10-m/s slow flyby of a <50-m asteroid within its 2.5-year lifespan (Castillo-Rogez et al., 2014). High-resolution imaging data (10 cm/pixel) will be transmitted at a distance of <1 AU from Earth at  $\geq 1$  kbps using NASA’s DSN 34-m antennas (Marinan et al., 2017).

In contrast to the NEA Scout 6U mission, the current work explores the possibility of flying by an asteroid using an autonomous 3U CubeSat (<4 kg): in an effort to demonstrate that near-Earth asteroid exploration is possible with even smaller spacecraft, with reduced use of large, costly ground stations, and with readily-available components. In specific, short-duration missions (<150 days) are considered in order to—as outlined in Section 3.1—limit thermal and radiation concerns of deep-space travel, reduce the demand on the power and communications systems and on ground antennas, and to confine the reliability issues of long-duration missions.

Such a mission, however, imposes a high demand on some other subsystems and drives the preliminary design of the NEARCube spacecraft. The propulsion system, for instance, should provide sufficient  $\Delta V$  to travel

**Table 3**  
NEARCube 3U spacecraft model.

Parameter	Used value	Reference
Total mass	4 kg	3U CubeSat design specification (Mehrparvar, 2014)
Total $\Delta V$	80 m/s	VACCO’s 0.5U PUC, 85-m/s $\Delta V$ (CU Aerospace, 2017)
$\Delta V$ magnitude accuracy ( $3\sigma$ )	1%	VACCO’s 0.25U PUC, <5% magnitude uncertainty (CU Aerospace, 2017) <sup>a</sup>
ADCS pointing accuracy ( $3\sigma$ )	$\pm 0.02$ deg	BCT’s 0.5U XACT, $\pm 0.02$ -deg boresight pointing accuracy (Mason et al., 2017) <sup>b</sup>
ADCS pointing knowledge ( $3\sigma$ )	$\pm 0.01$ deg	PROBA-2’s star tracker, $\pm 0.0006$ -deg pointing knowledge (Jørgensen et al., 2005) <sup>c</sup>
RF output power	<5 W	JPL’s 0.5U Iris X-band radio, 5-W RF output power (NASA, 2015b)
Antenna gain	5 dB	INSPIRE’s low gain patch antennas, 5-dB peak gain (Duncan et al., 2014)
Generated power	35 W	MMA Design’s HaWK <sup>TM</sup> , 36-W peak power (MMA Design LLC, 2014)

<sup>a</sup>Thrust uncertainty below 5% includes experimental measurement error.

<sup>b</sup>Cross-axis pointing accuracy of BCT’s XACT is  $\pm 0.006$  deg (Mason et al., 2017), better than boresight pointing accuracy, and also below used value.

<sup>c</sup>Cross-axis pointing knowledge of the star tracker originally integrated in BCT’s XACT is  $\pm 0.006$  deg (Palo et al., 2013), also below used value.

to an asteroid within the limited duration of the mission. The capabilities of the ADCS system will determine the directional accuracy of the propulsive maneuvers as well as the quality of the observations for navigation purposes, which consequently translates into closer flybys and higher scientific return. And although the power and communications systems are not required to operate at far distances from the Earth and Sun, they must provide adequate performance throughout the mission while allocating sufficient space for the science payload.



The proposed NEARCube spacecraft design is summarized in Table 3, which assumes component performance in accordance to the state of the art discussed in Section 3.1. The NEARCube spacecraft is thus designed with JPL’s 0.5U Iris X-band radio, MMA Design’s 2Ux3U HaWK<sup>TM</sup> solar array (~0.1U intrusion), VACCO’s 0.5U PUC propulsion unit, INSPIRE’s 5-dB patch antennas, and BCT’s 0.5U XACT ADCS module (implemented with PROBA-2’s star tracker instead (Jørgensen et al., 2005), for higher optical sensitivity than its current star tracker to detect the target asteroid sufficiently early—further discussion on this selection is provided in Section 5).

It is worth mentioning that no particularly stringent telecommunication requirements are anticipated for a mission such as NEARCube: autonomous navigation and its simple mission profile will reduce telecommunication needs to the downlink of science data and to seldom transmission of telemetry and commands (here it is also assumed that most spacecraft operations can be automated through time-tagged commands). Additionally, BCT’s ADCS unit can also provide high pointing accuracies that would result in reasonable pointing losses, and science data, for instance, could be downlinked following the flyby with no particular time restrictions either. Although further analysis is still required (e.g., ground antenna selection, desired data rates, etc.), a simple solution is proposed in this study: 5-dB patch antennas, in line with the design of the INSPIRE mission and although more sophisticated solutions could be implemented (e.g., MarCO’s 28-dB reflectarray antennas).

Such a design would allow for approximately 1.5U for the command and data handling (C&DH) system, the EPS module, and the science payload. Similarly to the INSPIRE and MarCO missions, for instance, the design could include two MSP430 microprocessors in stack (0.3U) (Schoolcraft et al., 2016). INSPIRE will specifically use a single microprocessor that provides basic command and data handling, a real-time-clock, interfaces with other systems, monitors spacecraft health, and includes a watchdog architecture (Klesh et al., 2013b). The second board can then be used to process data from the science payload. Such a design could be based on the high-performance, flight-tested TMS570 microchips, which include a dual-processor architecture for error identification, and have been previously used in CubeSats (Hannu Leppinen, Antti Kestilä, Pauli Pihajoki, Jukka Jokelainen, 2014; Taylor et al., 2011). Alternatively, graphics processing units (GPUs) and field-programmable gate arrays (FPGAs) could also provide a solution for high-performance on-board data

processing (Arnold et al., 2012; Bekker et al., 2010; Buonaiuto et al., 2017; Cubic Aerospace, 2017).

The EPS module could be composed of a four-cell battery pack like the one used by INSPIRE (0.2U), and by a single EPS motherboard such as the ones provided by Clyde Space and GomSpace (0.15U), or the EPS boards used by INSPIRE and MarCO (0.2U).

This design would effectively allocate approximately 0.8U for the science payload (without explicit redundancy of any components). As outlined in Section 3.1, several instruments could be allocated within 1U or less, such as a camera, remote sensing instruments (e.g., UV-VIS-IR spectrometers, mass spectrometers), or boom-mounted magnetometers. However, this selection will be dependent on the attainable flyby altitudes and on the specific scientific objectives of the mission, which are further discussed in Sections 5 and 6, respectively.

#### 4. Target selection and trajectory design

Our target selection process begins by understanding how far a CubeSat could travel from its departure periodic orbit around L1 or L2. In specific, this work utilizes for reference in size the Lissajous periodic orbits used by LISA Pathfinder (launched in 2015 to L1 (McNamara and Racca, 2009)) and James Webb Space Telescope (scheduled for launch in 2019 (Space Telescope Science Institute, 2004)). The departure periodic orbits are both modeled in the CR3BP as halo orbits instead (Howell, 1984; Howell and Pernicka, 1987)—as frequently done for mission planning to the Lagrange points (Barden et al., 1996). The departure orbits considered here are an L1 Northern halo and an L2 Southern halo, both ~500,000 km x 1,500,000 km x 800,000 km in size.

