1	Effects of mutation of 2,3-butanediol formation pathway on glycerol
2	metabolism and 1,3-propanediol production by <i>Klebsiella pneumoniae</i> J2B
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Abstract

26	The current study investigates the impact of mutation of 2,3-butanediol (BDO) formation
27	pathway on glycerol metabolism and 1,3-propanediol (PDO) production by lactate
28	dehydrogenase deficient mutant of Klebsiella pneumoniae J2B. To this end, BDO pathway
29	genes, <i>budA</i> , <i>budB</i> , <i>budC</i> and <i>budO</i> (whole- <i>bud</i> operon), were deleted from <i>K. pneumoniae</i> J2B
30	$\Delta ldhA$ and the mutants were studied for glycerol metabolism and alcohols (PDO, BDO)
31	production. $\Delta budO$ -mutant-only could completely abolish BDO production, but with reductions
32	in cell growth and PDO production. By modifying the culture medium, the $\Delta budO$ mutant could
33	recover its performance on the flask scale. However, in bioreactor experiments, the $\Delta budO$
34	mutant accumulated a significant amount of pyruvate (>73 mM) in the late phase and PDO
35	production stopped concomitantly. Glycolytic intermediates of glycerol, especially
36	glyceraldehyde-3-phosphate (G3P) was highly inhibitory to glycerol dehydratase (GDHt); its
37	accumulation, followed by pyruvate accumulation, was assumed to be responsible for the $\Delta budO$
38	mutant's low PDO production.
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Key words: *Klebsiella pneumoniae* J2B; Glycerol; 1,3-Propanediol; 2,3-Butanediol; Δ*budO*

mutant

1. Introduction

45	1,3-Propanediol (PDO) is an important platform chemical having a wide range of
46	applications in the production of polymers, cosmetics, and lubricants, among others. The most
47	important industrial use of PDO is as a monomer for synthesis of the new polyester
48	polytrimethylene terephthalate (PTT) (Celińska, 2010; Maervoet et al., 2011; Saxena et al.,
49	2009). Many organisms in the Enterobacteriaceae family, such as Klebsiella pneumoniae,
50	Klebsiella oxytoca, Citrobacter freundii, Enterobacter aerogenes and Enterobacter agglomerans,
51	naturally produce PDO from glycerol, among which K. pneumoniae is the most extensively
52	studied (Celińska, 2010; 2012). K. pneumoniae dissimilates glycerol by two parallel "oxidative"
53	and "reductive" pathways (Supplementary Fig. 1). In the oxidative pathway, glycerol is
54	converted to dihydroxyacetone phosphate (DHAP) by a respiratory (aerobic or anaerobic,
55	according to the electron acceptor type) and/or fermentative route, DHAP then being funneled
56	into the glycolytic pathway. In the reductive pathway, glycerol is converted to PDO by a two-step
57	process: first, it is dehydrated to 3-hydroxypropionaldehyde (3-HPA) by the coenzyme B_{12} -
58	dependent glycerol dehydratase (GDHt), which is encoded by <i>dhaB</i> ; then, 3-HPA, at the expense
59	of NAD(P)H, is reduced to PDO by 1,3-propanediol oxidoreductases (PDORs) such as DhaT
60	(NADH-PDOR) and/or NADPH-dependent oxidoreductase (Celińska, 2012; Kumar et al., 2012;
61	Saxena et al., 2009). The reductive pathway regenerates NAD ⁺ , which enables K. pneumoniae to
62	grow on glycerol under limited-O ₂ conditions.

During oxidative metabolism of glycerol, *K. pneumoniae* generates a number of
metabolites including organic acids (lactic acid, succinic acid, acetic acid, formic acid) and
alcohols [2,3-butanediol (BDO), ethanol] (Ashok et al., 2011; Kumar et al., 2013a). These

66 glycerol-metabolic byproducts subdue the glycerol flux to PDO, thereby significantly reducing 67 PDO production yields; additionally, at high concentrations, they are toxic to cell growth and 68 PDO production. Lactic acid, particularly, is a major byproduct (Ashok et al., 2011; Durgapal et 69 al., 2014; Huang et al., 2012), and much research efforts and resources have been devoted to the 70 elimination of its formation (Kumar et al., 2013b; Oh et al., 2012; Xu et al., 2009). Another 71 major byproduct, especially appearing when lactic acid production has been eliminated, is BDO. 72 BDO production reduces PDO yield more significantly than does lactic acid, because synthesis 73 of one molecule of BDO requires two molecules of glycerol. Moreover, due to their similar 74 boiling points, the presence of BDO in the culture broth complicates PDO purification in 75 downstream processing (Anand et al., 2011; Kaur et al., 2012; Zeng and Biebl, 2002). BDO 76 synthesis begins with self-condensation of two molecules of pyruvate to one molecule of C5 intermediate α -acetolactate (Supplementary Fig. 1), which is catalyzed by α -acetolactate 77 78 synthase (ALS, *budB*). In the next step, α -acetolactate is decarboxylated to acetoin (catalyzed by 79 α -acetolactate decarboxylase (ALDC, *budA*)) and then reduced to BDO by 2,3-butanediol 80 dehydrogenase/acetoin reductase (AR, *budC*) using NADH as the reductant. In the presence of 81 oxygen, α -acetolactate is spontaneously decarboxylated to diacetyl, which is then reduced to 82 acetoin by the action of diacetyl reductase, and acetoin in turn is reduced to BDO. When diacetyl 83 is converted to acetoin or acetoin is converted to BDO, one NADH, at each step, is required 84 (Celińska and Grazek, 2009; Ji et al., 2011). The genes coding for the three enzymes in the BDO-85 producing branch are located in one operon (budO) in the order budA (ALDC), budB (ALS) and 86 budC (AR).