Lissajous and halo orbits are unstable orbits and require station-keeping maneuvers for a spacecraft to remain in them (Goudas, 1963). Stability analysis of these orbits shows that, at any point along the periodic orbit, there exists a most favorable direction (defined in both senses) for a spacecraft to depart from it (i.e., unstable direction), and a most favorable direction for a spacecraft to be inserted into the periodic orbit (i.e., stable directions) (Simó, 1990). If a small propulsive maneuver is exerted along the unstable direction, a spacecraft would then naturally drift away from the periodic orbit at virtually no cost. The set of all trajectories departing in the unstable direction from the periodic orbit is known as the “unstable invariant manifold” of that particular orbit.

Extensive literature is available on the exploitation of invariant manifolds for mission design purposes, especially for the design of low- $\Delta V$  transfer trajectories to or between periodic orbits around the Lagrange points (Barden et al., 1996; Gómez et al., 1993; Gómez and Masdemont, 2000; Howell et al., 1994). Provided the very limited  $\Delta V$  capability of CubeSats, departure trajectories from the periodic orbit are found to remain close to those trajectories on the unstable invariant manifold. Therefore, the unstable invariant manifold is used in this study as a first approximation of the region that can be reached by the CubeSat.

As previously mentioned, the unstable direction for departure is defined in both senses: one of them produces trajectories towards the Earth, and the opposite sense produces trajectories away from the Earth. Earth-bound trajectories would generally cause a CubeSat to get trapped by Earth’s gravitational field and not allow it to reach far destinations within the limited mission span (150 days). Therefore, only departure trajectories that follow the invariant manifold in the opposite direction to the Earth are considered here, as these will allow the CubeSat to naturally travel long distances and potentially intersect the trajectory of a near-Earth asteroid.

The first step in the target selection process is, consequently, to approximate the CubeSat’s reachable region by generating trajectories on the unstable invariant manifold of the departure halo orbits (away from Earth). Taking into account the maximum mission span (150 days) and maximum available  $\Delta V$  (80 m/s), the invariant manifold is approximated by introducing an 80-m/s perturbation in velocity along the unstable direction and propagating the resulting trajectories over 150 days.

The second step on the target selection process is to identify asteroids that could potentially be encountered by the CubeSat. Resulting flyby altitudes are expected to be large due to the limited capabilities of the CubeSat; therefore, the target asteroids should be sufficiently large to allow proper observations during the flyby and any significant scientific return. Through JPL’s Center for Near Earth Object Studies website (NASA, 2008), asteroids larger than  $\sim 100$  meters passing near the Earth are identified (i.e., absolute magnitude smaller than 22.5 and Earth flyby within 0.1 AU). In this work, only asteroids with Earth flybys between years 2019 and 2025 are considered, although the methodology could be directly applied to other time windows. A total of  $\sim 370$  asteroids fulfilling the previous characteristics were identified. Their ephemeris data was automatically obtained using JPL’s HORIZONS telnet interface (NASA, 2013), and

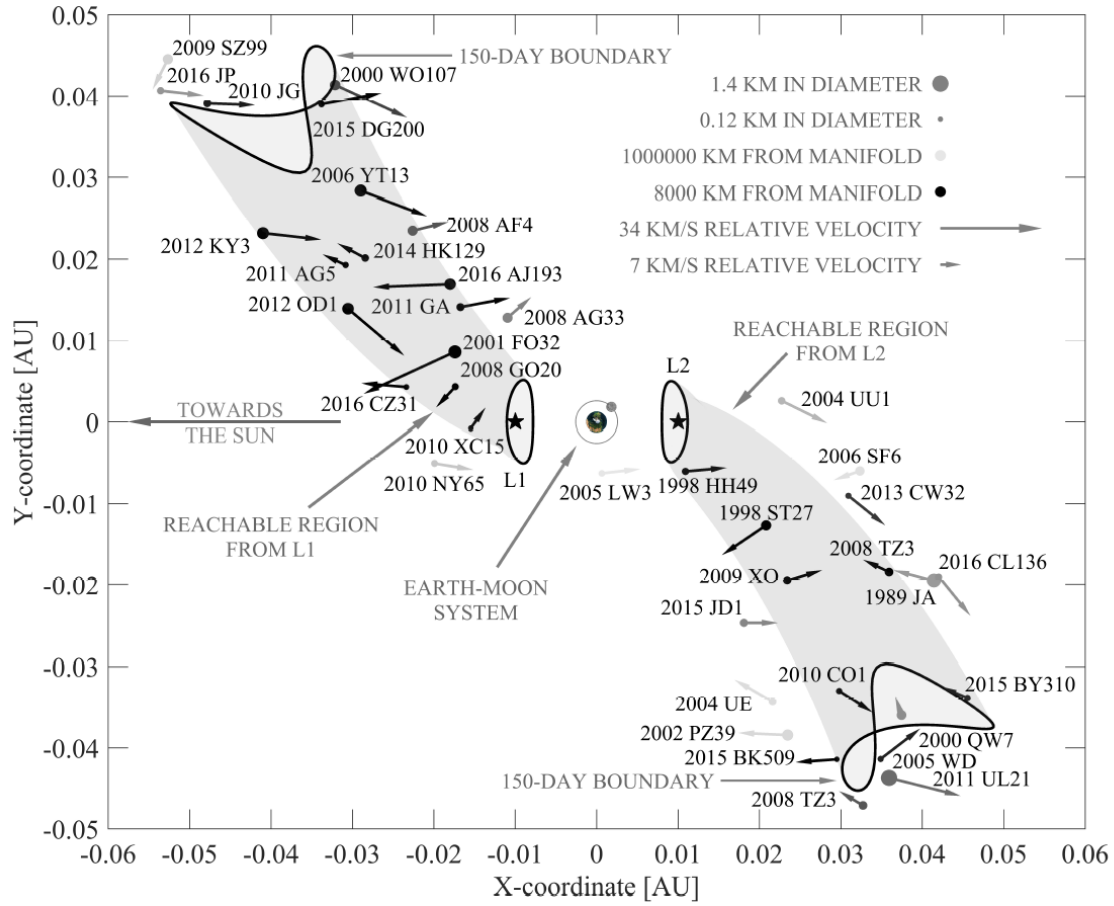
expressed with respect to the Sun-Earth synodic reference frame used in the CR3BP analysis.

The search for potential targets is then further restricted to asteroids whose trajectories pass close to the unstable invariant manifolds previously generated. Illustrated in Fig. 3 (represented in the Sun-Earth synodic reference frame, centered on the Earth, and projected on the ecliptic) are the initial L1 and L2 halo orbits, approximated unstable invariant manifolds, and  $\sim 40$  different asteroids whose trajectories pass within 0.01 AU of the reachable regions (sized according to their estimated diameters, color-coded according to their minimum distance to the invariant manifolds, and with arrows proportional to the relative velocity with respect to the point of minimum distance on the invariant manifold).