87 The current study investigated the role of the three enzymes of the BDO synthetic
88 pathway in cell growth, glycerol metabolism and BDO production under different aeration

conditions. Also, the possibility of producing PDO at an economically feasible level without BDO production was explored. The newly isolated *K. pneumoniae* J2B (KCCM11213P) strain was used, as it does not produce pathogenic and sticky lipopolysaccharides (Arasu et al., 2011), and also because it has better sedimentation properties and a higher sensitivity to antibiotics than other well-studied *K. pneumoniae* such as the DSMZ2026 strain. Using *K. pneumoniae* J2B $\Delta ldhA$ as the base strain, four mutant strains ($\Delta budA$, $\Delta budB$, $\Delta budC$ and $\Delta budO$) were developed and their performances studied by shake-flask and bioreactor experiments.

96 2. Materials and methods

97 2.1 Materials

98 A genomic DNA isolation kit and pGEM-T vector was purchased from Promega 99 (Madison, WI, USA). High-fidelity *pfx* polymerase was acquired from Invitrogen (Seoul, Korea). 100 Restriction DNA-modifying enzymes were obtained from New England Bio-Labs (Beverly, MA, 101 USA). The miniprep and DNA gel extraction kits were purchased from Qiagen (Mannheim, 102 Germany). The primers were synthesized by Cosmotech Co. Ltd. (Seoul Korea). Yeast extract 103 (Cat. 212750) was obtained from Difco (Becton Dickinson; Franklin Lakes, NJ, USA). Glycerol 104 and all other chemicals and enzymes (unless indicated otherwise) were purchased from Sigma-105 Aldrich (St. Louis, MO, USA).

106 **2.2 Construction of plasmids and mutant strains**

107 All of the strains, plasmids and primers are listed in Table 1. For the design of the four 108 mutant strains of *K. pneumoniae* J2B ($\Delta budA$, $\Delta budB$, $\Delta budC$ and $\Delta budO$), an in-frame tagged 109 deletion approach was employed according to a slightly modified version of the method 110 introduced by Link et al. (1997). Briefly, PCR amplification of ~500 bp of the upstream

111 (fragment A) and ~500 bp of the downstream (fragment B) regions of the above-noted genes was 112 performed using the primers listed in Table 1. In the next step, the two amplified fragments A and 113 B were ligated using overlapping PCR methods to synthesize the engineered fragment AB, which 114 subsequently was cloned into the pGEMT vector. After confirming this sequence, the engineered 115 fragment AB was sub-cloned into the pKOV vector between the restriction sites (shown in Table 116 1). These plasmids were used to knock out, by homologous recombination, the target genes from 117 the chromosomal DNA of K. pneumoniae J2B $\Delta ldhA$. The mutant strains were screened using 118 PCR and confirmed by sequencing. The developed mutant strains of K. pneumoniae J2B $\Delta ldhA$, 119 $\Delta ldhA\Delta budA$, $\Delta ldhA\Delta budB$, $\Delta ldhA\Delta budC$ and $\Delta ldhA\Delta budO$, were designated Kp $\Delta budA$, 120 $Kp\Delta budB$, $Kp\Delta budC$ and $Kp\Delta budO$, respectively. K. pneumoniae J2B $\Delta ldhA$ was designated as 121 the K. pneumoniae control (Kp control).

122 2.3 Shake-flask cultivation

123 Shake-flask experiments were carried out at 37°C with an initial pH of 7. Different 124 strains used in gene-deletion studies were cultured in Luria-Bertani medium (LB medium) 125 containing yeast extract (5 g/L), NaCl (10 g/L), and tryptone (10 g/L). For shake flask 126 production experiments, a primary inoculum was prepared by culturing cells in LB medium from 127 agar plates for 8 h. From the primary inoculum, the cells were transferred to fresh LB medium 128 for 2 h in order to harvest active cells at the mid-log phase. The primary and the secondary 129 inoculum cultures were grown under the same conditions (working volume: 50 mL in 250 mL 130 Erlenmeyer flask; agitation speed: 200 rpm; initial pH: 7.0, temperature: 37°C). The cell OD₆₀₀ 131 at the end of pre-cultures I and II were in the 5.0 - 6.0 and 1.5 - 2.0 ranges, respectively. The

starting OD₆₀₀ in all of the experiments was 0.1 - 0.2, and so the inoculum volume was
dependent on the final OD₆₀₀ achieved at the end of each stage. The main cultivation of the
designed mutant strains of *K. pneumoniae* J2B (Kp control, KpΔ*budA*, KpΔ*budB*, KpΔ*budC* and
KpΔ*budO*) was conducted in 250 mL flasks containing a medium of the following composition:
glycerol-20 g/L (220 mM), yeast extract-1.0 g/L, NH₂SO₄-2.0 g/L, MgSO₄·7H₂O-0.2 g/L,
CaCl₂.2H₂O-0.02 g/L, K₂HPO₄-3.4 g/L, KH₂PO₄-1.3 g/L; Fe solution-1 mL/L, and trace-element
solution-1 mL/L (Oh et al., 2012).