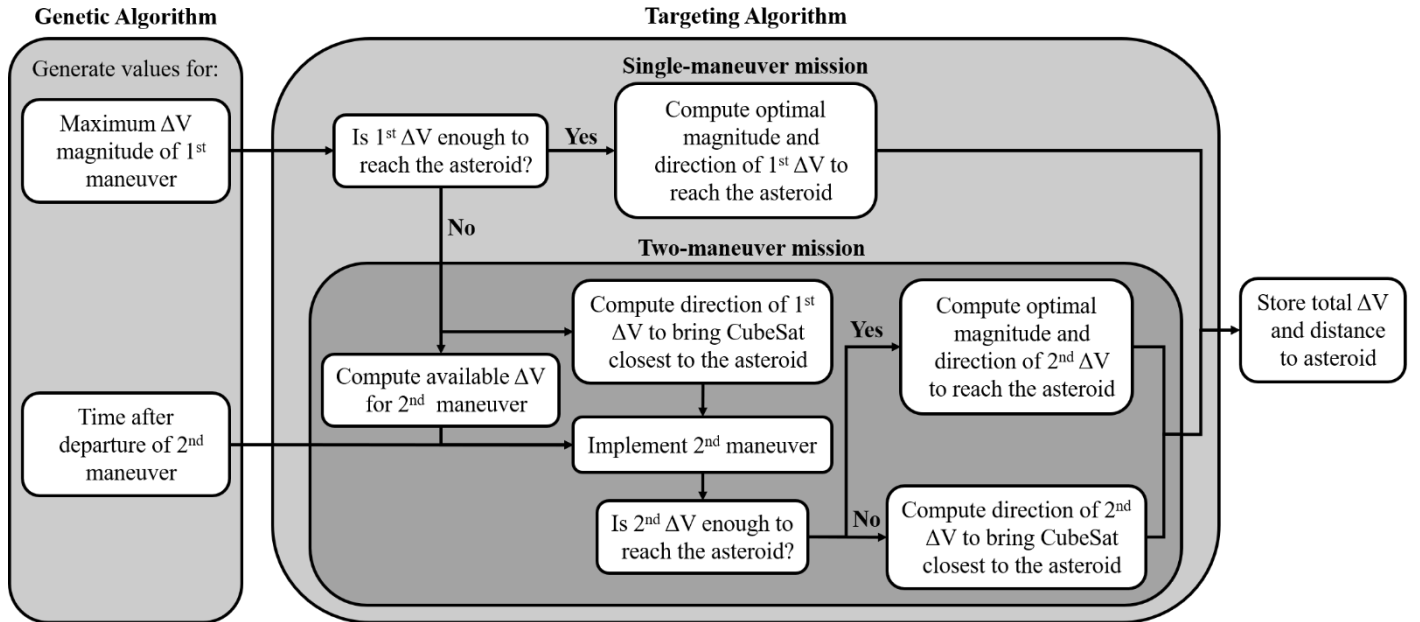
Once those potential targets are identified, the third step on the target selection process is to design trajectories to encounter those asteroids, using either one or two propulsive maneuvers, as necessary. Considering the limited  $\Delta V$  of the mission, however, encountering those asteroids is not always possible.

The propulsive maneuvers are modeled in this study as instantaneous, impulsive maneuvers. It is worth mentioning, however, that the 0.5U thruster considered for the spacecraft has a nominal thrust of 5 mN and a maximum continuous thrust time of 20 min (CU Aerospace, 2014). Furthermore, additional 20 min are required between burns to allow the thruster to cool down (D. Carroll at CU Aerospace, personal communication, April 23, 2018). Provided the limited  $\Delta V$  that can be generated by each 20-min burn, the total time required for the thruster to produce the available 80-m/s  $\Delta V$  is approximately 35 hours. Even though this constraint imposes non-impulsive, long-duration maneuvers, the impulsive-maneuver model used here to design trajectories still holds as a valid approach. Although this analysis is not described in this paper, equivalent trajectories (i.e.,  $\Delta V$  requirements and times of flight) can be obtained using a 20-min-on, 20-min-off continuous thrust model.

The trajectory design process is approached here as an optimization problem in which to determine: (1) the magnitude and direction of the departure impulsive maneuver, and (2) the magnitude, direction, and time after departure at which the (optional) second impulsive maneuver is executed (a total of seven variables). The objective of this optimization problem is to minimize the total  $\Delta V$  required to intersect the trajectory of the asteroid within the specified span of the mission, for a given departure point along the periodic orbit.



**Fig. 3.** Near-Earth asteroids passing close to reachable regions from L1 and L2 in the 2019–2025 time window.



**Fig. 4.** Diagram of logic employed by trajectory design algorithm.

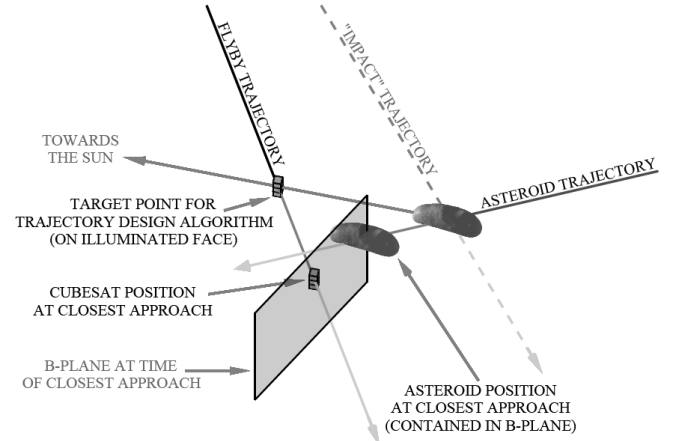
In addition, if only one maneuver allows the CubeSat to flyby the asteroid at a lower cost than two maneuvers, then a single-maneuver mission is implemented.

A similar trajectory design problem was faced by China National Space Administration (CNSA) at the end of Chang'e-2's primary mission around the second Sun-Earth Lagrange point (Gao, 2013). In an effort to extend the flight of the Chang'e-2 mission, fuel-optimal trajectories to flyby a near-Earth asteroid were designed using sequential quadratic programming (SQP). The SQP algorithm determined a total of nine variables in a four-body dynamical model (with gravitational influences of the Sun, Earth and the Moon): time of departure, time of the flyby, and the components of each impulsive maneuver.

The optimization problem is solved here using a genetic algorithm instead—based on the open-source NSGA-II tool (Deb et al., 2002)—in combination with a differential corrector targeting algorithm (Koon et al., 2011). The genetic algorithm is employed to determine two quantities: (1) the maximum  $\Delta V$  magnitude that can be used for the first maneuver (the remaining  $\Delta V$  becomes available for the second maneuver), and (2) the time after departure at which the (optional) second maneuver is executed.

These values are then inputted into the targeting algorithm, which—through a single shooting method—efficiently optimizes the required  $\Delta V$  to reach the asteroid (magnitude and direction). If the  $\Delta V$  magnitude available for a maneuver is large enough for the CubeSat to reach the asteroid, then the targeting algorithm specifies the optimal magnitude and the direction of that maneuver. Otherwise (if the  $\Delta V$  is not large enough to reach the asteroid), then the available  $\Delta V$  is used in its entirety, and the targeting algorithm calculates the required direction to bring the CubeSat closest to the asteroid. This logic is used for each individual in the population of the genetic algorithm, and it is illustrated in Fig. 4.

For maximized scientific return and as illustrated in Fig. 5, trajectories are designed to flyby the asteroid on its illuminated face. This strategy should reduce the risk of accidentally colliding with the asteroid and allow for proper visibility during the flyby. The targeting algorithm specifically aims at a point 1000 km away from the asteroid in the direction of the Sun. The value of 1000 km is used in this study as a baseline scenario for all the asteroids under consideration, to understand the accuracy of the flybys that can be achieved (discussed in Section 5). In a real mission, this value could be ad-



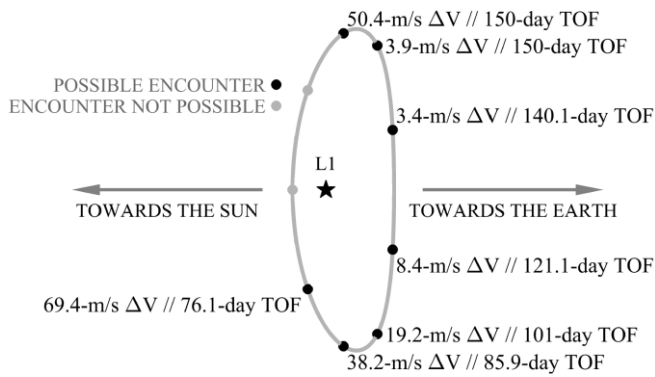
**Fig. 5.** Diagram of designed flyby trajectories on illuminated face of the asteroid.

justed according to the target asteroid, to the flyby accuracy that can be achieved for that particular asteroid, and to other mission requirements.

The B-plane—also shown in Fig. 5—is commonly used to illustrate flyby accuracies (Sergeyevsky et al., 1983), and is further employed to support the sensitivity analysis discussion in Section 5. The B-plane contains the position of the asteroid at the time of closest approach, and is defined as normal to the relative velocity between the asteroid and the CubeSat (on its nominal, unperturbed trajectory). The actual position of the CubeSat will differ from that on the designed trajectory primarily due to inaccuracies in the execution of the maneuvers, and to uncertainties in the departure conditions (i.e., initial position and velocity). Projecting the actual position of the CubeSat onto the B-plane provides a measure to discuss the accuracy of flybys and achievable flyby altitudes for the mission.

The trajectory design process described thus far assumes the departure point from the periodic orbit is given. This departure point will generally not be chosen by design and will come predetermined by the proper timing to encounter the asteroid. In order to provide some insight on the  $\Delta V$  requirements as a function of the departure point, minimum- $\Delta V$  trajectories are designed from nine departure points along the periodic orbits (equally spaced in time throughout one orbit period). As an example, and projected on the ecliptic, Fig. 6 illustrates the optimal  $\Delta V$  requirements along the departure L1 halo orbit, and corresponding time of flight (TOF) to encounter asteroid 2001 FO32 (close Earth encounter on March 21, 2021—black dot if reachable within 150 days and with 80 m/s of  $\Delta V$ , grey dot otherwise).



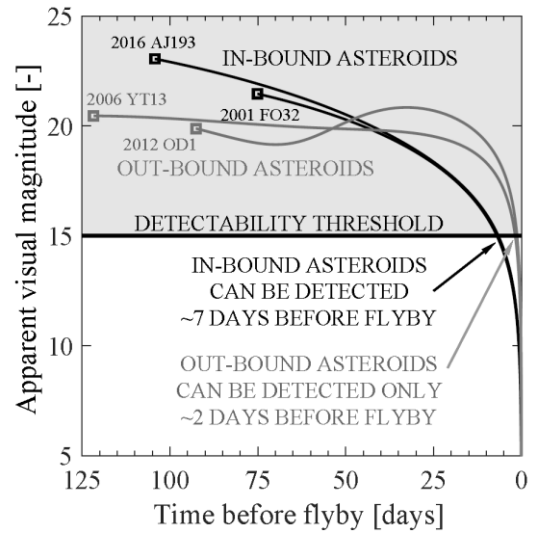


**Fig. 6.**  $\Delta V$  and TOF requirements along L1 halo orbit for asteroid 2001 FO32.

From this trajectory design analysis, a total of 24 different asteroids are identified that can be reached by a CubeSat within 150 days and with 80 m/s of total  $\Delta V$  (additional details on these encounters are provided in Table 4).

Finally, the fourth and last step in the target selection process is to identify asteroids that can not only be reached, but also detected by the on-board navigation camera (in our case, the on-board star tracker) prior to the encounter. As described in Section 2, observations of the asteroid can also be used to autonomously estimate the position and velocity of the CubeSat as it approaches its target. Therefore, only those asteroids that can be detected by the CubeSat sufficiently early are selected here as potential destinations (further discussion on the importance of collecting enough observations of the asteroid can be found in Section 5).

In this analysis, detectability is assessed in terms of the apparent visual magnitude of the asteroids as observed from the CubeSat. This magnitude is computed in terms of the distance between the CubeSat and the asteroid, and of their positions with respect to the Sun (Bowell et al., 1988; Lagerkvist and Magnusson, 1990). This work assumes a slope parameter of 0.15 for all asteroids—as commonly done (Dymock, 2007)—given that no data is available in this respect for the asteroids under consideration. Asteroids can be detected by the CubeSat only if their apparent visual magnitude is below a certain detectability threshold. The value of this threshold is dependent on the capabilities of the navigation camera (i.e., its sensitivity), and a value of 15 is assumed in this study. Such sensitivity can be accomplished, for example, by one of the four cross-strapped 5 cm x 5 cm x 5 cm (0.125U) camera head units (CHUs) composing the star tracker used by ESA’s PROBA-2 mission (Jørgensen et al., 2005). The higher power consumption of these CHUs (~3.4 W) allows for an improvement in



**Fig. 7.** Apparent magnitude for sample in-bound and out-bound asteroids.

performance of almost one order of magnitude with respect to the star tracker included in BCT’s XACT unit (i.e., higher update rate and accuracy with compatible form factor). Through a narrow field of view, such CHUs were also considered for the CASTaway asteroid survey mission to achieve high levels of sensitivity in exposure times of a few seconds (Bowles et al., 2017). For redundancy of such an essential component for the mission, an additional 0.125U star tracker could potentially be allocated within the 0.8U that was reserved for the science payload, or (if a visual camera is selected as the science payload) a visual imager could be used both as the navigational camera and as the science payload. Such a solution, however, would require the camera to provide both high sensitivity and high resolution images, which may require modification of existing cameras on the market or the development of an alternative solution to meet this new requirement.