139 Shake-flask cultivation of the different mutant strains was performed under various 140 aeration conditions: aerobic, microaerobic, and anaerobic. In the aerobic and microaerobic 141 cultures, the flasks were plugged with an oxygen-permeable cotton stopper; in the anaerobic 142 cultures, the flasks were closed with a screw cap, and the flask head space was replaced with 143 argon gas prior to cultivation. The working volumes under aerobic, microaerobic, and anaerobic 144 conditions were 25, 100 and 50 mL, respectively. The agitation speed under the aerobic condition 145 was 250 rpm, and under both the microaerobic and anaerobic conditions, 100 rpm. The culture 146 medium was supplemented with different components in order to investigate their effects on cell 147 metabolism and PDO production. The Kp $\Delta budA$ and Kp $\Delta budO$ strains were supplemented with 148 two different concentrations of branched-chain amino acids (leucine, isoleucine, and valine) (1.0 149 and 2.0 mM) as well as complex nitrogen sources (yeast extract-1.0 g/L, peptone-2.5 g/L, beef 150 extract-2.5 g/L, yeast extract-2.5g/L, peptone-5.0 g/L, beef extract-5.0 g/L). The shake-flask 151 cultivation of Kp\[] budO was additionally supplemented with exogenous additions of BDO (25) 152 mM) and NaHCO₃ (50 mM).

154 **2.4 Bioreactor cultivation**

155 The bioreactor experiments were carried out in a 1.5 L jar bioreactor (KO Biotech, 156 Korea) containing a 1.0 L medium of the same composition as in the shake-flask cultivation (see 157 above). The inoculum culture was prepared in the same way as described for the flask 158 experiment. The pH, temperature, stirrer speed and aeration rate in the bioreactor experiments 159 were maintained at 6.8, 37°C, 250 rpm and 0.5 vvm, respectively, unless specified otherwise. 160 The pH was maintained by addition 5 N NaOH. Fed-batch experiments were performed using 161 $Kp\Delta budO$ with a feeding solution of glycerol (1,000 g/L; 10.9 M) and yeast extract (25 g/L). In 162 one run, carbon dioxide (CO₂) was continuously flushed at an aeration rate of 0.05 vvm, and in 163 another run, NaHCO₃ (100 mM) was added to the culture medium.

164 **2.5** Glycerol dehydratase (DhaB) activity and inhibition by glycerol metabolites

165 K. pneumoniae cells grown in LB medium were induced and harvested 3 h from 166 the time of induction. The harvested cells were lysed anaerobically using a bead beater and 167 subjected to a DhaB-activity assay inside an anaerobic chamber. An appropriate amount of cell 168 lysate was pre-incubated with 10 mM of potassium phosphate buffer (pH 7), purified KGSADH 169 and 40 mM 1,2-PDO at 37°C for 3 min. The reaction was initiated by adding 15 µM coenzyme-170 B₁₂, 1.5 mM ATP, 3 mM MgCl₂ and 2 mM NAD⁺ to the reaction mixture for a total volume of 171 2 mL. In this mixture, 1,2-PDO acts as a substrate for DhaB protein and is converted to 172 propionaldehyde, which, in sequence, is converted to propionic acid by the action of coupling-173 enzyme KGSADH. The activity of KGSADH was evaluated by measuring the reduction of 174 NAD⁺ to NADH at 340 nm. The amount of NADH formed was determined using an extinction 175 coefficient of 6.22 mM⁻¹ cm⁻¹. One unit of enzymatic activity was defined as the amount of

176 enzyme required to form 1 µmol of propionaldehyde per minute. The metabolites pyruvate,

177 DHAP, MG, G3P and DHA were added to the enzymatic reaction mixture in different

178 concentrations (0.5, 1, 2, 5 and 10 mM, respectively) in order to study their individual inhibitory

179 effects.

180 **2.6 Analytical methods**

181 Cell density was measured in a 10-mm-path-length cuvette using a double-beam 182 spectrophotometer (Lambda 20, PerkinElmer; Norwalk, CT, USA). One unit of absorbance at 183 600 nm (OD₆₀₀) corresponded to 0.31 g dried cell mass per liter. The concentrations of glycerol 184 and of the other metabolites were determined by HPLC using a slightly modified version 185 developed by Raj et al. (2008). Briefly, the supernatants, obtained by centrifuging the culture 186 samples at 10,000 ×g for 10 min, were filtered through a Tuffryn membrane (Acrodisc, Pall Life 187 Sciences, USA) and eluted through a 300 ×7.8 mm Aminex HPX-87H (Bio-Rad, USA) column 188 at 65°C. The mobile phase and flow rate were 2.5 mM H₂SO₄ and 0.5 mL/min, respectively. All 189 of the experiments were carried out at least three times to ensure reproducibility. The data 190 reported are the averaged values for three independent measurements; the standard deviation was 191 less than 10% unless otherwise indicated.