It is observed in this analysis that the detectability of asteroids primarily depends on whether the asteroid is moving towards the Sun (in-bound) or away from the Sun (out-bound). In-bound asteroids are more likely to have an illuminated face as observed from the CubeSat, which allows for an earlier detection than for out-bound asteroids. Illustrated in Fig. 7 are the apparent visual magnitudes of four sample asteroids (two in-bound and two out-bound asteroids) as observed from the CubeSat throughout the mission. It is observed that in-bound asteroids can generally be detected ~7 days before the encounter, whereas out-bound asteroids can be detected only ~2 days before the encounter.



**Table 4**Reachable asteroids in the 2019–2025 time window (150-day mission span, 80-m/s available  $\Delta V$ ).

Name	From	Date of Earth encounter	Taxonomy class <sup>c</sup>	Diameter <sup>d</sup> (m)	Total $\Delta V$ (m/s)	TOF (days)	Relative velocity (km/s)	Visibility time (days)
2013 CW32		2019-Jan-29		140	64.6↔76.0	137.6↔150.0	15.8	0.4
2010 CO1		2019-Sep-14		150	64.1↔68.6	135.7↔150.0	13.4	0.7
2000 QW7 <sup>a</sup>		2019-Sep-14	Q	380	45.5	138	7.0	8.8
2005 WD <sup>a</sup>		2019-Nov-11		140	73.5	136.3	16.8	0.7
2015 BK509 <sup>a</sup>		2020-Feb-28		110	48.8	150	13.9	2.6
2009 XO	L2	2020-May-07	X/Xc	280	17.4↔74.7	104.4↔150.0	12.2	0.1
2008 TZ3		2020-May-10		290	22.5↔80.0	107.1↔150.0	9.4	7.1
2015 BY310 <sup>a</sup>		2021-Mar-10	Q	160	61.0	143.6	9.5	4.9
2015 BY310 <sup>a</sup>		2023-Mar-07	Q	160	53.4	150	9.7	4.9
1998 HH49		2023-Oct-17		190	11.3↔64.5	66.7↔150.0	14.1	0.1
1998 ST27 <sup>b</sup>		2024-Oct-12	C	800, 400	13.4↔69.5	100.0↔149.8	17.7	5.3
2010 JG		2019-Nov-12		230	45.2↔61.7	137.5↔144.7	15.9	0.7
2001 FO32		2021-Mar-21	S	1000	3.4↔69.4	76.1↔150.0	33.6	7
2008 GO20		2021-Jul-27		120	6.1↔70.3	73.1↔150.0	8.4	0.7
2016 AJ193		2021-Aug-21		630	12.3↔71.9	105.2↔144.0	25.6	6.7
2006 YT13		2022-Jul-19	A/R	760	28.7↔73.2	119.5↔150.0	23.7	1.8
2016 CZ31		2022-Jul-29		130	4.3↔57.0	88.9↔150.0	15.1	2.3
2014 HK129	L1	2022-Dec-20		210	21.8↔52.9	126.6↔150.0	10.1	5.7
2010 XC15		2022-Dec-27		180	2.3↔70.9	73.9↔150.0	9.5	3.5
2015 DG200		2023-Jan-19		160	33.9↔70.4	129.7↔150	20.5	0.1
2011 AG5		2023-Feb-03		150	8.6↔66.7	88.2↔143.5	9.3	5.3
2012 KY3		2023-Apr-13		730	30.3↔70.4	121.9↔150.0	19.6	0.6
2009 SZ99 <sup>a</sup>		2023-Aug-27		430	67.9	140.6	11.5	6
2011 GA		2023-Oct-15		240	9.3↔61.8	107.6↔150.0	17.0	0.1
2012 OD1		2024-Jul-28		660	12.2↔66.5	105.9↔150.0	24.8	1.2

<sup>a</sup>Reachable from only one point along the periodic orbit (out of the nine points that were considered).<sup>b</sup>Binary asteroid.<sup>c</sup>Taxonomy class is specified only when available.<sup>d</sup>Estimated diameter derived from absolute magnitude and geometric albedo (assumed value of 0.15 (Morbidelli et al., 2002)).

The last step in the target selection process finally shows that, out of the 24 asteroids that can be reached, only 10 of them can actually be detected by the on-board star tracker three or more days before the encounter (including asteroid 2015 BY310, which can be encountered in years 2021 and 2023—see [Table 4](#)).

Conclusively, through the analysis described in the current section, a total of 11 asteroid flyby opportunities are identified that could potentially be flown by an autonomous CubeSat between 2019 and 2025 (i.e., nearly two opportunities per year). As previously mentioned,

further discussion on the importance of detecting the asteroid sufficiently early and its impact on the resulting flyby accuracies can be found in Section 5.

## 5. Navigation strategy and sensitivity analysis

Once potential asteroid flyby opportunities are identified, it is important to understand whether a CubeSat could actually travel along those flyby trajectories considering the limited performance of its sensors and actuators. The pointing accuracy of its ADCS, for instance, will determine the directional accuracy of the impulsive maneuvers, and errors in the execution of these maneuvers will deviate the CubeSat from its desired path, ultimately resulting in a trajectory that might greatly differ from the nominal, designed trajectory.

The mission is therefore designed to include an additional impulsive maneuver to correct the trajectory of the CubeSat based on its own observations (besides the one or two maneuvers on the designed trajectory). However, and although this final correction maneuver is expected to improve the accuracy of the flyby, the resulting flyby altitudes will still differ from their nominal values. In order to provide additional insight on the accuracy of the flybys that can be achieved by the mission, a sensitivity analysis is performed through Monte Carlo simulations, and it is described in the current section.

As mentioned in Section 2, estimation of the CubeSat's position and velocity is performed using only observations of the Sun during cruise and observations of the asteroid before the flyby, and not through radiometric observations from ground stations on the Earth (e.g., NASA's DSN). Observations of the Sun are collected every hour by a coarse sun sensor: the first observation is collected one day after the last design maneuver is performed, and the last observation is collected one day before the asteroid can be detected by the navigation camera for the first time. One hour after the asteroid becomes visible from the CubeSat, observations of the asteroid are then collected instead: one observation every hour until the final correction maneuver is performed.

At the end of the navigation phase, the on-board computer calculates the necessary correction maneuver for the CubeSat to perform a flyby with the same conditions as those on the designed trajectory (same position and same time). This final correction maneuver is performed one hour after the last observation is collected, and it is computed using the differential corrector targeting algorithm described in Section 4. This algorithm outputs the

required change in velocity to arrive to the nominal closest approach position (at the nominal time of closest approach) from the estimated position and velocity of the CubeSat. The available  $\Delta V$  for this final maneuver is equal to the remaining  $\Delta V$  after the one or two maneuvers along the designed flyby trajectory.