192 **3. Results and discussion**

193 3.1 Metabolic changes in Kp control, Kp∆budA, Kp∆budB, Kp∆budC and Kp∆budO under 194 different aeration conditions during flask cultivation

Five mutant strains, one base strain (Kp control) and four BDO-pathway mutant strains (Kp $\Delta budA$, Kp $\Delta budB$, Kp $\Delta budC$ and Kp $\Delta budO$) were developed and cultured under three

197 different aeration conditions (aerobic, microaerobic and anaerobic) (Table 2). Cell growth, 198 glycerol consumption and PDO production significantly varied depending on the genes disrupted 199 and aeration conditions adopted for cultivation. Under aerobic condition, the growths of 200 $Kp\Delta budB$ and $Kp\Delta budC$ (OD₆₀₀, 7-8) were similar to that of the Kp control (OD₆₀₀, 7.6) whereas 201 those of Kp $\Delta budA$ and Kp $\Delta budO$ (OD₆₀₀, 4-5) were lower. Similarly, glycerol consumption was 202 high in Kp $\Delta budB$ and Kp $\Delta budC$ (~200 mM) but low in Kp $\Delta budA$ and Kp $\Delta budO$ (<70 mM). 203 PDO production was generally low under the aerobic conditions, due mainly to insufficient 204 production of coenzyme B₁₂. Among the mutant strains, Kp $\Delta budB$ and Kp $\Delta budC$ (38-41 mM) 205 produced much higher PDO than did Kp $\Delta budA$ or Kp $\Delta budO$ (2-6 mM); but none of the mutants 206 showed higher PDO production than that of the Kp control (44.7 mM) under the aerobic 207 conditions. BDO production decreased when the enzyme(s) of the BDO pathway were disrupted, 208 but varyingly; Kp $\Delta budB$ and Kp $\Delta budC$ showed a small decrease, while Kp $\Delta budA$ manifested a 209 significant drop. It was noted that $\Delta budO$ -only completely abolished BDO production. The low 210 impacts of $\Delta budB$ and $\Delta budC$ were attributed to the presence (and active expression) of the 211 isozymes of ALS (encoded by *budB*) and AR (encoded by *budC*). Recently it was reported that 212 AR can convert 3-hydroxypropionaldehyde to 1,3-PDO, similar to 3-hydroxypropionaldehyde-213 specific oxidoreductase, DhaT (Wang et al., 2014). Wu et al. (2013), moreover, earlier reported 214 the presence of several genes having similar sequences to that of budC. The low cell growth and 215 glycerol consumption in Kp $\Delta budA$ indicates that budA does not have isozyme(s), and further, 216 that accumulation of the substrate of *budA*, α -acetolactate, is highly toxic to cells. The detour 217 route for the conversion of α -acetolactate to acetoin via diacetyl can compensate for the lack of 218 *budA* isozymes, but its efficiency seems to be low. The similar behaviors of Kp $\Delta budA$ and 219 $Kp\Delta budO$ with respect to cell growth, glycerol consumption and PDO production, among others, strongly suggest that, under aerobic conditions, $\Delta budA$ is the most influential of all of the mutations in the BDO operon.

222 Under the anaerobic condition, cell growth decreased while PDO production increased. 223 Kp $\Delta budB$ and Kp $\Delta budC$ (final OD₆₀₀, 3-4) showed a higher cell density than Kp $\Delta budA$ or 224 $Kp\Delta budO$ (final OD_{600} , ~1.9). Surprisingly, the Kp control, similarly to the latter two mutants, 225 showed low cell growth (final OD_{600} , ~1.9). Glycerol consumption and PDO production were 226 high in Kp $\Delta budB$ and Kp $\Delta budC$, and low in Kp $\Delta budA$ and Kp $\Delta budO$, in comparison to Kp 227 control. As was the case under the aerobic conditions, $Kp\Delta budA$ and $Kp\Delta budO$ showed similar 228 behaviors in terms of cell growth, glycerol consumption, and production of PDO and other 229 metabolites; this suggests, again, that *budA* deletion has a higher impact on the BDO pathway 230 than either *budB* or *budC* deletion. It should be noted, too, that whereas $Kp\Delta budB$ and $Kp\Delta budC$ 231 showed similar cell growth and PDO production, the metabolite production profiles were 232 significantly different: Kp $\Delta budB$ produced much acetate and little ethanol, while Kp $\Delta budC$ 233 produced much ethanol and little acetate. Also, whereas $Kp\Delta budB$ did not produce BDO, 234 $Kp\Delta budC$ produced a significant amount of it, even more than the Kp control. It is not clear why 235 $Kp\Delta budB$ and $Kp\Delta budC$ show such different behaviors, though we speculate that it must be 236 closely related to NADH balance. As indicated in supplementary Fig. 1, BDO production 237 generates, from the two moles of pyruvate with consumption of one mole of NADH and 238 unutilized NADH molecules may be consumed in the production of ethanol (i.e., in $Kp\Delta budC$). 239 If, as in the case of Kp $\Delta budB$, BDO is not produced, no excessive NADH are generated, and 240 thus, acetate production is the right venue. In addition, acetate production yields ATP, which 241 seems always to be beneficial to cells. This NADH effect is observed also under aerobic 242 conditions, though not as significantly. We assume that, under aerobic conditions, maintenance of the redox balance can be managed rather easily by active operation of the electron transport
chain. And in fact, under microaerobic conditions, cell growth, glycerol consumption and PDO
production were quite similar to those under anaerobic conditions, as were the metabolite
production profiles. Once again, these results indicate that, among the three genes, *budA* deletion
has the greatest impact on glycerol metabolism.

248 BDO production, meanwhile, was suppressed by mutations in the BDO pathway, though 249 its complete elimination was observed only in Kp $\Delta budO$. In most of the strains tested, acetate 250 and ethanol were produced as major byproducts, towards which, additional carbon flux was 251 diverted upon suppression of the BDO pathway. In some cases, especially with $Kp\Delta budB$ and 252 $Kp\Delta budO$, substantial pyruvate excretion was observed; indeed, with $Kp\Delta budO$, a significant pH 253 drop (below 5.0) also was noticed. Such pyruvate excretion and pH loss in Kp\[Delta budO suggests] 254 that the complete elimination of the BDO pathway in the *ldhA*⁻ background causes heavy 255 metabolic traffic at the pyruvate node.

256 **3.2 Flask culture of Kp**Δ*budO* with supplementation of amino acids, NaHCO₃ and/or BDO

257 Among the four mutant strains, only Kp\[Delta budO could produce PDO without BDO] 258 accumulation. However, after elimination of the whole-bud operon, glycerol consumption and 259 PDO production were sharply diminished. In order to explore the reasons for this as well as the 260 possibility of PDO production without BDO, optimization of Kp $\Delta budO$ culture conditions was 261 performed. All of the experiments were carried out under the microaerobic condition, as these, 262 among the three aeration conditions, had proved the most conducive to cell growth and PDO 263 production. First, the effect of complex nitrogen was studied. Branched-chain amino acids 264 (leucine, isoleucine and valine) or larger amounts of complex nitrogen sources (yeast extract,

265 beef extract, and peptone) were added to the culture medium. It was hypothesized that 266 elimination of the bud operon might curtail the availability of the three essential branched-chain 267 amino acids, due to the lack of their precursor, α -acetolactate, the first intermediate of the BDO 268 pathway (see supplementary Fig. 1). The branched-chain amino acids were added to the culture 269 medium at two different concentrations (1 and 2 mM each), as were the mixtures of complex 270 nitrogen sources (1 g/L yeast extract, 2.5 g/L peptone and 2.5 g/L beef extract; 2.5 g/L yeast 271 extract, 5 g/L peptone and 5 g/L beef extract) (data not shown). However, no improvement in 272 glycerol consumption or PDO production was noted for any of the cultures.

273 Next, the effects of higher phosphate concentration (100 mM) and the addition of BDO 274 and/or NaHCO₃ were studied (Fig. 1). The testing of the high phosphate concentration was 275 carried out owing to the precipitous pH drop (below 5.0) that had been observed in the 276 experiments where 29 mM of potassium phosphate was used (see Table 1 for data). As for BDO 277 supplementation, we sought to determine if BDO itself, the final product of the BDO pathway, 278 has a physiological role (its lack had hampered glycerol consumption and PDO production). In 279 the same context, we hypothesized that the lack of CO₂, which is generated at 2 moles per mole 280 of BDO (see supplementary Fig. 1), can hamper the performance of Kp $\Delta budO$. In the 281 preliminary results, the increase in the buffering capacity of the culture medium, from 29 to 100 282 mM, greatly improved glycerol consumption and PDO production (Figs. 1C and 1D): 91.1 mM 283 PDO in a significantly enhanced yield (0.67 mol PDO/mol glycerol) was produced from 135.8 284 mM glycerol in 12 h. However, the pH nonetheless decreased below 5.3 after 9 h, and a 285 substantial amount of glycerol was left unused. Significantly, the addition of BDO (25 mM) to 286 the high-buffer culture medium barely affected the performance of the strain (Figs. 1E and 1F). 287 The addition of NaHCO₃

288	(50 mM), on the other hand, further improved glycerol consumption and PDO production (Figs.
289	1G and 1H): more than 90% of glycerol was consumed in 9 h, and cell growth (3.0 at OD_{600})
290	along with the PDO titer (112 mM) were enhanced by 45.4 and 22.5%, respectively, relative to
291	the case without NaHCO ₃ . Significantly too, when BDO was additionally supplemented to the
292	NaHCO ₃ -containing medium (Figs. 1I and 1J), no further improvement in PDO production was
293	observed. Sodium bicarbonate (NaHCO ₃) is a source of CO ₂ , but it can also counteract pH drop
294	caused by generation of various acids. When NaHCO ₃ was added, the final pH increased to ~ 5.8
295	(Figs. 1G and 1I) from ~5.3 (Figs. 1C and 1E). The production of metabolites, meanwhile, varied
296	significantly with the culture conditions (Figs. 1B, 1D, 1F, 1H and 1J). Acetate, pyruvate and
297	formate were the major byproducts, with ethanol, lactate and succinate as the minor ones (under
298	10 mM). When NaHCO ₃ was added, production of acetate (~40 mM) and formate (~27 mM) was
299	greatly increased, whereas pyruvate excretion was greatly reduced. This suggests that the
300	addition of NaHCO ₃ and/or the increase of culture pH stimulates pyruvate-formate-lyase (PFL),
301	which converts pyruvate to acetyl-CoA and formate, thereby reducing pyruvate accumulation. As
302	for succinate, its production was slightly increased, suggesting that carboxylation of pyruvate to
303	oxaloacetate also was stimulated. Overall, these results indicate that, in the Kp $\Delta budO$ mutant
304	where both the lactic acid and BDO production pathways were eliminated, excessive production
305	of acids (especially the accumulation of pyruvate) and/or subsequent pH drop could be the
306	principal cause of the reduction of PDO production and cell growth, and that their negative
307	impact can be greatly alleviated by increasing medium buffering capacity and/or the addition of
308	NaHCO ₃ to the culture medium. It should also be noted that $Kp\Delta budO$ cultured under
309	microaerobic and modified conditions exhibited a similar performance (in terms of cell growth,