Although a detailed analysis on the on-board computer capabilities is not performed here, it is worth mentioning that the time allocated between observations, and the one-hour gap between the last observation and the final correction maneuver, is expected to provide the on-board computer with sufficient time to process the observations and to compute the required correction maneuver despite its limited computational power. Time between observations is also allocated for other house-keeping tasks such as performing attitude determination, reorienting the spacecraft, communicating with Earth if necessary, etc.

Estimation of the CubeSat's position and velocity is specifically performed in this analysis through a non-linear least squares estimator (Wiesel, 2003). During cruise, only directional information between the CubeSat and the Sun is available: at each observation time, the coarse sun sensor can provide the estimator with two angles defining the direction vector from the CubeSat to the Sun (according to its own attitude determination knowledge). When observations of the asteroid are collected instead, the navigation camera can provide the estimator with three quantities: the first two elements define the direction from the CubeSat to the asteroid, and the third element provides information on the relative distance between the CubeSat and the asteroid. The brightness of the pixels containing the image of the asteroid, for instance, can provide an estimated value for the apparent visual magnitude of the asteroid (although with limited accuracy). At each observation time then, the third element provided by the navigation camera to the estimator is the apparent visual magnitude of the asteroid as observed from the CubeSat (which provides an implicit measure of the distance between the CubeSat and the asteroid). Alternatively, the relative motion of the asteroid against background stars (i.e., measured parallax) could also provide valuable information to estimate the position and velocity of the CubeSat (Riedel et al., 2000).

It is observed in this analysis that the least squares estimator faces significant difficulties to converge to an appropriate solution using only these measurements. The solution proposed in this work (that is, to improve the convergence properties of the on-board navigation

algorithm) is to provide the estimator with an *a priori* estimate of the CubeSat’s trajectory and with a corresponding confidence (or covariance) matrix for that estimation. The *a priori* estimate for the trajectory can simply be the designed trajectory (i.e., nominal, unperturbed trajectory), whereas the covariance matrix associated to that estimation can be generated by a pre-flight, on-ground Monte Carlo simulation: this Monte Carlo simulation should introduce errors in the one or two impulsive maneuvers along the designed trajectory, and in the departure position and velocity from the periodic orbit. Alternatively, more complex navigation campaigns (e.g., more frequent observations, observations of the Earth, Moon, or other planets, etc.) could also improve the accuracy and convergence properties of this estimation process, and consequently result in lower flyby accuracies or allow for accurate autonomous navigation without the need of collecting observations of the target asteroid. A simple navigation strategy, however, is considered in this study to minimize the operational complexity of the mission, and to provide the reader with a simple, yet effective, mission concept.

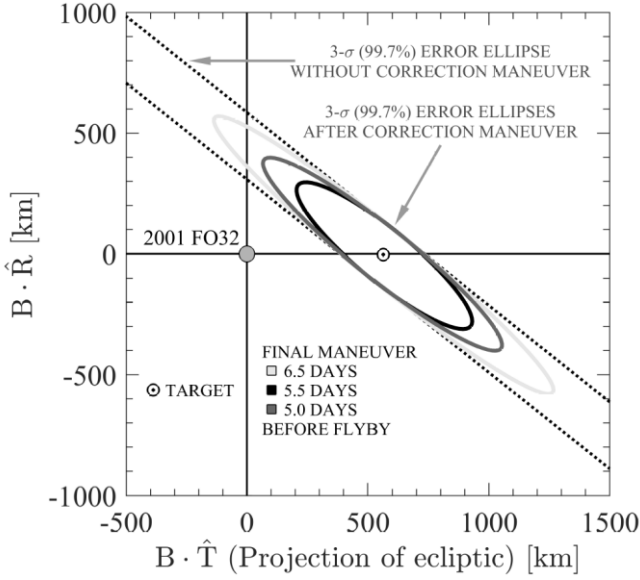
In order to understand the flyby accuracies that can be achieved by an autonomous CubeSat, Monte Carlo simulations are performed introducing errors in all the impulsive maneuvers (designed maneuvers and final correction maneuver), in the departure position and velocity, and in the observations. Normally distributed errors are introduced in: (1) the direction and magnitude of each impulsive maneuver, according to the thruster and pointing accuracies specified in Table 3, (2) the initial position and velocity ( $3\sigma$  errors of 10 km along each component of the position, and 0.1 m/s along each component of the velocity), according to the autonomous optical navigation accuracy expected for the LUMIO mission around the Earth-Moon L2 point (Franzese et al., 2018), which could also be complemented by more accurate navigation data from the main spacecraft prior to being deployed, (3) the measured direction vector from the CubeSat to the Sun ( $3\sigma$  errors of 1 deg), according to the modest pointing knowledge that can be provided by the coarse sun sensor integrated in BCT’s XACT unit (Caspi, 2017), or by other sun sensors available off-the-shelf (Hyperion Technologies B.V., 2017), (4) the measured direction vector from the CubeSat to the asteroid, according to the pointing knowledge specifications in Table 3, and (5) the measured apparent visual magnitude of the asteroid (a conservative  $3\sigma$  error of 30%), to account for asteroid modeling errors and navigation camera performance.

The main purpose of this analysis is to demonstrate the capabilities of autonomous CubeSat systems. As such, the sensitivity analysis primarily considers errors due to the limited performance of CubeSat components. Additional sources of error that are not considered in this study include, for instance: (1) uncertainties in the trajectory of the asteroid, and (2) errors induced by the on-board computer using a dynamical model of lower fidelity than the true dynamical model (in a real mission, a full ephemeris model would represent the true dynamical environment, whereas in this study, it is modeled as the CR3BP). Although further analysis is required in this regard, in a real mission (and if sufficient computational power is available) it is recommended for the CubeSat’s on-board computer to employ a dynamical model of similar or higher fidelity than the CR3BP (since flyby trajectories may be significantly influenced by the gravitational fields of the Earth and the Moon (Gao, 2013)).

Results from the Monte Carlo simulations are shown in Table 5, which summarizes the flyby accuracies that can be achieved for the identified asteroid flyby opportunities, along with the uncertainty parameter  $U$  associated to each asteroid. The  $U$  parameter describes the uncertainty in the orbit of the asteroids: from 0 (very small uncertainty) to 9 (very large uncertainty) (Minor Planet Center, 2012). Unless additional asteroid orbit determination is performed prior to the mission, it is recommended to plan flyby missions to asteroids with low uncertainty parameters.

It has been previously mentioned that the final correction maneuver is implemented at the end of the navigation phase. However, it has not yet been specified when the last observation of the asteroid should be collected, and how this decision affects the resulting flyby accuracies. Two competing factors are involved in this decision process: (1) increasing the extent of the navigation phase improves the estimation of the CubeSat’s position and velocity (and consequently the computation of the correction maneuver becomes more accurate), and (2) delaying the correction maneuver increases the required  $\Delta V$  to rectify the trajectory of the CubeSat.