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310 glycerol consumption, PDO production, final pH, production of acetate and ethanol, etc.) to that 311 of Kp $\Delta budB$ cultured under anaerobic and non-modified conditions.

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3.3 Batch bioreactor experiments on Kp∆budO with NaHCO3 or continuous CO2 supply

313 The effects of CO_2 and NaHCO₃ on Kp $\Delta budO$ performance was further studied using 314 pH-controlled bioreactors in a batch mode (Fig. 2). Carbon dioxide was added in the form of 315 NaHCO₃ (100 mM) or by continuous sparging of CO₂ gas at 0.05 vvm. The pH was maintained 316 at 7.0 and the initial glycerol concentration was set to 600 mM. Despite the pH maintenance, 317 $Kp\Delta budO$ exhibited a performance much inferior to the Kp control. When NaHCO₃ was added, 318 some improvement in cell growth and PDO production was noticed, but not to the level by the 319 Kp control. Specifically, whereas the Kp control produced ~305 mM PDO, Kp $\Delta budO$ with 320 NaHCO₃ produced only 265 mM. In the case of the continuous sparging of CO₂ (Fig. 2E), PDO 321 production and cell growth were even lower than in the case without CO₂ (Fig. 2C), suggesting 322 that excessive CO₂ is inhibitory. Cell growth and glycerol consumption also were lower in 323 $Kp\Delta budO$. Overall, these results indicate that the negative impact of the deletion of the bud 324 operon, cannot be removed by simple optimization of culture conditions at flask level. These 325 bioreactor results also suggest that the improvement of the performance of Kp $\Delta budO$ (shown in 326 Fig. 1) was mostly owed to the increase of the culture pH to a more neutral level.

The major end products of glycerol metabolism in *K. pneumonia* under limited-aeration conditions are lactic acid and BDO (Durgapal et al., 2014). When lactic acid production is blocked, more BDO is produced, and vice versa. If both BDO and lactic acid production are blocked at the same time, other metabolites such as ethanol, acetate, formate, hydrogen, succinate, and others will be produced at higher concentrations to keep the carbon flow at the pyruvate node (see supplementary Fig. 1). Otherwise, due to metabolic traffic at the pyruvate 333 node, glycerol metabolism through the oxidative pathway is terminated, which seemingly was 334 the case with the current Kp $\Delta budO$. There are three strategies for dealing with the carbon traffic 335 problem at the pyruvate node of K. pneumonia that lacks both ldhA and budO. First, the 336 consumption of pyruvate through the TCA cycle can be accelerated. Among the advantages of 337 this strategy is improved cell growth and viability, and enhanced the NADH supply for PDO 338 production. On the other hand, the glycerol-to-PDO yield can be reduced if carbon is mainly 339 used for cell growth. The second strategy for dealing with the carbon traffic problem at the 340 pyruvate node is suppression of pyruvate generation by reduction of glycerol consumption 341 through the oxidative pathways at the glycerol node (by perturbing the expressions of glpK, gldA 342 and/or *dhaD*; see supplementary Fig. 1). The advantage and disadvantage of the second strategy 343 are exactly the opposite of those indicated for the first strategy. Furthermore, a lowered NADH 344 production rate can lead to a greatly reduced PDO production rate. The third and most desirable 345 strategy is to accelerate the PDO production (reductive) pathway relative to the oxidative 346 pathway at the glycerol node. Zheng et al. (2006) attempted overexpression of *dhaB* to enhance 347 the reductive pathway in a wild-type strain of K. pneumonia which resulted in accumulation of 348 toxic 3-HPA; it did not improve PDO production (though it should be noted that minimization of 349 BDO production was not their goal). No report on *dhaB* and *dhaT* expression enhancement in the 350 background of $\Delta ldhA$ and $\Delta budO$ has yet been published.

351 One additional interesting feature of the present bioreactor experiments with Kp $\Delta budO$ 352 was the re-appearance of lactic acid, which had not been observed in the flask experiments. We 353 speculated that activation of the pathway via methylglyoxal was responsible for this (see 354 supplementary Fig. 1). To prove that hypothesis, the *mgsA* deletion mutant *K. pneumonia* J2B

 $\Delta ldhA\Delta budO\Delta mgsA$ was developed and tested (data not shown). The results showed that even though no detectable lactic acid was produced, PDO production was not improved.

357 **3.4 Fed-batch bioreactor experiments on Kp***budO*

358 Among the above-suggested strategies for alleviation of the carbon traffic at the 359 pyruvate node, the first, which is to say, improvement of TCA-cycle throughput was briefly 360 examined. This goal can be accomplished by increasing aeration and/or supplying additional 361 nitrogen. First, increased yeast-extract concentration (initial concentration: 5 g/L) was explored 362 (Fig. 3). The air-flow rate was set at 0.5 vvm. For comparison, the same experiments but with 363 1 g/L yeast extract were also performed for both the Kp control and Kp $\Delta budO$. The PDO 364 production of the Kp control with 1 g/L yeast extract was 752.9 mM at 36 h; under the same 365 conditions, Kp∆budO produced only ~410 mM PDO. For both strains, the initial (to 6 h) cell 366 growth and PDO production, ~ 5 OD and $130 \sim 150$ mM, respectively, were similar; thereafter 367 however, $Kp\Delta budO$ showed a performance significantly inferior to that of the Kp control. 368 Moreover, from 6 h, Kp $\Delta budO$ started to accumulate pyruvate and lactate, as in the batch 369 bioreactor experiments. When the initial yeast-extract concentration was elevated to 5 g/L (Fig. 370 3E and 3F), the cell growth rate and glycerol consumption were greatly accelerated, and the maximum cell density (OD₆₀₀-12) reached a significantly higher level at ~15 h. The final PDO 371 372 titer also was considerably improved, to 512 mM, at 36 h. However, the level did not match that 373 of the Kp control, as the PDO production rate had declined significantly after 12 h. 374 Interestingly, the two strains' molar PDO yields with glycerol were comparable (Kp control, 375 0.43; Kp∆*bud*O, 0.46).

The metabolite profiles of the two strains are compared in Table 3. The cultivation phase was divided into two phases according to the cell-growth pattern and metabolite production. 378 The carbon recoveries in each phase were higher than 95% when the yeast extract was 1 g/L, 379 but were reduced to \sim 85% when the yeast extract was elevated to 5 g/L. The reason for this is 380 not clear. With Kp $\Delta budO$, glycerol assimilation in the second phase was greatly reduced relative 381 to that in the first phase. With the Kp control, a large amount of BDO, ~274 mM, was produced 382 in 36 h. In Kp $\Delta budO$, the glycerol carbon that had been used for BDO formation in the Kp 383 control seemed to be diverted to a variety of metabolites, especially formate, acetate, pyruvate, 384 lactate and ethanol. However, the total amount diverted to these metabolites was far less than 385 that of BDO in the Kp control, indicating that glycerol assimilation and its metabolism was 386 significantly reduced in Kp $\Delta budO$. It was also noted that, as in the batch experiments, 387 Kp\[Delta budO produced pyruvate and lactate at high concentrations. The high accumulation of 388 pyruvate, even with a high concentration of yeast extract, indicated that the strategy to alleviate 389 the carbon traffic at the pyruvate node by supplementing additional, readily-usable nitrogen and 390 stimulating the TCA cycle was not successful, especially in the later phase of the bioreactor 391 experiment.

392 In order to stimulate TCA throughput, another set of bioreactor experiments with an 393 increased aeration rate (to 1 vvm) were performed (data not shown). Cell growth and glycerol 394 consumption were enhanced in all three cases (Kp control with 1 g/L yeast extract; Kp $\Delta budO$ 395 with 1 g/L yeast extract; Kp $\Delta budO$ 5 g/L yeast extract), but PDO production was reduced by ~15%. Also, PDO production began at ~6 h, about 3 h later than in the former, 0.5 vvm-396 aeration-rate case, probably due to lowered or delayed synthesis of coenzyme B_{12} . With 397 398 $Kp\Delta budO$, metabolic traffic at the pyruvate node was not alleviated, >50 mM pyruvate having 399 been accumulated. Intermittent feedings of yeast extract in the later phase did not improve 400 glycerol consumption and/or PDO production. Again, these results show that carbon traffic at

401 the pyruvate node could not be lessened by increasing aeration and/or addition of a rich nitrogen402 source.

403 It has been reported that K. pneumoniae has an inefficient or incomplete TCA cycle, 404 unlike other, similar enterobacter sp. such as E. coli (Cabelli, 1955). In that study, the growth 405 with fumarate or succinate as the sole carbon source required a much longer lag period than that 406 with TCA intermediates including malate, oxaloacetate, citrate and others, and it was suggested 407 that fumarate does not go to malate. According to our preliminary studies, transcription of some 408 enzymes (e.g., *fumA* and *sdhA*) was low, as were their enzymatic activities, especially under the 409 anaerobic condition. If the TCA cycle does not operate properly, pyruvate utilization cannot be 410 efficient and/or stimulation of TCA-cycle throughput becomes highly challenging. This suggests 411 that in the context of the production of PDO without BDO, K. pneumoniae has a serious 412 limitation as a microbial cell factory. Additional studies on the TCA cycle of K. pneumoniae are 413 in progress.