In order to understand how the implementation time of the correction maneuver affects the accuracy of the flybys, Monte Carlo simulations are performed for implementation times every 0.5 days: asteroid 2010 XC15, for instance, is visible for 3.0 days, and 1000 Monte Carlo runs are performed for cases in which the correction maneuver is implemented 0.5 days, 1.0 days, 1.5 days, 2.0 days, 2.5 days, and 3.0 days before the nominal flyby.



**Fig. 8.** B-plane error ellipses for asteroid 2001 FO32 and different implementation times of the correction maneuver.

Additionally, these Monte Carlo simulations are performed for all the departure points along the periodic orbit from which the asteroid can be reached with 60 m/s of  $\Delta V$  or less (the remaining  $>20$  m/s are reserved for the correction maneuver, and this further constrains the number of accessible asteroids to 9).

As an example, illustrated in Fig. 8 are the resulting B-plane  $3\sigma$  error ellipses for asteroid 2001 FO32 (visible for 7 days—see Table 4). As such, the flyby performed by the CubeSat is expected to be within the enclosed area by these ellipses in 99.7% of the cases. From this particular departure point, 8.4 m/s of  $\Delta V$  are required to reach the asteroid along the designed flyby trajectory, and therefore 71.6 m/s remain available for the correction maneuver. The dotted black line illustrates here the error ellipse that would result from a mission with no correction maneuver. This error ellipse expands over  $>20,000$  km ( $\pm 10,000$ -km  $3\sigma$  uncertainty), and is consequently too unreliable for a viable mission (i.e., a final correction maneuver is necessary for the success of the mission). The color-coded solid lines illustrate the resulting error ellipses if the correction maneuver is implemented 6.5, 5.5, and 5.0 days before the flyby. It is observed how the 6.5-day ellipse expands over 1500 km (since observations of the asteroid were collected for only 0.5 days). The solid black line illustrates the error ellipse with minimum uncertainty:  $\pm 350$ -km  $3\sigma$  uncertainty, which results from observing the asteroid for 1.5 days (i.e., the correction maneuver is implemented 5.5 days before the flyby). Finally, the error ellipse increases in size ( $\sim 1000$  km) if the maneuver is performed

**Table 5**

Achievable flyby accuracies for asteroids visible  $>3.0$  days.

Name	From	$3\sigma$ (99.7%) flyby uncertainty (km) <sup>b</sup>	U parameter
2000 QW7 <sup>a</sup>		$\pm 2484$	0
2008 TZ3	L2	$\pm 337 \leftrightarrow \pm 1771$	0
2015 BY310 <sup>a</sup>		$\pm 12657$	0
1998 ST27		$\pm 226 \leftrightarrow \pm 851$	0
2001 FO32		$\pm 294 \leftrightarrow \pm 9239$	0
2016 AJ193		$\pm 172 \leftrightarrow \pm 770$	4
2014 HK129	L1	$\pm 1855 \leftrightarrow \pm 7218$	3
2010 XC15		$\pm 137 \leftrightarrow \pm 10407$	1
2011 AG5		$\pm 77 \leftrightarrow \pm 702$	1

<sup>a</sup>Reachable from only one point along the periodic orbit (out of the nine points that were considered).

<sup>b</sup>Best and worst cases out of all the departure points from which the asteroid can be reached with  $>20$  m/s available for the correction maneuver.

5.0 days before the encounter, since in this case the available  $\Delta V$  for the correction maneuver (71.6 m/s) does no longer suffice to fully rectify the trajectory of the CubeSat.

Table 5 summarizes similar results for the 9 asteroids that can be reached, are visible for more than 3 days, and more than 20 m/s remain available for the correction maneuver. The results in Table 5 show the range of minimum  $3\sigma$  uncertainty that can be achieved for each asteroid out of all departure points: i.e., the case of minimum uncertainty is selected out of all the maneuver implementation times that were considered; and the cases of smallest and largest uncertainty out of all the departure points are then shown in Table 5.

Moreover, the importance of visibility time becomes particularly evident for asteroids 2000 QW7 and 2015 BY310. A nominal  $\Delta V$  of 45.5 m/s is required to encounter asteroid 2000 QW7 in 2019, and 53.4 m/s to encounter asteroid 2015 BY310 in 2023 (refer to Table 4). Because only  $\sim 30$  m/s remain available for the correction maneuver, limited flyby accuracies can be achieved for these two asteroids. Asteroid 2015 BY310 is visible for only 4.9 days and poor accuracies can be accomplished:  $\pm 12,657$  km  $3\sigma$  error. Asteroid 2000 QW7 is visible for 8.8 days instead, and a significant improvement in accuracy is observed:  $\pm 2484$  km  $3\sigma$  error. If sufficient  $\Delta V$  and visibility time are available (e.g., asteroid 2011 AG5 with minimum 8.6-m/s  $\Delta V$  requirement and



5.3 days of visibility),  $3\sigma$  flyby accuracies as good as  $\pm 77$  km are possible.

This work ultimately shows that asteroid flybys comfortably below 1000 km (or even 500 km) can be achieved by an autonomous 3U CubeSat using technology that is currently available. The success of such a mission is, however, contingent to the extent of time that the asteroid is visible from the CubeSat and to the remaining  $\Delta V$  available for the correction maneuver, and it is also dependent on the point of departure from the periodic orbit. Further discussion on the scientific objectives that could be accomplished by such a mission is provided in Section 6.

## 6. Potential science return

Various scientific objectives could be achieved by an asteroid flyby mission depending on the instruments on board the CubeSat. As outlined in Section 3.1, several alternatives for the science payload are available on the market, and although the number of instruments on board ESA's Rosetta spacecraft goes far beyond the payload capacity of the NEARCube mission, its scientific accomplishments (as it orbited comet 67P/Churyumov-Gerasimenko and flew by asteroids Šteins and Lutetia) provide a good example of the possible measurements that a CubeSat could perform.

The Rosetta spacecraft was equipped with narrow and wide angle cameras, ultraviolet, infrared and microwave spectrometers, an ion-neutral mass spectrometer, a fluxgate magnetometer, et cetera (Glassmeier et al., 2007a). Some of the scientific goals covered by Rosetta include characterizing cometary and asteroid exosphere and magnetic field, physical and thermal properties, surface composition and geomorphology, etc.

In the NEARCube mission, UV-VIS-IR spectrometers could allow for the characterization of surface mineralogy, evaluation of space weathering effects, or for taxonomic studies (Reddy et al., 2015). Far-UV and mid-IR spectrometers could enable more complex science objectives such as the search of asteroid exosphere; or (if used for cometary exploration) they could enable the characterization of cometary nucleus and coma composition, nuclear activity, and thermal history (A'Hearn et al., 2010; Coradini et al., 1995). Microwave and mass spectrometers could also allow for the study of cometary outgassing and coma development, characterization of subsurface temperature and structure (Gulkis et al., 2007), analysis of cometary chemical and isotopic composition, and determination of processes for the formation of the atmosphere and ionosphere (Balsiger et

al., 2007). A high-resolution magnetometer could also be used for search of asteroid and cometary remnant magnetic fields (Glassmeier et al., 2007b).