414 **3.5 Effects of glycerol carbon metabolites on glycerol dehydratase (DhaB) activity**

415 The results obtained thus far indicate that the metabolic traffic at the pyruvate node is 416 critical to glycerol metabolism in Kp $\Delta budO$. Accumulation of pyruvate causes that of other 417 glycolytic intermediates such as dihydroxyacetone (DHA), dihydroxyacetone phosphate 418 (DHAP), methylglyoxyl (MG) and glyceraldehyde-3-phosphate (G3P), and threatens to 419 terminate glycerol assimilation through the oxidative pathway. Further, if any of these 420 metabolites specifically inhibit the activity of glycerol dehydratase (DhaB) (see supplementary 421 data Fig. 1), the conversion of glycerol to PDO also is greatly reduced. Therefore, the effect of 422 glycolytic intermediates on DhaB activity was studied using crude cell extract expressing DhaB at a high level (Fig. 4). Among the metabolites tested, G3P was the most highly inhibitory: at 1
mM, DhaB activity was completely eliminated. Other metabolites such as pyruvate, MG and
DHAP also showed some inhibition, but much less significantly than G3P. DHA was not
inhibitory up to 10 mM. These results suggest that G3P followed by pyruvate accumulation
greatly decreases reductive flux in addition to oxidative flux, which eventually halts glycerol
consumption.

429 **4.** Conclusion

The current study investigated the effects of the deletion of the BDO pathway on glycerol metabolism and PDO production by *K. pneumoniae* J2B $\Delta ldhA$. The deletion of *budO* (whole*bud* operon) could completely eliminate BDO production, but this triggered heavy carbonmetabolic traffic at the pyruvate node. Glycerol dehydratase, which converts glycerol to 3-HPA, was specifically inhibited by G3P which should accumulate along with pyruvate. It is suggested that pyruvate accumulation should be strictly prevented during PDO production by carefully decreasing oxidative flux and/or increasing reductive flux.

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514 **Figure legends**

- 515 **Fig. 1** Flask culture of *K. pneumoniae* J2B $\Delta ldhA\Delta budO$ with 29 mM (low) or 100 mM (high)
- 516 phosphate (Pi) in presence or absence of BDO (25 mM) and/or NaHCO₃ (100 mM). A and B 29
- 517 mM Pi; C and D, 100 mM Pi; E and F, 100 mM Pi with BDO; G and H, 100 mM Pi with
- 518 NaHCO₃; I and J, 100 mM Pi with BDO and NaHCO₃.
- 519 Symbols: In A, C, E, G and I, *filled triangle down* (residual glycerol), *half-filled circle* (cell
- 520 OD), *empty circle* (PDO), and *cross* (pH); in **B**, **D**, **F**, **H** and **J**, *empty squares* (ethanol), *empty*
- 521 *diamond* (acetate), *filled circle* (pyruvate), *filled triangle up* (formate), *empty circle* (BDO), *filled*
- 522 *star* (succinate), and *empty triangle up* (lactate).
- 523 **Fig. 2** Bioreactor culture of *K. pneumoniae* J2B $\Delta ldhA$ and $\Delta ldhA\Delta budO$ with or without
- 524 continuous purging of CO_2 (0.05 vvm) or addition of NaHCO₃ (100 mM). A and **B**, $\Delta ldhA$; **C**
- and **D**, $\Delta ldhA\Delta budO$; **E** and **F**, $\Delta ldhA\Delta budO$ with CO₂ purging; **G** and **H**, $\Delta ldhA\Delta budO$ with
- 526 NaHCO₃.
- 527 Symbols: In A, C, E, and G, filled triangle down (glycerol), half-filled circle (cell OD), and
- 528 empty circle (PDO); in **B**, **D**, **F**, and **H**, *empty squares* (ethanol), *empty diamond* (acetate), *filled*
- 529 *circle* (pyruvate), *filled triangle up* (formate), *empty circle* (BDO), *filled star* (succinate), and
- 530 *empty triangle up* (lactate).
- 531 Fig. 3 Fed-batch bioreactor culture of K. pneumoniae J2B $\Delta ldhA$ and $\Delta ldhA\Delta budO$. A and B,
- 532 $\Delta ldhA$ with 1 g/L yeast extract; C and D, $\Delta ldhA\Delta budO$ with 1 g/L yeast extract; E and F,
- 533 $\Delta ldhA\Delta budO$ with 5 g/L yeast extract.
- 534 Symbols: In A, C, and E, filled triangle down (glycerol), half-filled circle (cell OD), and empty
- 535 *circle* (PDO); in **B**, **D**, and **F**, *empty squares* (ethanol), *empty diamond* (acetate), *filled circle*
- 536 (pyruvate), filled triangle up (formate), empty circle (BDO), filled star (succinate), and empty
- 537 *triangle up* (lactate).
- 538 Fig. 4 Glycerol dehydratase (DhaB) enzyme activity in presence of dihydroxyacetone (DHA),
- 539 dihydroxyacetone phosphate (DHAP), glyceraldehyde 3-phosphate (G3P), methylglyoxyl (MG)
- 540 and pyruvate.

- 541 **Supplementary Fig. 1** Glycerol metabolism in *K. pneumoniae*. The genes encoding the relevant
- 542 enzymes are shown in italics on the arrows. The encircled genes had been deleted in the current
- 543 study. The solid lines represent single steps in the metabolic pathway, while broken lines indicate
- 544 multiple steps (Ashok et al. 2011; Mazumdar et al. 2013).

Fig. 1

Buffer capacity	Low	High	High	High	High
2,3-BDO or Bicarbonate addition	None	None	2,3-BDO	Bicarbonate	2,3-BDO + Bicarbonate













Fig. 4

Supplementary Fig. 1



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