As discussed in Section 3.2, approximately 0.8U is allocated in the NEARCube spacecraft for the science payload. The selection of the science payload might impose additional requirements on the spacecraft besides those highlighted in Table 1, and should be carefully considered during the design of the mission (e.g., a body-mounted magnetometer would require a magnetically clean spacecraft, a high-resolution imager would require a 3-axis stabilized spacecraft during the flyby, particle and field detectors might require a spinning spacecraft, etc.). As an example, the science payload proposed in this study for the NEARCube mission includes SCS Space's 0.6U Gecko imager (SCS Space, 2017), and two Ocean Optics'  $\sim 0.05$ U STS spectrometers (Ocean Optics, 2016, 2013b)—UV and VIS, VIS and IR, or UV and IR.

According to the analysis performed in Section 5, flyby altitudes below 500 km could be achieved using a 3U CubeSat. Limited spatial resolution could be provided by an on-board camera at such a distance, similar to the spatial resolution that can be accomplished from LEO:  $>30$  m at 500 km. Images of the target asteroid will therefore be limited to 10–900 pixels depending on the size of the asteroid (e.g., 100–1000-m asteroids). UV-VIS-IR spectrometers would likely not provide any spatial resolution, but analysis of the incoming light could provide valuable information on the composition of the asteroid, mineral abundances, and space weathering effects. Such observations can also provide an opportunity to support taxonomic studies, and to calibrate and validate ground-based spectral interpretations.

Modest scientific return can therefore be expected from such a mission. The proposed spacecraft design is primarily composed of readily-available, off-the-shelf components, and thus the development and implementation costs of the CubeSat could be comparable to those of a typical LEO CubeSat (i.e., potentially below \$0.5M (Nervold et al., 2016; SpaceNews, 2017b; Straub, 2012)). Operational costs can be significantly reduced by the autonomous navigation strategy described in this study, and ground segment operations can consequently be limited to seldom transmission of telemetry and commands (if spacecraft operations are not fully automated), and to the downlink of scientific data generated during the flyby. A number of ground stations and commercial companies are currently developing affordable solutions



for deep-space communications as an alternative to governmental networks like DSN and ESTRACK (ATLAS Space Operations, 2017; ESA, 2018). Potentially, the downlink of science data may be possible at even less than \$500 per pass (SpaceNews, 2017a), although this will highly depend on the required antenna size. For comparison, the overall cost of the Rosetta mission was \$1.5 billion (ESA, 2014), and the cost of the 563-kg NEAR Shoemaker mission was \$220 million, which landed on asteroid 433 Eros after almost a year of on-orbit operations (\$113-million development costs and \$60-million operations costs), and whose science payload included a multispectral high-resolution camera, a magnetometer, near-IR and X-ray/Gamma-ray spectrometers, and a laser altimeter (NASA, 2005).

Advances in CubeSat technology, using a larger spacecraft (e.g., 6U CubeSat), or a more detailed analysis of the 3U mission concept could further improve the quality of the mission and its scientific return, potentially enabling lower flyby altitudes, lower relative velocities, or even orbiting or landing on asteroids. A larger CubeSat platform, for instance, could allow for larger  $\Delta V$  capabilities to enable access to more asteroids or to further correct the trajectory of the CubeSat before the flyby, it could allow for higher redundancy in the system, improve the capabilities of critical components for the mission (e.g., star tracker, on-board computer, generated power, etc.), or it could allocate additional sensors for autonomous navigation (e.g., fine sun sensor, a redundant star tracker, a high-sensitivity narrow angle camera, etc.) or a larger and more capable science payload (e.g., higher-resolution visual camera, mass spectrometer, etc.). A 6U mission could also provide higher flexibility to the mission and relax some of the constraints imposed by the 3U platform. For example, more accurate navigation could be performed (which could allow for lower flyby altitudes), accurate navigation might be possible without observations of the target asteroid (i.e., flyby missions would not be constrained to asteroids that are visible prior to the flyby), or knowledge on the trajectory of the asteroid could be refined prior to the flyby (i.e., flyby missions to asteroids with larger uncertainties in their trajectory might become viable).

Through a simple mission concept and a preliminary analysis, this work demonstrates that CubeSats can today provide a real low-cost solution for small-body planetary exploration, and reduce not only the development and implementation costs as compared to traditional missions, but also operations costs through autonomous navigation techniques. And although only mod-

est scientific return can be expected from such a mission, CubeSats can cost-effectively and significantly support larger scientific missions, or efforts in the asteroid mining industry (e.g., they could be used to characterize potential target asteroids, to collect complementary measurements, etc.).

## 7. Concluding remarks

In order to demonstrate the capability of current CubeSat technology to provide a real low-cost solution for small-body planetary exploration, a 3U CubeSat mission is designed to flyby near-Earth asteroids using autonomous navigation. Asteroid flyby opportunities are identified that could be flown by an autonomous 3U CubeSat between years 2019 and 2025, using only observations of the Sun during cruise and observations of the target asteroid prior to the flyby (instead of relying on ground stations for navigation support). Such a mission leverages piggyback opportunities from a larger spacecraft orbiting the first or the second Sun-Earth Lagrange points, and flyby altitudes even below 500 km are found possible based on the current state of CubeSat components.

By means of lower development, implementation, and ground operations costs, the present work shows that interplanetary CubeSats can be used to explore near-Earth asteroids at a significantly lower cost than traditional missions. The scientific return of such missions is primarily limited to, for instance, medium resolution images and spectroscopic observations of the asteroid, but it could be further extended by upcoming advancements in CubeSat technology, by the use of a larger CubeSat platform, or through a more detailed analysis of the 3U mission concept (e.g., implementing a more complex and accurate navigation campaign). Similar results are also anticipated for other piggyback opportunities (e.g., for a deployment in an Earth-Moon transfer trajectory), although further analysis is required to confidently extend these remarks.

Future efforts will as well focus on the use of higher-fidelity dynamical models (i.e., ephemeris model) for the trajectory design analysis, on the evaluation of more sophisticated autonomous navigation strategies and models (e.g., use observations of the Earth-Moon system and other planetary bodies if visible, which could improve the accuracy of the on-board estimation and thus the accuracy of the flybys), on the use of more realistic sensor-actuator models (e.g., abandon the impulsive maneuver assumption), on a more detailed analysis of the communications and power systems (i.e., link

budget analysis, power budget), and on the analysis of a 6U CubeSat mission instead.

Ultimately, this study shows that autonomous 3U CubeSats do provide a low-cost alternative to the planetary science community, and can be considered for future missions to further our knowledge on the origins of the Solar System, or to support the efforts of the asteroid mining enterprise.

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